INTRODUCTORY PHYSICS: BUILDING MODELS TO DESCRIBE OUR WORLD

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Queen's University

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CHAPTER OVERVIEW

Front Matter

Queen's University

Introductory Physics: Building Models to Describe Our World

Martin, Neary, Rinaldo, & Woodman

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Preface

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Preface

About this textbook

This textbook is written to fill several needs that we believe were not already met by the many existing introductory physics textbooks. First, we wanted to ensure that the textbook is free to use for students and professors. Second, we wanted to design a textbook that is mindful of the new pedagogies being used in introductory physics, by writing it in a way that is adapted to a flipped-classroom approach where students complete readings, think about the readings, and then discuss the material in class. Third, we wanted to create a textbook that also addresses the experimental aspect of physics, by proposing experiments to be conducted at home or in the lab, as well as providing guidelines for designing experiments and reporting on experimental results. Finally, we wanted to create a textbook that is a sort of "living document", that professors can edit and re-mix for their own needs, and to which students can contribute material as well. The textbook is hosted on GitHub, which allows anyone to make suggestions, point out issues and mistakes, and contribute material.

This textbook is meant to be paired with the accompanying "Question Library", which contains many practice problems, many of which were contributed by students.

This textbook would not have been possible without the support of Queen's University and the Department of Physics, Engineering Physics & Astronomy at Queen's University, as well as the many helpful discussions with the students, technicians and professors at Queen's University.

Hello from the authors

Ryan Martin I am a professor of physics at Queen's University. My main research is in the field of particle astrophysics, particularly in studying the properties of neutrinos. I grew up in Switzerland, obtained my Bachelor's, Master's and Ph.D. at Queen's University. I was then a postdoctoral fellow at Lawrence Berkeley National Laboratory, a faculty at the University of South Dakota, before returning to Queen's. I am particularly passionate about education, and I am always seeking opportunities to involve students in helping to make education more accessible. I also like to cook and to play volleyball.

Emma Neary I am currently a second year physics major and QuARMS (Queen's University Accelerated Route to Medical School) student, as well as a native of St. John's, Newfoundland. Uniting the perspectives of students and professors in an accessible way is important to me. I strongly believe in the importance of building physical models; whether it be in physics,

medicine, sciences or the arts. It has been my goal to infuse the textbook with the theme of modelling in a creative and engaging way. Aside from doing physics, I enjoy hiking, dancing, reading and doing research in gastroenterology and neuropsychiatry.

Joshua Rinaldo I am a third year physics major and concurrent education student. I was first introduced to the flipped classroom approach in Ryan Martin's first year physics class, and have found that the experience shaped the way I approach education. I intend on continuing to make use of the flipped classroom approach as I move forward in my career. Being able to co-author this textbook has been an amazing opportunity for me to grow as an educator, and I look forward to applying the skills I learned while working on the textbook. Outside of physics, I enjoy making jewelry and practicing mixed martial arts.

Olivia Woodman I am a currently a third year undergraduate student at Queen's Univeristy, majoring in physics. The flipped classroom approach has been beneficial to my own learning, and I think that we have created a textbook that really complements this learning style. Throughout this book, I have shared my thoughts on various topics in physics, as well as some useful tips and tricks. I hope that students enjoy using this book and continue to contribute to it in the future. Working on this textbook has also allowed me to combine my love of physics with my love of doodling, so I hope you enjoy the drawings!

How to use this textbook

This textbook is designed to be used in a flipped-classroom approach, where students complete readings at home, and the material is then discussed in class. The material is thus presented fairly succinctly, and contains **Checkpoint Questions** throughout that are meant to be answered as the students complete the reading. We suggest including these Checkpoint Questions as part of a quiz in a reading assignment (marked based on completion, not correctness), and then using these questions as a starting point for discussions in class.

For topics that are particularly difficult, we have included **Thought Boxes** written by students that try to present the material in a different light. We are always happy if students (or professors) wish to contribute additional thought boxes.

Chapters start with a set of **Learning outcomes** and an **Opening question** to help students have a sense of the chapter contents. The chapters have **Examples** throughout, as well as additional practice problems at the end. The **Question Library** should be consulted for additional practice problems. At the end of the chapter, a **Summary** presents the key points from the chapter. We suggest that students carefully read the summaries to make sure that they understand the contents of the chapter (and potentially identify, before reading the chapter, if the content is review to them). At the end of the chapters, we also present a section to **Think about the material**. This includes questions that can be assigned in reading assignments to research applications of the material or historical context. The thinking about the material section also includes experiments that can be done at home (as part of the reading assignment) or in the lab.

Appendices cover the main background in mathematics (Calculus and Vectors), as well as present an introduction to programming in python, which we feel is a useful skill to have in science. There is also an Appendix that is intended to guide work in the lab, by providing examples of how to write experimental proposals and reports, as well as guidelines for reviewing proposals and reports. We believe that introductory laboratories should not be be "recipe-based", but rather that students should take an approach similar to that of a researcher in designing (proposing) an experiment, conducting it, and reviewing the proposals and results of their peers.

Credits

This textbook, and especially the many questions in the Question Library would not have been possible without the many contributions from students, teaching assistants and other professors. Below is a list of the students that have contributed material that have made this textbook and the Question Library possible.

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CHAPTER OVERVIEW

Back Matter

CHAPTER OVERVIEW

1: The Scientific Method and Physics

Learning Objectives

- Understand the Scientific Method.
- Define the scope of Physics.
- Understand the difference between theory and model.
- Have a sense of how a physicist thinks.

This textbook will introduce the theories from Classical Physics, which were mostly established and tested between the seventeenth and nineteenth centuries. We will take it as given that readers of this textbook are not likely to perform experiments that challenge those well-established theories. The main challenge will be, given a theory, to define a model that describes a particular situation, and then to test that model. This introductory physics course is thus focused on thinking of "doing physics" as the task of correctly modeling a situation.

Prelude

A scientific theory...

A. must explain the physical world, and it may or may not be experimentally verifiable.
B. proves our models to be correct, and it must be experimentally verifiable.
C. describes the physical world, and must be experimentally verifiable.
D. must disprove other theories, and may or may not be experimentally verifiable.

1.1: Science and the Scientific Method
1.2: Theories, Hypotheses and Models
1.3: Fighting Intuition
1.4: The Scope of Physics
1.5: Thinking Like a Physicist
1.6: Summary
1.7: Thinking about the Material
1.8: Sample Problems and Solutions

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1.1: Science and the Scientific Method

Science is the process of *describing* the world around us. It is important to note that describing the world around us is not the same as *explaining* the world around us. Science aims to answer the question "How?" and not the question "Why?". As we develop our description of the physical world, you should remember this important distinction and resist the urge to ask "Why?".

The Scientific Method is a prescription for coming up with a description of the physical world that anyone can challenge and improve through performing experiments. If we come up with a description that can describe many observations, or the outcome of many different experiments, then we usually call that description a "Scientific Theory". We can get some insight into the Scientific Method through a simple example.

Imagine that we wish to describe how long it takes for a tennis ball to reach the ground after being released from a certain height. One way to proceed is to describe how long it takes for a tennis ball to drop 1 m, and then to describe how long it takes for a tennis ball to drop 2 m, etc. We could generate a giant table showing how long it takes a tennis ball to drop from any given height. Someone would then be able to perform an experiment to measure how long a tennis ball takes to drop from 1 m or 2 m and see if their measurement disagrees with the tabulated values. If we collected the descriptions for all possible heights, then we would effectively have a valid and testable scientific theory that describes how long it takes tennis balls to drop from any height.

Suppose that a budding scientist, let's call her Chloë, then came along and noticed that there is a pattern in the theory that can be described much more succinctly and generally than by using a giant table. In particular, suppose that she notices that, mathematically, the time, t, that it takes for a tennis ball to drop a height, h, is proportional to the square root of the height:

$$t \propto \sqrt{h} \tag{1.1.1}$$

Example 1.1.1

Use Chloë's Theory ($t \propto \sqrt{h}$) to determine how much longer it will take for an object to drop by 2 m than it would to drop by 1 m.

Solution

When we have a proportionality law (with a $\propto$) sign, we can always change this to an equal sign by introducing a constant, which we will call k:

$$t \propto \sqrt{h}$$
$$\rightarrow t = k\sqrt{h}$$

Let t_1 be the time to fall a distance $h_1 = 1\ \text{m}$, and t_2 be the time to fall a distance $h_2 = 2\ \text{m}$. In terms of our unknown constant, k, we have:

$$t_1 = k\sqrt{h_1} = k\sqrt{(1\ \text{m})}$$
$$t_2 = k\sqrt{h_2} = k\sqrt{(2\ \text{m})}$$

By taking the ratio, $\frac{t_1}{t_2}$, our unknown constant k will cancel:

$$\frac{t_1}{t_2} = \frac{\sqrt{(1\ \text{m})}}{\sqrt{(2\ \text{m})}} = \frac{1}{\sqrt{2}}$$
$$\therefore t_2 = \sqrt{2}t_1$$

and we find that it will take $\sqrt{2} \sim 1.41$ times longer to drop by 2 m than it will by 1 m.

Chloë's "Theory of Tennis Ball Drop Times" is appealing because it is succinct, and it also allows us to make **verifiable predictions**. That is, using this theory, we can predict that it will take a tennis ball $\sqrt{2}$ times longer to drop from 2 m than it will from 1 m, and then perform an experiment to verify that prediction. If the experiment agrees with the prediction, then we conclude

that Chloë's theory adequately describes the result of that particular experiment. If the experiment does not agree with the prediction, then we conclude that the theory is not an adequate description of that experiment, and we try to find a new theory.

Chloë's theory is also appealing because it can describe not only tennis balls, but the time it takes for other objects to fall as well. Scientists can then set out to continue testing her theory with a wide range of objects and drop heights to see if it describes those experiments as well. Inevitably, they will discover situations where Chloë's theory fails to adequately describe the time that it takes for objects to fall (can you think of an example?).

We would then develop a new "Theory of Falling Objects" that would include Chloë's theory that describes most objects falling, and additionally, a set of descriptions for the fall times for cases that are not described by Chloë's theory. Ideally, we would seek a new theory that would also describe the new phenomena not described by Chloë's theory in a succinct manner. There is of course no guarantee, ever, that such a theory would exist; it is just an optimistic hope of physicists to find the most general and succinct description of the physical world. This is a general difference between physics and many of the other sciences. In physics, one always tries to arrive at a succinct theory (e.g. an equation) that can describe many phenomena, whereas the other sciences are often very descriptive. For example, there is no succinct formula for how butterflies look; rather, there is a giant collection of observations of different butterflies.

This example highlights that applying the Scientific Method is an iterative process. Loosely, the prescription for applying the Scientific Method is:

1. Identify and describe a process that is not currently described by a theory.
2. Look at similar processes to see if they can be described in a similar way.
3. Improve the description to arrive at a "Theory" that can be generalized to make predictions.
4. Test predictions of the theory on new processes until a prediction fails.
5. Improve the theory.

? Exercise 1.1.1

Fill in the blanks:

Physics is a branch of science that ____ the behavior of the universe. When doing physics, we attempt to answer the question of ____ things work the way they do.

A. explains
B. describes
C. how
D. why

Answer

B. describes

C. how

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1.2: Theories, Hypotheses and Models

For the purpose of this textbook (and science in general), we introduce a distinction in what we mean by “theory”, “hypothesis”, and by “model”. We will consider a “theory” to be a set of statements (or an equation) that gives us a broad description, applicable to several phenomena and that allows us to make verifiable predictions. For example, Chloë’s Theory ($t \propto \sqrt{h}$) can be considered a theory. Specifically, we do not use the word theory in the context of “I have a theory about this...”

A “hypothesis” is a consequence of the theory that one can test. From Chloë’s Theory, we have the hypothesis that an object will take $\sqrt{2}$ times longer to fall from $1\ \mathrm{m}$ than from $2\ \mathrm{m}$. We can formulate the hypothesis based on the theory and then test that hypothesis. If the hypothesis is found to be invalidated by experiment, then either the theory is incorrect, or the hypothesis is not consistent with the theory.

A “model” is a situation-specific description of a phenomenon *based on a theory*, that allows us to make a specific prediction. Using the example from the previous section, our theory would be that the fall time of an object is proportional to the square root of the drop height, and a model would be applying that theory to describe a tennis ball falling by $4.2\ \mathrm{m}$. From the model, we can form a testable hypothesis of how long it will take the tennis ball to fall that distance. It is important to note that a model will almost always be an approximation of the theory applied to describe a particular phenomenon. For example, if Chloë’s Theory is only valid in vacuum, and we use it to model the time that it take for an object to fall at the surface of the Earth, we may find that our model disagrees with experiment. We would not necessarily conclude that the theory is invalidated, if our model did not adequately apply the theory to describe the phenomenon (e.g. by forgetting to include the effect of air drag).

This textbook will introduce the theories from Classical Physics, which were mostly established and tested between the seventeenth and nineteenth centuries. We will take it as given that readers of this textbook are not likely to perform experiments that challenge those well-established theories. The main challenge will be, given a theory, to define a model that describes a particular situation, and then to test that model. This introductory physics course is thus focused on thinking of “doing physics” as the task of correctly modeling a situation.

Emma's Thoughts

What’s the difference between a model and a theory?

“Model” and “Theory” are sometimes used interchangeably among scientists. In physics, it is particularly important to distinguish between these two terms. A model provides an immediate understanding of something based on a theory.

For example, if you would like to model the launch of your toy rocket into space, you might run a computer simulation of the launch based on various theories of propulsion that you have learned. In this case, the model is the computer simulation, which describes what will happen to the rocket. This model depends on various theories that have been extensively tested such as Newton’s Laws of motion, Fluid dynamics, etc.

- “Model”: Your homemade rocket computer simulation
- “Theory”: Newton’s Laws of motion, Fluid dynamics

With this analogy, we can quickly see that the “model” and “theory” are not interchangeable. If they were, we would be saying that all of Newton’s Laws of Motion depend on the success of your piddly toy rocket computer simulation!

Exercise 1.2.2

Models cannot be scientifically tested, only theories can be tested.

A. True
B. False

Answer

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1.3: Fighting Intuition

It is important to remember to fight one's intuition when applying the scientific method. Certain theories, such as Quantum Mechanics, are very counter-intuitive. For example, in Quantum Mechanics, an object can be described as being in two locations at the same time. In the Theory of Special Relativity, it is possible for two people to disagree on whether two events occurred at the same time. These particular predictions from these theories have not been invalidated by any experiment.

There is no requirement in science that a theory be "pretty" or intuitive. The only requirement is that a theory describe experimental data. One should then take care in not forcing one's preconceived notions into interpreting a theory. For example, Quantum Mechanics does not actually predict that objects can be in two locations at once, only that objects behave *as if* they were in two locations at once. A famous example is Schrödinger's cat, which can be modeled as being both alive and dead at the same time. However, just because we model it that way does not mean that it really is alive and dead at the same time.

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1.4: The Scope of Physics

Physics describes a wide range of phenomena within the physical sciences, ranging from the behaviour of microscopic particles that make up matter to the evolution of the entire Universe. We often distinguish between “classical” and “modern” physics depending on when the theories were developed, and we can further subdivide these areas of physics depending on the scale or the type of the phenomena that they describe.

The word physics comes from Ancient Greek and translates to “nature” or “knowledge of nature”. The goal of physics is to develop theories from which mathematical models can be derived to describe our observations. One of the ambitious goals of physicists is to develop a single theory that describes all of nature, instead of having multiple theories to describe different categories of phenomena. This is in stark contrast to other fields of science, as Rutherford famously quipped: “All science is either physics or stamp collecting”. That is, physicists hope that there exists one single mathematical theory (like Chloë’s theory of falling objects) that describes the entire physical world. In Biology, for example, this would not be a reasonable goal, as one needs to describe every single living being, and there is no overarching “theory of what all living things look like”. Currently, physicists have been able to narrow down the number of theories required to describe all of the physical world to only three, which is impressive (the theory of gravity, the theory of the strong nuclear force, and physicists have now further unified the weak nuclear force with electromagnetism to make the “electroweak force”).

Classical Physics

This textbook is focused on classical physics, which corresponds to the theories that were developed before 1905.

Mechanics

Mechanics describes most of our everyday experiences, such as how objects move, including how planets move under the influence of gravity. Isaac Newton was the first to formally develop a theory of mechanics, using his “Three Laws” to describe the behaviour of objects in our everyday experience. His famous work published in 1687, “Philosophiae Naturalis Principia Mathematica” (“The Principia”) also included a theory of gravity that describes the motion of celestial objects.

Following the 1781 discovery of the planet Uranus by William Herschel, astronomers noticed that the orbit of the planet was not well described by Newton’s theory. This led Urbain Le Verrier (in Paris) and John Couch Adams (in Cambridge) to predict the location of a new planet that was disturbing the orbit of Uranus rather than to claim that Newton’s theory was incorrect. The planet Neptune was subsequently discovered by Le Verrier in 1846, one year after the prediction, and seen as a resounding confirmation of Newton’s theory.

In 1859, Urbain Le Verrier also noted that Mercury’s orbit around the Sun is different than that predicted by Newton’s theory. Again, a new planet was proposed, “Vulcan”, but that planet was never discovered and the deviation of Mercury’s orbit from Newton’s prediction remained unexplained until 1915, when Albert Einstein introduced a new, more complete, theory of gravity, called “General Relativity”. This is a good example of the scientific method; although the discovery of Neptune was consistent with Newton’s theory, it did not prove that the theory is correct, only that it correctly described the motion of Uranus. The discrepancy that arose when looking at Mercury ultimately showed that Newtons’ theory of gravity fails to provide a proper description of planetary orbits in the proximity of very massive objects (Mercury is the closest planet to the Sun).

? Exercise 1.4.1

What did the inability to find the planet Vulcan show:

A. It showed that Newton’s model of Mercury was correct.
B. It showed that Newton’s theory did not correctly describe the orbits of all planets.
C. It showed that the technology at the time was inadequate.
D. It showed that Einstein’s theory of General Relativity was correct.

Answer

Electromagnetism

Electromagnetism describes electric charges and magnetism. At first, it was not realized that electricity and magnetism were connected. Charles Augustin de Coulomb published in 1784 the first description of how electric charges attract and repel each

other. Magnetism was discovered in the ancient world, when people noticed that lodestone (rocks made from magnetized magnetite mineral) could attract iron tools. In 1819, Oersted discovered that moving electric charges could influence a compass needle, and several subsequent experiments were carried out to discover how magnets and moving electric charges interact.

In 1865, James Clerk Maxwell published "A Dynamical Theory of the Electromagnetic Field", wherein he first proposed a theory that unified electricity and magnetism as two facets of the same phenomenon. One important concept from Maxwell's theory is that light is an electromagnetic wave with a well-defined speed. This uncovered some potential issues with the theory as it required an absolute frame of reference in which to describe the propagation of light. Experiments in the late 1800s failed to detect the existence of this frame of reference.

Modern Physics

In 1905, Albert Einstein published three major papers that set the foundation for what we now call "Modern Physics". These papers covered the following areas that were not well-described by classical physics:

- A description of Brownian motion that implied that all matter is made of atoms.
- A description of the photoelectric effect that implied that light is made of particles.
- A description of the motion of very fast objects that implied that mass is equivalent to energy, and that time and distance are relative concepts.

In order to accommodate Einstein's descriptions, physicists had to dramatically re-formulate new theories.

Quantum mechanics and particle physics

Quantum mechanics is a theory that was developed in the 1920s to incorporate Einstein's conclusion that light is made of particles (or rather, quantized lumps of energy called quanta) and describe nature at the smallest scales. This could only be done at the expense of determinism, the idea that we can predict how particular situations evolve in time. This led to a theory that could only provide the *probabilities* that certain outcomes will be realized. Quantum mechanics was further refined during the twentieth century into Quantum Field Theory, which led to the Standard Model of particle physics that describes our current understanding of matter through the theories of the electroweak and strong forces.

The Special and General Theories of Relativity

In 1905, Einstein published his "Special Theory of Relativity", which describes how light propagates at a constant speed without the need for an absolute frame of reference, thus solving the problem introduced by Maxwell. This required physicists to consider space and time on an equal footing ("space-time"), rather than two independent aspects of the natural world, and led to a flurry of odd, but verified, experimental predictions. One such prediction is that time flows slower for objects that are moving fast, which has been experimentally verified by flying precise atomic clocks on airplanes and satellites. In 1915, Einstein further refined his theory into General Relativity, which is our best current description of gravity and includes a description of Mercury's orbit which was not described by Newton's theory.

? Exercise 1.4.2

Special relativity can be applied to which of these science fiction plots?

A. An eccentric duo travel back in time to alter the past.
B. An astronaut traveling near light speed for many years comes home to find that he has aged less than his family on Earth.
C. A superhero harnesses lightning to use as a weapon.

Answer

Cosmology and astrophysics

Cosmology describes processes at the largest scales and is mostly based on applying General Relativity to the scale of the Universe. For example, cosmology describes how our Universe started from the Big Bang and how large scale structures, such as galaxies and clusters of galaxies, have formed and evolved into our present day Universe.

Figure 1.4.1: A galaxy in the Coma cluster of galaxies (credit: NASA).

Astrophysics is focused on describing the formation and the evolution of stars, galaxies, and other “astrophysical objects” such as neutron stars and black holes.

Particle astrophysics

Particle astrophysics is a relatively new field that makes use of subatomic particles produced by astrophysical objects to learn both about the objects *and* about the particles. For example, the 2015 Nobel Prize in Physics was awarded to Art McDonald (a Canadian physicist from Queen’s University) for using neutrinos[1] produced by the Sun to both learn about the nature of neutrinos and about how the Sun works.

Footnotes

1. Neutrinos are the lightest subatomic particles that we know of

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1.5: Thinking Like a Physicist

In a sense, physics can be thought of as the most fundamental of the sciences, as it describes the interactions of the smallest constituents of matter. In principle, if one can precisely describe how protons, neutrons, and electrons interact, then one can completely describe how a human brain thinks. In practice, the theories of particle physics lead to equations that are too difficult to solve for systems that include as many particles as a human brain. In fact, they are too difficult to solve exactly for even rather small systems of particles such as atoms bigger than helium (containing several protons, neutrons and electrons).

We have a number of other fields of science to cover complex systems of particles interacting. Chemistry can be used to describe what happens to systems consisting of many atoms and molecules. In a living being, it is too difficult to keep track of systems of atoms and molecules, so we use Biology to describe living systems.

One of the key qualities required to be an effective physicist is an ability to understand how to apply a theory and develop a model to describe a phenomenon. Just like any other skill, it takes practice to become good at developing models. Students that graduate with a physics degree are thus often sought for jobs that require critical thinking and the ability to develop quantitative models, which covers many fields from outside of physics such as finance or Big Data. This textbook thus tries to emphasize practice with developing models, while also providing a strong background in the theories of classical physics.

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1.6: Summary

Key Takeaways

Science attempts to *describe* the physical world (it answers the question “How?”, not “Why?”).

The Scientific Method provides a prescription for arriving at theories that describe the physical world and that can be experimentally verified. The Scientific Method is necessarily an iterative process where theories are continuously updated as new experimental data are acquired. An experiment can only disprove a theory, not confirm it in any general sense.

Physics covers a wide scale of phenomena ranging from the Universe down to subatomic particles. Classical physics encompasses the theories developed before 1905, when Einstein introduced the need for Quantum Mechanics and the Theorie(s) of Relativity. One of the main goals of physics is to arrive at a single theory that describes all of our natural world. Currently, physicists require three theories to describe the natural world.

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1.7: Thinking about the Material

Reflect and research

1. What particle helps to give mass to all of the massive elementary particles?
2. Name that physicist! Who was the first to propose that the universe is expanding?
3. Before discovering the CMBR (Cosmic Microwave Background Radiation), scientists Arno Penzias and Robert Wilson were trying to detect radio waves with very sensitive antennae. The very first time they heard a consistent, low noise on their detectors they discovered that it was (mostly) not the CMBR. What was causing most of this noise?
4. Physicist Lene Hau first slowed a beam of light to $17\ \mathrm{m/s}$ using a very cold, dilute gas of bosons. In 2001, how fast was she able to slow down the beam of light?
5. Think of two theories that you use in your every day life. (For example, when we wash our hands, we do so because of the germ theory of disease!)

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1.8: Sample Problems and Solutions

Exercise 1.8.1

Your friend Martin loves to explore “conspiracy theories”. His favourite theory involves “Chem Trails”. He tells you that the government is secretly using airliners to spread chemicals in the atmosphere for some unknown reason.

a. Think of 2 ways in which you could objectively test Martin’s theory.
b. After proposing your experiment to Martin, he claims that his theory cannot be invalidated by any experiment, no matter how scientifically rigorous the experiment is. Is Martin correct?

Answer

a. You could do an investigation to see if the government is spreading chemicals, and try to find out why. You could make measurements of the contents in the atmosphere before and after an airline passes to see if any unexpected chemicals show up.
b. No he is not, as you just proposed two experiments that could invalidate his theory.

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CHAPTER OVERVIEW

2: Comparing Model and Experiment

Learning Objectives

- Be able to estimate orders of magnitude.
- Understand units.
- Understand the process of building a model and performing an experiment.
- Understand uncertainties in experiments.

In this chapter, we will learn about the process of doing science and lay the foundations for developing skills that will be of use throughout your scientific careers. In particular, we will start to learn how to test a model with an experiment, as well as learn to estimate whether a given result or model makes sense.

Prelude

Newton's Universal Theory of Gravity predicts that objects near the surface of the Earth will fall with an acceleration of $9.8\ \mathrm{m/s^2}$. Your friend reports that they have measured the acceleration of a falling ball and found that it was $(9.0 \pm 0.5)\ \mathrm{m/s^2}$. Does their result invalidate the prediction from Newton's Theory?

A. Yes, since the range $(9.0 \pm 0.5)\ \mathrm{m/s^2}$ does not include $9.8\ \mathrm{m/s^2}$.
B. Not necessarily, as it depends on whether your friend correctly determined the uncertainty in their measurement.
C. Definitely not, since Newton's Universal Theory of Gravity has been confirmed by many experiments.

2.1: Orders of magnitude
2.2: Units and dimensions
2.3: Making Measurements
2.4: Summary
2.5: Thinking about the material
2.6: Sample problems and solutions

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2.1: Orders of magnitude

Although you should try to fight intuition when building a model to describe a particular phenomenon, you should not abandon critical thinking and should always ask if a prediction from your model makes sense. One of the most straightforward ways to estimate if a model makes sense is to ask whether it predicts the correct order of magnitude for a quantity. Usually, the order of magnitude for a quantity can be determined by making a very simple model, ideally one that you can work through in your head. When we say that a prediction gives the right "order of magnitude", we usually mean that the prediction is within a factor of "a few" (up to a factor of 10) of the correct answer. For example, if a measurement gives a value of 2000, then we would consider that a model prediction of 8,000 gave the right order of magnitude (it differs from the correct answer by a factor of 4, whereas a prediction of 24,000 would not (it differs by a factor of 12).

Example 2.1.1

How many ping pong balls can you fit into a school bus? Is it of order $10,000$ or $100,000$ or more?

Solution

Our strategy is to estimate the volumes of a school bus and of a ping pong ball, and then calculate how many times the volume of the ping pong ball fits into the volume of the school bus.

We can model a school bus as a box, say $20\ \text{m} \times 2\ \text{m} \times 2\ \text{m}$, with a volume of $80\ \text{m}^3 \sim 100\ \text{m}^3$.

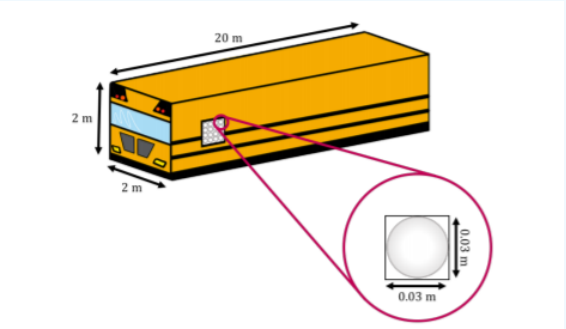

Figure 2.1.1: A school bus and ping pong balls modeled as boxes.

We can model a ping pong ball as a sphere with a diameter of $0.03\ \text{m}$ $(3\ \text{cm})$. When stacking the ping pong balls, we can model them as little cubes with a side given by their diameter, so the volume of a ping pong ball, for stacking, is $\sim 0.00003\ \text{m}^3 = 3 \times 10^{-5}\ \text{m}^3$. If we divide $100\ \text{m}^3$ by $3 \times 10^{-5}\ m^3$, using scientific notation:

$$\frac{100\ \text{m}^3}{3 \times\ 10^{-5}\text{m}^3} = \frac{1 \times 10^2}{3 \times 10^{-5}} = \frac{1}{3} \times 10^7 \sim 3 \times 10^6 \tag{2.1.1}$$

Thus, we expect to be able to fit about three million ping pong balls in a school bus.

Exercise 2.1.1

Fill in the following table, giving the order of magnitude (in meters) of the sizes of different physical objects. Feel free to look these up on the internet!

Table 2.1.1

Object	Order of Magnitude
Proton	
Nucleus of atom	
Hydrogen atom	
Virus	

Object	Order of Magnitude
Human skin cell	
Width of human hair	
Human	1 m
Height of Mt. Everset	
Radius of the Earth	
Radius of the Sun	
Radius of the Milky Way	

Answer

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2.2: Units and dimensions

In 1999, the NASA Mars Climate Orbiter disintegrated in the Martian atmosphere because of a mixup in the units used to calculate the thrust needed to slow the probe and place it in orbit about Mars. A computer program provided by a private manufacturer used units of pounds seconds to calculate the change in momentum of the probe instead of the Newton seconds expected by NASA. As a result, the probe was slowed down too much and disintegrated in the Martian atmosphere. This example illustrates the need for us to **use and specify units** when we describe the properties of a physical quantity, and it also demonstrates the difference between a dimension and a unit.

"Dimensions" can be thought of as types of measurements. For example, length and time are both dimensions. A unit is the standard that we choose to quantify a dimension. For example, meters and feet are both units for the dimension of length, whereas seconds and jiffys[1] are units for the dimension of time.

When we compare two numbers, for example a prediction from a model and a measurement, it is important that both quantities have the same dimension and be expressed in the same units.

? Exercise 2.2.1

The speed limit on a highway...

A. has the dimension of length over time and can be expressed in units of kilometers per hour.
B. has the dimension of length can and be expressed in units of kilometers per hour.
C. has the dimension of time over length and can be expressed in units of meters per second.
D. has the dimension of time and can be expressed in units of meters.

Answer

Base dimensions and their SI units

In order to facilitate communication of scientific information, the International System of units (SI for the french, Systeme International d'unites) was developed. This allows us to use a well-defined convention for which units to use when describing quantities. For example, the SI unit for the dimension of length is the meter and the SI unit for the dimension of time is the second.

In order to simplify the SI unit system, a fundamental (base) set of dimensions was chosen and the SI units were defined for those dimensions. Any other dimension can always be re-expressed in terms of the base dimensions shown in *Table 2.2.1* and its units in terms of the corresponding combination of the base SI units.

Dimension	SI Unit
Length $[L]$	meter $[m]$
Time $[T]$	second $[s]$
Mass $[M]$	kilogram $[kg]$
Temperature $[\theta]$	kelvin $[K]$
Electric Current $[I]$	ampere $[A]$
Amount of Substance $[N]$	mole $[mol]$
Luminous Intensity $[J]$	candela $[cd]$
Dimensionless $[l]$	unitless $[]$

Table 2.2.1: Base dimensions and their SI units with abbreviations.

From the base dimensions, one can obtain "derived" dimensions such as "speed" which is a measure of how fast an object is moving. The dimension of speed is L/T (length over time) and the corresponding SI unit is m/s (meters per second)[2] Many of the derived dimension have corresponding derived SI units which can be expressed in terms of the base SI units. *Table 2.2.2* shows a few derived dimensions and their corresponding SI units and how those SI units are obtained from the base SI units.

Dimension	SI unit	SI base units
Speed $[L/T]$	meter per second $[m/s]$	$[m/s]$
Frequency $[1/T]$	hertz $[Hz]$	$[1/s]$
Force $[M \cdot L \cdot T^{-2}]$	newton $[N]$	$[kg \cdot m \cdot s^{-2}]$
Energy $[M \cdot L^2 \cdot T^{-2}]$	joule $[J]$	$[N \cdot m = kg \cdot m^2 \cdot s^{-2}]$
Power $[M \cdot L^2 \cdot T^{-3}]$	watt $[W]$	$[J/s = kg \cdot m^2 \cdot s^{-3}]$
Electric Charge $[I \cdot T]$	coulomb $[C]$	$[A \cdot s]$
Voltage $[M \cdot L^2 \cdot T^{-3} \cdot I^{-1}]$	volt $[V]$	$[J/C = kg \cdot m^2 \cdot s^{-3} \cdot A^{-1}]$

Table 2.2.2: *Example of derived dimensions and their SI units with abbreviations.*

By convention, we can indicate the dimension of a quantity, X, by writing it in square brackets, $[X]$. For example, $[X] = I$, would mean that the quantity X has the dimension I, so it has the dimension of electric current. Similarly, we can indicate the SI units of X with $SI[X]$. Referring to *Table 2.2.1*, since X has the dimension of current, $SI[X] = A$.

Dimensional analysis

We call "dimensional analysis" the process of working out the dimensions of a quantity in terms of the base dimensions and a model prediction for that quantity. A few simple rules allow us to easily work out the dimensions of a derived quantity. Suppose that we have two quantities, X and Y, both with dimensions. We then have the following rules to find the dimension of a quantity that depends on X and Y:

1. Addition/Subtraction: You can only add or subtract two quantities if they have the same dimension: $[X+Y] = [X] = [Y]$
2. Multiplication: The dimension of the product, $[XY]$, is the product of the dimensions: $[XY] = [X] \cdot [Y]$
3. Division: The dimension of the ratio, $[X/Y]$, is the ratio of the dimensions: $[X/Y] = [X]/[Y]$

The next two examples show how to apply dimensional analysis to obtain the unit or dimension of a derived quantity.

Example 2.2.1

Acceleration has SI units of ms^{-2} and force has the dimension of mass multiplied by acceleration. What are the dimensions and SI units of force, expressed in terms of the base dimensions and units?

Solution

We can start by expressing the dimension of acceleration, since we know from its SI units that it must have the dimension of length over time squared.

$$[acceleration] = \frac{L}{T^2}$$

Since force has the dimension of mass times acceleration, we have:

$$[force] = [mass] \cdot [acceleration] = M\frac{L}{T^2}$$

and the SI units of force are thus:

$$SI[force] = kg \cdot m/s^2$$

Force is such a common dimension that it, like many other derived dimensions, has its own derived SI unit, the Newton $[N]$.

Example 2.2.2

Use *Table 2.2.2* to show that voltage has the same dimension as force multiplied by speed and divided by electric current.

Solution

According to *Table 2.2.2*, voltage has the dimension:

$$[voltage] = M \cdot L^2 \cdot T^{-3} \cdot I^{-1}$$

while force, speed and current have dimensions:

$$= M \cdot L \cdot T^{-2}$$

$$[speed] = L \cdot T^{-1}$$

$$[current] = I$$

The dimension of force multiplied by speed divided by electric charge

$$\left[\frac{force \cdot speed}{current}\right] = \frac{[force] \cdot [speed]}{[current]} = \frac{M]c \cdot L \cdot T^{-2} \cdot L \cdot T^{-1}}{I}$$

$$= M \cdot L^2 \cdot T^{-3} \cdot I^{-1}$$

where, in the last line, we combined the powers of the same dimensions. By inspection, this is the same dimension as voltage.

When you build a model to predict the value of a physical quantity, you should always use dimensional analysis to ensure that the dimension of the quantity your model predicts is correct.

Example 2.2.3

Your model predicts that the speed, v, of an object of mass m, after having fallen a distance h on the surface of a planet with mass M and radius R is given by:

$$v = \frac{mMh}{R}$$

Is this a reasonable prediction?

Solution

First, we can see that the speed will be larger if h is bigger, which makes sense, since we expect the speed to be greater if the object fell a greater distance. Similarly, we expect that the speed would be higher if the mass of the planet, M, is larger, as it would exert a larger gravitational force, as given by this model. We also expect that the object will have a greater speed if it has a larger mass, m, if the drag from the atmosphere on the planet is significant. Finally, if the radius of the planet R is larger, we would expect the speed to be smaller, as the planet would be less dense and exert less gravitational force at its surface. However, if we verify the dimensions for the prediction of v, we find the model does not predict dimensions of speed:

$$= \frac{[m][M][h]}{[R]}$$

$$= \frac{MML}{L} = M^2$$

and our model predicts a speed with dimensions of mass squared. By performing simple dimensional analysis, we can easily confirm that our model is definitely wrong. You should always check the dimensions of any model prediction, to make sure it is correct.

Olivia's Thoughts

In this section, we were given three rules for combining dimensions. You'll notice that these rules are the same as the rules for algebra, except you're using dimensions instead of x's and y's. So, you can really just approach dimensional analysis problems as you would algebra problems.

There are some basic steps you can follow when you are trying to find the SI units for a value/variable in your equation. I'll go through *Example 2.2.1* in a bit of a different way. Let's say that you have the equation $F = ma$ and this time, you know the dimensions of F and m, and you want to find the dimensions of a:

1. Rewrite the values/variables in your equation in terms of their dimensions, leaving all other operations (multiplication, exponents, etc.) as is: $F = m \cdot a \rightarrow [F] = [m] \cdot [a]$
2. Rearrange for your unknown dimension: $[a] = \frac{[F]}{[m]}$
3. Substitute in your known dimensions: $[a] = \frac{[F]}{[m]} \rightarrow [a] = \frac{MLT^{-2}}{M} = \frac{ML}{MT^2}$
4. Solve using the rules of algebra: $[a] = \frac{L}{T^2}$ (where we just canceled out the M's)
5. Replace the dimensions with their corresponding SI units: $[a] = \frac{L}{T^2} \rightarrow SI[a] = \frac{m}{s^2}$

? Exercise 2.2.2

In Chloe's theory of falling objects from Chapter 1, the time, t, for an object to fall a distance, x, was given by $t = k\sqrt{x}$. What must the SI units of Chloe's constant, k, be?

A. $TL^{\frac{1}{2}}$
B. $TL^{-\frac{1}{2}}$
C. $sm^{\frac{1}{2}}$
D. $sm^{-\frac{1}{2}}$

Answer

Dimensional analysis can also be used to determine formulas (usually to within an order of magnitude). One famous example of this is when a British physicist named G.I. Taylor was able to determine a formula that showed how the blast radius of an atomic bomb scaled with time. Using pictures of the first atomic bomb explosion, he was able to determine the amount of energy released in the explosion, which was classified information at the time.

✔ Example 2.2.4

Find a formula that shows how the blast radius, r, scales with the time since the explosion, t, where the radius also depends on the energy released in the explosion, E, and the density of the medium into which the bomb explodes, ρ.

Solution

We want to find out how the blast radius scales with time, so we want an expression that relates r to some combination of E, ρ, and t:

$$r \sim E^x \rho^y t^z$$

where x, y, and z are our unknown exponents, since we don't know yet how we will combine E, ρ, and t. However, we do know that when we combine these quantities, we have to get the correct dimension (length) for the radius:

$$[r] = [E]^x [\rho]^y [t]^z$$

We know the dimensions for radius and time, and the dimension for E can be found in *Table 2.1.2*. Density is mass divided by volume, so its dimension is M/L3 . Our equation then becomes:

$$L = (ML^2T^{-2})^x (ML^{-3})^y (T)^z$$
$$L = (M^x L^{2x} T^{-2x})(M^y L^{-3y})(T^z)$$

We have three unknowns, so we need three equations. We can recognize that the left hand side (with dimension of length, L) is equivalent to $L^1 \cdot M^0 \cdot T^0$. We can then separate the above expression into three equations, one for each of M, L, and T:

$$M^0 = M^x M^y \rightarrow 0 = x + y$$
$$L^1 = L^{2x} L^{-3y} \rightarrow 1 = 2x - 3y$$
$$T^0 = T^{-2x} T^z \rightarrow 0 = z - 2x$$

Solving the sytem of equations, we find that $x = \frac{1}{5}, y = -\frac{1}{5}$, and $z = \frac{2}{5}$. So, the combination of E, ρ, and t that gives us the dimension of length is:

$$r \sim E^{1/5}\rho^{-1/5}t^{2/5}$$

$$\therefore r \propto t^{2/5}$$

You can also write this equation as:

$$r \sim \sqrt[5]{\frac{Et^2}{\rho}}$$

Thus, by measuring the blast radius at some time, and knowing the density of the air, you can estimate the energy that was released during the explosion.

Footnotes

1. A jiffy is a unit used in electronics and generally corresponds to either $\frac{1}{50}$ or $\frac{1}{60}$ seconds.

2. Note that we can also write meters per second as $\text{m}\cdot\text{s}^{-1}$, but we often use a divide by sign if the power of the unit in the denominator is 1.

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2.3: Making Measurements

Having introduced some tools for the modeling aspect of physics, we now address the other side of physics, namely performing experiments. Since the goal of developing theories and models is to describe the real world, we need to understand how to make meaningful measurements that test our theories and models.

Suppose that we wish to test Chloe's theory of falling objects from Chapter 1:

$$t = k\sqrt{x} \tag{2.3.1}$$

which states that the time, t, for any object to fall a distance, x, near the surface of the Earth is given by the above relation. The theory assumes that Chloe's constant, k, is the same for any object falling any distance on the surface of the Earth.

One possible way to test Chloe's theory of falling objects is to measure k for different drop heights to see if we always obtain the same value. Results of such an experiment are presented in *Table 2.3.1*, where the time, t, was measured for a bowling ball to fall distances of x between 1 m and 5 m. The table also shows the values computed for $\sqrt{x}$ and the corresponding value of $k = t/\sqrt{x}$:

Table 2.3.1: Measurements of the drop times, t, for a bowling ball to fall different distances, x. We have also computed $\sqrt{x}$ and the corresponding value of k.

x $[m]$	**t** $[s]$	$\sqrt{x}$ $[m^{\frac{1}{2}}]$	**k** $[sm^{-\frac{1}{2}}]$
1.00	0.33	1.00	0.33
2.00	0.74	1.41	0.52
3.00	0.67	1.73	0.39
4.00	1.07	2.00	0.54
5.00	1.10	2.24	0.49

When looking at *Table 2.3.1*, it is clear that each drop height gave a different value of k, so at face value, we would claim that Chloe's theory is incorrect, as there does not seem to be a value of k that applies to all situations. However, we would be incorrect in doing so unless we understood *the precision of the measurements* that we made. Suppose that we **repeated** the measurement multiple times at a **fixed** drop height of $x = 3$ m, and obtained the values in *Table 2.3.2*.

Table 2.3.2: Repeated measurements of the drop time, t, for a bowling ball to fall a distance $x = 3$ m. We have also computed $\sqrt{x}$ and the corresponding value of k.

x $[m]$	**t** $[s]$	$\sqrt{x}$ $[m^{\frac{1}{2}}]$	**k** $[sm^{-\frac{1}{2}}]$
3.00	1.01	1.73	0.58
3.00	0.76	1.73	0.44
3.00	0.64	1.73	0.37
3.00	0.73	1.73	0.42
3.00	0.66	1.73	0.38

This simple example highlights the critical aspect of making any measurement: it is impossible to make a measurement with infinite precision. The values in *Table 2.3.2* show that if we repeat the exact same experiment, we are likely to measure different values for a single quantity. In this case, for a fixed drop height, $x = 3$ m, we obtained a spread in values of the drop time, t, between roughly 0.6 s and 1.0 s. Does this mean that it is hopeless to do science, since we can never repeat measurements? Thankfully, no! It does however require that we deal with the inherent imprecision of measurements in a formal manner.

Measurement Uncertainties

The values in *Table 2.3.2* show that for a fixed experimental setup (a drop height of 3 m), we are likely to measure a spread in the values of a quantity (the time to drop). We can quantify this "uncertainty" in the value of the measured time by quoting the measured value of t by providing a "central value" and an "uncertainty":

$$t = (0.76 \pm 0.15) \text{ s}$$

where $0.76\,\text{s}$ is called the “central value” and $0.15\,\text{s}$ the “uncertainty” or the “error’. Note that we use the word error as a synonym for uncertainty, not “mistake”. When we present a number with an uncertainty, we mean that we are “pretty certain” that the true value is in the range that we quote. In this case, the range that we quote is that t is between $0.61\,\text{s}$ and $0.91\,\text{s}$ (given by $0.76\,\text{s}-0.15\,\text{s}$ and $0.76\,\text{s}+0.15\,\text{s}$). When we say that we are “pretty sure” that the value is within the quoted range, we usually mean that there is a 68% chance of this being true and allow for the possibility that the true value is actually outside the range that we quoted. The value of 68% comes from statistics and the normal distribution.

Emma's Thoughts: “Precision”, “Accuracy” and “Uncertainty” - what’s the difference?

Have you ever started writing a lab report and wondered whether or not you should describe your measurement in terms of “accuracy” or “precision”? What about describing the error in your experiment as a measure of “accuracy” or “uncertainty”?

You’re not alone! Precision, accuracy and uncertainty all relate to error, but have different meanings. To clarify these terms, I think it is useful to study them side-by-side.

- **Precision** refers to how close your measurements are to each other when you repeat a measurement multiple times. If the values obtained are close to one another, your measurements are precise. For example, say you were measuring the rebound height of a basketball, dropped from a fixed height. After performing the measurement multiple times, you find that the measured rebound heights are very close in value to each other. You could then report that “After repeating our measurement multiple times, the values that we obtained were very close together. Our measurements were precise!”. Of course, you have to specify what you mean by “close” (perhaps in terms of the divisions on the ruler that you used to measure rebound height).
- **Accuracy** measures the agreement between a measured value and its true value. If the measured value is close to the true value, your measured value is accurate. For example, say that you developed a model for the distance covered by a rock thrown with a slingshot. If you find that the measured value is close to the predicted value, you would say that your model is accurate, “Our model value was very close to the value that we measured - our model was accurate.” Again, you have to specify what you mean by “close”, usually in terms of the uncertainty on your measured value.
- **Uncertainty** is an estimate of the amount that a measurement will differ from a true value. In science, we aim to lower the uncertainty in our measurements, so that we can test models and theories with more precision. Let’s say that you are measuring the number of rotations of a spinning top during a certain period of time. Your measurements are close together, but have a fixed range of values. This would be an example where you could calculate the uncertainty in your measurements. It would be sensible to say “After multiple measurements, we’ve found that our values are similar and our uncertainty captures the range of values that we measured.”

Determining the central value and uncertainty

The tricky part when performing a measurement is to decide how to assign a central value and an uncertainty. For example, how did we come up with $t=(0.76\pm0.15)\,\text{s}$ from the values in *Table 2.3.2*?

Determining the uncertainty and central value on a measurement is greatly simplified when one can repeat the same measurement multiple times, as we did in *Table 2.3.2*. With repeatable measurements, a reasonable choice for the central value and uncertainty is to use the **mean** and **standard deviation** of the measurements, respectively.

If we have N measurements of some quantity t, $\{t_1, t_2, t_3, \ldots t_N\}$, then the mean, $\bar{t}$, and standard deviation, σ_t, are defined as:

$$\bar{t}=\frac{1}{n}\sum_{i=1}^{i=N}t_1=\frac{t_1+t_2+t_3+\ldots+t_N}{N} \tag{2.3.2}$$

$$\sigma_t^2=\frac{1}{N-1}\sum_{i=1}^{i=N}(t_i-\bar{t})^2=\frac{(t_1-\bar{t})^2+(t_2-\bar{t})^2+(t_3-\bar{t})^2+\ldots+(t_N-\bar{t})^2}{N-1} \tag{2.3.3}$$

$$\sigma_t=\sqrt{\sigma_t^2} \tag{2.3.4}$$

The mean is just the arithmetic average of the values, and the standard deviation, σ_t, requires one to first calculate the mean, then the variance (σ_t^2, the square of the standard deviation). You should also note that for the variance, we divide by $N-1$ instead of N. The standard deviation and variance are quantities that come from statistics and are a good measure of how spread out the values of t are about their mean, and are thus a good measure of the uncertainty.

Example 2.3.1

Calculate the mean and standard deviation of the values for k from *Table 2.3.2*.

Solution

In order to calculate the standard deviation, we first need to calculate the mean of the $N = 5$ values of k: $\{0.58, 0.44, 0.37, 0.42, 0.38\}$The mean is given by:

$$\bar{k} = \frac{0.58+0.44+0.37+0.42+0.38}{5} = 0.44 \text{sm}^{-\frac{1}{2}}$$

We can now calculate the variance using the mean:

$$\sigma_k^2 = \frac{1}{4}[(0.58-0.44)^2 + (0.44-0.44)^2 + (0.37-0.44)^2 + (0.42-0.44)^2 + (0.38-0.44)^2] = 7.3 \times 10^{-3} \text{s}^2$$

and the standard deviation is then given by the square root of the variance:

$$\sigma_k = \sqrt{0.0073} = 0.09 \text{sm}^{-\frac{1}{2}}$$

Using the mean and standard deviation, we would quote our value of k as:

$$k = (0.44 \pm 0.09) \text{s m}^{-\frac{1}{2}}$$

Any value that we measure will always have an uncertainty. In the case where we can easily repeat the measurement, we should do so to evaluate how reproducible it is, and the standard deviation of those values is usually a good first estimate of the uncertainty in a value[1]. Sometimes, the measurements cannot easily be reproduced; in that case, it is still important to determine a reasonable uncertainty, but in this case, it usually has to be estimated. *Table 2.3.3* shows a few common types of measurements and how to determine the uncertainties in those measurements.

Table 2.3.3: Different types of measurements and how to assign central values uncertainties.

Type of measurement	How to determine central value and uncertainty
Repeated measurements	Mean and standard deviation
Single measurement with a graduated scale (e.g. ruler, digital scale, analogue meter)	Closest value and half of the smallest division
Counted quantity	Counted value and square root of the value

For example, we would quote the length of the grey object in Figure 2.3.1 to be $L = (2.80 \pm 0.05)$ cm based on the rules in *Table 2.3.4*, since 2.8 cm is the closest value on the ruler that matches the length of the object and 0.5 mm is half of the smallest division on the ruler. Using half of the smallest division of the ruler means that our uncertainty range covers one full division. Note that it is usually better to reproduce a measurement to evaluate the uncertainty instead of using half of the smallest division, although half of the smallest division should be the lower limit on the uncertainty. That is, by repeating the measurements and obtaining the standard deviation, you should see if the uncertainty is larger than half of the of the smallest division, not smaller.

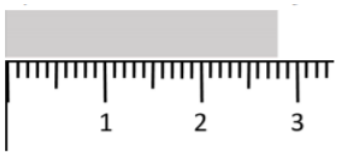

Figure 2.3.1: The length of the grey rectangle would be quoted as $L = (2.80 \pm 0.05)$ cm using the rule of "half the smallest division".

The **relative uncertainty** in a measured value is given by dividing the uncertainty by the central value, and expressing the result as a percent. For example, the relative uncertainty in $t = (0.76 \pm 0.15)$ s is given by $0.15/0.76 = 20\%$. The relative uncertainty gives an idea of how precisely a value was determined. Typically, a value above 10% means that it was not a very precise measurement, and we would generally consider a value smaller than 1% to correspond to quite a precise measurement.

Random and systematic sources of error/uncertainty

It is important to note that there are two possible sources of uncertainty in a measurement. The first is called “statistical” or “random” and occurs because it is impossible to exactly reproduce a measurement. For example, every time you lay down a ruler to measure something, you might shift it slightly one way or the other which will affect your measurement. The important property of random sources of uncertainty is that if you reproduce the measurement many times, these will tend to cancel out and the mean can usually be determined to high precision with enough measurements.

The other source of uncertainty is called “systematic”. Systematic uncertainties are much more difficult to detect and to estimate. One example would be trying to measure something with a scale that was not properly tarred (where the 0 weight was not set). You may end up with very small random errors when measuring the weights of object (very repeatable measurements), but you would have a hard time noticing that all of your weights were offset by a certain amount unless you had access to a second scale. Some common examples of systematic uncertainties are: incorrectly calibrated equipment, parallax error when measuring distance, reaction times when measuring time, effects of temperature on materials, etc.

As a reminder, we want to emphasized the difference between “error” and “mistake” in the context of making measurements. “Uncertainty” or “error” in a measurement comes from the fact that it is impossible to measure anything to infinite accuracy. A “mistake” also affects a measurement, but is preventable. If a “mistake” occurs in physics, the experiment is generally re-done and the previous data are discarded. The term “human error” should never be used in a lab report as it implies that a mistake was made. Instead, if you think that you measured time imprecisely, for example, refer to human reaction time, not “human error”.

Table 2.3.4 shows examples of sources of error that students often call “human error” but that should be instead described more precisely.

Table 2.3.4: Don’t use the term “human error”, instead, use these.

Situation	Source of Error
While taking measurements, your line of sight was not completely parallel to the measuring device.	This is parallax error - a type of systematic error.
You incorrectly performed calculations.	Mistake! Redo the calculations.
A draft of wind in the lab slightly altered the direction of your ball rolling down an incline.	This is an environmental effect/error - it could be random or systematic, depending on whether it always had the same effect.
Your hand slipped while holding the ruler - the object was measured to be twice its original size!	Mistake! Redo this experiment and discard the data.
When timing an experiment, you don’t hit the ”STOP” button exactly when the experiment stops.	Reaction time error - usually a systematic error (time is usally measured longer than it is).

Propagating uncertainties

Going back to the data in *Table 2.3.2*, we found that for a known drop height of $x = 3$ m, we measured different values of the drop time, which we found to be $t = (0.76 \pm 0.15)$ s (using the mean and standard deviation). We also calculated a value of k corresponding to each value of t, and found $k = (0.44 \pm 0.09) \text{s m}^{-\frac{1}{2}}$ (*Example 2.3.1*).

Suppose that we did not have access to the individual values of t, but only to the value of t = (0.76 ± 0.15) s with uncertainty. How do we calculate a value for k with uncertainty? In order to answer this question, we need to know how to “propagate” the uncertainties in a measured value to the uncertainty in a value derived the measured value. We briefly present different methods for propagating uncertainties, before advocating for the use of computers to do the calculations for you.

1. Estimate using relative uncertainties

The relative uncertainty in a measurement gives us an idea of how precisely a value was determined. Any quantity that depends on that measurement should have a precision that is similar; that is, we expect the relative uncertainty in k to be similar to that in t. For t, we saw that the relative uncertainty was approximately 20%. If we take the central value of k to be the central value of t divided by $\sqrt{x}$, we find:

$$k = \frac{(0.76 \text{ s}}{\sqrt{(3 \text{ m})}} = 0.44 \text{sm}^{-\frac{1}{2}}$$

Since we expect the relative uncertainty in k to be approximately 20%, then the absolute uncertainty is given by:

$$\sigma_k = (0.2)k = 0.09\text{sm}^{-\frac{1}{2}}$$

which is close to the value obtained by averaging the five values of k in *Table 2.3.2*.

2. The Min-Max method

A pedagogical way to determine k and its uncertainty is to use the "Min-Max method". Since $k = t/\sqrt{x}$, k will be the biggest when t is the biggest, and the smallest when t is the smallest. We can thus determine "minimum" and "maximum" values of k corresponding to the minimum value of t, $t^{\min} = 0.61$ s and the maximum value of t, $t^{\max} = 0.91$ s:

$$k^{min} = \frac{t^{min}}{\sqrt{x}} = \frac{0.61}{\sqrt{(3m)}} = 0.35\text{sm}^{-\frac{1}{2}}$$

$$k^{max} = \frac{t^{max}}{\sqrt{x}} = \frac{0.91\ s}{\sqrt{(3m)}} = 0.53\text{sm}^{-\frac{1}{2}}$$

This gives us the range of values of k that correspond to the range of values of t. We can choose the middle of the range as the central value of k and half of the range as the uncertainty:

$$\bar{k} = \frac{1}{2}(k^{min} + k^{max}) = 0.44\text{sm}^{-\frac{1}{2}}$$

$$\sigma_k = \frac{1}{2}(k^{max} - k^{min}) = 0.09\text{sm}^{-\frac{1}{2}}$$

$$\therefore k = (0.44 \pm 0.09)\text{sm}^{-\frac{1}{2}}$$

which, in this case, gives the same value as that obtained by averaging the individual values of k. While the Min-Max method is useful for illustrating the concept of propagating uncertainties, we usually do not use it in practice as it tends to overestimate the uncertainty.

3. The derivative method

In the example above, we assumed that the value of x was known precisely (and we chose 3 m), which of course is not realistic. Let us suppose that we have measured x to within 1 cm so that $x = (3.00 \pm 0.01)$ m. The task is now to calculate $k = \frac{t}{\sqrt{x}}$ when both x and t have uncertainties.

The derivative method lets us propagate the uncertainty in a general way, so long as the relative uncertainties on all quantities are "small" (less than $10 - 20\%$). If we have a function, $F(x, y)$ that depends on multiple variables with uncertainties (e.g. $x \pm \sigma_x, y \pm \sigma_y$), then the central value and uncertainty in $F(x, y)$ are given by:

$$\bar{F} = F(\bar{x}, \bar{y}) \tag{2.3.5}$$

$$\sigma_F = \sqrt{\left(\frac{\partial F}{\partial x}\sigma_x\right)^2 + \left(\frac{\partial F}{\partial y}\sigma_y\right)^2} \tag{2.3.6}$$

That is, the central value of the function F is found by evaluating the function at the central values of x and y. The uncertainty in F, σ_F, is found by taking the quadrature sum of the partial derivatives of F evaluated at the central values of x and y multiplied by the uncertainties in the corresponding variables that F depends on. The uncertainty will contain one term in the sum per variable that F depends on.

In appendix D, we will show you how to calculate this easily with a computer, so do not worry about getting comfortable with partial derivatives (yet!). Note that the partial derivative, $\frac{\partial F}{\partial x}$, is simply the derivative of $F(x, y)$ relative to x evaluated as if y were a constant. Also, when we say "add in quadrature", we mean square the quantities, add them, and then take the square root (same as you would do to calculate the hypotenuse of a right-angle triangle).

Example 2.3.2

Use the derivative method to evaluate $k = \frac{t}{\sqrt{x}}$ for $x = (3.00 \pm 0.01)$ m and $t = (0.76 \pm 0.15)$ s.

Solution

Here, $k = k(x,t)$ is a function of both x and t. The central value is easily found using the central values for x and t:

$$\bar{k} = \frac{t}{\sqrt{x}} = \frac{(0.76\text{ s})}{\sqrt{(3\text{ m})}} = 0.44\text{sm}^{-\frac{1}{2}}$$

Next, we need to determine and evaluate the partial derivative of k with respect to t and x:

$$\frac{\partial k}{\partial t} = \frac{1}{\sqrt{x}}\frac{d}{dt}t = \frac{1}{\sqrt{x}} = \frac{1}{\sqrt{(3\text{ m})}} = 0.58\text{m}^{-\frac{1}{2}}$$

$$\frac{\partial k}{\partial t} = t\frac{d}{dx}x^{-\frac{1}{2}} = -\frac{1}{2}tx^{-\frac{3}{2}} = -\frac{1}{2}(0.76\text{ s})(3.00\text{ m})^{-\frac{3}{2}} = -0.073\text{sm}^{-\frac{1}{2}}$$

And finally, we plug this into the quadrature sum to get the uncertainty in k:

$$\begin{aligned}\sigma_k &= \sqrt{\left(\frac{\partial k}{\partial x}\sigma_x\right)^2 + \left(\frac{\partial k}{\partial t}\sigma_t\right)^2} \\ &= \sqrt{\left((0.073\text{sm}^{-\frac{3}{2}})(0.01\text{m})\right)^2 + \left((0.58\text{m}^{-\frac{1}{2}})(0.15\text{s})\right)^2} \\ &= 0.09\text{sm}^{-\frac{1}{2}}\end{aligned}$$

So we find that:

$$k = (0.44 \pm 0.09)\text{sm}^{-\frac{1}{2}}$$

which is consistent with what we found with the other two methods.

Discussion

We should ask ourselves if the value we found is reasonable, since we also included an uncertainty in x and would expect a bigger uncertainty than in the previous calculations where we only had an uncertainty in t. The reason that the uncertainty in k has remained the same is that the relative uncertainty in x is very small, $\frac{0.01}{3.00} \sim 0.3\%$, so it contributes very little compared to the 20% uncertainty from t.

The derivative method leads to a few simple short cuts when propagating the uncertainties for simple operations, as shown in *Table 2.4.1*. A few rules to note:

1. Uncertainties should be combined in quadrature
2. For addition and subtraction, add the absolute uncertainties in quadrature
3. For multiplication and division, add the relative uncertainties in quadrature

Table 2.3.5: How to propagate uncertainties from measured values $x \pm \sigma_x$ and $y \pm \sigma_y$ to a quantity $z(x,y)$ for common operations.

Operation to get z	**Uncertainty in z**
$z = x + y$ (addition)	$\sigma_z = \sqrt{\sigma_x^2 + \sigma_y^2}$
$z = x - y$ (subtraction)	$\sigma_z = \sqrt{\sigma_x^2 + \sigma_y^2}$
$z = xy$ (multiplication)	$\sigma_z = xy\sqrt{\left(\frac{\sigma_x}{x}\right)^2 + \left(\frac{\sigma_y}{y}\right)^2}$
$z = \frac{x}{y}$ (division)	$\sigma_z = \frac{x}{y}\sqrt{\left(\frac{\sigma_x}{x}\right)^2 + \left(\frac{\sigma_y}{y}\right)^2}$
$z = f(x)$ (a function of 1 variable)	$\sigma_z = \left\lvert\frac{df}{dx}\sigma_x\right\rvert$

? Exercise 2.3.1

We have measured that a llama can cover a distance of (20.0 ± 0.5) m in (4.0 ± 0.5) s. What is the speed (with uncertainty) of the llama?

Answer

Using graphs to visualize and analyze data

Table 2.3.6 below reproduces our measurements of how long it took (t) for an object to drop a certain distance, x. Chloe's Theory of gravity predicted that the data should be described by the following model:

$$t = k\sqrt{x}$$

where k was an undetermined constant of proportionality.

Table 2.3.6: Measurements of the drop times, t, for a bowling ball to fall different distances, x. We have also computed $\sqrt{x}$ and the corresponding value of k.

x [m]	t [s]	$\sqrt{x}$ [$\mathrm{m}^{\frac{1}{2}}$]	k $\mathrm{sm}^{-\frac{1}{2}}$
1.00	0.33	1.00	0.33
2.00	0.74	1.41	0.52
3.00	0.67	1.73	0.39
4.00	1.07	2.00	0.54
5.00	1.10	2.24	0.49

The easiest way to visualize and analyze these data is to plot them on a graph. In particular, if we plot (graph) t versus $\sqrt{x}$, we expect that the points will fall on a straight line that goes through zero, with a slope of k (if the data are described by Chloe's Theory). In Appendix D, we show you how you can easily plot these data using the Python programming language as well as find the slope and offset of the line that best fits the data, as show in Figure 2.3.2.

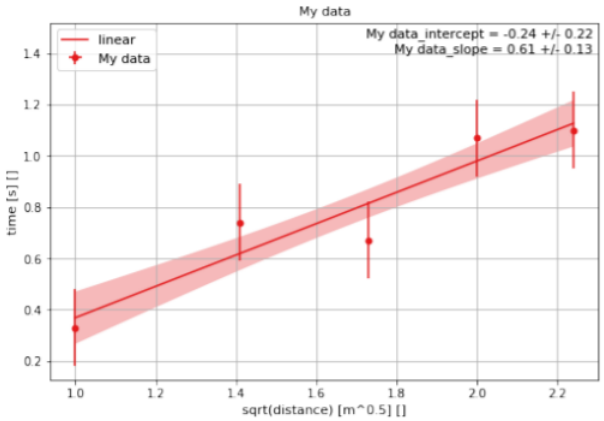

Figure 2.3.2: Graph of t versus $\sqrt{x}$ and line of best fit.

When plotting data and fitting them to a line (or other function), it is important to make sure that the values have at least an uncertainty in the quantity that is being plotted on the y axis. In this case, we have assumed that all of the measurements of time have an uncertainty of 0.15 s and that the measurements of the distance have no (or negligible) uncertainties.

Since we expect the slope of the data to be k, finding the line of best fit provides us a with method to determine k by using all of the data points. In this case, we find that $k = (0.61 \pm 0.13)\mathrm{sm}^{-\frac{1}{2}}$. **Performing a linear fit of the data is the best way to determine a constant of proportionality between the measurements**. Note that we expect the intercept to be equal to zero according to our model, but the best fit line has an intercept of (-0.24 ± 0.22) s, which is slightly below, but consistent, with zero. From these data, we would conclude that our measurements are consistent with Chloe's Theory. Again, remember that we can never confirm a theory, we can only exclude it; in this case, we cannot exclude Chloe's Theory.

Reporting measured values

Now that you know how to attribute an uncertainty to a measured quantity and then propagate that uncertainty to a derived quantity, you are ready to present your measurement to the world. In order to conduct "good science", your measurements should be reproducible, clearly presented, and precisely described. Here are general rules to follow when reporting a measured number:

1. Indicate the units, preferably SI units (use derived SI units, such as newtons, when appropriate).
2. Include a description of how the uncertainty was determined (if it is a direct measurement, how did you choose the uncertainty? If it is a derived quantity, how did you propagate the uncertainty?).
3. Show no more than 2 "significant digits"[4] in the uncertainty and format the central value to the same decimal as the uncertainty.
4. Use scientific notation when appropriate (usually numbers bigger than 1000 or smaller than 0.01).
5. Factor out the power 10 from the central value and uncertainty (e.g. (10123 ± 310) m would be better presented as $(10.12 \pm 0.31) \times 10^3$ m or $(101.2 \pm 3.1) \times 10^2$ m).

? Exercise 2.3.2

Someone has measured the average height of tables in the laboratory to be $1.0535\,\mathrm{m}$ with a standard deviation of $0.0525\,\mathrm{m}$. What is the best way to present this measurement?

A. $(1.0535 \pm 0.0525)\mathrm{m}$
B. $(1.054 \pm 0.053)\,\mathrm{m}$
C. $(105.4 \pm 5.3) \times 10^{-2}$ m
D. $(105.35 \pm 5.25)\,\mathrm{cm}$

Answer

Comparing model and measurement-discussing a result

In order to advance science, we make measurements and compare them to a theory or model prediction. We thus need a precise and consistent way to compare measurements with each other and with predictions. Suppose that we have measured a value for Chloe's constant $k = (0.44 \pm 0.09)\mathrm{sm}^{-\frac{1}{2}}$. Of course, Chloe's theory does not predict a value for k, only that fall time is proportional to the square root of the distance fallen. Isaac Newton's Universal Theory of Gravity does predict a value for k of $0.45\mathrm{sm}^{-\frac{1}{2}}$ with negligible uncertainty. In this case, since the model (theoretical) value easily falls within the range given by our uncertainty, we would say that our measurement is consistent (or compatible) with the theoretical prediction.

Suppose that, instead, we had measured $k = (0.55 \pm 0.08)\mathrm{sm}^{-\frac{1}{2}}$ so that the lowest value compatible with our measurement, $k = 0.55\mathrm{sm}^{-\frac{1}{2}} - 0.08\mathrm{sm}^{-\frac{1}{2}} = 0.47\mathrm{sm}^{-\frac{1}{2}}$, is not compatible with Newton's prediction. Would we conclude that our measurement invalidates Newton's theory? The answer is: it depends... What "it depends on" should always be discussed any time that you present a measurement (even if it happened that your measurement is compatible with a prediction - maybe that was a fluke). Below, we list a few common points that should be addressed when presenting a measurement that will guide you into deciding whether your measurement is consistent with a prediction:

- How was the uncertainty determined and/or propagated? Was this reasonable?
- Are there systematic effects that were not taken into account when determining the uncertainty? (e.g. reaction time, parallax, something difficult to reproduce).
- Are the relative uncertainties reasonable based on the precision that you would reasonable expect?
- What assumptions were made in calculating your measured value?
- What assumptions were made in determining the model prediction?

In the above, our value of $k = (0.55 \pm 0.08)\mathrm{sm}^{-\frac{1}{2}}$ is the result of propagating the uncertainty in t which was found by using the standard deviation of the values of t. It is thus conceivable that the true value of t, and therefore of k, is outside the range that we quote. Since our value of k is still quite close to the theoretical value, we would not claim to have invalidated Newton's theory with this measurement. Our uncertainty in k is $\sigma_k = 0.08\mathrm{sm}^{-\frac{1}{2}}$, and the difference between our measured and the theoretical value is only $1.25\sigma_k$, so very close to the value of the uncertainty.

In a similar way, we would discuss whether two different measurements, each with an uncertainty, are compatible. If the ranges given by uncertainties in two values overlap, then they are clearly consistent and compatible. If, on the other hand, the ranges do not overlap, they could be inconsistent or the discrepancy might instead be the result of how the uncertainties were determined and the measurements could still be considered consistent.

Footnotes

1. In practice, the standard deviation is an overly conservative estimate of the error and we would use the error on the mean, which is the standard deviation divided by the square root of the number of measurements.

2. Significant digits are those excluding leading and trailing zeroes.

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2.4: Summary

Key Takeaways

Measurable quantities have dimensions and units. A physical quantity should always be reported with units, preferably SI units.

When you build a model to predict a physical quantity, you should always ask if the prediction makes sense (Does it have a reasonable order of magnitude? Does it have the right dimensions?).

Any quantity that you measure will have an uncertainty. Almost any quantity that you determine from a model or theory will also have an uncertainty.

The best way to determine an uncertainty is to repeat the measurement and use the mean and standard deviation of the measurements as the central value and uncertainty. If we have N measurements of some quantity t, $\{t_1, t_2, t_3, \ldots t_N\}$, then the mean, $\bar{t}$, and standard deviation, σ_t, are defined as:

$$\bar{t} = \frac{1}{n}\sum_{i=1}^{i=N} t_i = \frac{t_1 + t_2 + t_3 + \ldots t_N}{N}$$

$$\sigma_t^2 = \frac{1}{N-1}\sum_{i=1}^{i=N}(t_i - \bar{t})^2 = \frac{(t_1-\bar{t})^2 + (t_2-\bar{t})^2 + (t_3-\bar{t})^2 + \ldots + (t_N-\bar{t})^2}{N-1}$$

$$\sigma_t = \sqrt{\sigma_t^2}$$

You have to pay special attention to systematic uncertainties, which are difficult to determine. You should always think of ways that your measured values could be wrong, even after repeated measurements. Relative uncertainties tell you whether your measurement is precise.

There are multiple ways to propagate uncertainties. You can estimate the uncertainty using relative uncertainties or use the Min-Max method, which tends to overestimate the uncertainties. The preferred way to propagate uncertainties is with the derivative method, which you can use so long as the relative uncertainties on the measurements are small. If we have a function, $F(x, y)$ that depends on multiple variables with uncertainties (e.g. $x \pm \sigma_x, y \pm \sigma_y$) , then the central value and uncertainty in $F(x, y)$ are given by:

$$\overline{F} = F(\overline{x}, \overline{y})$$

$$\sigma_F = \sqrt{\left(\frac{\partial F}{\partial x}\sigma_x\right)^2 + \left(\frac{\partial F}{\partial y}\sigma_y\right)^2}$$

This can be easily calculated using a computer.

If you expect two measured quantities to be linearly related (one is proportional to the other), plot them to find out! Use a computer to do so!

Important Equations

Central value and uncertainty:

$$\bar{t} = \frac{1}{n}\sum_{i=1}^{i=N} t_1 = \frac{t_1 + t_2 + t_3 + \ldots + t_N}{N} \tag{2.4.1}$$

$$\sigma_t^2 = \frac{1}{N-1}\sum_{i=1}^{i=N}(t_i - \bar{t})^2 = \frac{(t_1-\bar{t})^2 + (t_2-\bar{t})^2 + (t_3-\bar{t})^2 + \ldots + (t_N-\bar{t})^2}{N-1} \tag{2.4.2}$$

$$\sigma_t = \sqrt{\sigma_t^2} \tag{2.4.3}$$

Derivative method:

$$\overline{F} = F(\overline{x}, \overline{y}) \tag{2.4.4}$$

$$\sigma_F = \sqrt{\left(\frac{\partial F}{\partial x}\sigma_x\right)^2 + \left(\frac{\partial F}{\partial y}\sigma_y\right)^2} \tag{2.4.5}$$

Operation to get z	Uncertainty in z
$z = x + y$ (addition)	$\sigma_z = \sqrt{\sigma_x^2 + \sigma_y^2}$
$z = x - y$ (subtraction)	$\sigma_z = \sqrt{\sigma_x^2 + \sigma_y^2}$
$z = xy$ (multiplication)	$\sigma_z = xy\sqrt{\left(\frac{\sigma_x}{x}\right)^2 + \left(\frac{\sigma_y}{y}\right)^2}$
$z = \frac{x}{y}$ (division)	$\sigma_z = \frac{x}{y}\sqrt{\left(\frac{\sigma_x}{x}\right)^2 + \left(\frac{\sigma_y}{y}\right)^2}$
$z = f(x)$ (a function of 1 variable)	$\sigma_z = \left\lvert\frac{df}{dx}\sigma_x\right\rvert$

Table 2.4.1: *How to propagate uncertainties from measured values $x \pm \sigma_x$ and $y \pm \sigma_y$ to a quantity $z(x, y)$ for common operations.*

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2.5: Thinking about the material

Reflect and research

1. Often, physicists will report a measured number with a “standard” uncertainty and indicate that there is a 68% that the true value lies within the range covered by the uncertainty. Where does the number 68% come from?
2. Why can the derivative method only be used when the relative uncertainties are small?
3. How would you estimate the height of a tall building?

Experiments to try at home

1. Estimate the volume of your room, and how many people could be piled into the room. State your assumptions and how you determined the values.

Experiments to try in the lab

1. Newton’s Universal Theory of gravity predicts that the distance, x, covered by an object that has fallen for a length of time, t, is given by:

$$x = \frac{1}{2}gt^2$$

Determine the value of g (with uncertainty) by performing an experiment that will allow you to determine g by determining the slope of a line of best fit.

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2.6: Sample problems and solutions

Exercise 2.6.1

During a physics lecture, you look under your seat and find a sheet containing data from an experiment on throwing balls vertically (perhaps a juggling experiment). The following equation is shown at the bottom of the sheet:

$$= \frac{v_2^2 - v_1^2}{2a}$$

along with the following description:

- $v_1 =$ initial measured velocity of the ball m/s - various measurements.
- $v_2 =$ final measured velocity of the ball m/s - seems to be zero every time.
- $a =$ acceleration of the ball $(-9.8 \mathrm{m/s^2})$.

Unfortunately, the students spilled ketchup on the left hand side of their equation, making it illegible. Luckily, you are proficient in dimensional analysis. What were the students trying to calculate, based on this model?

Answer

We can use their equation to determine the dimension of the quantity on the left hand side:

$$[?] = \frac{[v_2^2] - [v_1^2]}{[a]} = \frac{\frac{L}{T}^2 - \frac{L}{T}^2}{\frac{L}{T^2}} = L$$

Thus, the dimension of the unknown quantity is length. Given the context, they were likely attempting to model the height at which a vertically thrown ball would travel before stopping.

Exercise 2.6.2

Chelsea is preparing meticulously for her upcoming trip to Europe. Being a self-proclaimed “shop-a-holic” and physics lover, she wants to figure out how many pairs of shoes she can buy on vacation that will physically fit in her closet. Her closet is a walk-in closet with two entrance doors. Estimate the number of pairs of shoes that can fit in Chelsea’s closet.

Answer

We start by estimating the volume of Chelsea’s closet as well as that of a pair of shoes. Chelsea’s closet is a “walk-in closet’ with two double doors. If we know the dimensions of the door, we can estimate the width and height of the closet. Estimating the average size of a large door to be $1\mathrm{m} \times 2\mathrm{m}$, one face of the close will have an area of $4\mathrm{m}^2$. If we estimate the depth of Chelsea’s closet to be about $3\mathrm{m}$, the volume of her closet is $12\mathrm{m}^3$

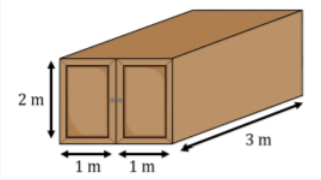

Figure 2.6.1: Chelsea's closet.

Next, we can estimate the size of an average pair of shoes, by modeling a shoe as a rectangular box. A single shoe has a height and width of about 5 cm and a length of about 25 cm. A pair of shoes will thus be equivalent to box with dimensions $5\mathrm{cm} \times 10\mathrm{cm} \times 25\mathrm{cm} = 1250\mathrm{cm}^3$. This is equivalent to $0.00125\mathrm{m}^3$. We can now determine how many pairs of shoes, N, would fit in the closet:

$$N = \frac{(12\mathrm{m}^3)}{(0.00125\mathrm{m}^3)} = 9600 \approx 10,000$$

We find that Chelsea can buy about $10,000$new pairs of shoes on her trip, and still fit them all into her closet. Time to get shopping, Chelsea!

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CHAPTER OVERVIEW

3: Describing Motion in One Dimension

Learning Objectives

- Describe motion in 1D using functions and defining an axis.
- Define position, velocity, speed, and acceleration.
- Use calculus to describe motion
- Define the meaning of an inertial frame of reference.
- Use Galilean and Lorentz transformations to convert the description of an object's position from one inertial frame to another.

In this chapter, we will introduce the tools required to describe motion in one dimension. In later chapters, we will use the theories of physics to model the motion of objects, but first, we need to make sure that we have the tools to describe the motion. We generally use the word "kinematics" to label the tools for describing motion (e.g. speed, acceleration, position, etc), whereas we refer to "dynamics" when we use the laws of physics to predict motion (e.g. what motion will occur if a force is applied to an object).

prelude

You throw a ball upwards with an initial speed v. Assume there is no air resistance. When you catch the ball, its speed will be...

A. greater than v.
B. equal to v.
C. less than v.
D. in the opposite direction.

The most simple type of motion to describe is that of a particle that is constrained to move along a straight line (one-dimensional motion); much like a train along a straight piece of track. When we say that we want to describe the motion of the particle (or train), what we mean is that we want to be able to say where it is at what time. Formally, we want to know the particle's **position as a function of time**, which we will label as $x(t)$. The function will only be meaningful if:

- we specify an x-axis and the direction that corresponds to increasing values of x
- we specify an origin where $x = 0$
- we specify the units for the quantity, x.

That is, unless all of these are specified, you would have a hard time describing the motion of an object to one of your friends over the phone.

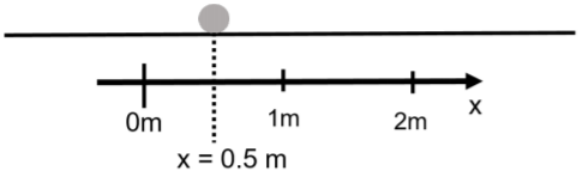

Figure 3.1: *In order to describe the motion of the grey ball along a straight line, we introduce the x-axis, represented by an arrow to indicate the direction of increasing x, and the location of the origin, where $x = 0\text{m}$. Given our choice of origin, the ball is currently at a position of $x = 0.5\text{m}$.*

Consider Figure 3.1 where we would like to describe the motion of the grey ball as it moves along a straight line. In order to quantify where the ball is, we introduce the "x-axis", illustrated by the black arrow. The direction of the arrow corresponds to the direction where x increases (i.e. becomes more positive). We have also chosen a point where $x = 0$, and by convention, we choose to express x in units of meters (the S.I. unit for the dimension of length).

Note that we are completely free to choose both the direction of the x-axis and the location of the origin. The x-axis is a mathematical construct that we introduce in order to describe the physical world; we could just as easily have chosen for it to point

in the opposite direction with a different origin. Since we are completely free to choose where we define the x-axis, we should choose the option that is most convenient to us.

3.1: Motion with Constant Speed
3.2: Motion with Constant Acceleration
3.3: Using Calculus to Describe Motion
3.4: Relative Motion
3.5: Summary
3.6: Thinking About the Material
3.7: Sample Problems and Solutions

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3.1: Motion with Constant Speed

Now suppose that the ball in Figure 3.1.1 is rolling, and that we recorded its x position every second in a table and obtained the values in *Table* 3.1.1 (we will ignore measurement uncertainties and pretend that the values are exact).

Time $[s]$	X position $[m]$
0.0 s	0.5 m
1.0 s	1.0 m
2.0 s	1.5 m
3.0 s	2.0 m
4.0 s	2.5 m
5.0 s	3.0 m
6.0 s	3.5 m
7.0 s	4.0 m
8.0 s	4.5 m
9.0 s	5.0 m

Table 3.1.1: *Position of a ball along the x-axis recorded every second.*

The easiest way to visualize the values in the table is to plot them on a graph, as in Figure 3.1.1. Plotting position as a function of time is one of the most common graphs to make in physics, since it is often a complete description of the motion of an object. We can easily plot these values in Python:

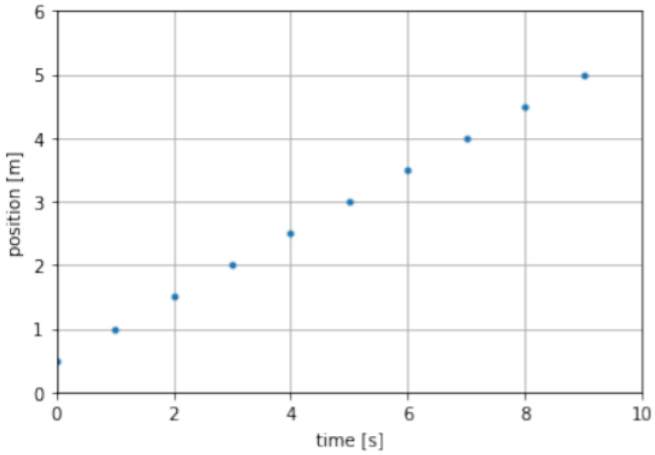

Figure 3.1.1: Plot of position as a function of time using the values from Table 3.1.1.

The data plotted in Figure 3.1.1 show that the x position of the ball increases linearly with time (i.e. it is a straight line). This means that in equal time increments, the ball will cover equal distances. Note that we also had the liberty to choose when we define $t = 0$; in this case, we chose that time is zero when the ball is at $x = 0.5\text{m}$.

? Exercise 3.1.1

Using the data from *Table* 3.1.1, at what position along the x-axis will the ball be when time is $t = 9.5$ s, if it continues its motion undisturbed?

A. 5.0m
B. 5.25m
C. 5.75m
D. 6.0m

Answer

B. The ball is moving 0.5meach second. Therefore, in 0.5sit moves $0.25\text{m}$and will be at position $x = 5.25\text{m}$.

Since the position as a function of time for the ball plotted in Figure $3.1.1$ is linear, we can summarize our description of the motion using a function, $x(t)$, instead of having to tabulate the values as we did in *Table* $3.1.1$. The function will have the functional form:

$$x(t) = x_0 + v_x t \tag{3.1.1}$$

The constant x_0 is the "offset" of the function, the value that the function has at $t = 0\text{s}$. We call x_0 the "initial position" of the object (its position at $t = 0$). The constant v_x is the "slope" of the function and gives the rate of change of the position as a function of time. We call v_x the "velocity" of the object.

The initial position is simply the value of the position at $t = 0$, and is given from the table as:

$$x_0 = 0.5\text{m}$$

The velocity, v_x, is simply the difference in position, Δx, between any two points divided by the amount of time, Δt, that it took the object to move between those to points ("rise over run" for the graph of $x(t)$):

$$v = \frac{\Delta x}{\Delta t} \tag{3.1.2}$$

By looking at any two rows from *Table 3.1.1*, we can see that the object travels a distance $\Delta x = 0.5\text{m}$ in a time $\Delta t = 1\text{s}$. Its velocity is thus:

$$v = \frac{\Delta x}{\Delta t} = \frac{(0.5\text{m})}{(1\text{s})} = 0.5\text{m/s}$$

The position of the object as a function of time is thus

$$x(t) = (0.5\text{m}) + (0.5\text{m/s})t$$

If v_x is large, then the object covers more distance in a given time, i.e. it moves faster. If v_x is a negative number, then the object moves in the negative x direction. The **speed** of the object is the absolute value of its velocity. Thus objects moving in different directions will have different velocities, but can have the same speed if they cover the same amount of distance in the same amount of time.

? Exercise 3.1.2

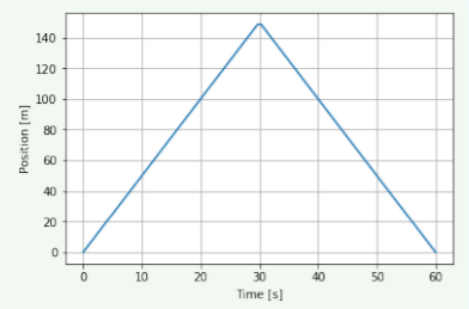

Figure 3.1.2: Position as a function of time for an object.

Referring to Figure $3.1.2$, what can you say about the motion of the object?

A. The object moved faster and faster between $t = 0\text{s}$ and $t = 30\text{s}$, then slowed down to a stop at $t = 60\text{s}$.
B. The object moved in the positive x-direction between $t = 0\text{s}$ and $t = 30\text{s}$, and then turned around and moved in the negative x-direction between $t = 30\text{s}$ and $t = 60\text{s}$.
C. The object moved faster between $t = 0\text{s}$ and $t = 30\text{s}$ than it did between $t = 30\text{s}$ and $t = 60\text{s}$.

Answer

B. The object is moving at constant speed due to the straight line (uniform) motion. When the slope changes from positive to negative, the direction of the motion changes, but the slope remains the same magnitude.

Exercise 3.1.3

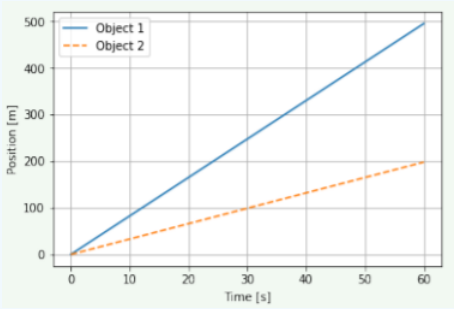

Figure 3.1.3: Positions as a function of time for two objects.

Referring to Figure 3.1.3, what can you say about the motion of the two objects?

A. Object 1 is slower than Object 2
B. Object 1 is more than twice as fast as Object 2
C. Object 1 is less than twice as fast as Object 2

Answer

B. The distance traveled in 60 s is 500 m for Object 1 and 200 m for Object 2. Therefore, Object 1 must be going more than twice as fast as Object 2. One could also note the slope of the graphs is 5:2 in ratio.

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3.2: Motion with Constant Acceleration

Until now, we have considered motion where the velocity is a constant (i.e. where velocity does not change with time). Suppose that we wish to describe the position of a falling object that we released from rest at time $t = 0\text{s}$. The object will start with a velocity of 0 m/s and it will **accelerate** as it falls. We say that an object is "accelerating" if its velocity is not constant. As we will see in later chapters, objects that fall near the surface of the Earth experience a constant acceleration (their velocity changes at a constant rate).

Formally, we define acceleration as the rate of change of velocity. Recall that velocity is the rate of change of position, so acceleration is to velocity what velocity is to position. In particular, we saw that if the velocity, v_x, is constant, then position as a function of time is given by:

$$x(t) = x_0 + v_x t \tag{3.2.1}$$

In analogy, if the acceleration is constant, then the velocity as a function of time is given by:

$$v_x(t) = v_{0x} + a_x t \tag{3.2.2}$$

where a_x is the "acceleration" and v_{0x} is the velocity of the object at $t = 0$. We can work out the dimensions of acceleration for this equation to make sense. Since we are adding v_{0x} and $a_x t$, we need the dimensions of $a_x t$ to be velocity:

$$[a_x t] = \frac{L}{T}$$

$$[a_x] = \frac{L}{T^2}$$

Acceleration thus has dimensions of length over time squared, with corresponding S.I. units of \(\text{m/s}^{2}\) (meters per second squared or meters per second per second). In order to describe the position of an object that is accelerating, we cannot use *Equation 3.2.1*, since it is only correct if the velocity is constant.

In *Section 3.3 Using calculus to obtain acceleration from position*, we will show that the position as a function of time, $x(t)$, of an object with **constant acceleration**, a_x, is given by:

$$x(t) = x_0 + v_{0x} t + \frac{1}{2} a_x t^2 \tag{3.2.3}$$

where, at $t = 0$, the object was at position $x = x_0$ and had a velocity v_{0x}.

Example 3.2.1

A ball is thrown upwards with a velocity of 10m/s. After what distance will the ball stop before falling back down? Assume that gravity causes a constant downwards acceleration of 9.8m/s^2.

Solution

We will solve this problem in the following steps:

1. Setup a coordinate system (define the x-axis).
2. Identify the condition that corresponds to the ball stopping its upwards motion and falling back down.
3. Determine the distance at which the ball stopped.

Since we throw the ball upwards with an initial velocity upwards, it makes sense to choose an x-axis that points up and has the origin at the point where we release the ball. With this choice, referring to the variables in *Equation 3.2.3*, we have:

$$x_0 = 0$$

$$v_{0x} = +10\text{m/s}$$

$$a_x = -9.8\text{m/s}^2$$

where the initial velocity is in the positive x-direction, and the acceleration, a_x, is in the negative direction (the velocity will be getting smaller and smaller, so its rate of change is negative).

The condition for the ball to stop at the top of the trajectory is that its velocity will be zero (that is what it means to stop). We can use *Equation 3.2.2* to find what time that corresponds to:

$$v(t) = v_{0x} + a_x t$$
$$0 = (10\text{m/s}) + (-9.8\text{m/s}^2)t$$
$$\therefore t = \frac{(10\text{m/s})}{(9.8\text{m/s}^2)} = 1.02\text{s}$$

Now that we know that it took 1.02s to reach the top of the trajectory, we can find how much distance was covered:

$$x(t) = x_0 + v_{0x}t + \frac{1}{2}a_x t^2$$
$$x = (0\text{m}) + (10\text{m/s})(1.02\text{s}) + \frac{1}{2}(-9.8\text{m/s}^2)(1.02\text{s})^2 = 5.10\text{m}$$

and we find that the ball will rise by 5.10m before falling back down.

Visualizing motion with constant acceleration

When an object has a constant acceleration, its velocity and position as a function of time are described by the two following equations:

$$v(t) = v_{0x} + a_x t$$
$$x(t) = x_0 + v_{0x}t + \frac{1}{2}a_x t^2$$

where the velocity changes linearly with time, and the position changes quadratically with time (it goes as t^2). *Figure* 3.2.1 shows the position and the speed as a function of time for the ball from *Example 3.2.1* for the first three seconds of the motion.

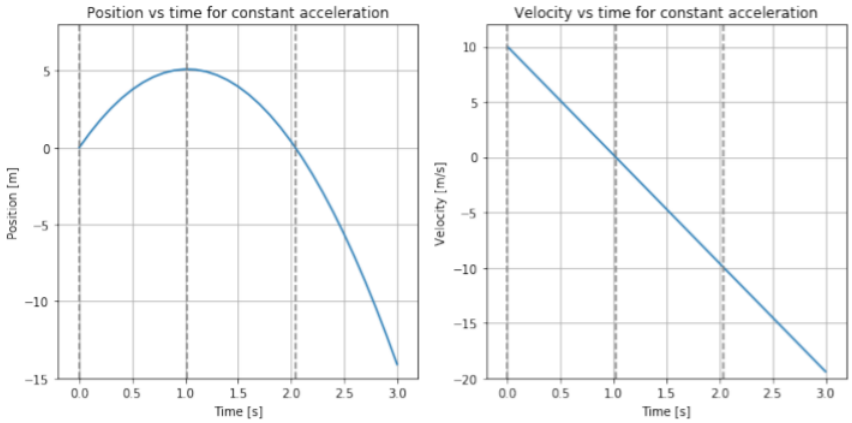


Figure 3.2.1: Position and velocity as a function of time for the ball in Example 3.2.1.

We can divide the motion into three parts (shown by the vertical dashed lines in Figure 3.2.1):

1) Between $t = 0\text{s}$ and $t = 1.02\text{s}$

At time $t = 0\text{s}$, the ball starts at a position of $x = 0\text{m}$ (left panel) and has a velocity of $v_{0x} = 10\text{m/s}$ (right panel). During the first second of motion, the position, (t), increases (the ball is moving up), until the position stops increasing at $t = 1.02\text{s}$, as found in *Example 3.2.1*. During that time, the velocity decreases linearly from 10m/s to 0m/s due to the constant negative acceleration from gravity. At $t = 1.02\text{s}$, the velocity is instantaneously 0m/s and the ball is momentarily at rest (as it reaches the top of the trajectory before falling back down).

2) Between $t = 1.02\text{s}$ and $t = 2.04\text{s}$

At $t = 1.02\text{s}$, the velocity continues to decrease linearly (it becomes more and more negative) as the ball start to fall back down faster and faster. The position also starts decreasing just after $t = 1.02\text{s}$, as the ball returns back down to the point of release. At $t = 2.04\text{s}$, the ball returns to the point from which it was thrown, and the ball is going with the same velocity (10m/s) as when it was released, but the velocity is negative (downwards motion).

3) After $t = 2.04$s

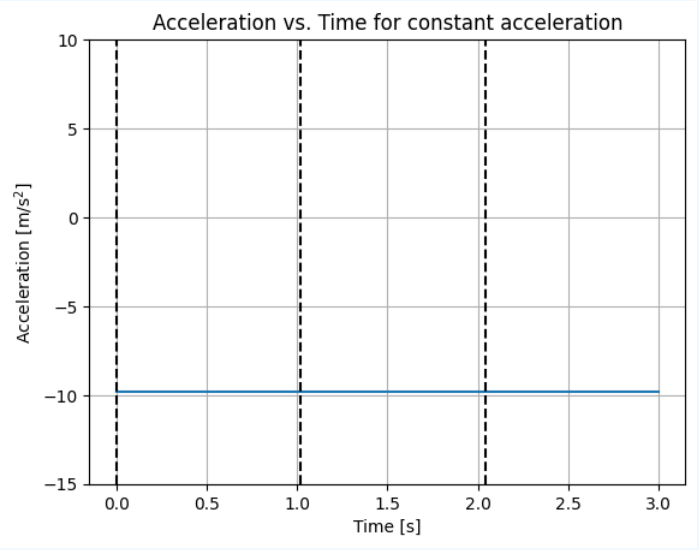
If nothing is there to stop the ball, it continues to move downwards with ever increasing velocity. The position continues to become more negative and the velocity continues to become larger in magnitude and more negative.

? Exercise 3.2.1

Make a sketch of the acceleration as a function of time corresponding to the position and velocity shown in Figure 3.2.1.

Answer

The slope (or derivative) of the velocity vs. time graph is negative and constant. These graphs correspond to the example above where $a = -9.8\text{m/s}^2$.



Speed versus velocity

In the previous example, our language was not quite as precise as it should be when conducting science. Specifically, we need a way to distinguish the situation when the velocity is decreasing (becoming more negative), while the object is actually going faster and faster (after $t = 1.02$s). We will use the term **speed** to refer to how fast an object is moving (how much distance it covers per unit time), and we will use the term **velocity** to also indicate the direction of the motion. In other words, the speed is the absolute value of the velocity. The speed is thus always positive, whereas the velocity can also be negative.

With this vocabulary, the speed of the ball decreases between $t = 0$s and $t = 1.02$s, and increases thereafter. On the other hand, the velocity continuously decreases (it is always becoming more and more negative). Velocity is thus the more general term since it tells us both the speed and the direction of the motion.

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3.3: Using Calculus to Describe Motion

Objects do not necessarily have a constant velocity or acceleration. We thus need to extend our description of the position and velocity of an object to a more general case. This can be done in much the same way as we introduced accelerated motion; namely by pretending that during a very small interval in time, Δt, the velocity and acceleration are constant, and then considering the motion as the sum over many small intervals in time. In the limit that Δt tends to zero, this will be an accurate description.

Instantaneous and average velocity

Suppose that an object is moving with a non constant velocity, and covers a distance Δx in an amount of time Δt. We can define an **average velocity**, v^{avg}:

$$v^{avg} = \frac{\Delta x}{\Delta t} \tag{3.3.1}$$

That is, regardless of our choice of time interval, Δt, we can always calculate the average velocity, v^{avg}, of an object over a particular distance. That average velocity will be an average over the interval, between some time t and $t + \Delta t$. If we shrink the length of the time interval used to measure the velocity, and take the limit $\Delta t \to 0$, we can define the **instantaneous velocity**:

$$v = \lim_{\Delta t \to 0} \frac{\Delta x}{\Delta t} \tag{3.3.2}$$

The instantaneous velocity is the velocity only in that small instant in time where we choose Δx and Δt. Another way to read this equation is that the velocity, v, is the slope of the graph of $x(t)$. Recall that the slope is the "rise over run", in other words the change in x divided by the corresponding change in t. Indeed, when we had no acceleration, the position as a function of time, *Equation 3.1.1*, explicitly had the velocity as the slope of a linear function:

$$x(t) = v_{0x} + v_x t \tag{3.3.3}$$

If we go back to Figure 3.3.1, where velocity was no longer constant, we can indeed see that the graph of the velocity versus time ($v(t)$) corresponds to the instantaneous slope of the graph of position versus time ($x(t)$). For $t < 1.02\text{s}$, the slope of the $x(t)$ graph is positive but decreasing (as is $v(t)$). At $t = 1.02\text{s}$, the slope of $x(t)$ is instantaneously 0m/s (as is the velocity). Finally, for $t > 1.02\text{s}$, the slope of $x(t)$ is negative and increasing in magnitude, as is $v(t)$.

Leibniz and Newton were the first to develop mathematical tools to deal with calculations that involve quantities that tend to zero, as we have here for our time interval Δt. Nowadays, we call that field of mathematics "calculus", and we will make use of it here. Using the vocabulary of calculus, rather than saying that "instantaneous velocity is the slope of the graph of position versus time at some point in time", we say that "instantaneous velocity is the time derivative of position as a function of time". We also use a slightly different notation so that we do not have to write the limit $\lim_{\Delta t \to 0}$:

$$v(t) = \lim_{\Delta t \to 0} \frac{\Delta x}{\Delta t} = \frac{dx}{dt} = \frac{d}{dt} x(t) \tag{3.3.4}$$

where we can really think of dt as $\lim_{\Delta t \to 0} \Delta t$, and dx as the corresponding change in position over an *infinitesimally* small time interval dt.

Similarly, we introduce the **instantaneous acceleration**, as the time derivative of $v(t)$:

$$a_x(t) = \frac{dv}{dt} = \frac{d}{dt} v(t) \tag{3.3.5}$$

Olivia's Thoughts

When looking at a graph of position versus time, it is sometimes hard to tell at first glance whether the speed of the object is increasing or decreasing. This section gives us an easy way to figure it out. The velocity is the instantaneous slope of the graph $x(t)$, so the speed is the "steepness" of that graph. Simply draw a few lines that are tangent to (meaning just touching) the curve, and see what happens as time increases. If the lines get steeper, the object is speeding up. If they are getting flatter, the object is slowing down.

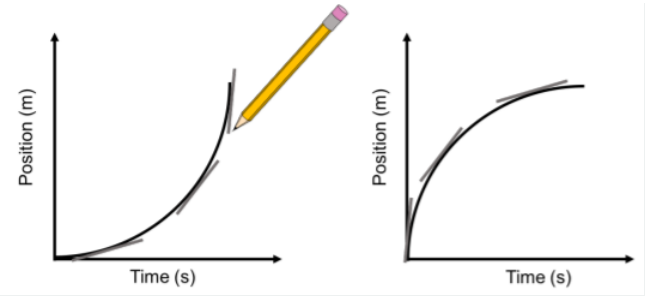

Figure 3.3.1: Two graphs of $x(t)$ showing tangent lines. Left: the object is speeding up (positive velocity, positive acceleration). Right: the object is slowing down (positive velocity, negative acceleration).

From here, you can also figure out what the direction of the acceleration is. If an object is speeding up, the acceleration and velocity must be in the same direction (i.e. both positive or both negative). If the object is slowing down, they must be in opposite directions. Imagine the graphs in Figure 3.3.1 are describing the motion of a person running in heavy wind. In the graph on the left, the person is running with the wind and accelerating ($v(t)$ and $a(t)$ positive), and in the second graph the person is running against the wind and decelerating ($v(t)$ positive and $a(t)$ negative).

Using calculus to obtain acceleration from position

Suppose that we know the function for position as a function of time, and that it is given by our previous result (for the case when the acceleration a_x is constant):

$$x(t) = x_0 + v_{0x}t + \frac{1}{2}a_x t^2 \tag{3.3.6}$$

With our calculus-based definitions above, we should be able to recover that:

$$v(t) = v_{0x}t + at$$
$$a_x(t) = a_x$$

Let us start by determining $v(t)$:

$$v(t) = \frac{dx}{dt} = \lim_{\Delta t \to 0} \frac{\Delta x}{\Delta t}$$

Knowing our function $x(t)$, we can can rewrite this as:

$$\frac{dx}{dt} = \lim_{\Delta t \to 0} \frac{x(t+\Delta t) - x(t)}{\Delta t}$$

which is the formal definition of the derivative $\frac{dx}{dt}$ and gives us a prescription to evaluate it. We will proceed as illustrated in Figure 3.3.1:

1. Use $x(t)$ to determine Δx for a small interval Δt
2. Divide Δx by Δt
3. Take the limit, $\lim_{\Delta t \to 0}$

To obtain Δx, we introduce a start time, t_1, and an end time t_2 for our time interval, such that $t_2 - t_1 = \Delta t$, centerd about a time t. The change in position is then given by:

$$\begin{aligned}\Delta x &= x(t_2) - x(t_1) \\ &= \left(x_0 + v_{0x}t_2 + \frac{1}{2}a_x t_2^2\right) - \left(x_0 + v_{0x}t_1 + \frac{1}{2}a_x t_1^2\right) \\ &= v_{0x}(t_2 - t_1) + \frac{1}{2}a_x(t_2^2 - t_1^2) \\ &= v_{0x}\Delta t + \frac{1}{2}a_x(t_2 - t_1)(t_2 + t_1) \\ &= v_{0x}\Delta t + \frac{1}{2}a_x\Delta t(t_2 + t_1)\end{aligned}$$

which we divide by Δt to get $v(t)$:

$$\begin{aligned}v(t) &= \frac{\Delta x}{\Delta t} \\ &= \frac{v_{0x}\Delta t + \frac{1}{2}a_x\Delta t(t_2 + t_1)}{\Delta t} \\ &= v_{0x} + \frac{1}{2}a_x(t_2 + t_1)\end{aligned}$$

We now take the limit where $\Delta t \to 0$, namely when $t_2 - t_1$ is very small. As we make the interval small, t_2 and t_1 will both approach the same value of time, say t, corresponding to the time at the center of the interval. In particular, the average of t_1 and t_2, given by $\frac{1}{2}(t_1 + t_2)$, will approach the time at the center of the interval, t. We thus recover the equation for instantaneous velocity:

$$v(t) = v_{0x} + a_x t$$

Of course, once you become more familiar with calculus, you will be able to directly use the formulas for derivatives to recover the answer:

$$\begin{aligned}v(t) &= \frac{d}{dt}\left(x_0 + v_{0x}t + \frac{1}{2}a_x t^2\right) \\ &= v_{0x} + at\end{aligned}$$

Similarly, we can now confirm that the acceleration is a constant, independent of time:

$$\begin{aligned}a_x(t) &= \frac{dv}{dt} = \frac{d}{dt}(v_{0x} + a_x t) \\ &= a_x\end{aligned}$$

You can easily verify that you obtain this result by first calculating $v(t)$ at two different times, t_1 and t_2, taking the difference, $\Delta v = v(t_2) - v(t_1)$, and then taking the limit of $\lim_{\Delta t \to 0} \frac{\Delta v}{\Delta t}$ to get $a_x(t)$.

? Exercise 3.3.1

Chloë has been working on a detailed study of how vicuñas run, and found that their position as a function of time when they start running is well modelled by the function $x(t) = (40\text{m/s}^2)t^2 + (20\text{m/s}^3)t^3$. What is the acceleration of the vicuñas?

A. $a_x(t) = 40\text{m/s}^2$
B. $a_x(t) = 80\text{m/s}^2$
C. $a_x(t) = 40\text{m/s}^2 + (20\text{m/s}^3)t$
D. $a_x(t) = 80\text{m/s}^2 + (120\text{m/s}^3)t$

"Never heard of vicuñas? Internet!

Answer

The acceleration is time dependent. It begins as twice the first term because position depends on $0.5a$. Over time, the acceleration changes by $2 \times 3 \times 20t$, where the three is similar to the factor of 2 in constant acceleration. Therefore, the correct answer is $a_x(t) = 80\text{m/s}^2 + (120\text{m/s}^3)t$.

Using calculus to obtain position from acceleration

Now that we saw that we can use derivatives to determine acceleration from position, we will see how to do the reverse and use acceleration to determine position. Let us suppose that we have a constant acceleration, $a_x(t) = a_x$, and that we know that at time $t = 0\text{s}$, the object had a speed of v_{0x} and was located at a position x_0.

Since we only know the acceleration as a function of time, we first need to find the velocity as a function of time. We start with:

$$a_x(t) = a_x = \frac{d}{dt}v(t) \tag{3.3.7}$$

which tells us that we know the slope (derivative) of the function $v(t)$, but not the actual function. In this case, we must do the opposite of taking the derivative, which in calculus is called taking the "anti-derivative" with respect to t and has the symbol $\int dt$. In other words, if:

$$\frac{d}{dt}v(t) = a_x(t) \tag{3.3.8}$$

then:

$$v(t) = \int a_x(t)dt + C \tag{3.3.9}$$

where as we will see later, the constant C is required in order for the function $v(t)$ to go through the point $v(t = 0) = v_{0x}$. All we need now is to determine how to calculate the anti-derivative, $\int a_x(t)dt$. Since in this case, $a_x(t)$ is a constant, a_x, we can determine the anti-derivative quite easily.

For a small interval in time, Δt, the velocity will change by a small amount, Δv, such that:

$$a_x = \frac{\Delta v}{\Delta t}$$
$$\Delta v = a_x \Delta t$$

If we label the start time of the interval as t_1 and the end of the interval as t_2, we have:

$$\begin{aligned} \Delta t &= t_2 - t_1 \\ \Delta v &= v(t_2) - v(t_1) = a_x \Delta t \\ \therefore v(t_2) &= v(t_1) + a_x \Delta t \end{aligned}$$

If we set $t_1 = 0\text{s}$ to correspond to the point where $v(t) = v_{0x}$, then we can write the velocity at t_2 as:

$$v(t_2) = v(t = \Delta t) = v_{0x} + a_x \Delta t$$

and we see that the velocity changed by an amount $a\Delta t$ over a period of time Δt. Since a_x is the same at all times, this is always true, and after a period of time $t = N\Delta t$, the velocity will have changed by $Na_x\Delta t$, and we recover the original equation for velocity as a function of time when acceleration is constant:

$$\begin{aligned} v(t = N\Delta t) &= v_{0x} + Na_x\Delta t \\ \therefore v(t) &= v_{0x} + a_x t \end{aligned}$$

We can identify the anti-derivative for the case where $a(t)$ is constant:

$$\frac{d}{dt}v(t) = a_x$$
$$\int a_x dt = a_x t + C$$

where the constant, C, is given by v_{0x}.

$$v(t) = C + a_x t = v_{0x} + a_x t \tag{3.3.10}$$

and we recover the formula for velocity when the acceleration is constant. Now that we know the velocity as a function of time, we can take one more anti-derivative with respect to time to obtain the position:

$$v(t) = \frac{dx}{dt}$$

$$\therefore x(t) = \int v(t) dt$$

In the case where acceleration is constant, this gives:

$$\begin{aligned} x(t) &= \int v(t) dt \\ &= \int (v_{0x} + a_x t) dt \\ &= v_{0x} t + \frac{1}{2} a_x t^2 + C' \end{aligned}$$

where C' is a different constant than the one we had when determining velocity. The constant is given by our initial conditions. If the object was located at position $x = x_0$ at time $t = 0$, then $C' = x_0$ and we recover the equation for position as a function of time for constant acceleration:

$$x(t) = x_0 + v_{0x} t + \frac{1}{2} a_x t^2 \tag{3.3.11}$$

To now obtain position as a function of time, we proceed in the same manner, namely:

1. Define a small interval in time Δt
2. Calculate the corresponding change in position Δx
3. Add Δx to our original position, and repeat.

Again, we have the time-derivative of the position equal to a function of time:

$$\frac{d}{dt} x(t) = v(t) = v_{0x} + a_x t$$

and we need to find the anti-derivative:

$$x(t) = \int (v_{0x} + a v_x t)\, dt$$

given that, at time $t = 0$, the position was $x = x_0$. After a small interval in time, Δt, the position will have changed by an amount Δx:

$$\Delta x = v(t) \Delta t$$

so that the position at time $t = \Delta t$ will be given by:

$$\begin{aligned} x(t = \Delta t) &= x_0 + \Delta x \\ &= x_0 + v(t) \Delta t \end{aligned}$$

The problem here is to evaluate $v(t)$ since the velocity changes throughout the interval. One possible choice is to evaluate the velocity at $t = \frac{1}{2} \Delta t$, midway in the interval, as we did before. We could also choose to use the velocity at the beginning or at the end of the time interval, as all three choices will converge to the same value when $\Delta t \to 0$. For now, we will leave the choice open and simply call the velocity that we use v_1 to indicate that it is the velocity in the first interval. We thus write the position, $x(t = \Delta t)$, after a time interval Δt as:

$$x(t = \Delta t) = x_0 + v_1 \Delta t$$

The position, $x(t = 2\Delta t)$, after another interval in time Δt will then be given by:

$$x(t=2\Delta t) = x(t=\Delta t) + v_2\Delta t$$
$$= x_0 + v_1\Delta t + v_2\Delta t$$

where v_2 is the velocity over the second interval in time (different than v_1, since velocity changes with time). For the Nth interval, we label the position $x_N = x(t = N\Delta t)$:

$$x_N = x(t=N\Delta t) = x_0 + v_1\Delta t + v_2\Delta t + \cdots + v_N\Delta t$$
$$= x_0 + \sum_{i=1}^{N} v_i\Delta t$$

where we made use of the summation notation ($\sum$) to avoid writing out every term. The above equation is only correct in the limit of $\Delta t \to 0$, in which case it must be the anti-derivative of $v(t)$:

$$x(t) = \int v(t)dt$$
$$= x_0 + \lim_{\Delta t\to 0}\sum_{i=1}^{N} v_i\Delta t$$

so that we can identify:

$$\int v(t)dt = \lim_{\Delta t\to 0}\sum_{i=1}^{N} v_i\Delta t + C \tag{3.3.12}$$

where it is understood that v_i is the "average" velocity in the ith interval, and the constant $C = x_0$ ensures that $x(t=0) = x_0$. This is now a general definition for the anti-derivative, as we have made no specific assumption about the function $v(t)$. *Equation 3.3.10* tells us that the anti-derivative of a function (in this case, $v(t)$) can be obtained from a sum.

The sum shows the function $v(t)$ and several intervals Δt. Over each interval, i, we labeled the average velocity, v_i. As the intervals shrink, $\Delta t \to 0$, the average velocity v_i approaches the instantaneous velocity, $v(t)$, at the center for the interval. Since the function $v(t)$ is linear (for constant acceleration), the speed at the middle of an interval is exactly equal to the average speed in the interval. Taking v_i as the speed in the middle of the interval, we then see that each term in the sum, $v_i\Delta t$, is equal to the area between the curve $v(t)$ and the t-axis. This is illustrated for the second term in the sum, $v_2\Delta t$.

The anti-derivative of a function is thus related to the area between the function and the horizontal axis. If we specify limits on the horizontal axis between which we calculate the area, then the anti-derivative is called an **integral**. For example, if we wish to calculate the sum of $v_i\Delta t$ for values between t_a and t_b, we would write the integral as:

$$\int_{t_a}^{t_b} v(t)dt = \lim_{\Delta t\to 0}\sum_{i=1}^{N} v_i\Delta t \tag{3.3.13}$$

where the sum is such that for $i = 1$, v_i is close to $v(t = t_a)$ and for $i = N$, v_N is close to $v(t = t_b)$. An illustration of taking the integral of $v(t)$ is shown where the sum is shown for two different values of Δt. It is clear that as $\Delta t \to 0$, the sum becomes equal to the area between the curve and the horizontal axis.

Since $v(t)$ is a linear function when acceleration is constant, we can easily calculate the area between the curve and the horizontal axis. In the case of a linear function, $v(t) = v_{0x} + at$, the area is a trapezoid, and we have:

$$\begin{aligned}\int_{t_a}^{t_b} v(t)dt &= \text{base} \times \text{average height} \\ &= (t_b - t_a) \times \frac{1}{2}(v(t_a) + v(t_b)) \\ &= (t_b - t_a)\frac{1}{2}(v_{0x} + a_x t_a + v_{0x} + a_x t_b) \\ &= \left(\frac{1}{2}(v_{0x}t_b + a_x t_a t_b + v_{0x}t_b + a_x t_b^2)\right) - \left(\frac{1}{2}(v_{0x}t_a + a_x t_a^2 + v_{0x}t_a + a_x t_b t_a)\right) \\ &= \left(v_{0x}t_b + \frac{1}{2}a_x t_b^2\right) - \left(v_{0x}t_a + \frac{1}{2}a_x t_a^2\right)\end{aligned}$$

If we define a new function, $V(t) = v_{0x}t + \frac{1}{2}a_x t^2$, then we have:

$$\int_{t_a}^{t_b} v(t)dt = V(t_b) - V(t_a) \tag{3.3.14}$$

In other words, given a function, $v(t)$, the integral of that function between two values t_a and t_b can be found by evaluating a different function, $V(t)$, at the end points t_a and t_b. As you will see in your calculus course, the function $V(t)$ is precisely what we call the anti-derivative:

$$\int v(t)dt = V(t) + C \tag{3.3.15}$$

which has derivative:

$$\frac{dV}{dt} = v(t)$$

Note that when taking the integral, the constant C always cancels.

Exercise 3.3.2

Choose the graph of $x(t)$ for the case when acceleration is given by $a(t) = A\omega^2 cos(\omega t)$, where ω and A are positive constants. The velocity and position are zero at $t = 0$.

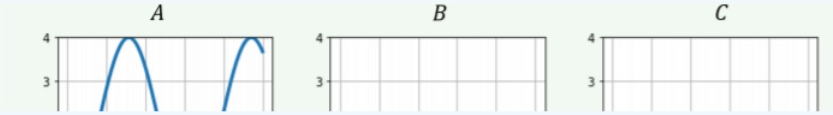

Figure 3.3.2

A. Figure A
B. Figure B
C. Figure C

Answer

Figure A. The acceleration is positive near $t = 0$s as described by the second derivative, which is concave up.

Exercise 3.3.3

The acceleration of a cricket jumping sideways is observed to increase linearly with time, that is, $a_x(t) = a_0 + jt$, where a_0 and j are constants. What can you say about the velocity of the cricket as a function of time?

A. it is constant
B. it increases linearly with time ($v(t) \propto t$)
C. it increases quadratically with time ($v(t) \propto t^2$)
D. it increases with the cube of time ($v(t) \propto t^3$)

Answer

C. We know velocity is linear in time ($v(t) \propto t$) when a is constant. If a becomes linearly time-dependent ($a(t) \propto t$), the velocity will change its dependence by the same change in time-dependence ($v(t) \propto t^2$).

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3.4: Relative Motion

In order to describe the motion of an object confined to a straight line, we introduced a an axis (x) with a specified direction (in which x increases) and an origin (where $x = 0$). Sometimes, it can be more convenient to use an axis that is *moving*. For example, consider a person, Alice, moving inside of a train headed for the French town of Nice. The train is moving with a constant speed, v'^B as measured from the ground. Suppose that another person, Brice, describes Alice's position using the function $x^A(t)$ using an x-axis defined inside of the train car ($x = 0$ where Brice is sitting, and positive x is in the direction of the train's motion), as depicted in Figure 3.4.1 below. As long as any person is in the train with Brice, they will easily be able to describe Alice's motion using the x-axis that is moving with the train. Suppose that the train goes through the French town of Hossegor, where a surfer, Igor, watches the train go by. If Igor wishes to describe Alice's motion, it is easier for him to use a different axis, say x', that is fixed to the ground and not moving with the train.

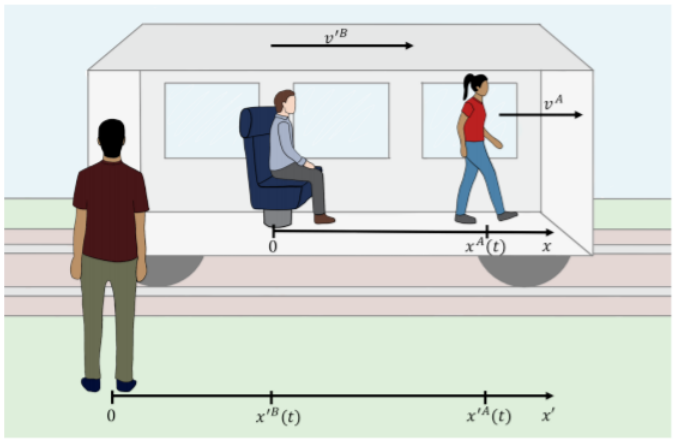

Figure 3.4.1: Alice is walking in the train and her poisition is described by both Brice, who is sitting in the tran (using the x axis), and Igor, who is at rest on the ground (using the x' axis).

Since Brice already went through the work of determining the function $x^A(t)$ in the **reference frame** of the train, we wish to determine how to *transform* $x^A(t)$ into the reference frame of the train station, $x'^A(t)$, so that Igor can also describe Alice's motion. In other words, we wish to describe Alice's motion in two different *reference frames*.

A reference frame is simply a choice of coordinates, in this case, a choice of x-axis. Ideally, in physics, we prefer to use *inertial* reference frames, which are reference frames that are either "at rest" or that are moving at a constant speed relative to a frame that we consider at rest.

In principle, if you blocked out all of the windows in the train, it would not be possible for Alice and Brice to determine if the train is moving at constant speed or if it is stopped. Thus, the concept of a "rest frame" is itself arbitrary. It is not possible to define a frame of reference that is truly at rest. Even Igor's frame of reference, the train station, is on the planet Earth, which is moving around the Sun with a speed of $108000\text{km}/\text{h}$

Galilean Relativity

Not only is it impossible to define a frame of reference that is truly at rest, the rules from transforming from one frame to the other depend on the speed between the reference frames. Our common experience is described by what we call "Galilean Relativity", but if the speed between trains is very large, close to the speed of light, then we need to use Einstein's Special Theory of Relativity.

Referring to Figure 3.4.1, we wish to use Brice's description of Alice's motion, $x^A(t)$, and convert it into a description, $x'^A(t)$, that Igor can use in the train station. Since Brice is at rest in the train, the speed of Brice relative to Igor is $v'^B(t)$ (the speed of the train, or the speed of the x frame of reference relative to the x' frame of reference). The first step is for Igor to describe Brice's position, $x'^B(t)$, (that is, the position of Brice's origin).

Assume that we choose $t = 0$ to be the point in time where the two origins are aligned. Since the train is moving at a constant speed, v'^B (as measured by Igor), then the position of Brice's origin, $x'^B(t)$, as measured from Igor's origin is given by:

$$x'^B(t) = v'^B t$$

Now that Igor can describe the position of the origin of Brice's coordinate system, he can use Brice's description of Alice's motion. Recall that $x^A(t)$ is Brice's measure of Alice's distance from his origin. Similarly, $x'^B(t)$, is Igor's measure of the distance from his origin to Brice's origin. Thus, to obtain Alice's distance from Igor's origin, we simply add the distance, $x'^B(t)$, from Igor's origin to Brice's origin, and then add, $x^A(t)$, the distance from Brice's origin to Alice. Thus:

$$x'^A(t) = x'^B(t) + x^A(t) = v'^B t + x^A(t) \tag{3.4.1}$$

which tells us how to obtain the position of object A in the $x^{'}$ reference frame, when $x^A(t)$ is the description the object's position in the x reference frame which is moving with a velocity $v^{'B}$ relative to the $x^{'}$ reference frame.

Since we know the position of Alice as measured in Igor's frame of reference, we can now easily find her velocity and her acceleration, as measured by Igor. Her velocity as measured by Igor, $v^{'A}$, is given by the time-derivative of her position measured in Igor's frame of reference:

$$\begin{aligned} v^{'A}(t) &= \frac{d}{dt} x^{'A}(t) \\ &= \frac{d}{dt}(v^{'B} t + x^A(t)) \\ &= v^{'B} + \frac{d}{dt} x^A(t) \\ &= v^{'B} + v^A(t) \end{aligned}$$

where $v^A(t) = \frac{d}{dt} x^A(t)$ is Alice's speed as measured by Brice, in the train. That is, the velocity of Alice as measured by Igor is the sum of the velocity of the train relative to the ground and the velocity of Alice relative to the train, which makes sense. If we now determine Alice's acceleration, $a'^A(t)$, as measured by Igor, we find:

$$a^{'A}(t) = \frac{d}{dt} v^{'A}(t) \tag{3.4.2}$$

$$= \frac{d}{dt}(v^{'B} + v^A) \tag{3.4.3}$$

$$= 0 + \frac{d}{dt} v^A(t) \tag{3.4.4}$$

$$= a^A \tag{3.4.5}$$

where we have explicitly used the fact that the train is moving at constant velocity ($\frac{d}{dt} v^{'B} = 0$). Here we find that both Brice and Igor will measure the same number when referring to Alice's acceleration (if the train is moving at constant velocity). This is a particularity of "inertial" frame of references: accelerations do not depend on the reference frame, as long as the reference frames are moving with a constant velocity relative to each other. As we will see later, forces exerted on a object are directly related to the acceleration experienced by that object. Thus, the forces on a object do not depend on the choice of inertial reference frame.

Example 3.4.1

A large boat is sailing North at a speed of $v^{'B} = 15\text{m/s}$ and a restless passenger is walking about on the deck. Chloë, another passenger on the boat, finds that the passenger is walking at a constant speed of $v^A = 3\text{m/s}$ towards the South (opposite the direction of the boat's motion). Marcel is watching the boat pass by from shore. What velocity (magnitude and direction) does Marcel measure for the restless passenger?

Solution

First, we must choose coordinate systems in the boat and on the shore. On the boat, let us define an x axis that is positive in the North direction and has an origin such that the position of the restless passenger was $x^A(t=0) = 0$ at time $t = 0$. In Chloë's reference frame, the passenger is thus described by:

$$x^A(t) = v^A t = (-3\text{m/s})t \tag{3.4.6}$$

where we note that v^A is negative since the passenger is moving the in negative x direction (the passenger is walking towards the South, but we chose positive x to be in the North direction). On shore, we choose an $x^{'}$ axis that also is positive in the North direction. We can choose the origin such that the origin of the boat's coordinate system was $x^{'} = 0$. The origin of the boat's coordinate system as measured by Marcel (on shore) is thus:

$$x^{'B}(t) = v^{'B}t = (15\text{m/s})t \tag{3.4.7}$$

The position of the passenger, $x^{'A}(t)$, as measured by Marcel, is then given by adding the position of the boat's origin and the position of the passenger as measured from the boat's origin:

$$\begin{aligned} x^{'A}(t) &= x^{'B}(t) + x^A(t) \\ &= v^{'B}t + v^A t \\ &= (v^{'B} + v^A)t \\ &= ((15\text{m/s}) + (-3\text{m/s}))t \\ &= (12\text{m/s})t \end{aligned}$$

To find the velocity of the passenger as measured by Marcel, we take the time derivative:

$$\begin{aligned} v^{'A} &= \frac{d}{dt}x^{'A}(t) \\ &= \frac{d}{dt}\Big((v^{'B} + v^A)t\Big) \\ &= (v^{'B} + v^A) \\ &= ((15\text{m/s}) + (-3\text{m/s})) \\ &= 12\text{m/s} \end{aligned}$$

Since this is a positive number, Marcel still sees the passenger moving in the North direction (the direction of his positive x' axis), but with a speed of 12 m/s, which is less than that of the boat. On the boat, the passenger appears to be walking towards the South, but the net motion of the passenger relative to the ground is still in the North direction, as their speed is less than that of the boat.

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3.5: Summary

Key Takeaways

To describe motion in one dimension, we must define an axis with:

1. An origin (where $x = 0$).
2. A direction (the direction in which x increases).
3. A unit for the length.

We describe the position of an object with a function $x(t)$ that *depends* on time. The rate of change of position is called "velocity", $v_x(t)$ and is a function given by the time-derivative of position. The rate of change of velocity is called "acceleration", $a_x(t)$ and is a function given by the time-derivative of velocity.

Given a function for acceleration, $a_x(t)$, one can use its anti-derivative to determine velocity.

$$v_x(t) = \lim_{\Delta t \to 0} \frac{\Delta x}{\Delta t} = \frac{dx}{dt}$$

Given a function for velocity, $v_x(t)$, one can use its anti-derivative to determine position.

$$a_x(t) = \lim_{\Delta t \to 0} \frac{\Delta v}{\Delta t} = \frac{dv_x}{dt}$$

With a constant acceleration, $a_x(t) = a_x$, if the object had velocity v_{0x} and position x_0 at $t = 0$:

$$v_x(t) = v_{0x}t + a_x t$$

$$x(t) = x_0 + v_{0x}t + \frac{1}{2}a_x t^2$$

$$v^2 - v_0^2 = 2a(x - x_0)$$

An inertial frame of reference is one that is moving with a constant velocity. It is impossible to define a frame of reference that is truly "at rest", so we consider inertial frames of reference only relative to other frames of reference that we also consider to be inertial. If an object has a position $x^A(t)$ in a given inertial frame of reference, x, that is moving with a velocity $v^{'B}$ compared to a different inertial frame of reference, $x^{'}$, then the position of the object in the $x^{'}$ frame of reference is given by $x'(t) = v^{'B} + x^A(t)$.

$$x^{'A}(t) = v^{'B}t + x^A(t)$$

$$v^{'A}(t) = v^{'B} + v^A(t)$$

$$a^{'A}(t) = a(t)$$

Important equations

If the position of an object is described by a function $x(t)$, then, its velocity, $v_x(t)$, and acceleration, $a_x(t)$, are given by:

$$v_x(t) = \lim_{\Delta t \to 0} \frac{\Delta x}{\Delta t} = \frac{dx}{dt}$$

$$a_x(t) = \lim_{\Delta t \to 0} \frac{\Delta v}{\Delta t} = \frac{dv_x}{dt}$$

Conversely, given the acceleration, $a_x(t)$, on can find the velocity and position:

$$v_x(t) = \lim_{\Delta t \to 0} \sum_i a_x(t_i)\Delta t + C = \int a_x(t)dt + C$$

$$x(t) = \lim_{\Delta t \to 0} \sum_i v_x(t_i)\Delta t + C = \int v_x(t)dt + C$$

With a constant acceleration, $a_x(t) = a_x$, if the object had velocity v_{0x} and position x_0 at $t = 0$:

$$
\begin{aligned}
v_x(t) &= v_{0x}t + a_x t \\
x(t) &= x_0 + v_{0x}t + \frac{1}{2}a_x t^2 \\
v^2 - v_0^2 &= 2a(x - x_0)
\end{aligned}
$$

If an object has position x^A as measured in a frame of reference x that is moving at constant speed v'^B as measured in a second frame of reference x', then in the x' reference frame, the kinematic quantities for the object are obtained by the Galilean transformation:

$$
\begin{aligned}
x'^A(t) &= v'^B t + x^A(t) \\
v'^A(t) &= v'^B + v^A(t) \\
a'^A(t) &= a(t)
\end{aligned}
$$

Important Definitions

Definition

Position: The distance between the defined coordinate system's origin and an object. SI units: $[\mathrm{m}]$. Common variable(s): $\vec{x}, \vec{r}$.

Definition

Velocity: The rate at which position changes with respect to time. SI units: $[\mathrm{ms}^{-1}]$. Common variable(s): $\vec{v}$.

Definition

Acceleration: the rate at which velocity changes with respect to time. SI units: $[\mathrm{ms}^{-2}]$. Common variable(s): $\vec{a}$.

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3.6: Thinking About the Material

Reflect and research

1. Look up the depth of a competition diving pool. What is the relationship between the height of the diving platform and the minimum pool depth? Why? If the designers of the pool assumed that every diver drops straight down off the diving board, would the pool still be safe for divers that jump up first?
2. When did Galileo Galilei first describe his principles of Galilean Relativity?
3. In Galileo's "Dialogue Concerning the Two Chief World Systems", what example did he use to describe relative motion?
4. Imagine that you are a judge, trying to charge an irresponsible driver for speeding on the highway. In the courtroom, he argues that in his own frame of reference, he was sitting still with respect to his car. In fact, he says that it was the officer, parked on the side of the highway that was speeding. You realize that in his reference frame, he is indeed correct - but that's not what matters! How do you explain the relative motion of driving laws to this sneaky offender, in order to serve him justice?

To try at home

1. Find a way to measure the value of g (the acceleration from Earth's gravity) and describe what you did.

To try in the lab

1. Measure the value of g (the acceleration from Earth's gravity) by measuring the time it takes for an object to drop from different heights. Analyze your data in way that you perform a linear fit to your data and determine g from the slope of that fit.

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3.7: Sample Problems and Solutions

Exercise 3.7.1

Show that one can use *Equations 3.2.2* and *3.2.3* to derive the following equation:

$$v^2 - v_0^2 = 2a(x - x_0)$$

which is independent of time.

Answer

We start with the equations for position and velocity that we derived in this chapter:

$$x = x_0 + v_0 t + \frac{1}{2}at^2$$

$$v = v_0 + at$$

The first equation can be written as:

$$(x - x_0) = v_0 t + \tfrac{1}{2}at^2$$

Our goal is to find an equation that is independent of time t. We start by isolating t in our equation for velocity:

$$v = v_0 t + at$$

$$t = \frac{v - v_0}{a}$$

We then substitute this value of t into our equation for $(x - x_0)$:

$$(x - x_0) = v_0 t + \frac{1}{2}at^2$$

$$(x - x_0) = v_0 \left(\frac{v - v_0}{a}\right) + \frac{1}{2}a\left(\frac{v - v_0}{a}\right)^2$$

We want the left hand side to be $2a(x - x_0)$, so we multiply each term by $2a$:

$$2a(x - x_0)x = (2a)v_0 \left(\frac{v - v_0}{a}\right) + (2a)\frac{1}{2}a\left(\frac{v - v_0}{a}\right)^2$$

$$2a(x - x_0) = (2v_0)a \left(\frac{v - v_0}{a}\right) + a^2\left(\frac{v - v_0}{a}\right)^2$$

$$2a(x - x_0) = 2v_0(v - v_0) + (v - v_0)^2$$

We distribute $2v_0$ into the brackets. Then we expand the third term and get:

$$2a(x - x_0) = (2v_0 v - 2v_0^2) + (v_0 - v^2)(v_0 - v^2)$$

$$2a(x - x_0) = (2v_0 v - 2v_0^2) + (v_0^2 - 2v_0 v + v^2)$$

All that's left to do is collect like terms, and we get the formula we are looking for:

$$2a(x - x_0) = 2v_0 v - 2v_0^2 + v_0^2 - 2v_0 v + v^2$$

$$2a(x - x_0) = (v^2) + (2v_0 v - 2v_0 v) + (v_0^2 - 2v_0^2)$$

$$2a(x - x_0) = v^2 - v_0^2$$

$$\therefore v^2 - v_0^2 = 2a(x - x_0)$$

If you choose a coordinate system such that x_0, this equation becomes $v^2 - v_0^2 = 2ax$.

Exercise 3.7.2

Rob is riding his bike at a speed of 8 m/s. He passes by a velociraptor, as one often does, who is eating by the side of the road. The velociraptor begins chasing him. The velociraptor accelerates from rest at a rate of 4 m/s^2.

a. Assuming it takes 3 seconds for the velociraptor to react, how long does it take from the moment Rob passes by for the velicoraptor to catch up to him?
b. If there is a safe place 70 meters from where Rob passes the velociraptor, will Rob make it there in time to escape being eaten?

Answer

We start by choosing our coordinate system. The solution is simplest if the x axis is positive in the direction of motion and has an origin at the point where Rob passes the velociraptor. We also choose $t = 0$ to be the moment the velociraptor starts running.

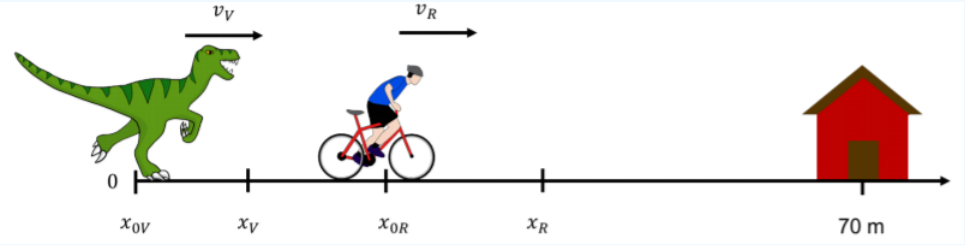

Figure 3.7.1: Rob is being chased by a velociraptor. At $t = 0$, Rob is a distance x_{0R} from the velociraptor. Safety is 70m away from the origin.

a. What do we mean by "catch up"? It means that Rob and the velociraptor will have the same position at the same time. So, we are interested in the value of t when $x_R = x_V$, where x_R is the position of Rob, and x_V is the position of the velociraptor. We need two equations, one describing Rob's position and one describing the position of the velociraptor. Rob is moving at a constant velocity, so his position is described by:

$$x_R = x_{0R} + v_R t$$

The velociraptor has a constant acceleration, so its position is described by:

$$x_V = x_{0V} + v_{0v} t + \tfrac{1}{2} a_V t^2$$

We can use a table to list the numerical values that we know:

Rob	Velociraptor
$x_{0R} = ?$	$x_{0V} = 0\text{m}$
$v_R = 8\text{m/s}$	$v_{0V} = 0\text{m/s}$
	$a_V = 4\text{m/s}^2$

Table 3.7.1

x_{0R} is Rob's position at the instant the velociraptor starts running. The value of x_{0R} is unknown but can be easily solved for. It takes 3 seconds for the velociraptor to react, so at $t = 0$, Rob has moved $(8\text{m/s}) \times (3\text{s}) = 24\text{m} = x_{0R}$ (where we used the formula $x = vt$).

Since $v_{0V} = 0$ (the velociraptor starts running from rest) and $x_{0V} = 0$ (the velociraptor starts at the origin), we can write our equations for the position as:

$$x_R = x_{0R} + v_R t$$

$$x_V = \frac{1}{2} a_V t^2$$

Remember that we want to find t when $x_R = x_V$. Setting the above equations equal to one another gives:

$$x_R = x_V$$

$$x_{0R} + v_R t = \frac{1}{2} a_V t^2$$

$$\therefore \frac{1}{2} a_V t^2 - v_R t - x_{0R} = 0$$

which is a quadratic equation for t. Substituting in numerical values, and solving for t:

$$\frac{1}{2}(4\text{m/s}^2)t^2 - (8\text{m/s})t - (24\text{m}) = 0$$

$$2t^2 - 8t - 24 = 0$$

$$\therefore t = \frac{8 \pm \sqrt{256}}{4} = 6.0\text{s}$$

Where we chose the positive root of the quadratic, since the time must be a positive quantity. This doesn't quite give us the answer we want, since we want to know how long it takes the velociraptor to catch up *from the moment Rob passes by*. We thus have to add the 3 s reaction time, giving a total time of 9 s.

b. We can use this solution to figure out whether Rob makes it to safety. The velociraptor catches up after 9 seconds. In 9 seconds, Rob has traveled a distance of $(8\text{m/s}) \times (9\text{s}) = 72\text{m}$. The shelter is only 70m away, so Rob gets to safety in time!

? Exercise 3.7.3

Figure 3.7.2 shows a graph of the acceleration, $a(t)$, of a particle moving in one dimension. Draw the corresponding velocity and position graphs. Assume that $v(0) = 0$ and $x(0) = 0$, and be as quantitative as possible.

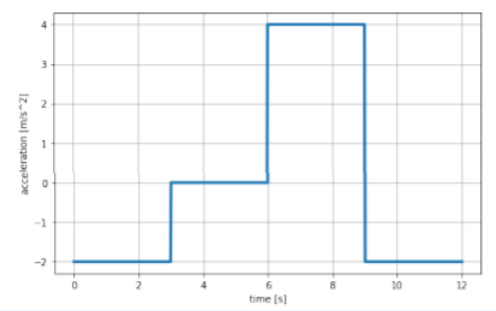

Figure 3.7.2: *A graph of acceleration as a function of time.*

Answer

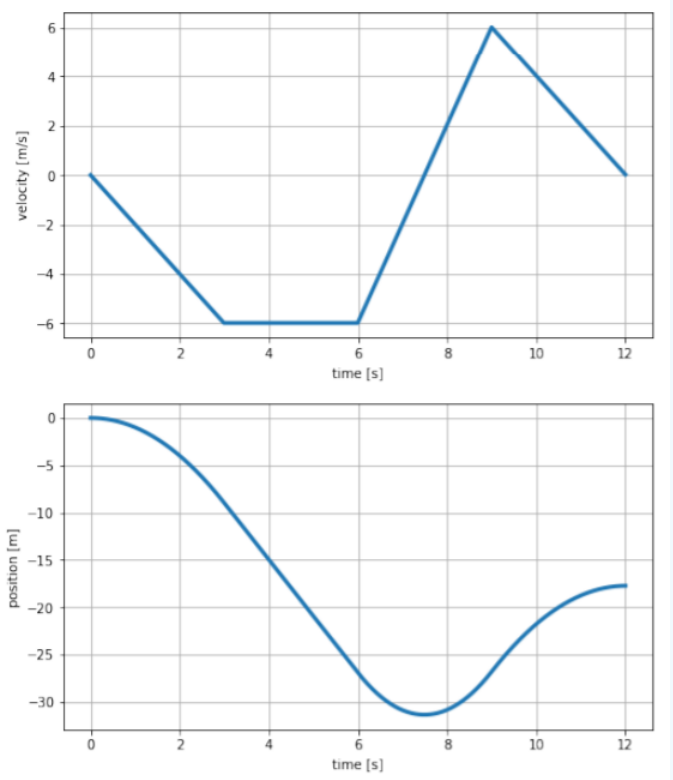

Figure 3.7.3: Graphs of $v(t)$ and $x(t)$ corresponding to the acceleration versus time graph given in the question.

We start by drawing the graph of $v(t)$ from the graph of $a(t)$. Solutions may vary, but a few key features must be present:

- Between $t = 0$ s and $t = 3$ s, the velocity decreases linearly, since the acceleration is constant and negative.
- Between $t = 3$ s and $t = 6$ s, the velocity remains constant, since the acceleration is zero.
- Between $t = 6$ s and $t = 9$ s, the velocity increases linearly, since the acceleration is positive. Since the acceleration is twice as large as in the first interval, the velocity increases at twice the rate that it decreased in the first interval. The object changes direction during this interval, since the velocity changes sign.
- Between $t = 9$ s and $t = 12$ s, the velocity decreases linearly with the same rate as in the first interval, and is zero at the end of this interval.

We can get the graph of $x(t)$ from the graph of $v(t)$. The graph of $x(t)$ should have these features:

- Between $t = 0$ s and $t = 3$ s, position decreases quadratically, as the velocity is negative and the decreasing.
- Between $t = 3$ s and $t = 6$ s, position decreases linearly, since the velocity is negative and constant.
- Between $t = 6$ s and $t = 9$ s, the position continues to decrease, but at a lesser rate the velocity approaches zero. When the velocity is zero, the position stop changing, and starts to increase quadratically as the velocity becomes positive and increasing.
- Between $t = 9$ s and $t = 12$ s, the position continues to increase, but at a lesser rate as the velocity decreases back to zero.

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CHAPTER OVERVIEW

4: Describing Motion in Multiple Dimensions

Learning Objectives

- Describe motion in a 2D plane.
- Describe motion in 3D space.
- Describe motion along the circumference of a circle.

In this chapter, we will learn how to extend our description of an object's motion to two and three dimensions by using vectors. We will also consider the specific case of an object moving along the circumference of a circle.

prelude

Jake and Madi are riding a carousel that spins at a constant rate. Madi is closer to the center of the carousel than Jake is. What can you say about their accelerations?

A. Both of their accelerations are zero.
B. Madi's acceleration is greater than Jake's.
C. Jake's acceleration is greater than Madi's.
D. Madi and Jake have the same non-zero acceleration.

4.1: Motion in two Dimensions
4.2: Motion in three dimensions
4.3: Accelerated motion when the velocity vector changes direction
4.4: Circular motion
4.5: Summary
4.6: Thinking about the material .
4.7: Sample problems and solutions

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4.1: Motion in two Dimensions

Using vectors to describe motion in two dimensions

We can specify the location of an object with its coordinates, and we can describe any displacement by a vector. First, consider the case of an object moving with a constant velocity in a particular direction. We can specify the position of the object at any time, t, using its **position vector**, $\vec{r}(t)$, which is a function of time. The position vector is a vector that goes from the origin of the coordinate system to the position of the object. We can describe the x and y components of the position vector with independent functions, $x(t)$, and $y(t)$, that correspond to the x and y coordinates of the object at time t, respectively:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} = x(t)\hat{x} + y(t)\hat{y}$$

Suppose that in a period of time Δt, the object goes from a position described by the position vector $\vec{r}_1$ to a position described by the position vector $\vec{r}_2$, as illustrated in Figure 4.1.1.

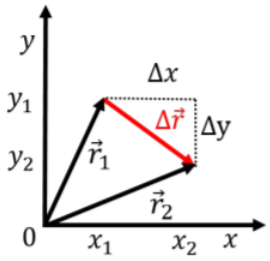

Figure 4.1.1: Illustration of a displacement vector, $\Delta \vec{r}=\vec{r_2} - \vec{r_1}$, for an object that was located at position $\vec{r_1}$ at time t_1 and at position $\vec{r_2}$ at time $t_2 = t_1 + \Delta t$.

We can define a **displacement vector**, $\Delta\vec{r} = \vec{r}_2 - \vec{r}_1$, and by analogy to the one dimensional case, we can define an **average** velocity vector, $\vec{v}$ as:

$$\vec{v} = \frac{\Delta\vec{r}}{\Delta t} \tag{4.1.1}$$

The average velocity vector will have the same direction as $\Delta\vec{r}$, since it is the displacement vector divided by a scalar (Δt). The magnitude of the velocity vector, which we call “speed”, will be proportional to the length of the displacement vector. If the object moves a large distance in a small amount of time, it will thus have a large velocity vector. This definition of the velocity vector thus has the correct intuitive properties (points in the direction of motion, is larger for faster objects).

For example, if the object went from position (x_1, y_1) to position (x_2, y_2) in an amount of time Δt, the average velocity vector is given by:

$$\begin{aligned} \vec{v} &= \frac{\Delta\vec{r}}{\Delta t} \\ &= \frac{1}{\Delta t}\begin{pmatrix} x_2 - x_1 \\ y_2 - y_1 \end{pmatrix} \\ &= \frac{1}{\Delta t}\begin{pmatrix} \Delta x \\ \Delta y \end{pmatrix} \\ &= \begin{pmatrix} \frac{\Delta x}{\Delta t} \\ \frac{\Delta y}{\Delta t} \end{pmatrix} \\ &= \begin{pmatrix} v_x \\ v_y \end{pmatrix} \\ \therefore \vec{v} &= v_x\hat{x} + v_y\hat{y} \end{aligned}$$

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That is, the x and y components of the average velocity vector can be found by separately determining the average velocity in each direction. For example, $v_x = \frac{\Delta x}{\Delta t}$ corresponds to the average velocity in the x direction, and can be considered independent from the velocity in the y direction, v_y. The magnitude of the average velocity vector (i.e. the average speed), is given by:

$$||\vec{v}|| = \sqrt{v_x^2 + v_y^2} = \frac{1}{\Delta t}\sqrt{\Delta x^2 + \Delta y^2} = \frac{\Delta r}{\Delta t}$$

where Δr is the magnitude of the displacement vector. Thus, the average speed is given by the distance covered divided by the time taken to cover that distance, in analogy to the one dimensional case.

? Exercise 4.1.1

A llama runs in a field from a position $(x_1, y_1) = (2\text{m}, 5\text{m})$ to a position $(x_2, y_2) = (6\text{m}, 8\text{m})$ in a time $\Delta t = 0.5\text{s}$, as measured by Marcel, a llama farmer standing at the origin of the Cartesian coordinate system. What is the average speed of the llama?

A. $1\ \text{m/s}$
B. $5\ \text{m/s}$
C. $10\ \text{m/s}$
D. $15\ \text{m/s}$

Answer

C. The llama has an average velocity $(v_x, v_y) = (x_2 - x_1, y_2 - y_1)/\Delta t = (6-2, 8-5)/0.5 = (4,3)/0.5 = (8,6)\text{m/s}$.
By the Pythagorean Theorem the average speed is $v = \sqrt{8^2 + 6^2} = 10\text{m/s}$.

If the velocity of the object is not constant, then we define the **instantaneous velocity vector** by taking the limit $\Delta t \to 0$:

$$\vec{v}(t) = \lim_{\Delta t \to 0} \frac{\Delta \vec{r}}{\Delta t} = \frac{d\vec{r}}{dt} \tag{4.1.2}$$

which gives us the time derivative of the position vector (in one dimension, it was the time derivative of position). Writing the components of the position vector as functions $x(t)$ and $y(t)$, the instantaneous velocity becomes:

$$\begin{aligned} \vec{v}\,(t) &= \frac{d}{dt}\,\vec{r}\,(t) \\ &= \frac{d}{dt}\begin{pmatrix} x(t) \\ y(t) \end{pmatrix} \\ &= \begin{pmatrix} \frac{dx}{dt} \\ \frac{dy}{dt} \end{pmatrix} \\ &= \begin{pmatrix} v_x(t) \\ v_y(t) \end{pmatrix} \\ \therefore \vec{v}(t) &= v_x(t)\hat{x} + v_y(t)\hat{y} \end{aligned} \tag{4.1.3}$$

where, again, we find that the components of the velocity vector are simply the velocities in the x and y direction. This means that we can treat motion in two dimensions as two times one-dimensional motion: a motion along x and a separate motion along y. This highlights the usefulness of the vector notation for allowing us to use one vector equation ($\vec{v} = \frac{d}{dt}\Delta\vec{r}$) to represent two equations (one for x and one for y).

Similarly the acceleration vector is given by:

$$\vec{a}\,(t) = \frac{d}{dt}\,\vec{v}\,(t) \tag{4.1.4}$$

$$= \begin{pmatrix} \frac{dv_x}{dt} \\ \frac{dv_y}{dt} \end{pmatrix}$$

$$= \begin{pmatrix} a_x(t) \\ a_y(t) \end{pmatrix}$$

$$\therefore \vec{a}(t) = a_x(t)\hat{x} + a_y(t)\hat{y}$$

If an object is at position $\vec{r}_0 = (x_0, y_0)$ with a velocity vector $\vec{v}_0 = v_{0x}\hat{x} + v_{0y}\hat{y}$ at time $t = 0$, and has a **constant acceleration vector**[1], $\vec{a} = a_x\hat{x} + a_y\hat{y}$, then the velocity vector at some later time t, $\vec{v}(t)$, is given by:

$$\vec{v}(t) = \vec{v}_0 + \vec{a}t$$

Or, if we write out the components explicitly:

$$\begin{pmatrix} v_x(t) \\ v_y(t) \end{pmatrix} = \begin{pmatrix} v_{0x} \\ v_{0y} \end{pmatrix} + \begin{pmatrix} a_x t \\ a_y t \end{pmatrix}$$

these be considered as two independent equations for the components of the velocity vector:

$$v_x(t) = v_{0x} + a_x t$$
$$v_y(t) = v_{0y} + a_y t$$

which is the same equation that we had for one dimensional kinematics, but once for each coordinate. The position vector is given by:

$$\vec{r}(t) = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2}\vec{a}t^2$$

with components:

$$x(t) = x_0 + v_{0x}t + \frac{1}{2}a_x t^2$$
$$y(t) = y_0 + v_{0y}t + \frac{1}{2}a_y t^2$$

which again shows that two dimensional motion can be considered as separate and independent motions in each direction.

Example 4.1.1

An object starts at the origin of a coordinate system at time $t = 0\text{s}$, with an initial velocity vector $\vec{v}_0 = (10\text{m/s})\hat{x} + (15\text{m/s})\hat{y}$. The acceleration in the x direction is 0m/s^2 and the acceleration in the y direction is -10m/s^2.

a. Write an equation for the position vector as a function of time.
b. Determine the position of the object at $t = 10\text{s}$.
c. Plot the trajectory of the object for the first 5s of motion.

Solution

a. We can consider the motion in the x and y direction separately. In the x direction, the acceleration is 0, and the position is thus given by:

$$x(t) = x_0 + v_{0x}t$$
$$= (0\text{m}) + (10\text{m/s})t$$
$$= (10\text{m/s})t$$

In the y direction, we have a constant acceleration, so the position is given by:

$$\begin{aligned} y(t) &= y_0 + v_{0y}t + \frac{1}{2}a_y t^2 \\ &= (0\text{m}) + (15\text{m/s})t + \frac{1}{2}(-10\text{m/s\^2})t^2 \\ &= (15\text{m/s})t - \frac{1}{2}(10\text{m/s\^2})t^2 \end{aligned}$$

The position vector as a function of time can thus be written as:

$$\begin{aligned} \vec{r}(t) &= \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} \\ &= \begin{pmatrix} (10\text{m/s})t \\ (15\text{m/s})t - \frac{1}{2}(10\text{m/s}^2)t^2 \end{pmatrix} \end{aligned}$$

b. Using $t = 10\text{s}$ in the above equation gives:

$$\begin{aligned} \vec{r}(t = 10\text{s}) &= \begin{pmatrix} (10\text{m/s})(10\text{s}) \\ (15\text{m/s})(10\text{s}) - \frac{1}{2}(10\text{m/s}^2)(10\text{s})^2 \end{pmatrix} \\ &= \begin{pmatrix} (100\text{m}) \\ (-350\text{m}) \end{pmatrix} \end{aligned}$$

c. We can plot the trajectory using python, as in *Figure* 4.1.2.

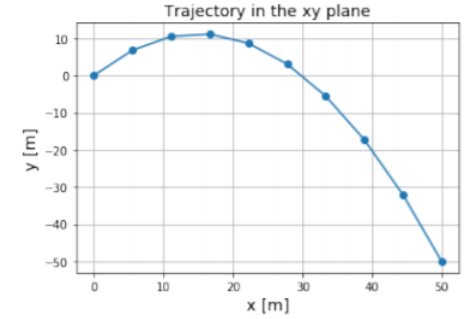

Figure 4.1.2: Parabolic trajectory of an object with no acceleration in the x direction and a negative acceleration in the$y$ direction.

As you can see, the trajectory is a parabola, and corresponds to what you would get when throwing an object with an initial velocity with upwards (positive y) and horizontal (positive x) components. If you look at only the y axis, you will see that the object first goes up, then turns around and goes back down. This is exactly what happens when you throw a ball upwards, independently of whether the object is moving in the x direction. In the x direction, the object just moves with a constant velocity. The points on the graph are drawn for constant time intervals (the time between each point, Δt is constant). If you look at the distance between points projected onto the x axis, you will see that they are all equidistant and that along x, the motion corresponds to that of an object with constant velocity.

? Exercise 4.1.2

In *Example 4.1.1*, what is the velocity vector exactly at the top of the parabola in Figure 4.1.2?

A. $\vec{v} = (10\text{m/s})\,\hat{x} + (15\text{m/s})\,\hat{y}$
B. $\vec{v} = (15m/s)\,\hat{y}$
C. $\vec{v} = (10m/s)\,\hat{x}$
D. None of the above

Answer

C.

Example 4.1.2

A monkey is hanging from a tree branch and you want to feed the monkey by throwing it a banana (Figure 4.1.3). You know that the monkey is easily frightened and will let go of the tree branch the instant you throw the banana. The monkey is a horizontal distance d away and a height h above the point from which you release the banana when you throw it. At what angle with respect to the horizontal should you throw the banana so that the banana reaches the monkey?

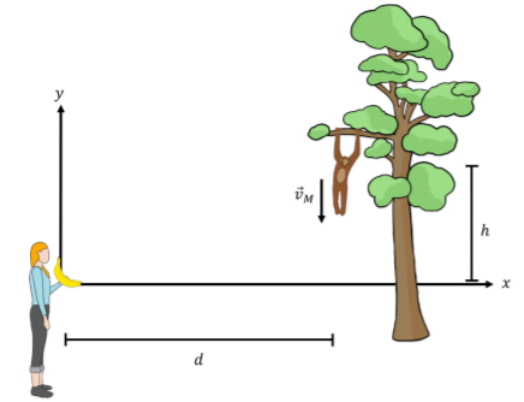

Figure 4.1.3: Feeding a monkey in a tree.

Solution

This question is asking us to find the angle, θ, between the banana's initial velocity vector, $\vec{v}_{0B}$, and the horizontal for the banana to hit the monkey. This angle is given by the horizontal (v_{B0x}) and vertical (v_{B0y}) components of the initial velocity vector of the banana:

$$\tan\theta = \frac{v_{B0y}}{v_{B0x}}$$

In order for the banana to hit the monkey, and the banana and the monkey must be **in the same place at the same time** at some time, t. Our approach will be as follows: we will start by finding equations that describe the x and y position of the monkey and of the banana. Then, we will use our conditions for a successful "hit" to find the ratio ($\tan\theta = v_{B0y}/v_{B0x}$) that we want for our initial throw, and use that to find θ.

First, we define a coordinate system. We choose the origin to be where the banana is released. We let y be in the vertical direction (positive upwards) and let x be in the horizontal direction (positive towards the monkey), as shown in Figure 4.1.3.

We treat the x and y components of the banana and monkey's velocity and position vectors as independent. The monkey's motion has only a vertical component. The y component of the monkey's acceleration is the acceleration due to gravity, $a_y = -9.8\text{m/s\^2} = -g$, which is negative, since gravity produces an acceleration in the negative y direction. The y component of the monkey's initial position is $y_{M0} = h$ and the y component of its initial velocity is $v_{M0y} = 0$. The y component of the monkey's position as a function of time, $y_M(t)$, is given by:

$$\begin{aligned} y_M(t) &= y_{M0} + v_{My0}t + \frac{1}{2}a_y t^2 \\ &= h + (0) - \frac{1}{2}gt^2 \end{aligned}$$

The horizontal position of the monkey is constant, and is equal to $x_M(t) = d$.

The banana's motion has both x and y components. There is no acceleration in the x direction, so the x component of the banana's velocity is v_{B0x} and constant. We defined the banana's initial x coordinate to be $x_{B0} = 0$, so the x position of the banana as a function of time, $x_B(t)$ is given by:

$$x_B(t) = x_{B0} + v_{B0x}$$
$$= (0) + v_{B0x}t$$

We defined the initial y position of the banana to be $y_{B0} = 0$. The y position of the banana as a function of time, $y_B(t)$, can thus be described by:

$$y_B(t) = y_{B0} + v_{B0y}t + \frac{1}{2}a_y t^2$$
$$= (0) + v_{B0y}t - \frac{1}{2}gt^2$$

where v_{B0y} is the y component of the banana's initial velocity and $a_y = -g$ is the y component of the banana's acceleration (due to gravity). Now that we have equations that describe the position of both the banana and the monkey, we can use our conditions for the banana and monkey to be at the same position at the same time. For the monkey and the banana to be in the same position, we need $y_M(t) = y_B(t)$ and $x_B(t) = x_M(t) = d$ at some time t.

Setting our equations for $y_M(t)$ and $y_B(t)$ equal to one another gives:

$$h - \frac{1}{2}gt^2 = v_{0yB}t - \frac{1}{2}gt^2$$
$$\therefore h = v_{0yB}t$$

And setting $x_M(t) = d$ equal to $x_B(t)$ gives:

$$\therefore d = v_{xB}$$

We can just divide one equation by the other to find:

$$\frac{h}{d} = \frac{v_{0yB}t}{v_{xB}t}$$
$$\frac{h}{d} = \frac{v_{0yB}}{v_{xB}}$$

This gives us the ratio we are looking for, so we now know that

$$\tan\theta = \frac{h}{d}$$
$$\therefore \theta = \tan^{-1}\left(\frac{h}{d}\right)$$

This is a somewhat surprising result, as it means that you only need to thrown the banana in the direction of the monkey (that is, aim at the monkey, and throw!). Thus, it will not matter how fast you throw the banana, and you will always hit the monkey if you aimed correctly. When you throw the banana faster, you will hit the monkey higher in its trajectory. If there is no ground for the monkey to hit, you can throw the banana as slowly as you like, and it will eventually catch up with the monkey when the banana reaches $x = d$.

Relative motion

In the previous chapter, we examined how to convert the description of motion from one reference frame to another. Recall the one dimensional situation where we described the position of an object, A, using an axis x as $x^A(t)$. Suppose that the reference frame, x, is moving with a constant speed, v'^B, relative to a second reference frame, x'. We found that the position of the object is described in the x' reference frame as:

$$x'^A(t) = v'^B t + x^A(t)$$

if the origins of the two systems coincided at $t = 0$. The equation above simply states that the distance of the object to the x' origin is the sum of the distance from the x' origin to the x origin **and** the distance from the x origin to the object.

In two dimensions, we proceed in exactly the same way, but use vectors instead:

$$\vec{r}'^{A}(t) = \vec{v}'^{B}t + \vec{r}^{A}(t)$$

where $r^{A}(t)$ is the position of the object as described in the xy reference frame, $\vec{v}'^{B}$, is the velocity vector describing the motion of the origin of the xy coordinate system relative to an $x'y'$ coordinate system and $\vec{r}'^{A}(t)$ is the position of the object in the $x'y'$ coordinate system. We have assumed that the origins of the two coordinate systems coincided at $t = 0$ and that the axes of the coordinate systems are parallel (x parallel to x' and y parallel to y').

Note that the velocity of the object in the $x'y'$ system is found by adding the velocity of xy relative to $x'y'$ and the velocity of the object in the xy frame ($\vec{v}^{A}(t)$):

$$\begin{aligned}\frac{d}{dt}\vec{r}'^{A}(t) &= \frac{d}{dt}(\vec{v}'^{B}t + \vec{r}^{A}(t)) \\ &= \vec{v}'^{B} + \vec{v}^{A}(t)\end{aligned}$$

As an example, consider the situation depicted in Figure 4.1.4. Brice is on a boat off the shore of Nice, with a coordinate system xy, and is describing the position of a boat carrying Alice. He describes Alice's position as $\vec{r}^{A}(t)$ in the xy coordinate system. Igor is on the shore and also wishes to describe Alice's position using the work done by Brice. Igor sees Brice's boat move with a velocity $\vec{v}'^{B}$ as measured in his $x'y'$ coordinate system. In order to find the vector pointing to Alice's position $\vec{r}'^{A}(t)$, he adds the vector from his origin to Brice's origin ($\vec{v}'^{B}t$) and the vector from Brice's origin to Alice $\vec{r}^{A}(t)$.

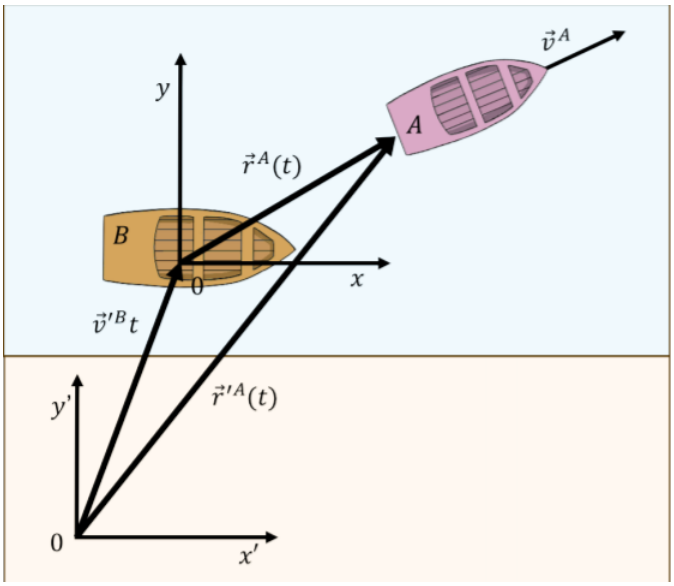

Figure 4.1.4: Example of converting from one reference frame to another in two dimensions using vector addition.

Writing this out by coordinate, we have:

$$\begin{aligned}x'^{A}(t) &= v_x'^{B}t + x^{A}(t) \\ y'^{A}(t) &= v_y'^{B}t + y^{A}(t)\end{aligned}$$

and for the velocities:

$$\begin{aligned}v_x'^{A}(t) &= v_x'^{B} + v_x^{A}(t) \\ v_y'^{A}(t) &= v_y'^{B} + v_y^{A}(t)\end{aligned}$$

? Exercise 4.1.3

You are on a boat and crossing a North-flowing river, from the East bank to the West bank. You point your boat in the West direction and cross the river. Chloe is watching your boat cross the river from the shore, in which direction does she measure your velocity vector to be?

A. In the North direction.

B. In the West direction.
C. A combination of North and West directions.

Answer

C.

Footnotes

1. Where a constant vector means that both the magnitude and direction are constant in time.

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4.2: Motion in three dimensions

The big challenge was to expand our description of motion from one dimension to two. Adding a third dimension ends up being trivial now that we know how to use vectors. In three dimensions, we describe the position of a point using three coordinates, so all of the vectors simply have three independent components, but are treated in exactly the same way as in the two dimensional case. The position of an object is now described by three independent functions, $x(t)$, $y(t)$, $z(t)$, that make up the three components of a position vector $\vec{r}(t)$:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix}$$

$$\therefore \vec{r}(t) = x(t)\hat{x} + y(t)\hat{y} + z(t)\hat{z}$$

The velocity vector now has three components and is defined analogously to the 2D case:

$$\vec{v}(t) = \frac{d\vec{r}}{dt} = \begin{pmatrix} \frac{dx}{dt} \\ \frac{dy}{dt} \\ \frac{dz}{dt} \end{pmatrix} = \begin{pmatrix} v_x(t) \\ v_y(t) \\ v_z(t) \end{pmatrix}$$

$$\therefore \vec{v}(t) = v_x(t)\hat{x} + v_y(t)\hat{y} + v_z(t)\hat{z}$$

and the acceleration is defined in a similar way:

$$\vec{a}(t) = \frac{d\vec{v}}{dt} = \begin{pmatrix} \frac{dv_x}{dt} \\ \frac{dv_y}{dt} \\ \frac{dv_z}{dt} \end{pmatrix} = \begin{pmatrix} a_x(t) \\ a_y(t) \\ a_z(t) \end{pmatrix}$$

$$\therefore \vec{a}(t) = a_x(t)\hat{x} + a_y(t)\hat{y} + a_z(t)\hat{z}$$

In particular, if an object has a constant acceleration, $\vec{a} = a_x\hat{x} + a_y\hat{y} + a_z\hat{z}$, and started at $t = 0$ with a position $\vec{r}_0$ and velocity $\vec{v}_0$, then its velocity vector is given by:

$$\vec{v}(t) = \vec{v}_0 + \vec{a}t = \begin{pmatrix} v_{0x} + a_x t \\ v_{0y} + a_y t \\ v_{0z} + a_z t \end{pmatrix}$$

and the position vector is given by:

$$\vec{r}(t) = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2}\vec{a}t^2 = \begin{pmatrix} x_0 + v_{0x}t + \frac{1}{2}a_x t^2 \\ y_0 + v_{0y}t + \frac{1}{2}a_y t^2 \\ z_0 + v_{0z}t + \frac{1}{2}a_z t^2 \end{pmatrix}$$

where again, we see how writing a single vector equation (e.g. $\vec{v}(t) = \vec{v}_0 + \vec{a}t$) is really just a way to write the three independent equations that are true for each component.

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4.3: Accelerated motion when the velocity vector changes direction

One key difference with one dimensional motion is that, in two dimensions, it is possible to have an acceleration even when the speed is constant. Recall, the acceleration **vector** is defined as the time derivative of the velocity **vector** (*Equation 4.1.4*). This means that if the velocity vector changes with time, then the acceleration vector is non-zero. If the length of the velocity vector (speed) is constant, it is still possible that the **direction** of the velocity vector changes with time, and thus, that the acceleration vector is non-zero. This is, for example, what happens when an object goes around in a circle with a constant speed (the direction of the velocity vector changes).

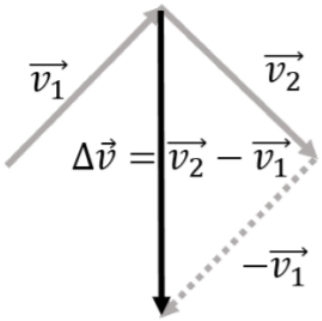

Figure 4.3.1: Illustration of how the direction of the velocity vector can change when speed is constant.

Figure 4.3.1 shows an illustration of a velocity vector, $\vec{v}(t)$, at two different times, $\vec{v}_1$ and $\vec{v}_2$, as well as the vector difference, $\Delta\vec{v} = \vec{v}_2 - \vec{v}_1$, between the two. In this case, the length of the velocity vector did not change with time ($||\vec{v}_1|| = ||\vec{v}_2||$). The acceleration vector is given by:

$$\vec{a} = \lim_{\Delta t \to 0} \frac{\Delta\vec{v}}{\Delta t}$$

and will have a direction parallel to $\Delta\vec{v}$, and a magnitude that is proportional to Δv. Thus, even if the velocity vector does not change amplitude (speed is constant), the acceleration vector can be non-zero if the velocity vector changes *direction*.

Let us write the velocity vector, $\vec{v}$, in terms of its magnitude, v, and a unit vector, $\hat{v}$, in the direction of $\vec{v}$:

$$\begin{aligned} \vec{v} &= v_x\hat{x} + v_y\hat{y} = v\hat{v} \\ v &= ||\vec{v}|| = \sqrt{v_x^2 + v_y^2} \\ \hat{v} &= \frac{v_x}{v}\hat{x} + \frac{v_y}{v}\hat{y} \end{aligned}$$

In the most general case, both the magnitude of the velocity and its direction can change with time. That is, both the direction and the magnitude of the velocity vector are functions of time:

$$\vec{v}(t) = v(t)\hat{v}(t)$$

When we take the time derivative of $\vec{v}(t)$ to obtain the acceleration vector, we need to take the derivative of a product of two functions of time, $v(t)$ and $\hat{v}(t)$. Using the rules for taking the derivative of a product, the acceleration vector is given by:

$$\begin{aligned} \vec{a} &= \frac{d}{dt}\vec{v}(t) = \frac{d}{dt}v(t)\hat{v}(t) \\ \vec{a} &= \frac{dv}{dt}\hat{v}(t) + v(t)\frac{d\hat{v}}{dt} \end{aligned} \tag{4.3.1}$$

and has two terms. The first term, $\frac{dv}{dt}\hat{v}(t)$, is zero if the speed is constant ($\frac{dv}{dt} = 0$). The second term, $v(t)\frac{d\hat{v}}{dt}$, is zero if the direction of the velocity vector is constant ($\frac{d\hat{v}}{dt} = 0$). In general though, the acceleration vector has two terms corresponding to the change in speed, and to the change in the direction of the velocity, respectively.

The specific functional form of the acceleration vector will depend on the path being taken by the object. If we consider the case where speed is constant, then we have:

$$
\begin{aligned}
v(t) &= v \\
\frac{dv}{dt} &= 0 \\
v_x^2(t) + v_y^2(t) &= v^2 \\
\therefore v_y(t) &= \sqrt{v^2 - v_x(t)^2}
\end{aligned}
$$

In other words, if the magnitude of the velocity is constant, then the x and y components are no longer independent (if the x component gets larger, then the y component must get smaller so that the total magnitude remains unchanged). If the speed is constant, then the acceleration vector is given by:

$$
\begin{aligned}
\vec{a} &= \frac{dv}{dt}\hat{v}(t) + v\frac{d\hat{v}}{dt} \\
&= 0 + v\frac{d}{dt}\hat{v}(t) \\
&= v\frac{d}{dt}\left(\frac{v_x(t)}{v}\hat{x} + \frac{v_y(t)}{v}\hat{y})\right) \\
&= \frac{dv_x}{dt}\hat{x} + \frac{d}{dt}\sqrt{v^2 - v_x(t)^2}\,\hat{y} \\
&= \frac{dv_x}{dt}\hat{x} + \frac{1}{2\sqrt{v^2 - v_x(t)^2}}(-2v_x(t))\frac{dv_x}{dt}\hat{y} \\
&= \frac{dv_x}{dt}\hat{x} - \frac{v_x(t)}{\sqrt{v^2 - v_x(t)^2}}\frac{dv_x}{dt}\hat{y} \\
&= \frac{dv_x}{dt}\hat{x} - \frac{v_x(t)}{v_y(t)}\frac{dv_x}{dt}\hat{y} \\
\vec{a} &= \frac{dv_x}{dt}\left(\hat{x} - \frac{v_x(t)}{v_y(t)}\hat{y}\right) \qquad (4.3.2)
\end{aligned}
$$

where most of the algebra that we did was to separate the x and y components of the acceleration vector, and we used the Chain Rule to take the derivative of the square root. The resulting acceleration vector is illustrated in Figure 4.3.2 along with the velocity vector[1].

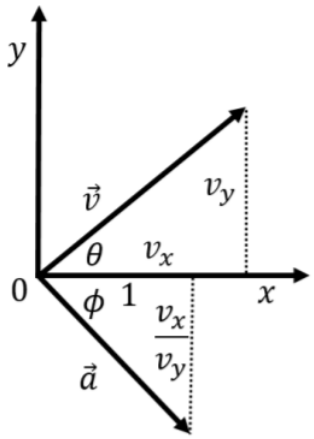

Figure 4.3.2: Illustration that the acceleration vector is perpendicular to the velocity vector if speed is constant.

The velocity vector has components v_x and v_y, which allows us to calculate the angle, θ that it makes with the x axis:

$$
\tan(\theta) = \frac{v_y}{v_x}
$$

Similarly, the vector that is parallel to the acceleration has components of 1 and $-\frac{v_x}{v_y}$, allowing us to determine the angle, ϕ, that it makes with the x axis:

$$\tan(\phi) = \frac{v_x}{v_y}$$

Note that $\tan(\theta)$ is the inverse of $\tan(\phi)$, or in other words, $\tan(\theta) = \cot(\phi)$, meaning that θ and ϕ are complementary and thus must sum to $\frac{\pi}{2}$ (90). This means that **the acceleration vector is perpendicular to the velocity vector if the speed is constant and the direction of the velocity changes**.

In other words, when we write the acceleration vector, we can identify two components, $\vec{a}_{\parallel}(t)$ and $\vec{a}_{\perp}(t)$:

$$\begin{aligned} \vec{a} &= \frac{dv}{dt}\hat{v}(t) + v(t)\frac{d\hat{v}}{dt} \\ &= \vec{a}_{\parallel}(t) + \vec{a}_{\perp}(t) \\ \therefore \vec{a}_{\parallel}(t) &= \frac{dv}{dt}\hat{v}(t) \\ \therefore \vec{a}_{\perp}(t) &= v\frac{d\hat{v}}{dt} = \frac{dv_x}{dt}\left(\hat{x} - \frac{v_x(t)}{v_y(t)}\hat{y}\right) \end{aligned}$$

where $\vec{a}_{\parallel}(t)$ is the component of the acceleration that is parallel to the velocity vector, and is responsible for changing its magnitude, and $\vec{a}_{\perp}(t)$, is the component that is perpendicular to the velocity vector and is responsible for changing the direction of the motion.

? Exercise 4.3.1

A satellite moves in a circular orbit around the Earth with a constant speed. What can you say about its acceleration vector?

A. It has a magnitude of zero.
B. It is perpendicular to the velocity vector.
C. It is parallel to the velocity vector.
D. It is in a direction other than parallel or perpendicular to the velocity vector.

Answer

B. If the speed does not change, the acceleration cannot act in the same direction as the motion.

Footnotes

1. Rather, it is a vector parallel to the acceleration vector that is illustrated, as the factor of $\frac{dv_x}{dt}$ was omitted (as you recall, multiplying by a scalar only changes the length, not the direction)

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4.4: Circular motion

We often consider the motion of an object around a circle of fixed radius, R. In principle, this is motion in two dimensions, as a circle is necessarily in a two dimensional plane. However, since the object is constrained to move along the circumference of the circle, it can be thought of (and treated as) motion along a one dimensional axis that is curved.

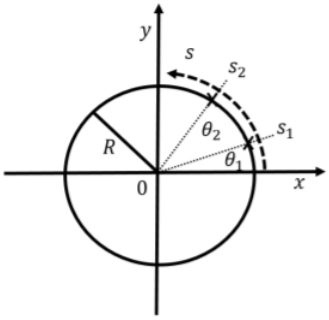

Figure 4.4.1: Describing the motion of an object around a circle of radius R.

Figure 4.4.1 shows how we can describe motion along a circle of radius, R. We could use $x(t)$ and $y(t)$ to describe the position on the circle, however, $x(t)$ and $y(t)$ are no longer independent since they have to correspond to the coordinates of points on a circle:

$$x^2(t)+y^2(t)=R^2$$

Instead of using x and y, we could think of an axis that is bent around the circle (as shown by the curved arrow in Figure 4.4.1, the s axis). The s axis is such that $s=0$ where the circle intersects the x axis, and the value of s increases as we move counter-clockwise along the circle. Distance along the s axis thus corresponds to the distance along the circumference of the circle.

Another variable that could be used for position instead of s is the angle, θ, between the position vector of the object and the x axis, as illustrated in Figure 4.4.1. If we express the angle θ in radians, then it is easy to convert between s and θ. Recall, an angle in radians is defined as the length of an arc subtended by that angle divided by the radius of the circle. We thus have:

$$\theta(t)=\frac{s(t)}{R} \tag{4.4.1}$$

In particular, if the object has gone around the whole circle, then $s=2\pi R$ (the circumference of a circle), and the corresponding angle is, $\theta=\frac{2\pi R}{R}=2\pi$, namely 360.

By using the angle, θ, instead of x and y, we are effectively using polar coordinates, with a fixed radius. As we already saw, the x and y positions are related to θ by:

$$x(t)=R\cos(\theta(t))$$
$$y(t)=R\sin(\theta(t))$$

where R is a constant. For an object moving along the circle, we can write its position vector, $\vec{r}(t)$, as:

$$\vec{r}(t)=\begin{pmatrix}x(t)\\y(t)\end{pmatrix}=R\begin{pmatrix}\cos(\theta(t))\\\sin(\theta(t))\end{pmatrix}$$

and the velocity vector is thus given by:

$$\begin{aligned}\vec{v}(t)=\frac{d}{dt}\vec{r}(t)&=\frac{d}{dt}R\begin{pmatrix}\cos(\theta(t))\\\sin(\theta(t))\end{pmatrix}\\&=R\begin{pmatrix}\frac{d}{dt}\cos(\theta(t))\\\frac{d}{dt}\sin(\theta(t))\end{pmatrix}\\&=R\begin{pmatrix}-\sin(\theta(t))\frac{d\theta}{dt}\\\cos(\theta(t))\frac{d\theta}{dt}\end{pmatrix}\end{aligned}$$

where we used the Chain Rule to calculate the time derivatives of the trigonometric functions (since $\theta(t)$ is function of time). We can write this in component form:

$$v_x = -R\sin(\theta(t))\frac{d\theta}{dt} \tag{4.4.2}$$

$$v_y = R\cos(\theta(t))\frac{d\theta}{dt} \tag{4.4.3}$$

The magnitude of the velocity vector is given by:

$$\begin{aligned} ||\vec{v}|| &= \sqrt{v_x^2 + v_y^2} \\ &= \sqrt{\left(-R\sin(\theta(t))\frac{d\theta}{dt}\right)^2 + \left(R\cos(\theta(t))\frac{d\theta}{dt}\right)^2} \\ &= \sqrt{R^2\left(\frac{d\theta}{dt}\right)^2[\sin^2(\theta(t)) + \cos^2(\theta(t))]} \\ &= R\left|\frac{d\theta}{dt}\right| \end{aligned}$$

The position and velocity vectors are illustrated in Figure 4.4.2 for an angle θ in the first quadrant ($0 < \theta < \frac{\pi}{2}$).

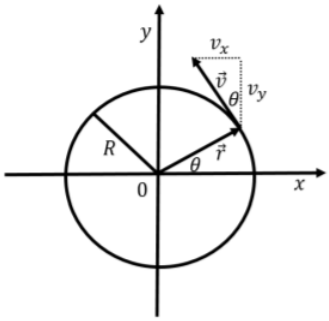

Figure 4.4.2: The position vector, $\vec{r}(t)$ is always perpendicular to the velocity vector, $\vec{v}(t)$, for motion on a circle.

In this case, you can note that the x component of the velocity is negative (from the diagram and from *Equation 4.4.2*). From *Equations 4.4.2* and *4.4.3*, you can also see that $\frac{|v_x|}{|v_y|} = \tan(\theta)$, which is illustrated in Figure 4.4.2, showing that **the velocity vector is tangent to the circle** and perpendicular to the position vector. This is always the case for motion along a circle.

We can simplify our description of motion along the circle by using either $s(t)$ or $\theta(t)$ instead of the vectors for position and velocity. If we use $s(t)$ to represent position along the circumference ($s = 0$ where the circle intersects the x axis), then the velocity along the s axis is:

$$\begin{aligned} v_s(t) &= \frac{d}{dt}s(t) \\ &= \frac{d}{dt}R\theta(t) \\ &= R\frac{d\theta}{dt} \end{aligned}$$

where we used the fact that $\theta = s/R$ to convert from s to θ. The velocity along the s axis is thus precisely equal to the magnitude of the two-dimensional velocity vector (derived above), which makes sense since the velocity vector is tangent to the circle (and thus in the s "direction").

If the object has a **constant speed**, v_s, along the circle and started at a position along the circumference $s = s_0$, then its position along the s axis can be described using 1D kinematics:

$$s(t) = s_0 + v_s t$$

or, in terms of θ:

$$\begin{aligned}\theta(t) &= \frac{s(t)}{R} = \frac{s_0}{R} + \frac{v_s}{R}t \\ &= \theta_0 + \frac{d\theta}{dt}t \\ &= \theta_0 + \omega t \\ \therefore \omega &= \frac{d\theta}{dt}\end{aligned}$$

where we introduced θ_0 as the angle corresponding to the position s_0, and we introduced $\omega = \frac{d\theta}{dt}$, which is analogous to velocity, but for an angle. ω is called the **angular velocity** and is a measure of the rate of change of the angle θ (as it is the time derivative of the angle). The relation between the "linear" velocity v_s (the magnitude of the velocity vector, which corresponds to the velocity in the direction tangent to the circle) and ω is:

$$v_s = R\frac{d\theta}{dt} = R\omega$$

Similarly, if the object is accelerating, we can define an **angular acceleration**, $\alpha(t)$, as the rate of change of the angular velocity:

$$\alpha(t) = \frac{d\omega}{dt}$$

which can directly be related to the acceleration in the s direction, $a_s(t)$:

$$\begin{aligned}a_s(t) &= \frac{d}{dt}v_s \\ &= \frac{d}{dt}\omega R = R\frac{d\omega}{dt} \\ a_s(t) &= R\alpha\end{aligned}$$

Thus, the linear quantities (those along the s axis) can be related to the angular quantities by multiplying the angular quantities by R:

$$s = R\theta \tag{4.4.4}$$

$$v_s = R\omega \tag{4.4.5}$$

$$a_s = R\alpha \tag{4.4.6}$$

If the object started at $t = 0$ with a position $s = s_0$ ($\theta = \theta_0$), and an initial linear velocity v_{0s} (angular velocity ω_0), and has a **constant linear acceleration** around the circle, a_s (angular acceleration, α), then the position of the object can be described using either the linear or the angular quantities:

$$\begin{aligned}s(t) &= s_0 + v_{s0}t + \frac{1}{2}a_s t^2 \\ \theta(t) &= \theta_0 + \omega_0 t + \frac{1}{2}\alpha t^2\end{aligned}$$

As you recall from *Section 4.3*, we can compute the acceleration **vector** and identify components that are parallel and perpendicular to the velocity vector:

$$\begin{aligned}\vec{a} &= \vec{a}_{\parallel}(t) + \vec{a}_{\perp}(t) \\ &= \frac{dv}{dt}\hat{v}(t) + v\frac{d\hat{v}}{dt}\end{aligned}$$

The first term, $\vec{a}_{\parallel}(t) = \frac{dv}{dt}\hat{v}(t)$, is parallel to the velocity vector $\hat{v}$, and has a magnitude given by:

$$||\vec{a}_{\parallel}(t)|| = \frac{dv}{dt} = \frac{d}{dt}v(t) = \frac{d}{dt}R\omega = R\alpha$$

That is, the component of the acceleration vector that is parallel to the velocity is precisely the acceleration in the s direction (the linear acceleration). This component of the acceleration is responsible for increasing (or decreasing) the speed of the object and is zero if the object goes around the circle with a constant speed (linear or angular).

As we saw earlier, the perpendicular component of the acceleration, $\vec{a}_{\perp}(t)$, is responsible for changing the direction of the velocity vector (as the object continuously changes direction when going in a circle). When the motion is around a circle, this component of the acceleration vector is called "centripetal" acceleration (i.e. acceleration pointing towards the center of the circle, as we will see). We can calculate the centripetal acceleration in terms of our angular variables, noting that the unit vector in the direction of the velocity is $\hat{v} = -\sin(\theta)\hat{x} + \cos(\theta)\hat{y}$:

$$\begin{aligned}
\vec{a}_{\perp}(t) &= v\frac{d\hat{v}}{dt} \\
&= (\omega R)\frac{d}{dt}[-\sin(\theta)\hat{x} + \cos(\theta)\hat{y}] \\
&= \omega R\left[-\frac{d}{dt}\sin(\theta)\hat{x} + \frac{d}{dt}\cos(\theta)\hat{y}\right] \\
&= \omega R\left[-\cos(\theta)\frac{d\theta}{dt}\hat{x} - \sin(\theta)\frac{d\theta}{dt}\hat{y}\right] \\
&= \omega R[-\cos(\theta)\omega\hat{x} - \sin(\theta)\omega\hat{y}] \\
\vec{a}_{\perp}(t) &= \omega^2 R[-\cos(\theta)\hat{x} - \sin(\theta)\hat{y} \qquad (4.4.7)
\end{aligned}$$

where you can easily verify that the vector $[-\cos(\theta)\hat{x} - \sin(\theta)\hat{y}]$ has unit length and points towards the center of the circle (when the tail is placed on a point on the circle at angle θ). The centripetal acceleration thus points towards the center of the circle and has magnitude:

$$a_c(t) = ||\vec{a}_{\perp}(t)|| = \omega^2(t)R = \frac{v^2(t)}{R} \qquad (4.4.8)$$

where in the last equal sign, we wrote the centripetal acceleration in terms of the speed around the circle ($v = ||\vec{v}|| = v_s$).

If an object goes around a circle, it will always have a centripetal acceleration (since its velocity vector must change direction). In addition, if the object's speed is changing, it will also have a linear acceleration, which points in the same direction as the velocity vector (it changes the velocity vector's length but not its direction).

? Exercise 4.4.1

A vicuña is going clockwise around a circle that is centerd at the origin of an xy coordinate system that is in the plane of the circle. The vicuña runs faster and faster around the circle. In which direction does its acceleration vector point just as the vicuña is at the point where the circle intersects the positive y axis?

A. In the negative y direction.
B. In the positive y direction.
C. A combination of the positive y and positive x directions.
D. A combination of the negative y and positive x directions.
E. A combination of the negative y and negative x directions.

Answer

D.

Period and Frequency

When an object is moving around in a circle, it will typically complete more than one revolution. If the object is going around the circle with a constant speed, we call the motion "uniform circular motion", and we can define the **period and frequency** of the motion.

The period, T, is defined to be the time that it takes to complete one revolution around the circle. If the object has constant angular speed ω, we can find the time, T, that it takes to complete one full revolution, from $\theta = 0$ to $\theta = 2\pi$:

$$\omega = \frac{\Delta\theta}{T} = \frac{2\pi}{T}$$

$$T = \frac{2\pi}{\omega} \tag{4.4.9}$$

We would obtain the same result using the linear quantities; in one revolution, the object covers a distance of $2\pi R$ at a speed of v:

$$v = \frac{2\pi R}{T}$$

$$T = \frac{2\pi R}{v} = \frac{2\pi R}{\omega R} = \frac{2\pi}{\omega}$$

The frequency, f, is defined to be the inverse of the period:

$$f = \frac{1}{T} = \frac{\omega}{2\pi}$$

and has SI units of $\text{Hz} = \text{s}^{-1}$. Think of frequency as the number of revolutions completed per second. Thus, if the frequency is $f = 1\text{Hz}$, the object goes around the circle once per second. Given the frequency, we can of course obtain the angular velocity:

$$\omega = 2\pi f$$

which is sometimes called the "angular frequency" instead of the angular velocity. The angular velocity can really be thought of as a frequency, as it represents the "amount of angle" per second that an object covers when going around a circle. The angular velocity does not tell us anything about the actual speed of the object, which depends on the radius $v = \omega R$. This is illustrated in Figure 4.4.3, where two objects can be traveling around two circles of radius R_1 and R_2 with the same angular velocity ω. If they have the same angular velocity, then it will take them the same amount of time to complete a revolution. However, the outer object has to cover a much larger distance (the circumference is larger), and thus has to move with a larger linear speed.

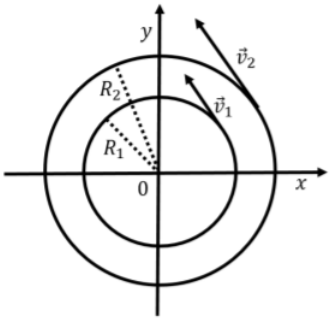

Figure 4.4.3: For a given angular velocity, the linear velocity will be larger on a larger circle ($v = \omega R$).

? Exercise 4.4.2

A motor is rotating at 3000 rpm, what is the corresponding frequency in Hz?

A. 5 Hz
B. 50 Hz
C. 500 Hz

Answer

B.

Olivia's Thoughts

There's a trick I like to use to remember how linear and angular velocities work. Figure 4.4.4 shows your hand in two positions, which we call (1) and (2).

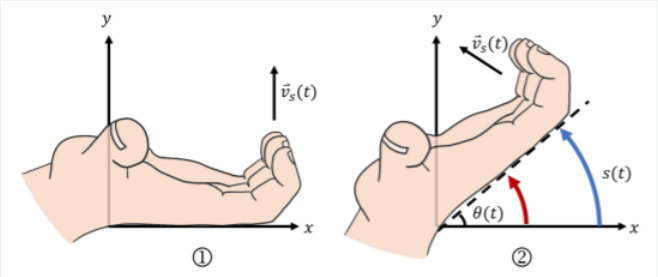

Figure 4.4.4: How to use your hand to better understand circular motion.

Let's say you want to describe the location of your fingers in (2). Start by putting your hand in position (1). This is the position where $\theta = 0$ and $s = 0$. Imagine that your wrist (or your thumb, whichever you prefer) is fixed at the origin. If you keep your fingers perpendicular to your hand, they will always point in the positive s direction.

Imagine that you have a blue glob of paint on the back of your pinky. Rotate your hand until it is in position (2). The length of the curve that the paint makes is the value of s. The angle between the back of your hand and the positive x-axis is θ. Now, imagine that there is a red glob of paint at your palm. It takes the same amount of time for your palm to get from position (1) to position (2) as it does for your fingers. Since they both go through the same angle θ in the same amount of time, the **angular velocity**, ω must be the same for both. However, the blue line left by your fingers will be much longer than the red line left by your palm. Your fingers traveled a greater distance than your palm in the same amount of time, so they must have a greater **linear velocity**, v_s. The further you are from your thumb, the greater the linear velocity will be, which we know from the formula $v_s = R\omega$.

If you kept rotating your hand around the circle, you would see that your fingers always point in the same direction as your linear velocity. This means that if you are using Cartesian coordinates, the direction of your linear velocity is always changing.

There are a couple of limitations to this trick. Remember that this only works for circular motion (the radius R must be constant) and that if you are moving in the negative s direction, your fingers will point antiparallel to the linear velocity.

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4.5: Summary

Key Takeaways

When the motion of an object is in more than one dimension, we describe the position of the object using a vector, $\vec{r}$.

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} = x(t)\hat{x} + y(t)\hat{y} + z(t)\hat{z}$$

where $x(t)$, $y(t)$, and $z(t)$, are the position coordinates of the object. We treat the motion in each dimension as independent.

The instantaneous velocity vector and the acceleration vector are given by:

$$\vec{v}(t) = \frac{d}{dt}\vec{r}(t)$$
$$\vec{a}(t) = \frac{d}{dt}\vec{v}(t)$$

If the acceleration vector is constant (in magnitude and direction), then the position and velocity of the object are described by:

$$\vec{r}(t) = \vec{r}_0 + \vec{v}_0 t + \frac{1}{2}\vec{a}t^2$$
$$\vec{v}(t) = \vec{v}_0 + \vec{a}t$$

where each of these vector equations represents 3 independent equations, one for each of the x, y, and z component of the vectors.

If an object has position $\vec{r}^{\,A}$ as measured in a frame of reference xy that is moving at constant speed $\vec{v}^{\,\prime B}$ as measured in a second frame of reference $x'y'$, then in the $x'y'$ reference frame:

$$\vec{r}^{\,\prime A}(t) = \vec{v}^{\,\prime B}t + \vec{r}^{\,A}(t)$$
$$\vec{v}^{\,\prime A}(t) = \vec{v}^{\,\prime B} + \vec{v}^{\,A}(t)$$
$$\vec{a}^{\,\prime A}(t) = \vec{a}^{\,A}(t)$$

An acceleration can change the magnitude and/or the direction of the velocity vector.

1. The component of the acceleration vector that is parallel to the velocity vector changes the magnitude of the velocity.
2. The component of the acceleration vector that is perpendicular to the velocity vector changes the direction of the velocity.

The acceleration vector for motion in two dimensions can be written as the sum of vectors that are parallel ($\vec{a}_{\parallel}$) and perpendicular ($\vec{a}_{\perp}$) to the velocity vector:

$$\vec{a} = \frac{dv}{dt}\hat{v}(t) + v(t)\frac{d\hat{v}}{dt} = \vec{a}_{\parallel} + \vec{a}_{\perp}$$

If the position of an object moving in a circle of radius R is described by its position along the curved axis s, then its position along the circle can be described using an angle, θ, in radians:

$$\theta(t) = \frac{s(t)}{R}$$

For an object moving along a circle, we can write its position vector, $\vec{r}(t)$, as:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} = R\begin{pmatrix} \cos(\theta(t)) \\ \sin(\theta(t)) \end{pmatrix}$$

The angular velocity, ω, is the rate of change of the angle. The angular acceleration, α, is the rate of change of the angular velocity:

$$\omega = \frac{d\theta}{dt}$$
$$\alpha = \frac{d\omega}{dt}$$

The linear kinematic quantities can be found from the angular quantities:

$$s = R\theta$$
$$v_s = R\omega$$
$$a_s = R\alpha$$

For circular motion, the velocity vector is tangent to the circle and the perpendicular component of the acceleration is called the centripetal acceleration. The centripetal acceleration points towards the center of the circle and has a magnitude of:

$$a_c(t) = \omega^2(t)R = \frac{v^2(t)}{R}$$

The centripetal acceleration vector can be written as:

$$\vec{a}_\perp(t) = \omega^2 R[-\cos(\theta)\hat{x} - \sin(\theta)\hat{y}]$$

Uniform circular is the motion of an object around a circle with a constant speed. The period, T, is the time that it takes for the object to complete one revolution. The frequency, f, is the inverse of the period, and can be thought of as the number of revolutions completed per second:

$$T = \frac{2\pi}{\omega}$$
$$f = \frac{1}{T} = \frac{\omega}{2\pi}$$

Important Equations

Motion in 2D:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} = x(t)\hat{x} + y(t)\hat{y}$$
$$\vec{v}(t) = \frac{d}{dt}\vec{r}(t)$$
$$\vec{a}(t) = \frac{d}{dt}\vec{v}(t)$$

Relative Motion 2D:

$$\vec{r}'^A(t) = \vec{v}'^B t + \vec{r}^A(t)$$
$$\vec{v}'^A(t) = \vec{v}'^B + \vec{v}^A(t)$$
$$\vec{a}'^A(t) = \vec{a}^A(t)$$

Acceleration Vector 2D:

$$\vec{a} = \frac{dv}{dt}\hat{v}(t) + v(t)\frac{d\hat{v}}{dt}$$
$$\text{(constant speed:)} \quad \vec{a} = \frac{dv_x}{dt}\left(\hat{x} - \frac{v_x(t)}{v_y(t)}\hat{y}\right)$$

Circular Motion:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} = R \begin{pmatrix} \cos(\theta(t)) \\ \sin(\theta(t)) \end{pmatrix}$$

$$\omega = \frac{d\theta}{dt}$$

$$\alpha = \frac{d\omega}{dt}$$

$$s = R\theta$$

$$v_s = R\omega$$

$$a_s = R\alpha$$

$$a_c(t) = \omega^2(t)R = \frac{v^2(t)}{R}$$

$$\vec{a}_\perp(t) = \omega^2 R[-\cos(\theta)\hat{x} - \sin(\theta)\hat{y}]$$

$$T = \frac{2\pi}{\omega}$$

$$f = \frac{1}{T} = \frac{\omega}{2\pi}$$

Important Definitions

Definition

Position vector: A vector, usually labelled, $\vec{r}$, to describe the position of an object relative to the origin of a coordinate system. In Cartesian coordinates, the position vector is simply given by the x, y, and z coordinates of the object, $\vec{r} = x\hat{x} = y\hat{y} + z\hat{z}$.

Definition

Velocity vector: A vector, usually labelled, $\vec{v}$, which corresponds to the time-rate of change (the derivative with respect to time) of the position vector.

Definition

Acceleration vector: A vector, usually labelled, $\vec{a}$, which corresponds to the time-rate of change (the derivative with respect to time) of the velocity vector.

Definition

Angular position: The angle that the position vector makes with either the x or z axis. SI units: none. Common variable(s): θ (angle with the z axis), ϕ (angle with the x axis).

Definition

Angular velocity: The rate at which an angle changes with respect to time. SI units: [s^-1]. Common variable(s): $\vec{\omega}$. The angular velocity can be represented by a vector, using the right-hand rule for axial vectors.

Definition

Angular acceleration: The rate at which angular velocity changes with respect to time. SI units: [s^-2]. Common variable(s): $\vec{\alpha}$. The angular acceleration can be represented by a vector, using the right-hand rule for axial vectors.

Definition

Uniform circular motion: The motion of an object with constant speed around a circle.

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4.6: Thinking about the material .

Reflect and research

1. It was once believed that there was an absolute reference frame called the “luminiferous aether”. What was the name of the experiment that disproved the existence of this frame of reference?
2. Find the centripetal acceleration of the Earth around the Sun.

To try at home

1. Describe and carry out a small experiment to confirm that the amount of time that it takes for a projectile to fall a certain distance does not depend on the horizontal component of its velocity.

To try in the lab

1. Develop a proposal for measuring how fast you can throw a ball, and carry out the experiment.
2. Develop a proposal for measuring how far you can jump with a running start (e.g. a long jump).
3. Propose an experiment to determine the period of the sun’s rotational motion.

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4.7: Sample problems and solutions

Exercise 4.7.1

Ethan is jumping hurdles. He gets a running start, moving with a speed of 3m/s. The hurdle is 0.5m high and the maximum speed that he can have when he leaves the ground is 5m/s. (You can assume Ethan is a point particle, and ignore air resistance).

a. What is the closest distance from the hurdle at which Ethan can jump and still clear the hurdle?
b. What maximum height does he reach?

Answer

Our approach will be to consider the x and y components of the motion separately. We start by drawing a diagram and choosing our coordinate system. We will choose y to be vertical and positive upwards and x to be in the direction that Ethan is running. We choose the origin to be the location where Ethan leaves the ground for the jump, as illustrated in Figure 4.7.1

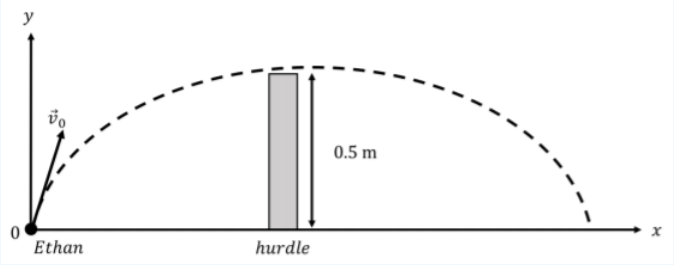

Figure 4.7.1: Ethan wants to clear a 0.5 m hurdle and has an initial velocity $\vec{v}_0$ with x and y components.

a. Ethan's speed at the beginning of the jump is $v_0 = 5\text{m/s}$ and the horizontal (x) component of his velocity is $v_x = 3\text{m/s}$. The y component of his initial velocity, v_{0y}, is given by:

$$\begin{aligned} v_x^2 + v_{0y}^2 &= v_0^2 \\ v_{0y} &= \sqrt{v_0^2 - v_x^2} \\ v_{0y} &= \sqrt{(5\text{m/s})^2 - (3\text{m/s})^2} = 4\text{m/s} \end{aligned}$$

We chose the origin at the beginning of the jump, so that Ethan's x and y coordinates at time $t = 0$ are $x_0 = 0$ and $y_0 = 0$, respectively. Once Ethan is in the air, there will be no acceleration in the x direction, and the only acceleration is in the y direction and will be that due to gravity. Ethan's position at any time t can be described by the following equations:

$$\begin{aligned} x(t) &= v_x t \\ y(t) &= v_{0y} t - \frac{1}{2} g t^2 \end{aligned}$$

where g is the acceleration due to gravity, $g = 9.8\text{m/s}\hat{}2$.

We want to determine the value of $x(t)$ when the vertical displacement, $y(t)$, is equal to the height of the hurdle, h. We thus find the value of t when $y = 0.5\text{m}$ and then find the value of x at that time.

We can re-arrange the equation for $y(t)$ and solve the resulting quadratic for t (we get two solutions):

$$\begin{aligned} 0 &= -\frac{1}{2} g t^2 + v_{0y} t - h \\ 0 &= \frac{1}{2}(-9.8\text{m/s}^2) t^2 + (4\text{m/s}) t - 0.5\text{m} \\ t &= 0.15\text{s}, \quad 0.66\text{s} \end{aligned}$$

The jump will be a parabola, and Ethan will cross a height of 0.5m twice, once on the way up, and once on the way down. We want to know when Ethan reaches 0.5m for the first time (on the way up), so we choose $t = 0.15\text{s}$. The horizontal displacement at this time is:

$$\begin{aligned} x &= v_x t \\ &= (3\text{m/s})(0.15\text{s}) \\ &= 0.45\text{m} \end{aligned}$$

Therefore, he can get as close as 0.45m from the hurdle before he has to jump, if his initial horizontal velocity is 3m/s.

b. Ethan's motion follows a parabolic shape. At the maximum height, Ethan's vertical velocity is equal to zero. We can model only the vertical part of the motion to solve for the value of y when $v_y = 0$. We know the following quantities:

$$\begin{aligned} v_{0y} &= 4\text{m/s} \\ v_y &= 04.7.m/s \\ g &= 9.8\text{m/s}^2 \end{aligned}$$

The easiest way to determine y is to use the formula,

$$\begin{aligned} v_y^2 &= v_{0y}^2 - 2g(y - y_0) \\ \therefore y &= \frac{v_y^2 - v_{0y}^2}{(-2g)} \end{aligned}$$

Substituting our values for v_y, v_{0y}, and g, we get:

$$\begin{aligned} y_{max} &= \frac{(-4\text{m/s})^2}{(2)(-9.8\text{m/s}^2)} \\ y_{max} &= 0.82\text{m} \end{aligned}$$

Ethan reaches a maximum height of 0.82m

? Exercise 4.7.2

A cowboy swings a lasso above his head. The lasso moves at a constant speed in a circle of radius 1.5m in the horizontal plane. A hawk flies toward the lasso at 50km/h. The hawk sees the end of the lasso moving at 60km/h when the lasso is directly in front of it (see Figure 4.7.2). In the reference frame of the cowboy ...

a. How long does it take for the lasso to complete one revolution? (Hint: From the point of view of the hawk, the lasso is moving towards him in addition to moving in a circle. You will have to use your knowledge of relative motion to solve this problem!)
b. What is the centripetal acceleration of the end of the lasso?
c. What is the angular acceleration of the lasso?

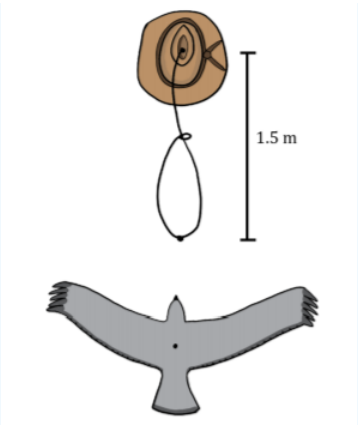

Figure 4.7.2: The problem as viewed from above. This diagram depicts the moment that the end of the lasso passes in front of the hawk.

Answer

a. We need to determine the speed of the end of the lasso in the cowboy's frame of reference, knowing its speed in the hawk's frame of reference and knowing the velocity of the hawk. Once we know the speed of the lasso in the cowboy's frame of reference we can easily determine how long it takes to complete one revolution (its period).

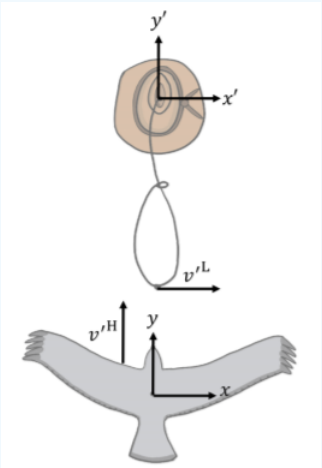

Figure 4.7.3: The two coordinate systems are aligned so that positive y' and positive y are in the same direction. The velocity vectors of the hawk and the lasso in the reference frame of the cowboy are shown.

We start by introducing coordinate systems for the hawk (xy) and the cowboy ($x'y'$), and choose for the x (y) and x' (y') axes to be parallel. We choose the axes such that x is to the right (when seen from above, as in Figure 4.7.3) and y is in the direction of motion of the hawk as seen in the cowboy's reference frame. The velocity vector of the hawk in the cowboy's frame of reference is:

$$\vec{v}'_H = v'_H \hat{y} = (50km/h)\hat{y}$$

In the hawk's frame of reference, the lasso will have a y component of velocity in the negative y direction with the same magnitude as the speed of the hawk, and an unknown component, v_{Lx}, in the x direction. The velocity of the lasso in the hawk's frame of reference is:

$$\vec{v}_L = v_{Lx}\hat{x} - v'_H \hat{y}$$

However, we know the speed of the lasso in the hawk's frame of reference ($v_L = 60\text{km/h}$), so we can easily find v_{Lx}:

$$v_{Lx} = \sqrt{v_L^2 - v_H'^2} = \sqrt{(60\text{km/h})^2 - (50\text{km/h})^2} = 33.17\text{km/h}$$

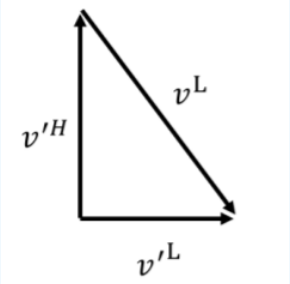

Figure 4.7.4: Vector addition to determine the velocity of the lasso in the cowboy's reference frame.

In the cowboy's frame of reference, the lasso will have a velocity vector (Figure 4.7.4), $\vec{v}'_L$, given by:

$$\begin{aligned}\vec{v}'_L &= \vec{v}'_H + \vec{v}_L \\ &= v'_H\hat{y} + v_{Lx}\hat{x} - v'_H\hat{y} \\ &= v_{Lx}\hat{x} = (33.17\text{km/h})\hat{x}\end{aligned}$$

That is, in the cowboy's frame of reference, the lasso has a velocity that is in the x direction. This corresponds to the speed, v_s, of the end of the lasso in uniform circular motion about a circle of radius $R = 1.5\text{m}$. We can thus find the time required for one revolution to be:

$$v_s = \frac{2\pi R}{T}$$

$$\therefore T = \frac{2\pi R}{v_s} = \frac{2\pi(1.5\text{m})}{(33.17\text{km/h})} = \frac{2\pi(1.5\text{m})}{(9.2\text{m/s})} = 1.02\text{s}$$

where we converted the speed into m/s before determining the time.

b. The motion is uniform circular motion, so it has a centripetal acceleration given by

$$a_c(t) = \frac{v_s^2(t)}{R}$$

To find the centripetal acceleration of the end of the lasso, we just user our values for v_s and R.

$$a_c(t) = \frac{(9.2\text{m/s})^2}{1.5\text{m}} = 56\text{m/s}^2$$

c. The angular acceleration of the lasso is zero. The angular acceleration refers to the rate of change of the angular velocity (the rate at which the lasso rotates), which is constant for uniform circular motion.

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CHAPTER OVERVIEW

5: Newton's Laws

Learning Objectives

- Understand Newton's Three Laws.
- Understand the concept of force and how to identify a force.
- Understand the concepts of mass and inertia.
- Understand how to draw free-body diagrams.

In this chapter, we introduce Newton's Laws, which is a succinct theory of physics that describes an incredibly large number of phenomena in the natural world. Newton's Laws are one possible formulation of what we call "Classical Physics" (as opposed to "Modern Physics" which include Quantum Mechanics and Special Relativity). Newton's Laws make the connection between dynamics (the causes of motion) and the kinematics of motion (the description of that motion).

prelude

You are at the supermarket, pushing a cart full of groceries. To keep the cart moving, you notice that you have to keep applying a force to the cart. You conclude that a continuous force is needed for continuous motion. This statement is,

A. True, since the natural state of all objects is to be at rest. Eventually, all objects will be at rest, so to keep an object moving, a force needs to be applied.
B. False. The force you apply to keep an object moving is only to counteract a frictional force.

5.1: Newton's Three Laws
5.2: Force
5.3: Mass and inertia
5.4: Exploring Forces with PhET
5.5: Applying Newton's Laws
5.6: The acceleration due to gravity
5.7: Non-inertial frames of reference and inertial forces
5.8: Summary
5.9: Thinking about the Material
5.10: Sample problems and solutions

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5.1: Newton's Three Laws

Newton's classical theory of physics is based on the three following laws:

- **Law 1**: An object will remain in its state of motion, be it at rest or moving with constant velocity, unless a net external force is exerted on the object.
- **Law 2**: An object's acceleration is proportional to the net force exerted **on the object**, inversely proportional to the mass of the object, and in the same direction as the net force exerted on the object.
- **Law 3**: If one object exerts a force on another object, the second object exerts a force on the first object that is equal in magnitude and opposite in direction.

The three statements above are sufficient to describe almost all of the natural phenomena that we experience in our lives. Concepts such as energy, center of mass, torque, etc, which you may have already encountered, are derived naturally from these three laws. In order to build models to describe specific experiments or observations using Newton's Laws, one needs to understand the two main mathematical concepts that are introduced by the theory: force and mass. A few comments on each of the three laws are first provided before the concepts of force and mass are developed further.

Newton's First Law

Newton's First Law is often referred to as the law of inertia which was originally stated by Galileo. The first law is counter-intuitive, as our experience is that if you push a block on a table and let it go, it will eventually stop. Indeed, Aristotle proposed that the natural state of objects is to be at rest. As a result of Newton's theory, we now understand that if you model a block sliding on a table, one must include a force of friction between the table and the block that acts to slow it down; a sliding block is thus not in a situation where no net external force is exerted on the object.

Newton's First Law is useful in defining what we call an "inertial frame of reference", which is a frame of reference in which Newton's First Law holds true. A frame of reference can be thought of as a coordinate system which can be moving. For example, if a train is moving with constant velocity, we can consider the train as an inertial frame of reference since objects in the train would follow Newton's First Law for observers that are in the train. If a train passenger placed an object on a table, they would observe that the object does not spontaneously start moving; if they slide an object on a frictionless table, they would observe that it keeps on sliding at constant velocity.

However, if the train is accelerating forwards, then an object placed on a frictionless table would appear, for observers in the train's frame of reference, to be accelerating in the direction opposite to that of the train, and violate Newton's First Law. An accelerating train is thus not an inertial frame of reference. To an observer on the ground, looking into the accelerating train through a window, the object placed on the table would appear to move with the same constant velocity as when it was placed on the table (the velocity of the train at the instant the object is placed on the table). In a similar way, when you are in a car, Newton's First Law holds if the car is going at constant velocity, but if the car goes around a curve (and thus accelerates even its speed is constant), you will find that all objects in the car suddenly appear to be pushed towards the outside of the curve, in conflict with Newton's First Law; this is because the accelerating car is not an inertial frame of reference and Newton's First Law is thus not expected to hold.

Newton's First Law thus allows us to define an inertial frame of reference; Newton's Three Laws only hold in inertial frames of reference.

? Exercise 5.1.1

You are in an elevator accelerating upwards.

A. The elevator is an inertial frame of reference.
B. The elevator is not an inertial frame of reference.

Answer

B.

Newton's Second Law

Newton's Second Law is often written as a vector equation:

$$\sum \vec{F} = m\vec{a}$$

where $\sum \vec{F}$ is the vector sum of the forces exerted on an object, $\vec{a}$ is the acceleration vector of the object, and m is the "inertial mass" of the object. As we will see, a force is represented by a vector, and the sum of the force vectors on an object is often called the "net force". Recall that using vectors to write an equation is just a shorthand for writing the equation out for each component. In three dimensions, this would thus correspond to three independent scalar equations (one for each component of the force and acceleration vectors):

$$\sum F_x = ma_x$$
$$\sum F_y = ma_y$$
$$\sum F_z = ma_z$$

Newton's Second Law is the foundation for Classical Physics, in which we seek to quantitatively describe the motion of any object. The motion of an object is fully specified by its acceleration as long as we know the position and velocity at a specific point in time. That is, by knowing the position and velocity of the object at a point in time and its acceleration, we can describe its motion both in the future and in the in past; we call Classical Physics a deterministic theory (as opposed to, say, Quantum Mechanics, which would only tell us the probability that a particle would be at some particular position in the future). The right-hand side of Newton's Second Law thus contains the kinematic description of the object; if we know the acceleration, we know everything about the motion of the object.

The left-hand side of the equation contains all of the "dynamics" to describe the object; force is the tool that Newton introduced in order to be able to determine the acceleration of an object. Newton's Second Law thus tells how to determine the kinematics of an object by using the concept of forces; it relates the dynamics to the kinematics. Having already covered kinematics, we will now focus on understanding dynamics and how to develop models that allow us to calculate the net force on an object. The inertial mass, m, is a specific property of an object that tells us how large an acceleration it will experience based on a given net force. Thus, objects with different masses will experience different accelerations if subject to the same net force.

? Exercise 5.1.1

Object 1 has twice the inertial mass of object 2. If both objects have the same acceleration vector.

A. The net force on both objects is the same.
B. The net force on object 1 is twice that on object 2.
C. The net force on object 1 is half of that on object 2.

Answer

B.

Newton's Third Law

Newton's Third Law relates the forces that two objects exert on each other. It is important to understand that the forces that are mentioned in the Newton's Third Law are exerted on *different* objects. If object A exerts a force on object B, then object B will also exert a force on object A. The two forces have the same magnitude but opposite directions. Sometimes, the forces are called "action" and "reaction" forces, although this is misleading, because it makes it sound like the reaction force is "in response to" some voluntary action force. However, inanimate objects can exert forces, and so this can lead to needless confusion as to which force is the reaction force.

It does not matter which force you choose to call the action (reaction) force. If a block is pushing down on a table (action force), then the table is pushing up on the block (reaction force). However, one could just as well say that the table is pushing up on the block (action force) so the block is pushing down on the table (reaction force). It does not matter which force you call the action force. This can be confusing, because if you choose to push on a wall (exerting an action force), then the wall exerts a force on you (the reaction force). If you choose not to push on the wall (exerting no force), then the wall does not exert the reaction force. This leads to people thinking that the reaction force is in response to an action force exerted by a sentient being, which is not the case. You can call the force that you choose to exert on the wall the reaction force and Newton's Laws will still work just as well!

Newton's Third law often leads to confusion when Newton's Second Law is applied. Recall that Newton's Second Law involves the sum of the forces on a particular object (the "net force" on that object). The **two forces that are mentioned in Newton's Third Law are not exerted on the same object**, so they would never appear together in the sum of the forces from Newton's Second Law, and they never cancel each other.

? Exercise 5.1.3

You push a heavy block in the North direction. The block is twice as heavy as you are. Which statement is true?

A. The block exerts half of the force on you, in the North direction.
B. The block exerts the same force on you, but in the South direction.
C. The block exerts double of the force on you, in the South direction.
D. The block is inanimate and thus does not exert a force on you.

Answer

B.

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5.2: Force

A force is a mathematical tool that is introduced in Newton's theory of physics. A force is not a real "thing"; there are no forces in the real world, you cannot give someone a force, or buy a force at the supermarket. A force is a purely mathematical tool, so it is important to fight your intuition about what a force is and to stick to well-defined rules for identifying forces to build models.

Mathematically, a **force is represented by a vector**, and thus has a magnitude and a direction. The SI unit for the magnitude of a force is the "Newton", abbreviated, N. A force is used to describe how the motion of an object is affected by external agents. It is important to note that a force can be exerted by an inanimate being; that is, there is no intent - no conscious decision to push or pull - associated with a force.

When you push a block along a horizontal surface, we would model the motion of the block as being related to a force that you exert on the block in the direction that you are pushing and with a magnitude that is proportional to how hard you are pushing. Newton's Third Law states that the block will exert a force on you that is of equal magnitude but in the opposite direction; if we want to model *your motion*, we will need to include that force exerted by the block *on you*.

If you are pulling on a cart, we would model the motion of the cart by including a force that is exerted on the cart by you. The force would be represented by a vector in the direction that you are pulling with a magnitude based on how hard you are pulling. Similarly, to model your motion, we would include a force vector that is equal in magnitude and opposite in direction to represent the force exerted by the cart on you. When modeling the motion of an object, it is important to consider only the forces exerted on that object.

One way to quantify a force is to use a spring scale. Springs have a natural "rest length" if not acted upon by external forces. If you try to stretch a spring, it will "want" to come back to its normal rest length; it exerts a force on your hand in the opposite direction from the one you are pulling on the spring. You may have noticed that the more you stretch a spring, the harder you have to pull on it. We can quantify the magnitude of a force by the distance that the forces causes a spring to stretch, since that distance increases with what we conceptualize as a force. For example, one could designate a "standard spring" to be one that extends (or compresses) by $1\mathrm{cm}$ when a force of $1\mathrm{N}$ is exerted on the spring in the direction co-linear with the axis of the spring. We could then use that "standard spring" to measure the magnitude of any force.

Types of forces

When modeling the dynamics of an object, we need to identify all of the forces exerted on that object. Some of the forces can be classified as "contact forces" as they arise from something making contact with the object (such as you pushing on the object). Other forces can be exerted "at a distance"; for example, the force of gravity from the Earth can be exerted on a bird in flight, even if the bird is not in contact with the Earth. In reality, contact forces arise because the electrons from two objects repel each other. When you push against a wall, the reason that you feel a resistance is because the electrons on your hand are repelled by the electrons on the wall; you never actually "touch" the wall[1]!

In this section, we list and describe the most common types of forces that arise when modeling the motion of an object. When determining the forces that are acting on an object, it is usually a good idea to run down this list to see if any of these forces should be included. Again, try to fight your intuition about what a force "feels" like and instead be objective in determining whether any of the forces below should be included based on their characteristics.

Weight

Weight is the force exerted by gravity. While all objects with mass exert an attractive force of gravity on all other objects with mass, that force is usually negligible unless the mass of one of the objects is very large. For an object near the surface of the Earth, we can, to a very good degree of approximation, assume that the only force of gravity on the object is from the Earth. We usually label the force of gravity on an object as $\vec{F}_g$. All objects near the surface of the Earth will experience a weight, as long as they have a mass. If an object has a mass, m, and is located near the surface of the Earth, it will experience a force (its weight) that is given by:

$$\vec{F}_g = m\vec{g}$$

where $\vec{g}$ is the Earth's "gravitational field" vector and **points towards the center of the Earth**. Near the surface of the Earth, the magnitude of the gravitational field is approximately $g = 9.8\mathrm{N/kg}$. The gravitational field is a measure of the strength of the force of gravity from the Earth (it is the gravitational force per unit mass). The magnitude of the gravitational field is weaker as you

move further from the center of the Earth (e.g. at the top of a mountain, or in Earth's orbit). The gravitational field is also different on different planets; for example, at the surface of the moon, it is approximately $g_m = 1.62\text{N/kg}$ (six times less) - thus the weight of an object is six times less at the surface of the moon (but its mass is still the same). As we will see, the magnitude of the gravitational field from any spherical body of mass M (e.g a planet) is given by:

$$g(r) = G\frac{M}{r^2}$$

where $G = 6.67 \times 10^{-11}$ is Newton's constant of gravity, and r is the distance from the center of the object.

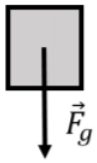

Figure 5.2.1: The weight force on an object near the surface of the Earth points towards the center of the Earth (downwards).

Although we have not yet introduced the concept of mass, it is worth emphasizing that mass and weight are different (they have different dimensions). Mass is an intrinsic property of an object, whereas weight is a force of gravity that is exerted on that object because it has mass and is located next to another object with mass (e.g. the Earth). On Earth, when we measure our weight, we usually do so by standing on a spring scale, which is designed to measure a force by compressing a spring. We are thus measuring mg, which can easily be related to our mass since, on Earth, weight and mass are related by a factor of $g = 9.8\text{N/kg}$; this is usually what leads to the confusion between mass and weight.

? Exercise 5.2.1

A person standing on a scale finds that they weigh 80kg.

A. They exert an upwards force on the Earth with a magnitude of 80N.
B. They exert an upwards force on the Earth with a magnitude of 784N.
C. They exert an downwards force on the Earth with a magnitude of 80N.
D. They exert an downwards force on the Earth with a magnitude of 784N.
E. They exert no force on the Earth.

Answer

B.

Normal forces

Normal forces are exerted when two surfaces are in contact and "pushing" against each other. For example, if a block is resting on a horizontal table, the table will exert a normal force on the block that is upwards. The force is called "normal" because it is normal (i.e. perpendicular) to the interface between the two objects. The normal force exerted by a surface onto an object points in the direction **from the surface to the object** in such a way that it is perpendicular to the interface between the surface and the object. Because of Newton's Third Law, whenever an object experiences a normal force from a surface, the object also exerts a force of the same magnitude (in the opposite direction) on the surface. The magnitude of the normal force exerted by a surface onto an object, in general, depends on the other forces that are exerted on the object. For example, if a block is on a table, it will experience a stronger normal force if you exert a downwards force on the block.

Figure 5.2.2 shows two examples of the normal force on a block that is exerted by a surface (it is explicitly assumed that the block also experiences a downwards force from gravity that is not shown). In both cases, the normal force, $\vec{N}$, is perpendicular to the interface and in the direction that goes from the interface towards the object.

Figure 5.2.2: The normal force, $\vec{N}$, exerted by a horizontal surface on a block (left side) and by an inclined surface (right side). In both cases, the normal force on the object is perpendicular to the interface between the object and the surface and points in the direction from the interface towards the object.

Frictional forces

A frictional force can exist at the interface between two surfaces and is always perpendicular to the normal force that corresponds to that interface. A frictional force is used to model the resistance that is felt when one tries to slide an object along a surface. The frictional force is used to model the details of how two surfaces interact at a microscopic level; since surfaces are never perfectly flat, two surfaces will never slide without resistance as the various bumps and valleys of the two surfaces will interact (Figure 5.2.3). Furthermore, even if the two surfaces were perfectly smooth, the electrons on the two surfaces would still interact and lead to an effective force when one surface moves with respect to the other.

Figure 5.2.3: Illustration that the frictional force between surfaces can be thought of as arising from microscopic imperfection in the surfaces, although even two perfectly smooth surfaces would still interact.

One distinguishes between two types of frictional forces: kinetic and static, depending on whether the surfaces are sliding with respect to each other (kinetic) or not (static). Because of Newton's Third Law, the objects associated with each surface will both experience a frictional force (same magnitude, opposite direction).

The frictional force exerted on an object is always parallel to the surface of the object. For the kinetic force of friction, the force is exerted in the direction that is opposite to the motion of the object relative to the surface. For the static force of friction, the force is exerted in the direction that is opposite to the *impeding motion*. If a block is sliding towards the right on a table (Figure 5.2.4, left), it will experience a kinetic force of friction that is to the left. The table will then experience a force of friction that is to the right (Newton's Third Law). If there is a heavy crate on the ground which you try to push but does not move (Figure 5.2.4, right), there is a force of static friction exerted by the ground on the object that is in the opposite direction that you are pushing.

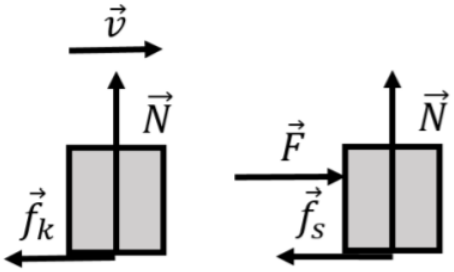

Figure 5.2.4: (Left:) A block sliding to the right on a horizontal surface (not shown). The force of kinetic friction, $\vec{f}_k$, is always perpendicular to the normal force and opposite of the direction of motion. (Right:) A block that is being acted upon by an external force $\vec{F}$ to the right. A force of static friction, $\vec{f}_s$, is perpendicular to the normal force and opposite the direction of "impeding motion" - without the force of static friction, the block would start to accelerate towards the right, so the force of static friction is to the left.

One key difference between the forces of static and kinetic friction is that the magnitude of the force of static friction can vary in magnitude; the force of static friction on the crate increases as you push harder, until you push hard enough to overcome the maximal force of static friction that can exist between the ground and the crate. Often, the force of kinetic friction is smaller than the static force of friction; you may have noticed that you have to push very hard to get an object sliding, but once it is sliding, you do not need to push as hard to keep it moving.

The magnitude of the kinetic force of friction between two surfaces, f_k, is modeled as being proportional to the normal force between the two surfaces:

$$f_k = \mu_k N$$

where μ_k is called the "coefficient of kinetic friction" and depends on the two surfaces. If you push down on an object, it is more difficult to slide it along a surface, because the normal force, and thus the kinetic friction force increases.

Similarly, the maximum magnitude of the force of static friction between to surfaces, f_s, is modeled as:

$$f_s \leq \mu_s N$$

where μ_s is called the "coefficient of static friction" and the inequality sign is used to indicate that the force of static friction has a maximum value, but that its magnitude depends on the other forces being exerted on the object. For example, if you do not push against a crate on a horizontal surface, there is no force of static friction on the crate (as long as no other forces are exerted that are parallel to the surface).

Tension forces

Tension forces are "pulling" forces that are applied by a rope or other non rigid media (e.g. a chain) which cannot usually be used to push[2]. If you attach a rope to a crate and use the rope to pull the crate, we call the force exerted by the rope onto the crate a force of tension.

When you pull on a rope that is attached to a wall at the other end, we say that the rope is under tension, or that the tension force is present throughout the rope. If you pull really hard on the rope, it is harder to displace the center of the rope (or any other point) than if you did not pull on the rope at all. It thus makes sense to view the tension as being present throughout the rope. The force of tension that a rope can apply onto an object depends on what is pulling on the rope at the other end. A rope can be used to change the direction of a force, as illustrated in *Figure* 5.2.5, which shows a pulley and rope being used to lift a block vertically by applying a horizontal force, $\vec{F}$, to the rope.

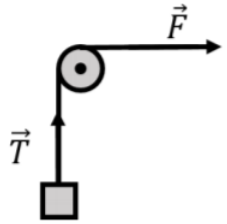

Figure 5.2.5: A force $\vec{F}$ is applied to a rope, which goes around a pulley and is attached to a crate. The rope exerts a force of tension $\vec{T}$ on the crate. If the pulley and rope are massless, then the magnitude of the applied force is equal to that of the tension force, and the rope and pulley effectively allow one to change the direction of the applied force vector.

The same tension is present throughout sections of the rope that can move freely. Imagine a rope lying on the ground and someone pressing down with their foot on the rope at its midpoint. If you pull on one end of the rope with your hand, there will be a tension in the section of the rope between your hand and the foot that is pressing on the rope, but the other side of the rope will be slack; the tension is thus different in different sections of the rope. As we will see in later chapters, if a rope goes around a pulley that is accelerating and has mass, then the tension in the rope on either side of the pulley is different; this is similar to the tension being different on either side of the foot pressing down on the rope.

Drag forces

Drag forces are exerted on an object that is moving through a fluid (a gas or a liquid). As an object moves through a fluid, the fluid must be displaced which results in a net force opposing the motion of the object. Drag forces are thus always in the opposite direction of the motion of the object relative to the fluid, similar to friction. Often, one hears the term "air friction" which refers to the drag force experienced by an object that is moving through the air.

There is no good general model for calculating the magnitude of the drag force on any object moving through any fluid. This usually has to be measured; while good software exist for simulating drag, you will still ultimately need to test your new airplane design in a wind tunnel to measure the drag force.

The magnitude of the drag force generally depends on the cross-section of the object (the area of the object as seen when looking at the object in the direction of motion), the speed of the object, and the viscosity of the fluid (how difficult it is to displace the fluid). For small objects moving relatively slowly through a fluid (e.g. pollen falling through the air), the drag force is usually proportional

to the object's speed, whereas for larger objects moving faster through a fluid (e.g a car or airplane moving through the air) the drag force is usually proportional to the speed of the object squared.

Spring forces

Spring forces are those forces that are exerted by those materials and objects that can be compressed or extended. A common example is a simple coil spring, which has a natural rest length. If the spring is extended, the spring will exert "restoring forces" on both ends of the spring that are directed towards the center of the spring. If the spring is compressed, the spring will exert restoring forces that point away from the center of the spring. In either case, the spring will exert forces that would allow it to come back to its rest length.

Most springs, if they are not stretched or compressed too much, will exert a restoring force that is given by Hooke's Law:

$$\vec{F} = -kx\hat{x}$$

where $\vec{F}$ is the force exerted by the spring, k is called the "spring constant" of the spring, and x is the amount that the spring has been stretched or compressed. The negative sign indicates that the restoring force from the spring will be in the opposite direction that the spring length was changed, and the x axis is defined to be co-linear with the axis of the spring and the origin is located where the spring is at rest. This is illustrated in Figure 5.2.6.

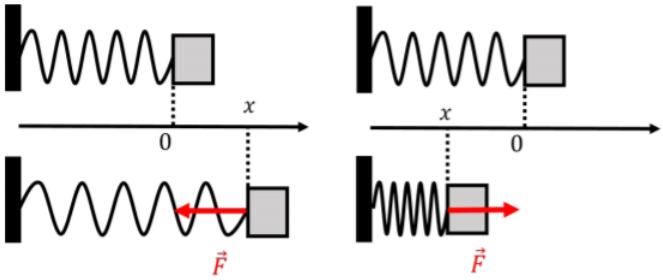

Figure 5.2.6: A spring is attached to a fixed wall on its left and to a movable block on its right. The x axis is chosen to describe the position of the end of the spring where the block is attached and the origin corresponds to the point where the spring is not extended or compressed (the top row). The x axis is chosen so that positive values of x correspond to the spring being extended. On the bottom left, the spring is extended by a distance x (the position of the block has positive x), and the force from the spring on the block is in the negative x direction. On the bottom right, the spring is compressed (the position of the block has negative x), and the force from the spring is in the positive x direction.

? Exercise 5.2.2

In Figure 5.2.6, we chose the positive x axis to correspond to positions where the spring is extended and verified that Hooke's Law ($\vec{F} = -kx\hat{x}$) holds. If we had chosen the positive direction to correspond to compression (positive x to the left), would Hooke's Law still correctly describe the direction of the force exerted by the spring on the block?

A. Yes.
B. No.

Answer

A.

Inertial forces

Inertial forces are exerted on an object when the forces on the object are modeled in a non-inertial frame of reference. For example, in the frame of reference of an accelerating elevator, or that of a car going around a curve, one can use Newton's Three Laws to model motion, if an additional inertial force is included. In a frame of reference that has an acceleration given by $\vec{a}$, an inertial force $-m\vec{a}$ is exerted on an object. This is the nature of the outwards force that is felt when your car goes around a curve, or the perception of being weightless in an elevator that has a large downwards acceleration. We will discuss inertial forces in more detail in Section 5.6.

“Applied” forces

“Applied” forces is just a general “catch-all” term for specifying forces that are not described above. For example, the force applied by a person onto an object is often referred to as an applied force.

Footnotes

1. As a matter of fact, it is impossible to ever touch anything, you can just get really close!

2. If you attached a rigid rod to an object and pulled on the rigid rod, you could call the force exerted by the rod on the object a force of tension, even if the rod is rigid.

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5.3: Mass and inertia

Mass is a property of an object that quantifies how much matter the object contains. In SI units, mass is measured in kilograms. One kilogram is defined to be the mass of a cylinder that is made of a platinum-iridium alloy that is kept at the international Bureau of Weights and Measures, in France. All other masses are obtained by comparison to this standard. In 2019, all base S.I. quantities (such as the kilogram) were redefined based on constants of nature (for example, the kilogram is now defined such that Planck's constant has the exact value $h = 6.62607015 \times 10^{-34} \mathrm{kg} \cdot \mathrm{m}^2 \cdot \mathrm{s}^{-1}$).

Newton's Second Law introduces the concept of mass as that property of the object that determines how large of an acceleration it will experience given a net force exerted on that object. In principle, one can compare the accelerations of different bodies to that of the international standard to determine their mass in kilograms. For example, under a given net force, if an object's acceleration is half of that of the standard kilogram, the object has a mass of $2\mathrm{kg}$.

In the context of Newton's Second Law, mass is a measure of the inertia of an object; that is, it is a measure of how that particular object resists a change in motion due to a force (we can think of a large acceleration as a large change in motion, as the velocity vector of the object will change more). For this reason, the mass that appears in Newton's Second Law is referred to as "inertial mass".

As you recall, the weight of an object is given by the mass of the object multiplied by the strength of the gravitational field, $\vec{g}$. There is no reason that the mass that is used to calculate weight, $F_g = mg$, has to be the same quantity as the mass that is used to calculate inertia $F = ma$. Thus, people will sometimes make the distinction between "gravitational mass" (the mass that you use to calculate weight and the force of gravity) and "inertial mass" as described above. Very precise experiments have been carried out to determine if the gravitational and inertial masses are equal. So far, experiments have been unable to detect any difference between the two quantities. As we will see, both Newton's Universal Theory of Gravity and Einstein Theory of General Relativity assume that the two are indeed equal. In fact, it is a key requirement for Einstein's Theory that the two be equal (the assumption that they are equal is called the "Equivalence Principle"). You should however keep in mind that there is no physical reason that the two are the same, and that as far as we know, it is a coincidence!

Unless stated otherwise, we will not make any distinction between gravitational and inertial mass and assume that they are equal. We will simply use the term "mass" and only clarify the type of mass when relevant (e.g. when we cover gravity).

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5.4: Exploring Forces with PhET

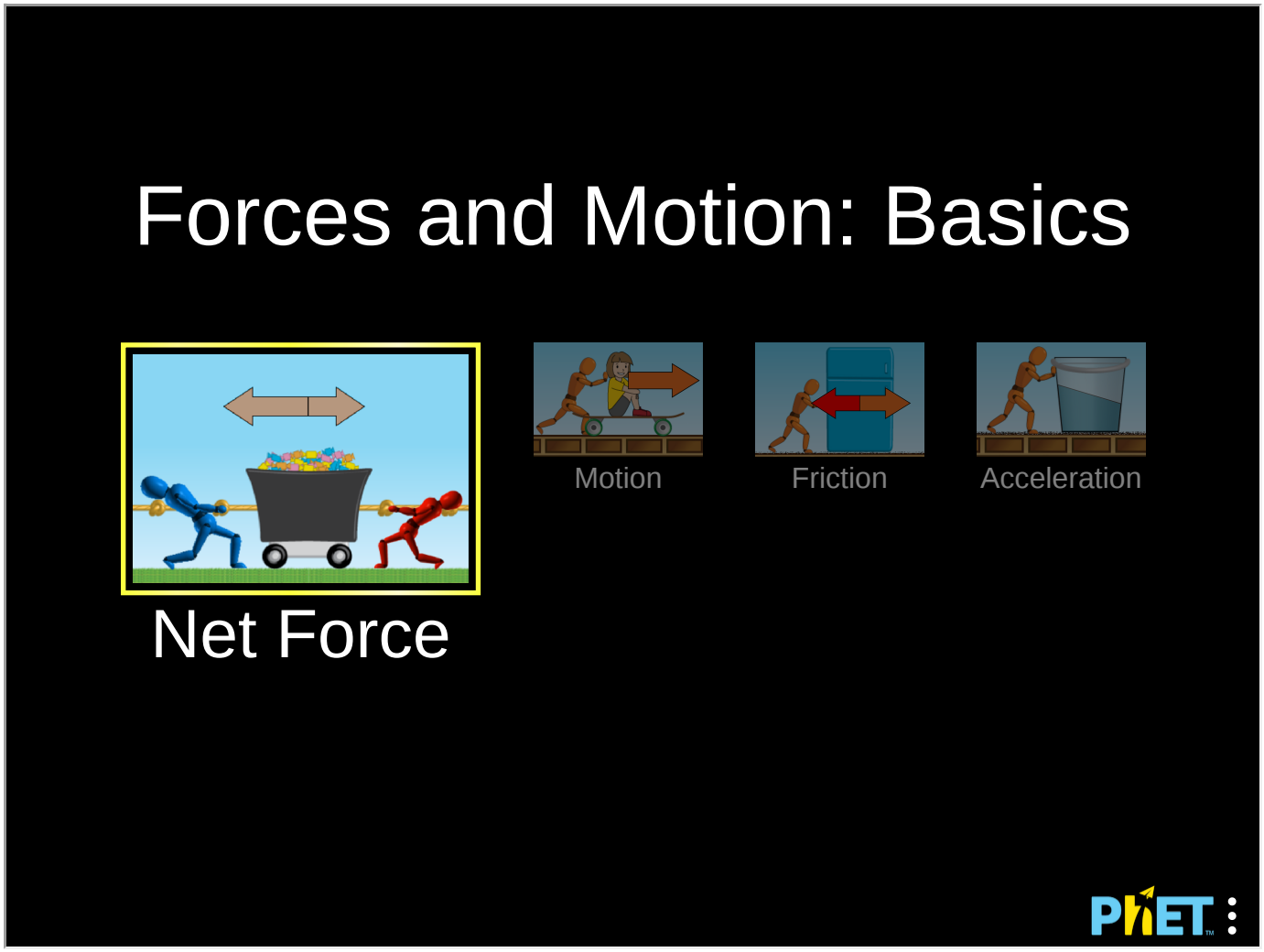
In the PhET simulation below, choose the “Motion” tab to start the simulation. Make sure the boxes that say “Force”, “Values” and “Speed” are checked!

5.4.1: Newton's First Law

1. Apply a force of 50 N right to the box. Describe the motion while the force is exerted of the box using physics terms (i.e. velocity, acceleration, displacement). Refer to the speedometer in your answer.
2. Reset the scenario (don’t forget to check forces, speed again). Apply a force of 50 N to the right for about 5 seconds then reduce the applied force to zero (the "dummy" should stop pushing). Don’t reset the scenario. Describe the motion of the box. Refer to the speedometer in your answer.
3. Apply a force of 50N to the left. Describe the motion of the box.
4. With the box moving at constant velocity. Explain the exact steps needed to make the box come to a stop. Do this for two different stopping forces and explain the differences.

5.4.1.1: Summary

Newton’s First Law of Motion States “An object at rest stays at rest and an object in motion stays in motion with the same speed and in the same direction unless acted upon by an unbalanced force.” Explain how your observations above support this Law.



5.4.2: Newton's Second Law

Reset the sim, don’t forget to check force, values and speed again. Remove the box and place a garbage can on top of the skateboard. Using a timer (on your phone, for example), measure the amount of time it takes to reach maximum speed using a force of 50 N. Try this again with forces of 100N, and 200N. Enter your results in a table like the one below.

Applied Force (N)	Time to Max Speed (s)	Acceleration (m/s^2)
50		

100		
200		

The maximum velocity is 40 m/s. Can you determine the acceleration of each applied force using the definition of acceleration $a = \Delta v / \Delta t$? Can you determine the mass of the trash can and skateboard using Newton's 2nd Law, $F = ma$?

5.4.2.1: Trends

In Excel, plot force on the y-axis and acceleration on the x-axis. Add a linear trendline, and show the equation. How does the value of the slope compare to the mass you calculated? Can you explain this?

5.4.2.2: Summary

Newton's Second Law states "The acceleration of an object as produced by a net force is directly proportional to the magnitude of the net force, in the same direction as the net force, and inversely proportional to the mass of the object." Explain how your observations above support this Law.

5.4.3: Frictional Effects

The behavior of the skateboard in Part I and part II were not very realistic because friction was not present. At the bottom of the screen is a simulation that includes friction. Select this simulation.

1. Set friction to "none". Notice how the screen changed. Why do you think the app designers did that?
2. Make sure that only speed box is checked.
    a. Apply a force to get the box to about half of it's maximum speed, then remove the force.
    b. While the box is moving, move the friction slider to 1/2 way.

What happened to the box?

5.4.3.1: Summary

Is friction a force? What evidence do you have?

5.4.4: Friction and Newton's Second Law

Reset the Friction app. Make sure Forces and Speed are checked.

1. Apply a force of 50 N. Describe the movement of the box.
2. Apply a force of 100 N. Describe the movement of the box.
3. Apply a force of 150 N. Describe the movement of the box.
4. Check the box that says "Sum of Forces". Repeat procedures 1, 2, and 3. What was different about 3?

5.4.4.1: Summary

Newton's Second Law states "The **acceleration of an object as produced by a net force** is directly proportional to the magnitude of the net force, **in the same direction as the net force**, and inversely proportional to the mass of the object." Explain how your observations relate to the underlined portion of this Law (hint, you might want to look up the definition of the word "net").

Template:HypTest

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5.5: Applying Newton's Laws

Now that we have introduced all of the concepts from Newton's Theory of Classical Physics, we present some general strategies for building models that use the theory. Recall that if we can describe the motion of all objects of interest to us, we have described everything that we can. Newton's Second Law allows us to determine the acceleration of an object based on the net force acting on the object. Once we have determined the accelerations of all objects of interest we have built a complete model.

The most important step in applying Newton's Theory is to identify the forces that are exerted on an object. The most important step in applying Newton's Theory is to identify the forces that are exerted on an object. The most important step in applying Newton's Theory is to identify the forces that are exerted on an object. Now that you have read it three times, you realize this step is important, right?!

The strategy for building a model for the motion of an object using Newton's Theory is straightforward:

1. Identify an inertial frame of reference in which to build the model.
2. Identify the forces acting on the object (did we mention that this step is important?).
3. Draw a free-body diagram.
4. Apply Newton's Second Law.

Identifying the forces

The first step in applying Newton's theory is to identify all of the forces that are acting on an object. This can be done by asking yourself: "what could possibly be pushing or pulling on the object?", as well as running through the list of forces that we enumerated in Section 5.2 to identify if any of them are relevant here. For easy reference, we reproduce the types of forces here and include some questions that you might ask yourself to decide whether or not to include the corresponding force:

- Weight (is the object near the surface of a planet?).
- Normal forces (is the object in contact with any surface? There could be more than one!).
- Frictional forces (are there static or kinetic friction forces associated with the normal forces?).
- Tension forces (is something like a rope pulling on the object?).
- Drag forces (is the object moving through a fluid?).
- Spring forces (is there a spring pushing or pulling on the object?).
- Applied forces (is anything else pushing or pulling on the object?).

Example 5.5.1

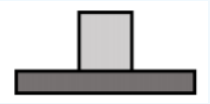

Figure 5.5.1: A block on a horizontal table.

A block of mass m is at rest on a horizontal table, as shown in Figure 5.5.1. What forces are exerted on the block?

Solution

The forces on the block are illustrated in Figure 5.5.2 and are:

1. $\vec{F}_g$, its weight.
2. $\vec{N}$, a normal force exerted by the plane. The normal force is perpendicular to the interface between the table and the block. It points upwards in "reaction" to the downwards force that the block exerts onto the table. The downwards force from the block onto the table is not shown, since that force is not exerted on the block but on the table.

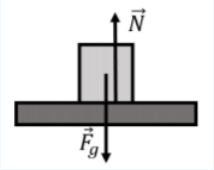

Figure 5.5.2: Forces on a block on a horizontal table.

Example 5.5.2

A block of mass m is at rest on a inclined surface, as shown in Figure 5.4.3. What forces are exerted on the block?

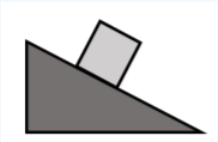

Figure 5.5.3: A block on an inclined surface.

Solution

The forces on the block are illustrated in Figure 5.5.4 and are:

1. $\vec{F}_g$, its weight.
2. $\vec{N}$, a normal force exerted by the inclined plane.
3. $\vec{f}_s$, a force of static friction exerted by the inclined plane. Without this force, the block would slide down. The force is in the direction opposite of impeding motion and is parallel to the interface (and perpendicular to the normal force).

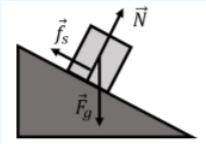

Figure 5.5.4: Forces on block on an inclined surface.

Example 5.5.3

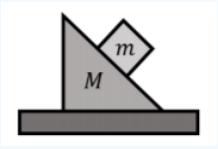

Figure 5.5.5: A block resting on a wedge-shaped block.

A block of mass m is at rest on a wedge-shaped block of mass M itself at rest on a horizontal table, as shown in Figure 5.5.5. What forces are exerted on each of the two blocks?

Solution

Since it will be too messy to draw all of the forces on the same diagram, we have drawn each block separately in *Figure* 5.5.5. Usually, when multiple blocks are stacked on each other, it is easiest to start with the forces on the top block. In this case, the top block is in the same condition as the block from Example 5.4.2. The forces exerted on the top block are:

1. $\vec{F}_g^m$, its weight.
2. $\vec{N}^m$, a normal force from the wedge-shaped block.
3. $\vec{f}_s^m$, a force of static friction exerted by the wedge-shaped block.

The forces exerted on the wedge-shaped block are:

1. $\vec{F}_g^M$, its weight.
2. $\vec{N}^M$, a normal force exerted by the small block. Note that this force is equal in magnitude and opposite in direction to $\vec{N}^m$ (the two forces, $\vec{N}^m$ and $\vec{N}^M$, which are on different objects, are an action/reaction pair of forces).
3. $\vec{f}_s^M$, a force of friction exerted by the small block (again, this forms an action/reaction pair of forces with $\vec{f}_s^m$).
4. N_2^M, a normal force exerted by the table.

The forces for both blocks are shown in Figure 5.5.6.

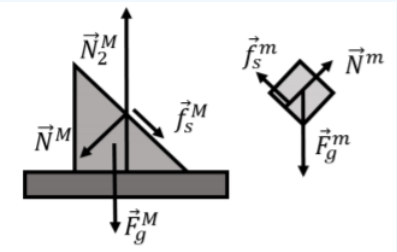

Figure 5.5.6: Forces on the block and the wedge-shaped block.

Free body diagrams

In order to analyze the forces on an object more clearly, it is a very good idea to draw a "Free-Body Diagram" (FBD). A free-body diagram is simply a diagram where we draw the forces on a single object and represent the object as a point. Because the object is a point, we do not worry where on the object the forces are exerted. In later chapters, we will see that for extended bodies, it does matter where the forces are applied. However, Newton's Laws as presented so far are only valid for objects that can be represented as a small point.

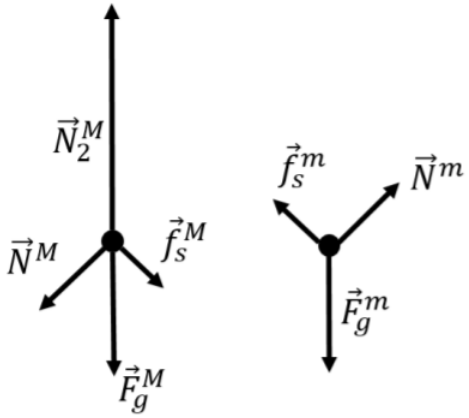

Figure 5.5.7: Free-body diagram for the block and the wedge-shaped block from Example 5.4.3.

In *Example 5.4.3* above, we would draw one free-body diagram for each object (each mass), as shown in Figure 5.5.7.

Example 5.5.4

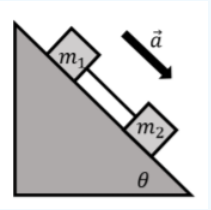

Figure 5.5.8: Two connected blocks sliding down an inclined plane.

Two blocks, of masses m_1 and m_2, are placed on an inclined plane that makes an angle θ with the horizontal. The blocks are connected by a massless string, as shown in Figure 5.5.8. The two blocks are sliding and accelerating downwards with an acceleration, $\vec{a}$, as shown. The coefficient of kinetic friction between the plane and either block is μ_k. Draw a free-body diagram for each block.

Solution

First, we identify the forces on each mass (each block), which we then use to make the free-body diagram shown in Figure 5.5.8. On mass m_1, the forces are:

1. $\vec{F}_{g1}$, its weight.

2. $\vec{N}_1$, a normal force exerted by the inclined plane.
3. $\vec{f}_{k1}$, a force of kinetic friction exerted by the inclined plane. The force is in the opposite direction of the motion, and has a magnitude given by $f_{k1} = \mu_k N_1$.
4. $\vec{T}$, a force of tension from the string.

On mass m_2, the forces are:

1. $\vec{F}_{g2}$, its weight.
2. $\vec{N}_2$, a normal force from the inclined plane.
3. $\vec{f}_{k2}$, a force of kinetic friction exerted by the inclined plane. The force is in the opposite direction of the motion, and has a magnitude given by $f_{k2} = \mu_k N_2$.
4. $-\vec{T}$, a force of tension from the string. This is the same force as on m_1, but in the opposite direction. We chose to label the force as $-\vec{T}$, instead of using a different variable, since it is just the negative of the vector that represents the tension force on m_1.

In Figure 5.5.9, we have shown the forces on each block using a free-body diagram. We also reproduced the vector for the acceleration (we drew the vector for the acceleration using a thicker arrow to indicate that it has a different dimension). We also reproduced the angle θ in the free-body diagram, as this is helpful once the free-body diagram is used with Newton's Second Law.

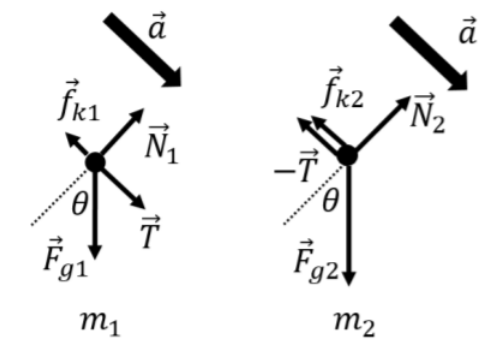

Figure 5.5.8.

Using Newton's Second Law

Applying Newton's Second Law is straightforward once all of the forces exerted on an object have been identified. You should thus make sure that you spend most of your time drawing a good and complete free-body diagram before proceeding.

Newton's Second Law is a vector equation that relates the vector sum of all forces exerted on an object and the acceleration vector of the object. This corresponds to one scalar equation per component of the vector.

$$\sum \vec{F} = m\vec{a}$$
$$\sum F_x = ma_x$$
$$\sum F_y = ma_y$$
$$\sum F_z = ma_z$$

In order to use Newton's Second Law, we thus need to introduce a coordinate system so that we can work with the components of the vectors (forces and acceleration) in that coordinate system. Usually, a good choice of coordinate system is one where the x (or y) axis is parallel to the acceleration vector. Figure 5.5.10 shows the free-body diagram from the m_1 block from the previous example (*Example 5.4.4*) along with a good choice of coordinate system.

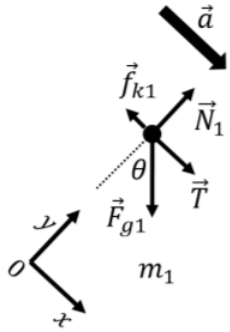

Figure 5.5.9, Example 5.4.4.

To apply Newton's Second Law using the free-body diagram and coordinate system from Figure 5.5.10, we first write out all of the vector and then identify their x and y components. The force vectors are:

$$\begin{aligned}\vec{T} &= T\hat{x} + 0\hat{y} \\ \vec{f}_{k1} &= -f_{k1}\hat{x} + 0\hat{y} \\ \vec{F}_{g1} &= m_1 g(\sin\theta\hat{x} - \cos\theta\hat{y}) \\ \vec{N}_1 &= 0\hat{x} + N_1\hat{y}\end{aligned}$$

We can now write out the x component of Newton's Second Law:

$$\begin{aligned}\sum F_x = T - f_{k1} - F_{g1}\sin\theta &= m_1 a \\ \therefore T - f_{k1} - F_{g1}\sin\theta &= m_1 a\end{aligned}$$

where we note that the normal force has no component in the x direction. The y component of Newton's Second Law for mass m_1 is given by:

$$\begin{aligned}\sum F_y = N_1 - F_{g1} &= 0 \\ \therefore N_1 - F_{g1} &= 0\end{aligned}$$

where we note that the forces of tension and friction have no y component. The two equations that we obtained above for x and y fully specify the motion of the m_1 block if all quantities are known[3].

A few notes on applying Newton's Second Law:

- When applying Newton's Second Law, analyze each mass in the problem separately. It does not matter that block m_1 is connected by a rope to block m_2. Once you have determined all of the forces exerted on m_1, you can write Newton's Second Law for m_1.
- Newton's Second Law is a vector equation; this means that it is true for each (scalar) component of the vectors involved.
- You can choose the coordinate system, so choose one that makes it easy to write out the vector components. A good choice is to choose x to be parallel to the acceleration vector, so that you do not have to break the acceleration vector up into components. The choice of coordinate system is only made in order to allow you to write out the components of Newton's Second Law based on the free-body diagram.
- Treat each mass separately (since Newton's Second Law is only true for an individual mass). This means that each mass will have its own free-body diagram and that you can choose the coordinate system that is most convenient for a given free-body diagram. In particular, this means that you do not need to choose the same coordinate system for different masses in a problem.

The following example shows how to write Newton's Second Law for a system of two blocks.

✔ Example 5.5.5

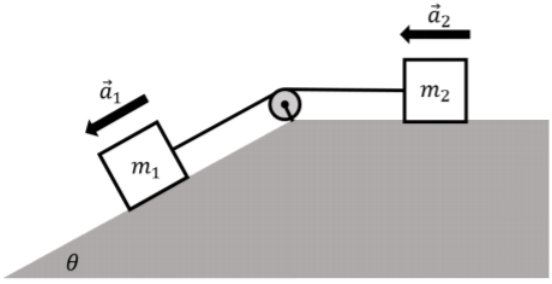

Figure 5.5.11: Two blocks connected by a massless string and massless pulley. Both blocks are accelerating.

A block of mass m_1 is placed on an incline that makes an angle of θ with the horizontal. The block of mass m_1 is connected by a massless string through a massless pulley to a second block of mass m_2, which rests on a horizontal surface. The blocks are accelerating in such a way that the block of mass m_1 is accelerating down the incline, as shown in Figure 5.5.5. The coefficient of kinetic friction between either block and the surface it is resting on is μ_k. Write Newton's Second Law for both blocks.

Solution

First, we identify the forces on each mass (each block). On mass m_1, the forces are:

1. $\vec{F}_{g1}$, its weight.
2. $\vec{N}_1$, a normal force exerted by the inclined plane.
3. $\vec{f}_{k1}$, a force of kinetic friction exerted by the inclined plane. The force is in the opposite direction of the motion, and has a magnitude given by $f_{k1} = \mu_k N_1$.
4. $\vec{T}_1$, a force of tension from the string.

On mass m_2, the forces are:

1. $\vec{F}_{g2}$, its weight.
2. $\vec{N}_2$, a normal force from the horizontal surface.
3. $\vec{f}_{k2}$, a force of kinetic friction exerted by the horizontal surface. The force is in the opposite direction of the motion, and has a magnitude given by $f_{k2} = \mu_k N_2$.
4. $\vec{T}_2$, a force of tension from the string. This force has the same magnitude as the tension force $\vec{T}_1$ exerted on mass m_1, because the pulley is massless.

We can then proceed to draw the free-body diagram for each mass, and use that to write out Newton's Second Law. For mass m_1, the free-body diagram is shown in Figure 5.5.12. We have chosen a coordinate system that has the x axis parallel to the acceleration of the block, and the y axis upwards and perpendicular to the x axis, as shown.

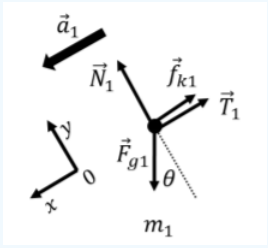

Figure 5.5.12: Free-body diagram for m_1.

For m_1, we can write Newton's Second Law, starting with the x components:

$$\sum F_x = F_{g1}\sin\theta - f_{k1} - T_1 = m_1 a_1$$

$$\therefore m_1 g\sin\theta - \mu_k N_1 - T_1 = m_1 a_1$$

where, in the second line, we used the magnitude of the weight ($F_{g1} = m_1 g$) and of the force of kinetic friction ($f_{k1} = \mu_k N_1$). For the y component of Newton's Second Law, in which the acceleration has no component, we have:

$$\sum F_y = N_1 - F_{g1}\cos\theta = 0$$
$$\therefore N_1 = m_1 g\cos\theta$$

which shows us that the magnitude of the normal force can easily be expressed in terms of the weight ($F_{g1} = m_1 g$) and the angle of the incline.

For m_2, we can proceed in much the same way, choosing a different coordinate system, since the acceleration vector for m_2 points in a different direction (we don't have to choose a different coordinate system, but we can if we find it makes things easier). The free-body diagram for m_2 is shown in Figure 5.5.13along with our choice of coordinate system.

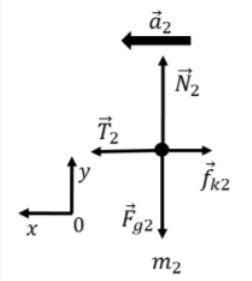

Figure 5.5.13: Free-body diagram for m_2.

We start by writing out the x component of Newton's Second Law for m_2:

$$\sum F_x = T_2 - f_{k2} = m_2 a_2$$
$$\therefore T_2 - \mu_k N_2 = m_2 a_2$$

where again, we expressed the kinetic force of friction using the normal force and the coefficient of kinetic friction. The y component of Newton's Second Law gives:

$$\sum F_y = F_{g2} - N_2 = 0$$
$$\therefore N_2 = m_2 g$$

where again, we expressed the weight in terms of the mass and g, and we find that the normal force has the same magnitude as the weight.

Now that we have written Newton's Second Law **for each mass**, we can write all four equations that we obtained to describe **the system of two masses**. We should also note that the magnitude of the tension forces are the same for the two masses ($T_1 = T_2 = T$), and that since the masses are connected by a string, the magnitude of their acceleration vectors are the same ($a_1 = a_2 = a$). Using this, we can describe the full system with the following 4 equations:

$$m_1 g\sin\theta - \mu_k N_1 - T = m_1 a$$
$$N_1 = m_1 g\cos\theta$$
$$T - \mu_k N_2 = m_2 a$$
$$N_2 = m_2 g$$

Of the variables above (m_1, m_2, μ_k, T, N_1, N_2, a), one would only need to specify all but four of them to fully describe the motion of the system. For example, if one specifies the two masses and the coefficient of kinetic friction, all of the other variables can be determined.

Footnotes

3. Since we have two equations, we technically only need to specify all but two quantities to be able to fully model the motion of the block.

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5.6: The acceleration due to gravity

If you have studied some physics before reading this textbook, you may have been surprised by our choice of dimension for g to be force per unit mass rather than acceleration. This is indeed an unconventional choice as g is usually presented as "the acceleration due to Earth's gravity" instead of the "strength of Earth's gravitational field". Our choice comes from the potential difference between inertial mass, m_I, and gravitational mass, m_G, which we distinguish in this section.

Consider the simple model of a mass falling freely near the surface of the Earth in the absence of air-resistance. The only force exerted on the mass is its weight, $m_G\vec{g}$, which is given in terms of gravitational mass (the mass that determines how an object experiences gravity). Both the weight and the acceleration of the object point downwards. The free-body diagram for the mass is shown in Figure 5.6.1, where the y axis was chosen to be vertically upwards (co-linear with the acceleration).

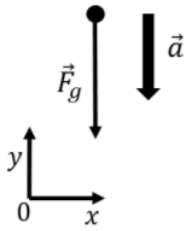

Figure 5.6.1: Free-body diagram for a mass that is free-falling in the absence of air resistance (drag).

Writing out the y component of Newton's Second Law, being careful to distinguish between inertial and gravitational mass, and noting that both the weight and the acceleration are in the negative y direction:

$$\sum F_y = -F_g = -m_I a$$

$$\therefore m_G g = m_I a$$

This makes it clear that g is not necessarily the acceleration due to gravity. It is only the acceleration due to gravity in the limit that the inertial and gravitational masses are the same. If $m_G = m_I$, then we have:

$$a = g$$

and indeed, the acceleration of objects near the surface of the Earth has a magnitude of g. It is also clear that the dimensions of g can also be written as an acceleration, and in most cases, one writes that, near the surface of the Earth, $g = 9.8\mathrm{m/s}^2$. You should however remember that this is only true when inertial and gravitational masses are the same, and that g really should be thought of as the strength of the gravitational field, not as an acceleration.

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5.7: Non-inertial frames of reference and inertial forces

In the previous sections, we described how to use Newton's First Law to identify an inertial frame of reference (one where Newton's First Law holds true) in order to identify the forces exerted on an object so that Newton's Second Law could be applied. It is possible to apply Newton's Laws in a non-inertial frame of reference, **provided that one includes an additional "inertial force".**

Let us assume that we hang a mass, m, from the ceiling of our car using a string. If the car accelerates forwards with a constant acceleration, $\vec{a}$, the mass will swing towards the back of the car and the string will not be vertical as long as the car maintains its constant acceleration, as shown in Figure 5.7.1. As the car maintains its acceleration, the hanging mass will not move relative to the car.

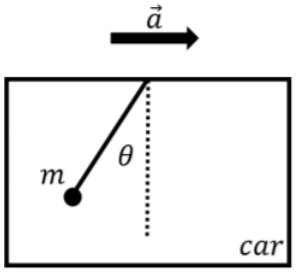

Figure 5.7.1: A mass hanging from the ceiling of a car accelerating to the right.

We can analyze this motion from the inertial frame of reference of the ground. In this frame of reference, there are two forces exerted on the mass:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{T}$, a force of tension exerted by the string, in the direction of the string.

The two forces are shown in the free-body diagram of Figure 5.7.2, along with a coordinate system chosen such that x points in the direction of the acceleration the mass (which is the same as the acceleration of the car, since the mass does not move relative to the car).

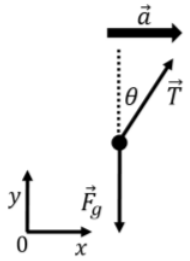

Figure 5.7.2: Free-body diagram for the forces acting on a mass suspended from the ceiling of accelerating car.

Writing out the x and y components of Newton's Second Law for the mass, we have:

$$\begin{aligned} \sum \vec{F} &= \vec{T} + \vec{F}_g = m\vec{a} \\ \therefore \sum F_x &= T\sin\theta = ma \\ \therefore \sum F_y &= T\cos\theta - F_g = 0 \end{aligned}$$

We can, instead, model the motion of the mass in the frame of reference of the car, by pretending that we are sitting in the car. In the frame of reference of the car, the mass is immobile, and thus has no acceleration. In the non-inertial frame of reference of the car, we still have the weight and tension forces exerted on the mass; these have the same magnitude and direction as in the inertial frame of reference of the ground. One could replace the string with a spring scale, and observers in the car and on the ground would agree that the spring scale reads the same number. Those observers would also agree that the weight of the mass is the same. However, the two observers disagree on whether the mass is accelerating, since the observer in the car measures that the mass has no acceleration.

In the frame of reference of the car, the acceleration of the mass is zero. If we want Newton's Second Law to hold, this implies that, in the reference frame of the car, the sum of the forces on the mass must be zero:

$$\sum \vec{F} = 0 \qquad \text{(car reference frame)}$$

We know from analysing the motion from the frame of reference of the ground that the vector sum of the forces $\vec{T}$ and $\vec{F}_g$ is equal to $m\vec{a}$. The only way for the force in the frame of reference of the car to add up to zero is if there is an additional force, $\vec{F}_I$, that is exerted in that frame of reference:

$$\sum \vec{F} = \vec{T} + \vec{F}_g + \vec{F}_I = 0 \qquad \text{(car reference frame)}$$

Since we know that $\vec{T} + \vec{F}_g = m\vec{a}$, we can substitute this in the equation above:

$$\begin{aligned} \sum \vec{F} &= \vec{T} + \vec{F}_g + \vec{F}_I = 0 \qquad \text{(car reference frame)} \\ &= m\vec{a} + \vec{F}_I = 0 \\ \therefore F_I &= -m\vec{a} \end{aligned}$$

and we find that this "inertial force", $\vec{F}_I$, must be exerted in the opposite direction from the acceleration of the frame of reference, with a magnitude given by ma. The free-body diagram for the mass, as viewed in the reference frame of the car, is illustrated in Figure 5.7.3.

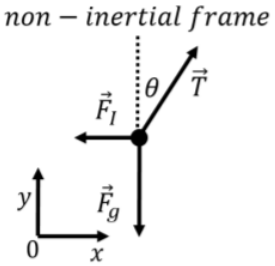

Figure 5.7.3: Free-body diagram for the forces acting on a mass suspended from the ceiling of accelerating car, in the frame of reference of the car. An additional inertial force, $\vec{F}_I = -m\vec{a}$, has to be included.

Example 5.7.1

You are in an elevator that is accelerating downwards with a constant acceleration $\vec{a}$. You are standing on a spring scale. What is the value of your weight as displayed on the spring scale? Assume that your mass is m. (The spring scale will display your weight as having the same magnitude as the normal force that the scale exerts on you).

Solution

We can model your motion in the non-inertial frame of reference of the elevator, where your acceleration is zero. The forces that are exerted on you are:

1. $\vec{F}_g$, your weight, with magnitude mg.
2. $\vec{N}$, the normal force exerted upwards by the spring scale, which is the weight as measured by the scale.
3. $\vec{F}_I$, an inertial force with magnitude ma that is exerted upwards (in the direction opposite of the acceleration of the frame of reference).

The forces in the frame of reference of the elevator are illustrated in *Figure 5.6.4*, along with a coordinate system that was chosen so that the forces are co-linear with one of the axes (since the acceleration is zero).

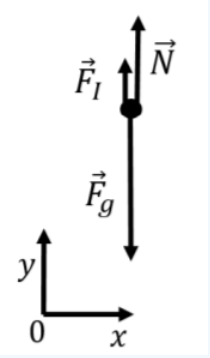

Figure 5.7.4: Free-body diagram for the forces exerted on a person as modeled in a frame of reference that is accelerating downwards.

All of the forces are in the vertical direction, so we only need to write out the y component of Newton's Second Law, which we can easily solve for the normal force:

$$\begin{aligned} \sum F_y = N + F_I - F_g &= 0 \\ N + ma - mg &= 0 \\ \therefore N &= m(g-a) \end{aligned}$$

Remember that you need to be careful about the signs. We have included the fact that F_I is exerted upwards with the plus sign in the first equation (the y component of $\vec{F}_I = 0\hat{x} + F_I\hat{y}$ is $+F_I$). We then used the fact that the magnitude of the inertial force is given by $F_I = ma$ in the second line.

You can easily verify that you would obtain the same result in the inertial frame of reference of the ground, where there is no inertial force, but the acceleration is non-zero (and in the negative y direction if we use the same coordinate system):

$$\sum F_y = N - mg = -ma \qquad \text{(ground frame of reference)}$$

The normal force, which corresponds to weight as read by the scale, is thus $N = m(g-a)$. We should ask ourselves if the result makes sense:

- Since the dimension of a and g are the same, $m(g-a)$ has the correct dimension of force.
- If the acceleration, a, is zero, then the magnitude is $N = mg$, as it should be if the elevator is at rest with respect to the ground.
- If the acceleration a is equal to g, the normal force exerted by the scale is exactly zero, and your measured weight is zero. This is what we call being "weightless", which is not a good description, since the force of weight is still applied, and it is the normal force which is zero.
- If the acceleration, a, is bigger than g, then the normal force would be negative. This corresponds to the elevator accelerating downwards faster than gravity, and the model breaks down, since in this case, you would first hit the ceiling of the elevator, which would then exert a downwards normal force with magnitude $m(a+g)$.

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5.8: Summary

Key Takeaways

Newton's Three Laws are a theory of classical physics that allow the motion of an object to be fully described by introducing the concepts of force and mass.

Newton's First Law states that objects will not accelerate if no net force is exerted on the object. In particular, this allows inertial frames of reference to be defined as those frames of reference where Newton's First Law holds true.

Newton's Second Law connects dynamics and kinematics by relating the net force exerted on an object (i.e. the vector sum of the forces exerted on an object) to its acceleration and its mass:

$$\vec{F}^{net} = \sum_i \vec{F}_i = m\vec{a}$$

Newton's Third law states that forces always come in pairs that are exerted on different objects. If object A exerts a force on object B, then object B exerts a force that is equal in magnitude but opposite in direction on object A.

A force is a mathematical tool introduced in Newton's theory to model how different objects can influence each other. Mass can be thought of as a quantity of matter and is an intrinsic property of an object. Inertial mass refers to how that quantity of matter resists acceleration, whereas gravitational mass refers to how that quantity of mass experiences the force of gravity. As far as we can tell, inertial and gravitational mass are the same.

When applying Newton's theory, the most important part is to identify the forces that act on one object. This can be represented graphically by using a free-body diagram. The following is a common list of forces to consider when identifying the forces exerted on an object:

- Weight (is the object near the surface of a planet?).
- Normal forces (is the object in contact with any surface? There could be more than one!).
- Frictional forces (are there static or kinetic friction forces associated with the normal forces?).
- Tension forces (is something like a rope pulling on the object?).
- Drag forces (is the object moving through a fluid?).
- Spring forces (is there a spring pushing or pulling on the object?).
- Applied forces (is anything else pushing or pulling on the object?).

When applying Newton's Second Law, one needs to choose a coordinate system so that Newton's Second Law can be written out for each component. It is usually good to choose the coordinate system such that the x axis is parallel to the acceleration vector of the object.

When using Newton's Laws to model the motion of an object of mass m in a non-inertial frame of reference that is accelerating with acceleration $\vec{a}$ relative to an inertial frame of reference, an additional inertial force, $\vec{F}_I = -m\vec{a}$, must be included on the the object.

Important Equations

Newton's Second Law, in vector form, can be written as:

$$\sum \vec{F} = m\vec{a}$$

which is just a short-hand notation for the scalar equations written out for each component:

$$\sum F_x = ma_x$$
$$\sum F_y = ma_y$$
$$\sum F_z = ma_z$$

The force of gravity (or weight), $\vec{F}_g$, near the surface of the Earth is given by:

$$\vec{F}_g = m\vec{g}$$

where Earth's gravitational field has a magnitude of $g = 9.8\mathrm{N/kg}$.

The force of kinetic friction exerted by one surface on another is given by::

$$f_k = \mu_k N$$

where N is the normal force between the two surfaces and μ_k is the coefficient of kinetic friction. The force of kinetic friction on a object is in the opposite direction from its motion.

The maximum value of the magnitude of the force of static friction between two surfaces with a coefficient of static friction μ_s between them, can be written as:

$$f_s \leq \mu_s N$$

The force of static friction is exerted in the direction opposite of the impeding motion.

Hookes' Law for the force exerted by a spring, is given by the following vector equation:

$$\vec{F} = -kx\hat{x}$$

where x is the distance by which the spring is compressed or extended relative to its rest length.

Important Definitions

Mass: A property of matter which describes its resistance to acceleration. SI units: [kg]. Common variable(s): M, m.

Force: A mathematical object used to describe the interactions of an object with its environment. SI units: [N]. Common variable(s): $\vec{F}$.

Spring constant: A value which describes the stiffness of a spring, when the restoring force of the spring is modeled using Hooke's Law. SI units: [$\mathrm{Nm^{-1}}$]. Common variable(s): k.

Gravitational field: The strength of the gravitational force per unit mass at a particular location. Under the equivalence principle, this is numerically equal to the acceleration of free-falling object. SI units: [N/kg (field), $\mathrm{ms^{-2}}$ (acceleration)]. Common variable(s): $\vec{g}$.

Coefficient of friction: A constant used to determine the magnitude (or maximal magnitude if static friction) of a friction force between two surfaces based on the normal force exerted perpendicular to those two surfaces. SI units: none. Common variable(s): μ.

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5.9: Thinking about the Material

Reflect and research

1. What was the name of the publication in which Newton's published his three laws, and when was it published?
2. When did Galileo come up with his principle of inertia?
3. Suppose that Newton grew up in an accelerating train, with no knowledge that he is living in an accelerating train. What would Newton's first law look like in this world?
4. When you skate on ice, there is kinetic friction between your skates and the ice. Does the coefficient of kinetic friction depend on the temperature of the ice? If yes, what is the optimal temperature for skating with the least amount of friction?

To try at home

1. Place two books stacked on each other on the palm of one hand held horizontally. Use your other hand to press down (and forward) on the top book and try to move the bottom book. No matter how hard you push down (to increase the force of friction between the two books), you cannot make the bottom one move. How come?

To try in the lab

1. Propose an experiment to determine whether gravitational and inertial mass are equal.
2. Propose an experiment to measure the coefficients of static and kinetic friction between a block and a surface.

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5.10: Sample problems and solutions

Exercise 5.10.1

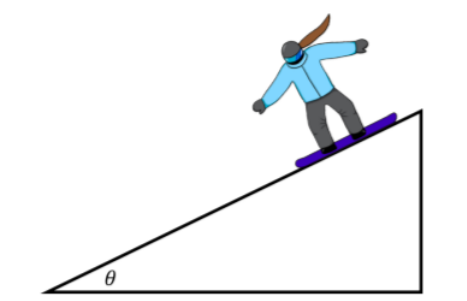

Figure 5.10.1: Katie snowboarding down an incline.

Katie, an amateur snowboarder, rests at the top of hill inclined by an angle of $\theta = 50^\circ$ with respect to the horizontal, as shown in Figure 5.10.1. She gracefully slides down the hill until she face-plants into a large pile of snow at the bottom, 40m from where she started. If the coefficient of kinetic friction between Katie's snowboard and the hill is $\mu_k = 0.45$, how long elapses between when she starts to glide and when she face plants?

Answer

Before trying to solve the problem, we should think of the strategy that will allow us to model the time that it takes to arrive at the bottom. We know that Newton's Second Law relates the forces on Katie to her acceleration. If we build a model of the forces on Katie, we can then determine her acceleration. Once we know her acceleration, we can use kinematics to determine how long it takes for her to cover the distance of 40m.

The forces exerted on Katie are:

1. $\vec{F}_g$, her weight.
2. $\vec{N}$, a normal force exerted by the slope.
3. $\vec{f}_k$, a force of kinetic friction exerted by the slope, with magnitude $f_k = \mu_k N$

This allows us to build a free-body diagram for the forces on Katie, as shown in Figure 5.9.2. Since Katie will glide down the slope, her acceleration will be parallel to the slope and downwards, which we showed with a thicker arrow on the free-body diagram. Our free-body diagram also shows the coordinate system that we chose, with the x axis pointing parallel to the acceleration.

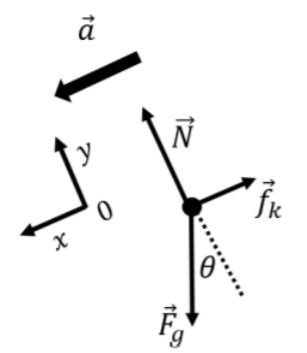

Figure 5.10.2: Forces acting on Katie as she snowboards.

With a free-body diagram, we can write the x and y components of Newton's Second Law. In the x direction, both the force of friction and the weight have components. The force of friction is in the negative x direction, whereas the component of gravity in the x direction is $F_g \sin\theta$. The acceleration vector is also in the x direction. Putting this altogether into Newton's Second Law:

$$\sum F_x = F_g \sin\theta - f_k = ma$$
$$\therefore mg\sin\theta - \mu_k N = ma$$

where we used the fact that the weight is given by mg (m is Katie's mass) and the magnitude of the force of friction is given by $f_k = \mu_k N$.

Next, we write out the y component of Newton's Second Law. The normal force is in the positive y direction, whereas the component of gravity in the y direction is $-F_g \cos\theta$. The acceleration has no component in the y direction. Putting this into Newton's Second Law:

$$\sum F_y = N - F_g \cos\theta = 0$$
$$\therefore N - mg\cos\theta = 0$$

We now have two equations that describe Katie's motion:

$$mg\sin\theta - \mu_k N = ma$$
$$N - mg\cos\theta = 0$$

We have three unknowns, m, N, and a, but only two equations! Hopefully, one of these will cancel out! At this point, all of the physics for the problem is done! We can now proceed to solve these equations to find the acceleration. The second equation allows us to solve for the normal force, $N = mg\cos\theta$, which we substitute into the first equation:

$$mg\sin\theta - \mu_k N = ma$$
$$\therefore mg\sin\theta - \mu_k mg\cos\theta = ma$$

As you can see, the mass m can be canceled out of this equation, and we can find the acceleration:

$$\begin{aligned} a &= g\sin\theta - \mu_k g\cos\theta \\ &= g(\sin\theta - \mu_k \cos\theta) \\ &= (9.8\text{N/kg})(\sin(50^\circ) - (0.45)\cos(50^\circ)) \\ &= 4.67\text{N/kg} \end{aligned}$$

At this point, we should ask ourselves if our result makes sense. In particular, we have found that the acceleration has unit of N/kg instead of m/s^2. A quick examination of Newton's Second Law shows us that these two units are equivalent:

$$F = ma$$
$$a = \frac{F}{m}$$
$$\therefore SI[a] = \frac{SI[F]}{SI[m]} = \frac{\text{N}}{\text{kg}}$$

Often, one write the magnitude of the Earth's gravitation field as $g = 9.8\text{m/s}^2$, since it has the same dimension as acceleration, and does indeed correspond to the acceleration that is felt by falling objects near the surface of the Earth. In fact, g, is usually defined as the acceleration of object near the Earth, although this is misleading, as it requires that inertial and gravitational mass be the same.

Knowing that Katie's initial velocity is $v_{0x} = 0\text{m/s}$, her acceleration is $a_x = a = 4.67\text{m/s}^2$ in the x direction (the same direction as the slope), and the distance that she must travel is $x = 40\text{m}$, we can find the time it takes for her to face-plant. If we set the origin of the x axis where she starts (so that her initial position along the x axis, $x_0 = 0$), the distance that she covered in the time, t, is given by:

$$x(t) = x_0 + v_{0x}t + \frac{1}{2}at^2$$

$$40\text{m} = (0) + (0)t + \frac{1}{2}(1.31\text{m/s}^2)t^2$$

$$\therefore t = \sqrt{\frac{2(40\text{m})}{(4.67\text{m/s}^2)}} = 4.14\text{s}$$

Katie has 4.14s of gliding bliss before face-planting into the large pile of snow.

Exercise 5.10.2

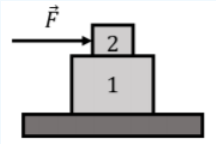

Figure 5.10.3: Two stacked boxes.

Two boxes with masses, m_1 and m_2, respectively, are placed on top of one another, as shown in *Figure* 5.10.3. The coefficient of static friction between the two boxes and between the boxes and the ground is $\mu_s = 0.3$. A constant force, $\vec{F}$, is exerted on box 2, as shown. Show that it is impossible for box 1 to accelerate.

Answer

The only way for box 1 to accelerate is if box 2 "drags" box 1 along with it through a force of friction exerted at the interface between box 1 and box 2. We need to show that the force of (static) friction exerted by the ground on box 1 will always be at least as large as the force of friction exerted by box 2 on box 1. The largest force of friction that box 2 can exert on box 1 is a force of static friction, so we model all forces between surfaces as forces of static friction.

The forces on box 2 are:

- $\vec{F}_{2g}$, its weight.
- $\vec{N}_2$, a normal exerted by box 1.
- $\vec{f}_{2s}$, a force of static friction exerted by box 1.
- $\vec{F}$, the applied force.

The forces on box 1 are:

- $\vec{F}_{1g}$, its weight.
- $-\vec{N}_2$, a normal force exerted by box 2 (downwards).
- $-\vec{f}_{2s}$, a force of static friction exerted by box 2.
- $\vec{N}_1$, a normal force exerted by the ground.
- $\vec{f}_{1s}$, a force of static friction exerted by the ground.

The are illustrated in the free-body diagram in Figure 5.10.4

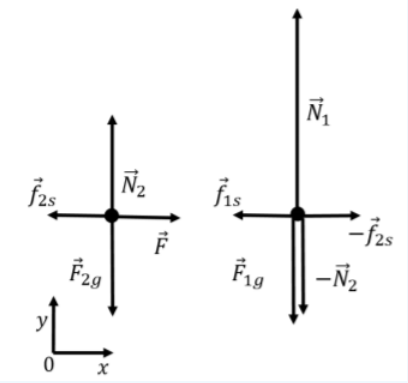

Figure 5.10.4: Forces on the two boxes.

Considering the y component of Newton's Second Law for box 2 (the top box), we can find the value of the normal force exerted by box 1:

$$\sum F_y = N_2 - F_{2g} = 0$$
$$\therefore N_2 = m_2 g$$

The maximal magnitude of the force of static friction, f_{2s}, between the two boxes is given by:

$$f_{2s} = \mu_s N_2 = \mu_s m_2 g$$

This is the maximal magnitude of the force that can accelerate box 1. Considering the y component of Newton's Second Law applied to box 1, we can find N_1, the normal force exerted by the ground:

$$\sum F_y = N_1 - F_{1g} - N_2 = 0$$
$$\therefore N_1 = F_{1g} + N_2 = (m_1 + m_2)g$$

The force of static friction exerted by the ground on box 1 will be in the opposite direction as the force of static friction exerted by box 2. The maximal magnitude of the force of static friction exerted by the ground is given by:

$$f_{1s} = \mu_s N_1 = \mu_s (m_1 + m_2)g$$

We can see that the maximal force of static friction exerted by the ground will always exceed the magnitude of the force of static friction exerted by box 2. It is thus impossible to push on box 2 to make box 1 move (as long as the force of static friction between the two boxes and the box and the ground are the same).

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CHAPTER OVERVIEW

6: Applying Newton's Laws

Learning Objectives

- Understand when an object's motion can be modeled as one dimensional (linear).
- Be able to develop models for objects undergoing linear motion.
- Be able to develop models for objects undergoing circular motion.
- Be able to develop models for objects undergoing arbitrary three dimensional motion.
- Understand the forces involved in circular motion, and understand that "centripetal" and "centrifugal" forces are not really forces.

In this chapter, we take a closer look at how to use Newton's Laws to build models to describe motion. Whereas the previous chapter was focused on identifying the forces that are acting on an object, this chapter focuses on using those forces to describe the motion of the object.

Newton's Laws are meant to describe "point particles", that is, objects that can be thought of as a point and thus have no orientation. A block sliding down a hill, a person on a merry-go-round, a bird flying through the air can all be modeled as point particles, as long as we do not need to model their orientation. In all of these cases, we can model the forces on the object using a free-body diagram as the location of where the forces are applied on the object do not matter. In later chapters, we will introduce the tools required to apply Newton's Second Law to objects that can rotate, where we will see that the location of where a force is exerted matters.

prelude

If a person swings on a swing where the ropes are damaged, where are the ropes most likely to break?

A. at the bottom of the trajectory, when the speed is the greatest.
B. at the top of the trajectory, when the speed is zero.
C. at the point in the trajectory where the speed is one half of its maximal value.

6.1: Statics
6.2: Linear motion
6.3: Uniform circular motion
6.4: Non-uniform circular motion
6.5: Summary
6.6: Thinking about the material
6.7: Problems and Solutions

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6.1: Statics

When using Newton's Laws to model an object, one can identify two broad categories of situations: static and dynamic. In static situations, the acceleration of the object is zero. By Newton's Second Law, this means that the vector sum of the forces (and torques, as we will see in a later chapter) exerted on an object must be zero. In dynamic situations, the acceleration of the object is non-zero.

For static problems, since the acceleration vector is zero, we can choose a coordinate system in a way that results in as many forces as possible being aligned with the axes (so that we minimize the number of forces that we need to break up into components).

Example 6.1.1

You push horizontally with a force $\vec{F}$ on a box of mass m that is resting against a vertical wall, as shown in *Figure* 6.1.1. The coefficient of static friction between the wall and the box is μ_s. What is the minimum magnitude of the force that you must exert for the box to remain stationary?

Solution

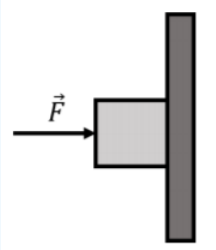

Figure 6.1.1: A horizontal force exerted on box that is resting against a wall.

Since the acceleration of the box is zero, the vector sum of the forces exerted on the box is zero. We start by identifying the forces exerted on the box; these are:

1. $\vec{F}$, the horizontal force that you exert on the box.
2. $\vec{F}_g$, the weight of the box, with magnitude mg.
3. $\vec{N}$, a normal force exerted by the wall on the box. The force is in the horizontal direction, in the opposite direction to $\vec{F}$.
4. $\vec{f}_s$, a vertical force of static friction between the wall and the box. The force points upwards as the "impeding motion" of the block is downwards. The force will have at most a magnitude of $f_s \leq \mu_s N$, since the force of static friction depends on the other forces exerted on the object.

The forces are shown in the free-body diagram in *Figure 6.1.2*, along with our choice of coordinate system which was chosen so that all forces are either in the x or y direction.

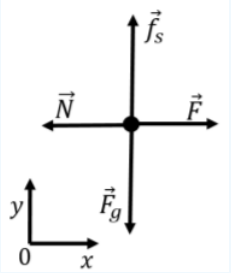

Figure 6.1.2: Free-body diagram of the forces exerted on the box.

The x component of Newton's Second Law is:

$$\sum F_x = F - N = 0$$

$$\therefore N = F$$

which tells us that the normal force exerted by the wall has the same magnitude as the applied force, $\vec{F}$. The y component of Newton's Second Law is:

$$\begin{aligned}\sum F_y = f_s - F_g &= 0\\ \therefore f_s - mg &= 0\\ \therefore f_s &= mg\end{aligned}$$

which tells us that the force of friction must have the same magnitude as the weight. This makes sense, since they are the only forces with components in the y direction, and thus, they must cancel each other out.

The force of friction will be less than or equal to $\mu_s N$, and thus less than or equal to $\mu_s F$, since $\vec{F}$ and $\vec{N}$ have the same magnitude (from the x component of Newton's Second Law). Furthermore, since $f_s = mg$, we can write:

$$\begin{aligned}f_s &\leq \mu_s F\\ \therefore mg &\leq \mu_s F\\ \therefore \frac{mg}{\mu_s} &\leq F\end{aligned}$$

which gives us the condition that $F \geq mg/\mu_s$, and thus the minimum magnitude of F in order to keep the box from sliding down.

Although we used the lesser than or equal to sign in the above equations, we could have used an equal sign if we were confident that the force of friction has its maximal magnitude, $f_s = \mu_s N$. The maximal magnitude of the force of friction is proportional to the force that we exert (since $N = F$); if we want to exert the least amount of force F, then we need the force of friction to be equal to its maximal magnitude which needs to be equal to the weight of the box.

Discussion

This model for the minimal required force makes sense because:

- The dimension of mg/μ_s is force.
- If the mass of the box is increased, then one needs to push harder against the box to keep it up.
- If the coefficient of static friction, μ_s, is increased, one does not need to push as hard.

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6.2: Linear motion

We can describe the motion of an object whose *velocity vector does not continuously change direction* as "linear" motion. For example, an object that moves along a straight line in a particular direction, then abruptly changes direction and continues to move in a straight line can be modeled as undergoing linear motion over two different segments (which we would model individually). An object moving around a circle, with its velocity vector continuously changing direction, would not be considered to be undergoing linear motion. For example, paths of objects undergoing linear and non-linear motion are illustrated in Figure 6.2.1.

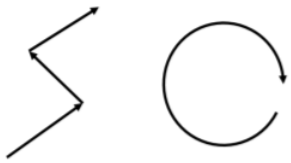

Figure 6.2.1: (Left:) Displacement vectors for an object undergoing three segments that can each be modeled as linear motion. (Right:) Path of an object whose velocity vector changes continuously and cannot be considered as linear motion.

When an object undergoes linear motion, we always model the motion of the object over straight segments separately. Over one such segment, the acceleration vector will be co-linear with the displacement vector of the object (parallel or anti-parallel - note that the acceleration can change direction as it would from a spring force, but will always be co-linear with the displacement).

Example 6.2.1

A block of mass m is placed at rest on an incline that makes an angle θ with respect to the horizontal, as shown in Figure 6.2.2. The block is nudged slightly so that the force of static friction is overcome and the block starts to accelerate down the incline. At the bottom of the incline, the block slides on a horizontal surface.

The coefficient of kinetic friction between the block and the incline is μ_{k1}, and the coefficient of kinetic friction between the block and horizontal surface is μ_{k2}. If one assumes that the block started at rest a distance L from the bottom of the incline, how far along the horizontal surface will the block slide before stopping?

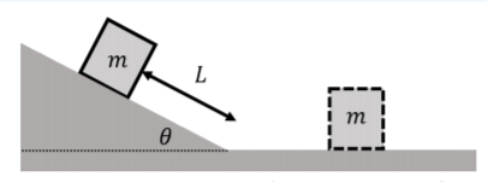

Figure 6.2.2: A block slides down an incline before sliding on a flat surface and stopping.

Solution

We can identify that this is linear motion that we can break up into two segments: (1) the motion down the incline, and (2), the motion along the horizontal surface. We will thus identify the forces, draw the free-body diagram for the block, and use Newton's Second Law twice, once for each segment.

It is often useful to describe the motion in words to help us identify the steps required in building a model for the block. In this case we could say that:

1. The block slides down the incline and accelerates in the direction of motion. By identifying the forces and applying Newton's Second Law, we can determine its acceleration which will be parallel to the incline.
2. The block will reach a certain speed at the bottom of the incline, which we can determine from kinematics by knowing that the block traveled a distance L, with a known acceleration and that it started at rest.
3. The block will decelerate along the horizontal surface. Again, by identifying the forces and using Newton's Second Law, we will be able to determine the acceleration of the block.
4. The block will stop after having traveled an unknown distance, which we can find by using kinematics and knowing the acceleration of the block as well as its initial velocity at the bottom of the incline.

Our first step is thus to identify the forces on the block while it is on the incline. These are:

1. $\vec{F}_g$, its weight.
2. $\vec{N}_1$, a normal force exerted by the incline.
3. $\vec{f}_{k1}$, a force of kinetic friction exerted by the incline. The force is opposite of the direction of motion, and has a magnitude given by $f_{k1} = \mu_{k1} N_1$.

These are shown on the free-body diagram in Figure 6.2.3. As usual, we drew the acceleration, $\vec{a}_1$, on the free-body diagram, and chose the direction of the x axis to be parallel to the acceleration.

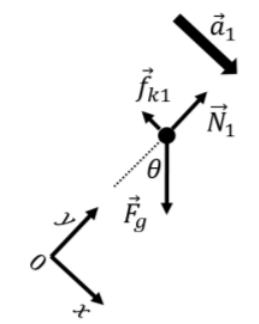

Figure 6.2.3: Free-body diagram for the block when it is on the incline.

Writing out the x component of Newton's Second Law, and using the fact that the acceleration is in the x direction ($\vec{a} = a_1 \hat{x}$):

$$\begin{aligned} \sum F_x = F_g \sin\theta - f_{k1} &= ma_1 \\ \therefore mg\sin\theta - \mu_{k1} N_1 &= ma_1 \end{aligned}$$

where we expressed the magnitude of the kinetic force of friction in terms of the normal force exerted by the plane, and the weight in terms of the mass and gravitational field, g. The y component of Newton's Second Law can be written:

$$\begin{aligned} \sum F_y = N_1 - F_g \cos\theta &= 0 \\ \therefore N_1 = mg\cos\theta & \end{aligned}$$

which we used to express the normal force in terms of the weight. We can use this expression for the normal force by substituting it into the equation we obtained from the x component to find the acceleration along the incline:

$$\begin{aligned} mg\sin\theta - \mu_{k1} N_1 &= ma_1 \\ mg\sin\theta - \mu_{k1} mg\cos\theta &= ma_1 \\ \therefore a_1 &= g(\sin\theta - \mu_{k1}\cos\theta) \end{aligned}$$

Now that we know the acceleration down the incline, we can easily find the velocity at the bottom of the incline using kinematics. We choose the origin of the x axis to be zero where the block started ($x_0 = 0$), so that the block is at position $x = L$ at the bottom of the incline. Using kinematics, we can find the speed, v, given that the initial speed, $v_0 = 0$:

$$\begin{aligned} v^2 - v_0^2 &= 2a_1(x - x_0) \\ v^2 &= 2a_1 L \\ \therefore v &= \sqrt{2a_1 L} \\ &= \sqrt{2Lg(\sin\theta - \mu_{k1}\cos\theta)} \end{aligned}$$

We can now proceed to build a model for the second segment. We first identify the forces on the block when it is on the horizontal surface; these are:

1. $\vec{F}_{g1}$, its weight.
2. $\vec{N}_2$, a normal force exerted by the horizontal surface. This is in general different than the normal force exerted when the block was on the inclined plane.
3. $\vec{f}_{k2}$, a force of kinetic friction exerted by the horizontal surface. The force is opposite of the direction of motion, and has a magnitude given by $f_{k2} = \mu_{k2} N_2$.

The forces are illustrated by the free-body diagram in Figure 6.2.4, where we showed the acceleration vector, $\vec{a}_2$, which we determined to be to the left since the block is decelerating. We also chose an xy coordinate system such that the x axis is anti-parallel to the acceleration, so that the motion is in the positive x direction (and the acceleration in the negative x direction).

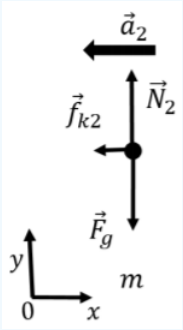

Figure 6.2.4: Free-body diagram for the block when it is sliding along the horizontal surface. We (arbitrarily) chose the positive x direction to be in the direction of motion and anti-parallel to the acceleration. We could easily have chosen the opposite direction.

Writing out the x component of Newton's Second Law:

$$\sum F_x = -f_{k2} = -ma_2$$
$$\therefore \mu_{k2} N_2 = ma_2$$

where we expressed the force of kinetic friction using the normal force. We have to be careful here with the sign of the acceleration; the equation that we wrote implies that a_2 is a positive number, since μ_{k2} is positive and N_2 is also positive (it is the magnitude of the normal force). a_2 is the magnitude of the acceleration, and we included the fact that the acceleration points in the negative x direction when we put a negative sign in the first line. The x component of the acceleration is $-a_2$, and the vector is given by $\vec{a}_2 = -a_2\hat{x}$.

The y component of Newton's Second Law will allow us to find the normal force:

$$\sum F_y = N_2 - F_g = 0$$
$$\therefore N_2 = mg$$

which we can substitute back into the x equation to find the magnitude of the acceleration along the horizontal surface:

$$ma_2 = \mu_{k2} N_2$$
$$\therefore a_2 = \mu_{k2} g$$

Now that we have found the acceleration along the horizontal surface, we can use kinematics to find the distance that the block travelled before stopping. We choose the origin of the x axis to be the bottom of the incline ($x_0 = 0$), the acceleration is negative $a_x = -a_2 = -mu_{k2}g$, the final speed is zero, $v = 0$, and the initial speed, v_0 is given by our model for the first segment. Using one of the kinematic equations:

$$v^2 - v_0^2 = 2(-a_2)(x - x_0)$$
$$v_0^2 = 2a_2 x$$
$$\therefore x = \frac{1}{2a_2} v_0^2$$
$$= \frac{1}{2\mu_{k2} g} 2Lg(\sin\theta - \mu_{k1}\cos\theta)$$
$$\therefore x = \frac{(\sin\theta - \mu_{k1}\cos\theta)}{\mu_{k2}} L$$

Discussion

The model for the distance x that it takes the block to stop makes sense because:

- All of the terms in the fraction are dimensionless, so the value of x will have the same dimension as L.
- If we make L bigger, then x will be bigger (if we release the block from higher up on the incline, it will have more time to accelerate and will slide further before stopping).
- If we make μ_{k1} bigger, then x will be smaller: if we increase friction on the incline, the block will have a smaller acceleration and smaller speed at the bottom.
- If we increase the friction with the horizontal plane (increase μ_{k2}), then x will be reduced (it won't slide as far if there is more friction on the horizontal plane).
- If we increase θ, the numerator will be larger, so x will increase (the block will accelerate more down a steeper incline and end up further).

? Exercise 6.2.1

A present is placed at rest on a plane that is inclined, at a distance L from the bottom of the incline, much like the box in *Example 6.2.1* above. At the bottom of the incline, the box is determined to have a speed v. If the box is instead released from a distance of $4L$ from the bottom of the incline, what will its speed at the bottom of the incline be?

A. v
B. $2v$
C. $4v$
D. it depends on the coefficient of friction between the present and the plane.

Answer

B.

Modeling situations where forces change magnitude

So far, the models that we have considered involved forces that remained constant in magnitude. In many cases, the forces exerted on an object can change magnitude and direction. For example, the force exerted by a spring changes as the spring changes length or the force of drag changes as the object changes speed. In these case, even if the object undergoes linear motion, we need to break up the motion into many small segments over which we can assume that the forces are constant. If the forces change continuously, we will need to break up the motion into an infinite number of segments and use calculus.

Consider the block of mass m that is shown in Figure 6.2.5, which is sliding along a frictionless horizontal surface and has a horizontal force $\vec{F}(x)$ exerted on it. The force has a different magnitude in the three segments of length Δx that are shown. If the block starts at position $x = x_0$ axis with speed v_0, we can find, for example, its speed at position $x_3 = 3\Delta x$, after the block traveled through the three segments.

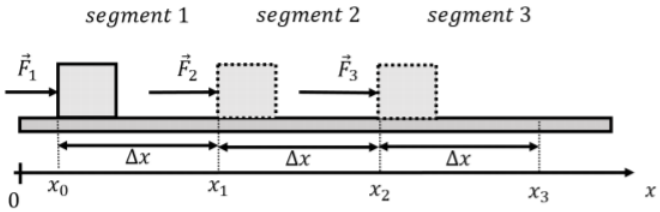

Figure 6.2.6: A block being pushed along a frictionless horizontal surface with a force that changes.

The horizontal force, $\vec{F}$, exerted on the block can be written as:

$$\vec{F}(x) = \begin{cases} F_1\hat{x} & x < \Delta x \quad \text{(segment 1)} \\ F_2\hat{x} & \Delta x \le x < 2\Delta x \quad \text{(segment 2)} \\ F_3\hat{x} & 2\Delta x \le x \quad \text{(segment 3)} \end{cases}$$

as it depends on the location of the block. To find the speed of the block at the end of the third segment, we can model each segment separately. The forces exerted on the block are the same in each segment:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{N}$, a normal force exerted by the ground.
3. $\vec{F}(x)$, an applied force that changes magnitude with position and is different in the three different segments.

The forces are illustrated in the free-body diagram show in Figure 6.2.7.

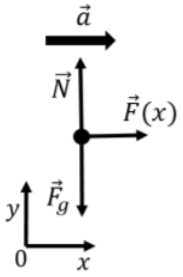

Figure 6.2.6.

Newton's Second Law can be used to determine the acceleration of the block for each of the three segments, since the forces are constant within one segment. For all three segments, the y component of Newton's Second Law just tells us that the normal force exerted by the ground is equal in magnitude to the weight of the block. The x component of Newton's Second Law gives the acceleration:

$$\sum F_x = F_i = ma_i$$

where we have used the index i to indicate which segment the block is in (i can be 1, 2 or 3). The acceleration of the block in segment i is given by:

$$a_i = \frac{F_i}{m}$$

If the speed of the block is v_0 at the beginning of segment 1 ($x = x_0$), we can find its speed at the end of segment 1 ($x = x_1$), v_1, using kinematics and the fact that the acceleration in segment 1 is a_1:

$$\begin{aligned} v_1^2 - v_0^2 &= 2a_1(x_1 - x_0) \\ v_1^2 &= v_0^2 + 2a_1\Delta x \\ \therefore v_1^2 &= v_0^2 + 2\frac{F_1}{m}\Delta x \end{aligned}$$

We can now easily find the speed at the end of segment 2 ($x = x_2$), v_2, since we know the speed at the beginning of segment 2 (x_1, v_1) and the acceleration a_2:

$$\begin{aligned} v_2^2 - v_1^2 &= 2a_2(x_2 - x_1) \\ \therefore v_2^2 &= v_1^2 + 2a_2\Delta x \\ &= v_0^2 + 2\frac{F_1}{m}\Delta x + 2\frac{F_2}{m}\Delta x \end{aligned}$$

It is easy to show that the speed at the end of the third segment is:

$$v_3^2 = v_0^2 + 2\frac{F_1}{m}\Delta x + 2\frac{F_2}{m}\Delta x + 2\frac{F_3}{m}\Delta x$$

If there were N segments, with the force being different in each segment, we could use the summation notation to write:

$$v_N^2 = v_0^2 + 2\sum_{i=1}^{i=N}\frac{F_i}{m}\Delta x$$

Finally, if the magnitude of the force varied continuously as a function of x, $\vec{F}(x)$, we would model this by taking segments whose length, Δx, tends to zero (and we would need an infinite number of such segments). For example, if we wanted to know the speed

of the object at position $x = X$ along the x axis, with a force that was given by $\vec{F}(x) = F(x)\hat{x}$, if the object started at position x_0 with speed v_0, we would take the following limit:

$$v^2 = v_0^2 + \lim_{\Delta x \to 0} 2 \sum_{i=1}^{i=N} \frac{F(x)}{m} \Delta x$$

where $\Delta x = \frac{X}{N}$ so that as $\Delta x \to 0$, $N \to \infty$. Of course, integrals are the exact tool that allow us to evaluate the sum in this limit:

$$\lim_{\Delta x \to 0} 2 \sum_{i=1}^{i=N} \frac{F_i}{m} \Delta x = 2 \int_{x_0}^{X} \frac{F(x)}{m} dx$$

and the speed at position $x = X$ is given by:

$$v^2 = v_0^2 + 2 \int_{x_0}^{X} \frac{F(x)}{m} dx$$

Naturally, we can find the above result starting directly from calculus. If the component of the (net) force in the x direction is given by $F(x)$, then the acceleration is given by $a(x) = \frac{F(x)}{m}$. The velocity is related to the acceleration:

$$a(x) = \frac{dv}{dt}$$
$$\therefore dv = a(x)dt$$

We cannot simply integrate the last equation to find that $v = \int a(x)dt$ because the acceleration is given as a function of position, $a(x)$, and not a function of time, t. Thus, we cannot simply take the integral over t and must instead "change variables" to take the integral over x. x and t are related through velocity:

$$v = \frac{dx}{dt}$$
$$\therefore dt = \frac{1}{v} dx$$

We can thus write:

$$dv = a(x)dt = a(x)\frac{1}{v}dx$$

The equation above is called a "separable differential equation", which can also be written:

$$\frac{dv}{dx} = \frac{1}{v} a(x)$$

This is called a differential equation because it relates the derivative of a function (the derivative of v with respect to x, on the left) to the function itself (v appears on the right as well). The differential equation is "separable", because we can separate out all of the quantities that depend on v and on x on different sides of the equation:

$$vdv = a(x)dx$$

This last equation says that vdv is equal to $a(x)dx$. Remember that dx is the length of a very small segment in x, and that dv is the change in velocity over that very small segment. Since the terms on the left and right are equal, if we sum (integrate) the quantity vdv over many segments, that sum must be equal to the sum (integral) of the quantity $a(x)dx$ over the same segments. Let us choose those segment such that for the beginning of the first interval the position and speed are x_0 and v_0, respectively, and the position and speed at the end of the last segment are X and V, respectively. We then must have that:

$$\int_{v_0}^{V} v dv = \int_{x_0}^{X} a(x) dx$$

$$\frac{1}{2}V^2 - \frac{1}{2}v_0^2 = \int_{x_0}^{X} a(x) dx$$

$$\therefore V^2 = v_0^2 + 2\int_{x_0}^{X} a(x) dx$$

which is the same as we found earlier. If the acceleration is constant, we recover our formula from kinematics:

$$V^2 = v_0^2 + 2\int_{x_0}^{X} a dx$$

$$= v_0^2 + 2a(X - x_0)$$

$$\therefore V^2 - v_0^2 = 2a(X - x_0)$$

Example 6.2.2

A block of mass m can slide freely along a frictionless surface. A horizontal spring, with spring constant, k, is attached to a wall on one end, while the other end can move freely, as shown in Figure 6.2.8. A coordinate system is defined such that the x axis is horizontal and the free end of the spring is at $x = 0$ when the spring is at rest. The block is pushed against the spring so that the spring is compressed by a distance D. The block is then released. What speed will the block have when it leaves the spring?

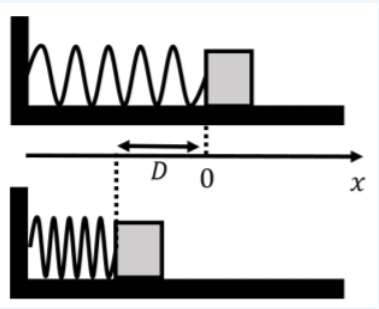

Figure 6.2.8: A block is launched along a frictionless surface by compressing a spring by a distance D. The top panel shows the spring when at rest, and the bottom panel shows the spring compressed by a distance D just before releasing the block.

Solution

As you recall, the force exerted by a spring depends on the compression or extension of the spring and is given by Hooke's Law:

$$\vec{F}(x) = -kx\hat{x}$$

where x is the position of the free end of the spring and $x = 0$ corresponds to the spring being at rest. In our case, when the edge of the block is located at $x_0 = -D$ (the spring is compressed), the force is thus in the positive x direction (since x_0 is a negative number).

The forces on the block are:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{N}$, a normal force exerted by the ground.
3. $\vec{F}(x)$, the spring force.

Since the block is not moving vertically, the magnitude of the normal force must equal the weight $N = mg$, since these are the only forces with components in the vertical direction. The x component of Newton's Second Law gives us the acceleration of the block (which depends on x):

$$\sum F_x = -kx = ma(x)$$

$$\therefore a(x) = -\frac{k}{m}x$$

Again, recall that if x is negative, then the acceleration will be in the positive direction. Since this scenario is exactly the same that we described above in the text, namely a force that varies continuously with position, we can apply the formula that we found earlier for determining the velocity after a varying force has been applied from position $x = x_0$ to position $x = X$:

$$V^2 = v_0^2 + 2\int_{x_0}^{X} a(x)dx$$

V is the final speed that we would like to find, $v_0 = 0$ because the block starts at rest, and $x_0 = -D$ is the starting position of the block. X is the position along the x axis where the block leaves the spring.

We have to think a little about what the value of X should be: when the spring is compressed and the block accelerating, the spring is pushing the block in the positive x direction. Once the block reaches $x = 0$ the spring would want to pull the block backwards, but since it is not attached to the block, it stops exerting a force on the block at that point. The block thus leaves the spring at $x = 0$, so that the final position is $X = 0$. The speed of the block when it leaves the spring is thus:

$$\begin{aligned} V^2 &= v_0^2 + 2\int_{x_0}^{X} a(x)dx \\ &= 0 + 2\int_{-D}^{0} a(x)dx \\ &= 2\int_{-D}^{0} -\frac{k}{m}x dx \\ &= 2\left[-\frac{k}{m}\frac{1}{2}x^2\right]_{-D}^{0} \\ &= \frac{k}{m}D^2 \\ \therefore V &= \sqrt{\frac{k}{m}}D \end{aligned}$$

Discussion

This model for the speed of the block when it leaves the spring makes sense because:

- The dimension for the expression for V is correct (you should check this!).
- If the spring is compressed more (bigger value of D), then the speed will be higher.
- If the mass is bigger (more inertia), then the final speed will be lower.
- If the spring is stiffer (bigger value of k), then the final speed will be higher.

If you have studied physics before, you may have realized that the speed is easily found by conservation of energy:

$$\frac{1}{2}mV^2 = \frac{1}{2}kD^2$$

which gives the same value for V. As we will see in a later chapter, kinetic and potential energy are defined as they are, precisely because it makes using conservation of energy equivalent to using forces as we just did.

Example 6.2.3

An object of mass m is released from rest out of a helicopter. The drag (air-resistance) on the object can be modeled as having a magnitude given by bv, where v is the speed of the object and b is a constant of proportionality. How does the velocity of the object depend on time?

Solution

As the object falls through the air, the forces exerted on the object are:

1. F_g, its weight, with magnitude mg, exerted downwards.
2. F_d, the force of drag, with magnitude bv, exerted upwards.

Since the object will fall in a straight line, this is a one-dimensional problem, and we can choose the x axis to be vertical, with positive x pointing downwards, and the origin located where the object was released. The object will thus have a positive acceleration and move in the positive x direction with this choice of coordinate system. This is illustrated in the free-body diagram in *Figure* 6.2.9.

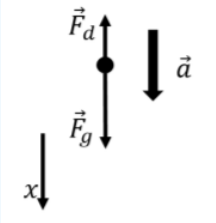

Figure 6.2.9: Free-body diagram for a block free-falling with drag.

Newton's Second Law for the object gives:

$$\begin{aligned}\sum F_x = F_g - F_d &= ma \\ mg - bv &= ma \\ \therefore a &= g - \frac{b}{m}v\end{aligned}$$

In this case, the acceleration depends explicitly on velocity rather than position, as we had before. However, we can use the same methodology to find how the velocity changes with time. First, we can note that the acceleration is zero if:

$$\begin{aligned}g - \frac{b}{m}v &= 0 \\ \therefore v &= \frac{mg}{b}\end{aligned}$$

That is, once the object reaches a speed of $v_{term} = mg/b$, it will stop accelerating, i.e. it will reach "terminal velocity". Note that this is the same condition as requiring that the drag force (bv) have the same magnitude as the weight (mg).

Writing the acceleration as $a = \frac{dv}{dt}$, we can write:

$$\frac{dv}{dt} = \left(g - \frac{b}{m}v\right)$$

which again, is a separable differential equation, in which we can write the terms that depend on v and those that depend on t on separate sides of the equal sign:

$$\begin{aligned}\frac{dv}{g - \frac{b}{m}v} &= dt \\ \frac{dv}{v - \frac{mg}{b}} &= -\frac{b}{m}dt\end{aligned}$$

where we re-arranged the equation in the second line so that it would be easier to integrate in the next step. We can find the velocity, $v(t)$, at some time, t, by stating that $v = 0$ at $t = 0$ and taking the integrals (sum) on both sides. Again, we are modelling the motion as being made up of a large number of very small segments where the quantities on both sides of the equation are the same. Thus, if we sum (integrate) those quantities over all of the same segments, the left and right hand side of the equations will still be equal to each other:

$$\int_0^{v(t)} \frac{dv}{v-\frac{mg}{b}} = -\int_0^t \frac{b}{m}dt$$

$$\left[\ln\left(v-\frac{mg}{b}\right)\right]_0^{v(t)} = -\frac{b}{m}t$$

$$\ln\left(v(t)-\frac{mg}{b}\right) - \ln\left(-\frac{mg}{b}\right) = -\frac{b}{m}t$$

$$\ln\left(\frac{v(t)-\frac{mg}{b}}{-\frac{mg}{b}}\right) = -\frac{b}{m}t$$

where, in the last line, we used the property that $\ln(a)-\ln(b)=\ln(a/b)$. By taking the exponential on either side of the equation ($e^{\ln(x)}=x$), we can find an expression for the velocity as a function of time:

$$\frac{v(t)-\frac{mg}{b}}{-\frac{mg}{b}} = e^{-\frac{b}{m}t}$$

$$v(t)-\frac{mg}{b} = -\frac{mg}{b}e^{-\frac{b}{m}t}$$

$$\therefore v(t) = \frac{mg}{b} - \frac{mg}{b}e^{-\frac{b}{m}t}$$

$$= \frac{mg}{b}\left(1-e^{-\frac{b}{m}t}\right)$$

Discussion

This equation tells us that the velocity increases as a function of time, but the rate of increase decreases exponentially with time. At time $t=0$, the velocity is zero, as expected. As t approaches infinity, v approaches, $\frac{mg}{b}$, which is the terminal velocity. The time dependence of the velocity is illustrated in Figure 6.2.10.

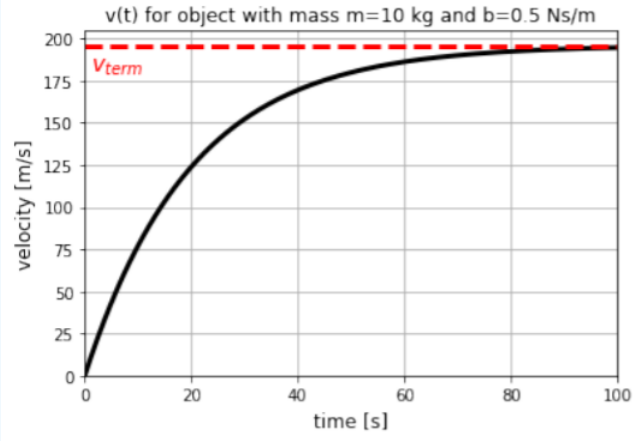

Figure 6.2.10: Velocity as a function of time for an object of mass $m=10$ kg which is freefalling from rest with a drag coefficient $b=0.5$ Ns/m.

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6.3: Uniform circular motion

As we saw in Chapter 4, "uniform circular motion" is defined to be motion along a circle with constant speed. This may be a good time to review Section 4.4 for the kinematics of motion along a circle. In particular, for the uniform circular motion of an object around a circle of radius R, you should recall that:

- The velocity vector, $\vec{v}$, is always tangent to the circle.
- The acceleration vector, $\vec{a}$, is always perpendicular to the velocity vector, because the magnitude of the velocity vector does not change.
- The acceleration vector, $\vec{a}$, always points towards the center of the circle.
- The acceleration vector has magnitude $a = v^2/R$.
- The angular velocity, ω, is related to the magnitude of the velocity vector by $v = \omega R$ and is constant.
- The angular acceleration, α, is zero for uniform circular motion, since the angular velocity does not change.

In particular, you should recall that even if the speed is constant, the acceleration vector is always non-zero in uniform circular motion because the **velocity changes direction**. According to Newton's Second Law, this implies that there **must be a net force on the object that is directed towards the center of the circle**[1] (parallel to the acceleration):

$$\sum \vec{F} = m\vec{a}$$

where the acceleration has a magnitude $a = v^2/R$. Because the acceleration is directed towards the center of the circle, we sometimes call it a "radial" acceleration (parallel to the radius), a_R, or a "centripetal" acceleration (directed towards the center), a_c.

Consider an object in uniform circular motion in a horizontal plane on a frictionless surface, as depicted in Figure 6.3.1.

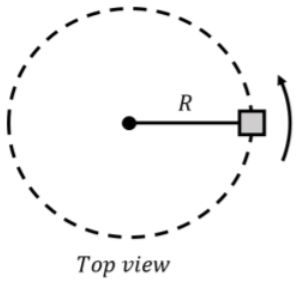

Figure 6.3.1: An object undergoing uniform circular motion on a frictionless surface, as seen from above.

The only way for the object to undergo uniform circular motion as depicted is if the net force on the object is directed towards the center of the circle. One way to have a force that is directed towards the center of the circle is to attach a string between the center of the circle and the object, as shown in Figure 6.3.1. If the string is under tension, the force of tension will always be towards the center of the circle. The forces on the object are thus:

1. $\vec{F}_g$, its weight with magnitude mg.
2. $\vec{N}$, a normal forced exerted by the surface.
3. $\vec{T}$, a force of tension exerted by the string.

The forces are depicted in the free-body diagram shown in Figure 6.3.2 (as viewed from the side), where we also drew the acceleration vector. Note that this free-body diagram is only "valid" at a particular instant in time since the acceleration vector continuously changes direction and would not always be lined up with the x axis.

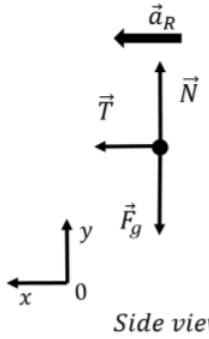

Figure 6.3.2 undergoing uniform circular motion.

Writing out the x and y components of Newton's Second Law:

$$\sum F_x = T = ma_R$$
$$\sum F_y = N - F_g = 0$$

The y component just tells us that the normal force must have the same magnitude as the weight because the object is not accelerating in the vertical direction. The x component tells us the relation between the magnitudes of the tension in the string and the radial acceleration. Using the speed of the object, we can also write the relation between the tension and the speed:

$$T = ma_R = m\frac{v^2}{R}$$

Thus, we find that the tension in the string increases with the square of the speed, and decreases with the radius of the circle.

Exercise 6.3.1

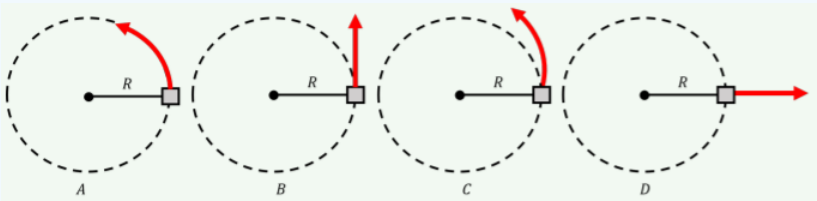

Figure 6.3.3: Possible trajectories (in red) that the block will follow if the string breaks.

An object is undergoing uniform circular motion in the horizontal plane, when the string connecting the object to the center of rotation suddenly breaks. What path will the block take after the string broke?

A. A
B. B
C. C
D. D

Answer

B.

Example 6.3.1

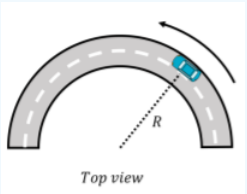

Figure 6.3.4: A car going around a curve that can be approximated as the arc of a circle of radius R.

A car goes around a curve which can be approximated as the arc of a circle of radius R, as shown in Figure 6.3.4. The coefficient of static friction between the tires of the car and the road is μ_s. What is the maximum speed with which the car can go around the curve without skidding?

Solution

If the car is going at constant speed around a circle, then the sum of the forces on the car must be directed towards the center of the circle. The only force on the car that could be directed towards the center of the circle is the force of friction between the tires and the road. If the road were perfectly slick (think driving in icy conditions), it would not be possible to drive around a curve since there could be no force of friction. The forces on the car are:

1. $\vec{F}_g$, its weight with magnitude mg.
2. $\vec{N}$, a normal force exerted upwards by the road.
3. $\vec{f}_s$, a force of static friction between the tires and the road. This is static friction, because the surface of the tire does not move relative to the surface of the road if the car is not skidding. The force of static friction has a magnitude that is at most $f_s \leq \mu_s N$.

The forces on the car are shown in the free-body diagram in Figure 6.3.5.

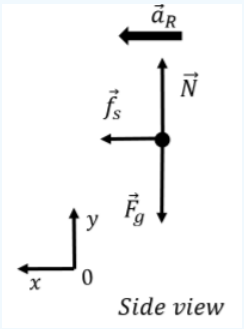

Figure 6.3.5: Free-body diagram for the car as seen looking at the car from the back (the center of the curve is towards the left).

The y component of Newton's Second Law tells us that the normal force exerted by the road must equal the weight of the car:

$$\sum F_y = N - F_g = 0$$
$$\therefore N = mg$$

The x component relates the force of friction to the radial acceleration (and thus to the speed):

$$\sum F_x = f_s = ma_R = m\frac{v^2}{R}$$
$$\therefore f_s = m\frac{v^2}{R}$$

The force of friction must be less than or equal to $f_s \leq \mu_s N = \mu_s mg$ (since $N = mg$ from the y component of Newton's Second Law), which gives us a condition on the speed:

$$f_s = m\frac{v^2}{R} \leq \mu_s mg$$
$$v^2 \leq \mu_s gR$$
$$\therefore v \leq \sqrt{\mu_s gR}$$

Thus, if the speed is less than $\sqrt{\mu_s gR}$, the car will not skid and the magnitude of the force of static friction, which results in an acceleration towards the center of the circle, will be smaller or equal to its maximal possible value.

Discussion

The model for the maximum speed that the car can travel around the curve makes sense because:

- The dimension of $\sqrt{\mu_s g R}$ is speed.
- The speed is larger if the radius of the curve is larger (one can go faster around a wider curve without skidding).
- The speed is larger if the coefficient of friction is large (if the force of friction is larger, a larger radial acceleration can be sustained).

Example 6.3.2

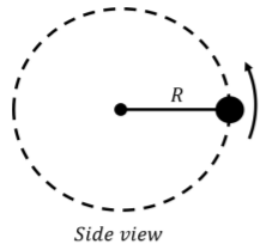

Figure 6.3.6: A ball attached to a string undergoing circular motion in a vertical plane.

A ball is attached to a mass-less string and executing circular motion along a circle of radius R that is in the vertical plane, as depicted in Figure 6.3.6. Can the speed of the ball be constant? What is the minimum speed of the ball at the top of the circle if it is able to make it around the circle?

Solution

The forces that are acting on the ball are:

1. $\vec{F}_g$, its weight with magnitude mg.
2. $\vec{T}$, a force of tension exerted by the string.

Figure 6.3.7 shows the free-body diagram for the forces on the ball at three different locations along the path of the circle.

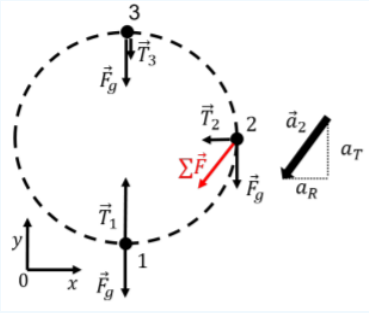

Figure 6.3.7: A ball attached to a string undergoing circular motion in a vertical plane.

In order for the ball to go around in a circle, there must be at least a component of the net force on the ball that is directed towards the center of the circle at all times. In the bottom half of the circle (positions 1 and 2), only the tension can have a component directed towards the center of the circle.

Consider in particular the position labeled 2, when the string is horizontal and the tension is equal to $\vec{T}_2$. The free-body diagram in Figure 6.3.7 also shows the vector sum of the weight and tension at position 2 (the red arrow labeled $\sum \vec{F}$), which points downwards and to the left. It is thus clearly impossible for the acceleration vector to point towards the center of the circle, and the acceleration will have components that are both tangential (a_T) to the circle and radial (a_R), as shown by the vector $\vec{a}_2$ in Figure 6.3.7.

The radial component of the acceleration will change the direction of the velocity vector so that the ball remains on the circle, and the tangential component will reduce the magnitude of the velocity vector. According to our model, it is thus impossible for the ball to go around the circle at constant speed, and the speed must decrease as it goes from position 2 to position 3, no

matter how one pulls on the string (you can convince yourself of this by drawing the free-body diagram at any point between points 2 and 3).

The minimum speed for the ball at the top of the circle is given by the condition that the tension in the string is zero just at the top of the trajectory (position 3). The ball can still go around the circle because, at position 3, gravity is towards the center of the circle and can thus give an acceleration that is radial, even with no tension. The y component of Newton's Second Law, at position 3 gives:

$$\sum F_y = -F_g = ma_y$$

$$\therefore a_y = -g$$

The magnitude of the acceleration is the radial acceleration, and is thus related to the speed at the top of the trajectory:

$$a_R = -a_y = g = m\frac{v^2}{R}$$

$$\therefore v_{min} = \sqrt{\frac{gR}{m}}$$

which is the minimum speed at the top of the trajectory for the ball to be able to continue along the circle. The tension in the string would change as the ball moves around the circle, and will be highest at the bottom of the trajectory, since the tension has to be bigger than gravity so that the net force at the bottom of the trajectory is upwards (towards the center of the circle).

Discussion

The model for the minimum speed of the ball at the top of the circle makes sense because:

- $\sqrt{\frac{gR}{m}}$ has the dimension of speed.
- The minimum velocity is larger if the circle has a larger radius (try this with a mass attached at the end of a string).
- The minimum velocity is larger if the mass is bigger (again, try this at home!).

? Exercise 6.3.2

Consider a ball attached to a string, being spun in a vertical circle (such as the one depicted in Figure 6.3.6). If you shortened the string, how would the minimum angular velocity (measured at the top of the trajectory) required for the ball to make it around the circle change?

A. It would decrease
B. It would stay the same
C. It would increase

Answer

A.

Banked curves

As we saw in *Example 6.3.1*, there is a maximum speed with which a car can go around a curve before it starts to skid. You may have noticed that roads, highways especially, are banked where there are curves. Racetracks for cars that go around an oval (the boring kind of car races) also have banked curves. As we will see, this allows the speed of vehicles to be higher when going around the curve; or rather, it makes the curves safer as the speed at which vehicles *would* skid is higher. In *Example 6.3.1*, we saw that it was the force of static friction between the tires of the car and the road that provided the only force with a component towards the center of the circle. The idea of using a banked curve is to change the direction of the normal force between the road and the car tires so that it, too, has a component in the direction towards the center of the circle.

Consider the car depicted in Figure 6.3.8 which is seen from behind making a left turn around a curve that is banked by an angle θ with respect to the horizontal and can be modeled as an arc from a circle of radius R.

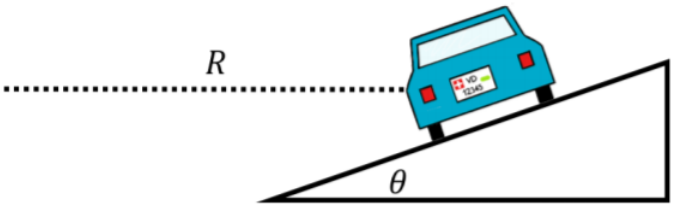

Figure 6.3.8: A car moving into the page and going around a banked curved so that it is turning towards the left (the center of the circle is to the left).

The forces exerted on the car are the same as in *Example 6.3.1*, except that they point in different directions. The forces are:

1. $\vec{F}_g$, its weight with magnitude mg.
2. $\vec{N}$, a normal force exerted by the road, perpendicular to the surface of the road.
3. $\vec{f}_s$, a force of static friction between the tires and the road. This is static friction, because the surface of the tire does not move relative to the surface of the road if the car is not skidding. The force of static friction has a magnitude that is at most $f_s \leq \mu_s N$ and is perpendicular to the normal force. The force could be either upwards or downwards, *depending on the other forces on the car*.

A free-body diagram for the forces on the car is shown in Figure 6.3.9, along with the acceleration (which is in the radial direction, towards the center of the circle), and our choice of coordinate system (choosing x parallel to the acceleration). The direction of the force of static friction is not known *a priori* and depends on the speed of the car:

- If the speed of the car is zero, the force of static friction is upwards. With a speed of zero, the radial acceleration is zero, and the sum of the forces must thus be zero. The impeding motion of the car would be to slide down the banked curve (just like a block on an incline).
- If the speed of the car is very large, the force of static friction is downwards, as the impeding motion of the car would be to slide up the bank. The natural motion of the car is to go in a straight line (Newton's First Law). If the components of the normal force and of the force of static friction directed towards the center of the circle are too small to allow the car to turn, then the car would slide up the bank (so the impeding motion is up the bank and the force of static friction is downwards).

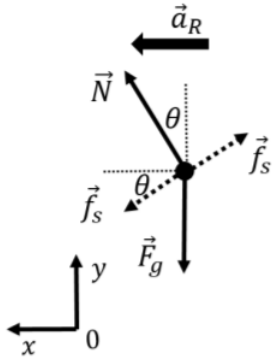

Figure 6.3.9: Free-body diagram for the forces on the car. The direction of the force of static friction cannot be determined, as it depends on the acceleration of the car, so it is shown twice (with dotted lines).

There is thus an "ideal speed" at which the force of static friction is precisely zero, and the x component of the normal force is responsible for the radial acceleration. At higher speeds, the force of static friction is downwards and increases in magnitude to keep the car's acceleration towards the center of the circle. At some maximal speed, the force of friction will reach its maximal value, and no longer be able to keep the car's acceleration pointing towards the center of the circle. At speeds lower than the ideal speed, the force of friction is directed upwards to prevent the car from sliding down the bank. If the coefficient of static friction is too low, it is possible that at low speeds, the car would start to slide down the bank (so there would be a minimum speed below which the car would start to slide down).

Let us model the situation where the force of static friction is identically zero so that we can determine the ideal speed for the banked curve. The only two forces on the car are thus its weight and the normal force. The x and y component of Newton's Second Law give:

$$\sum F_x = N\sin\theta = ma_R = m\frac{v^2}{R}$$

$$\therefore N\sin\theta = m\frac{v^2}{R} \tag{6.3.1}$$

$$\sum F_y = N\cos\theta - F_g = 0$$

$$\therefore N\cos\theta = mg \tag{6.3.2}$$

We can divide *Equation 6.3.1* by *Equation 6.3.2*, noting that $\tan\theta = \sin\theta/\cos\theta$, to obtain:

$$\tan\theta = \frac{v^2}{gR}$$

$$\therefore v_{ideal} = \sqrt{gR\tan\theta}$$

At this speed, the force of static friction is zero. In practice, one would use this equation to determine which bank angle to use when designing a road, so that the ideal speed is around the speed limit or the average speed of traffic. We leave it as an exercise to determine the maximal speed that the car can go around the curve before sliding out.

Inertial forces in circular motion

As you sit in a car that is going around a curve, you will feel pushed outwards, away from the center of the circle that the car is going around. This is because of your inertia (Newton's First Law), and your body would go in a straight line if the car were not exerting a net force on you towards the center of the circle. You are not so much feeling a force that is pushing you outwards as you are feeling the effects of the car seat pushing you inwards; if you were leaning against the side of the car that is on the outside of the curve, you would feel the side of the car pushing you inwards towards the center of the curve, even if it "feels" like you are pushing outwards against the side of the car.

If we model your motion looking at you from the ground, we would include a force of friction between the car seat (or the side of the car, or both) and you that is pointing towards the center of the circle, so that the sum of the forces exerted on you is towards the center of the circle. We can also model your motion from the non-inertial frame of the car. As you recall, because this is a non-inertial frame of reference, we need to include an additional inertial force, $\vec{F}_I$, that points opposite of the acceleration of the car, with magnitude $F_I = ma_R$ (if the net acceleration of the car is a_R). Inside the non-inertial frame of reference of the car, your acceleration (relative to the reference frame, i.e. the car) is zero. This is illustrated by the diagrams in Figure 6.3.10

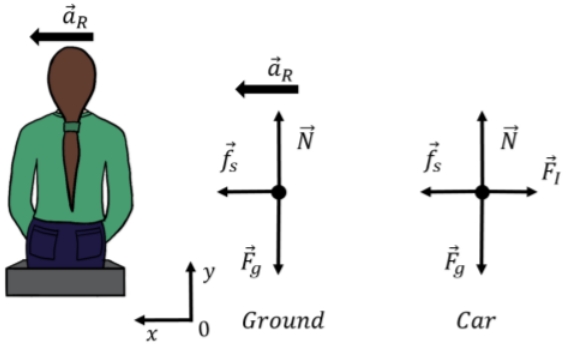

Figure 6.3.10: (Left:) A person sitting on a car seat in a car turning towards the left. (Centre:) Freebody diagram for the person as modelled in the inertial reference frame of the ground. (Right:) Free-body diagram for the person as modelled in the non-inertial frame of reference of the car, including an additional inertial force.

The y component of Newton's Second Law in both frames of reference is the same:

$$\sum F_y = N - F_g = 0$$

$$\therefore N = mg$$

and simply tells us that the normal force is equal to the weight. In the reference frame of the ground, the x component of Newton's Second Law gives:

$$\sum F_x = f_s = ma_R$$

$$\therefore f_s = m\frac{v^2}{R}$$

In the frame of reference of the car, where your acceleration is zero and an inertial force of magnitude $F_I = mv^2/R$ is exerted on you, the x component of Newton's Second Law gives:

$$\sum F_x = f_s - F_I = 0$$

$$\therefore f_s - m\frac{v^2}{R} = 0$$

which of course, mathematically, is exactly equivalent. The inertial force is not a real force in the sense that it is not exerted by anything. It only comes into play because we are trying to use Newton's Laws in a non-inertial frame of reference. However, it does provide a good model for describing the sensation that we have of being pushed outwards when the car goes around a curve. Sometimes, people will refer to this force as a "centrifugal" force, which means "a force that points away from the center". You should however remember that this is not a real force exerted on the object, but is the result of modeling motion in a non-inertial frame of reference.

? Exercise 6.3.3

Jamie is driving his tricycle around a circular pond. Jamie feels a centrifugal force with magnitude F_I. If Jamie pedals twice as fast, what will be the magnitude of the centrifugal force that he experiences?

A. $\sqrt{2}F_I$
B. $\frac{1}{2}F_I$
C. $2F_I$
D. $4F_I$

Answer

D.

Footnotes

1. The sum of the forces is often called the "net force" on an object, and in the specific case of uniform circular motion, that net force is sometimes called the "centripetal force" - however, it is not a force in and of itself and it is always the sum of the forces that points towards the center of the circle.

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6.4: Non-uniform circular motion

In non-uniform circular motion, an object's motion is along a circle, but the object's speed is not constant. In particular, the following will be true

- The object's velocity vector is always tangent to the circle.
- The speed and angular speed of the object are not constant.
- The angular acceleration of the object is not zero.
- The acceleration vector will not point towards the center of the circle.

Since the acceleration vector does not point towards the center of the circle, it is usually convenient to break up the acceleration vector into two components: a_R, a component that is radial (towards the center of the circle), and a_T, a component that is tangent to the circle (and perpendicular to to the radial component). The **radial component is "responsible" for the change in direction of the velocity** such that the object goes in a circle. the magnitude of the radial acceleration is the same as it is for uniform circular motion:

$$a_R = \frac{v^2}{r}$$

where the speed is no longer constant in time. The tangential component of the acceleration is responsible for changing the magnitude of the velocity of the object:

$$a_T = \frac{dv}{dt}$$

Example 6.4.1

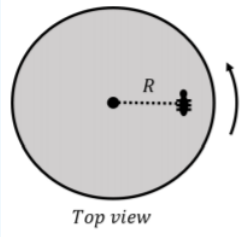

Figure 6.4.1: An ant on a horizontal turntable that is starting to spin, as seen from above.

A small ant is sleeping on a turntable just as the turntable starts to spin from rest, with an angular acceleration $\alpha = 1\text{rad}/\text{s}^2$ that is small enough so that, initially, the ant remains on the turntable. The ant is a distance $R = 0.1\text{m}$ from the center of the turntable, as shown in *Figure* 6.4.1 and the coefficient of static friction between the ant's "feet" and the turntable is $\mu_s = 0.5$. After how much time will the ant slide off from the turntable?

Solution

As the turntable accelerates, the force of static friction between the turntable and the ant will keep the ant moving with the turntable. Once the turntable is going fast enough, the force of friction will no longer be large enough to provide the total acceleration that is required to keep the ant moving with the turntable (with a constant tangential component of the acceleration and an increasing radial component of the acceleration).

The forces on the ant are:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{N}$, a normal force exerted by the turntable on the ant.
3. $\vec{f}_s$, a force of static friction exerted by the turntable on the ant. The force of friction will be such that it has both radial and tangential components.

A free-body diagram for the forces on the ant is shown in Figure 6.4.2, as seen from above and from the side, for some point in time. We have chosen the point in time to be just when the ant is about to slide off of the turntable, when the force of static friction makes an unknown angle θ with the x axis. We have placed the origin of the coordinate system at the center of the

turntable and chosen the x axis such that the ant is located on the positive x axis with its velocity in the positive y direction. We used a three dimensional coordinate system where the weight and normal force are exerted in the z (vertical) direction since the acceleration vector of the ant will have both radial (x) and tangential (y) components.

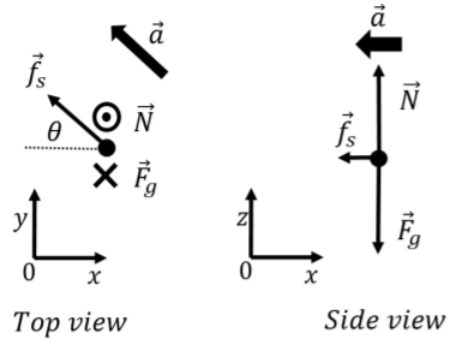

Figure 6.4.2: (Left:) Forces on the ant as seen from above. The normal force is out of the page ($\odot$), *whereas the weight is into the page* ($\times$). *(Right:) Forces on the ant as seen from the side. Note that the acceleration vector and force of static friction also have components in the y direction, which is why their magnitude is shown as being smaller than in the top view.*

Newton's Second Law has to be written out in three components. The z component relates the weight and normal force:

$$\sum F_z = N - F_g = 0$$
$$\therefore N = mg$$

The x component of Newton's Second Law is such that the x component of the acceleration is its radial component:

$$\sum F_x = -f_s \cos\theta = -ma_R = -m\frac{v^2}{R}$$
$$\therefore f_s \cos\theta = m\frac{v^2}{R}$$

The y component of Newton's Second relates the tangential component of the force of static friction to the tangential component of the acceleration:

$$\sum F_y = f_s \sin\theta = ma_T$$
$$\therefore f_s \sin\theta = m\alpha R$$

where we used the fact that the (linear) tangential acceleration, a_T, is related to the angular acceleration, α, by:

$$a_T = \alpha R$$

Summarizing the three equations that we obtained from the three components of Newton's Second Law:

$$f_s \cos\theta = m\frac{v^2}{R}$$
$$f_s \sin\theta = m\alpha R$$
$$N = mg$$

Also, note that the speed, $v(t)$ at some time t is given by simple kinematics:

$$v(t) = v_0 + a_T t = (0) + \alpha R t$$

The ant will start to slip when the force of friction reaches its maximal amplitude, $f_s = \mu_s N = \mu_S mg$. The x of Newton's Second Law can be used to find an expression for the time at which force of friction reaches its maximal value (in terms of the unknown angle θ):

$$f_s \cos\theta = m\frac{v^2}{R}$$
$$\mu_s g\cos\theta = R\alpha^2 t^2$$
$$\therefore t = \sqrt{\frac{\mu_s g\cos\theta}{R\alpha^2}}$$

We can use the y component to determine the angle θ:

$$f_s \sin\theta = m\alpha R$$
$$\mu_s g\sin\theta = \alpha R$$
$$\therefore \sin\theta = \frac{\alpha R}{\mu_s g}$$
$$\therefore \theta = \sin^{-1}\left(\frac{\alpha R}{\mu_s g}\right) = \sin^{-1}\left(\frac{(1\text{rad/s}^2)(0.1\text{m})}{(0.5)(9.8\text{N/kg})}\right)$$
$$= 1.17$$

The angle is very small, and we see that the force of friction is mostly directed towards the center of the circle. The radial acceleration is thus much larger than the tangential acceleration. We can then use the angle to find the time using the expression we derived above:

$$t = \sqrt{\frac{\mu_s g\cos\theta}{R\alpha^2}} = \sqrt{\frac{(0.5)(9.8\text{N/kg})\cos(1.17)}{(0.1\text{m})(1\text{rad/s}^2)^2}}$$
$$= 7.0\text{s}$$

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6.5: Summary

Key Takeaways

When the velocity of an object does not change direction continuously (“linear motion”), we can model its motion independently over several segments in such a way that the motion is one dimensional in each segment. This allows us to choose a coordinate system in each segment where the acceleration vector is co-linear with one of the axes.

When the forces on an object changes continuously, we need to use calculus to determine the motion of the object. If the velocity vector for an object changes direction continuously, we need to model the motion in each dimension independently.

If an object undergoes uniform circular motion, the acceleration vector and the sum of the forces always point towards the center of the circle. In the radial direction, Newton’s Second Law gives

$$\sum \vec{F} = ma_R = m\frac{v^2}{R}$$

If an object’s speed is changing as it moves around a circle the acceleration vector will have a component that is towards the center of the circle (the radial component) and a component that is tangential to the circle. The tangential component is responsible for the change in speed, whereas the radial component is responsible for the change in direction of the velocity.

In a reference frame that is rotating about a circle, an inertial force, sometimes called the centrifugal force, appears to push all objects co-moving with the reference frame towards the outside of the circle.

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6.6: Thinking about the material

Reflect and research

1. Is there a maximum speed with which an object can spin? (Something about the thing eventually flying apart if it rotates too fast, as the atoms can not be held together at some point - maybe there is a cool video to look up?)

To try at home

1. Spin a mass on a string in a vertical circle, what is the tension in the string when the mass is at the top for it to barely make it around?
2. Spin a mass on a string in a vertical circle, how does the minimum speed at the top of the circle to barely make it around depend on the radius of the circle or the mass?
3. Spin a mass on a string in a vertical circle, describe the motion if the mass does not have the minimum speed to make it around the circle. If it makes it to the top, does it automatically make it all the way around the circle?

To try in the lab

1. Build a conical pendulum and determine whether the opening angle of the cone is related to the speed of the bob, in the way that you expect it to be.
2. Propose an experiment to determine the effects of the drag force on projectile motion.
3. Propose an experiment which investigates an object's motion when placed on a spinning turntable.

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6.7: Problems and Solutions

Exercise 6.7.1

Consider a conical pendulum with a mass m, attached to a string of length L. The mass executes uniform circular motion in the horizontal plane, about a circle of radius R, as shown in Figure 6.7.1. One can think of the horizontal circle and the point where the string is attached to as forming a cone. The circular motion is such that the (constant) angle between the string and the vertical is θ.

A. Derive an expression for the tension in the string.
B. Derive an expression for the speed of the mass.
C. Derive an expression for the period of the motion.

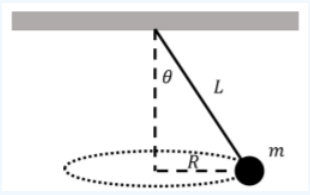

Figure 6.7.1: The conical pendulum.

Answer

a. We start by identifying the forces that are acting on the mass. These are:

- $\vec{F}_g$, its weight, with a magnitude mg.
- $\vec{F}_T$, a force of tension exerted by the string.

The forces are illustrated in Figure 6.7.2 along with our choice of coordinate system and the direction of the acceleration of the mass (towards the center of the circle).

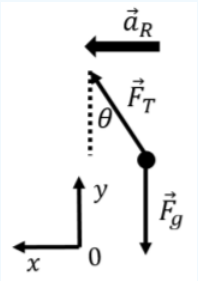

Figure 6.7.2: Forces acting on the conical pendulum.

The y component of Newton's Second law gives the relation between the tension in the string, the weight, and the angle θ

$$\begin{aligned} \sum F_y &= 0 \\ F_T \cos\theta - F_g &= 0 \\ F_T \cos\theta &= mg \\ \therefore F_T &= \frac{mg}{\cos\theta} \end{aligned}$$

b. In order for the mass to move in a circle, the net force must be directed towards the center of the circle at all times. The x component of Newton's Second Law, combined with our expression for the magnitude of the tension, F_T, allows us to determine the speed of the mass:

$$\sum F_x = ma_r$$

$$F_T \sin\theta = m\frac{v^2}{R}$$

$$\left(\frac{mg}{\cos\theta}\right)\sin\theta = m\frac{v^2}{R}$$

$$g\tan\theta = \frac{v^2}{R}$$

$$\therefore v = \sqrt{gR\tan\theta}$$

c. Now that we know the speed, we can easily find the period, T, of the motion:

$$T = \frac{2\pi R}{v}$$

$$= \frac{2\pi R}{\sqrt{gR\tan\theta}} = 2\pi\sqrt{\frac{R}{g\tan\theta}}$$

Exercise 6.7.2

Barb and Kenny are going to the amusement park. Barb insists on riding the giant roller coaster, but Kenny is scared that they will fall out of the roller coaster at the top of the loop. Barb reassures Kenny by asking the roller coaster technician for more information. The technician says that they will be traveling at 15m/s when upside down, and that the roller coaster loop has a radius of 22m. Kenny is still skeptical. Is he correct in being skeptical?

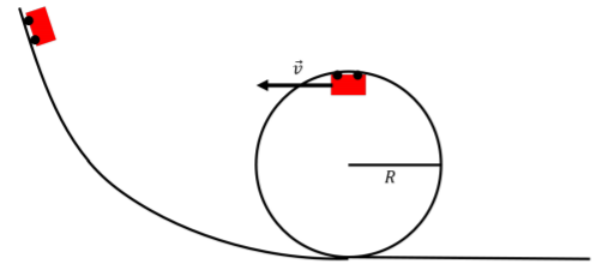

Figure 6.7.3: The roller coaster

Answer

We need to determine if the speed of Barb and Kenny is large enough for them to go around the circle. The minimum speed that they must have at the top of the loop is such that their weight (the only force acting on them) provides the centripetal (net) force required to go around the loop.

Writing Newton's Second Law in the vertical direction, for the case where only the weight acts on Barb or Kenny (mass m), when they are going at speed v

$$mg = ma_R = m\frac{v^2}{R}$$

$$\therefore v = \sqrt{gR} = \sqrt{(9.8\text{m/s}^2)(22\text{m})} = 14.68\text{m/s}$$

This corresponds to the minimum speed that they must have at the top of the loop to make it around. If they go faster, the normal force from their seat (downwards, since they are upside-down), would result in a larger net force towards the center of the circle. This situation corresponds to the normal force from their seat just barely reaching 0 at the top of the loop. Since the roller coaster is quoted as having a speed of 15m/s at the top of the loop, they will just barely make it. However, this is way too close to the minimal speed to not fall out of the roller coaster, so Kenny is correct in being skeptical! The engineers designing the roller coaster should include a much bigger safety margin!

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CHAPTER OVERVIEW

7: Work and energy

In this chapter, we introduce a new way to build models derived from Newton's theory of classical physics. We will introduce the concepts of work and energy, which will allow us to model situations using scalar quantities, such as energy, instead of vector quantities, such as forces. It is important to remember that even when we are using energy and work, these tools are derived from Newton's Laws; that is, we may not be using Newton's Second Law explicitly, but the models that we develop are still based on the same theory of classical physics.

Learning Objectives

- Understand the concept of work and how to calculate the work done by a force.
- Understand the concept of the net work done on an object and how that relates to a change in speed of the object.
- Understand the concept of kinetic energy and where it comes from.
- Understand the concept of power.

prelude

You are holding a heavy book with your arm extended horizontally. The book does not move as you struggle to keep it from falling to the ground. Does your arm do work on the book? If you start walking to class while holding the book, does your arm do work on the book?

7.1: Work
7.2: Kinetic energy and the work energy theorem
7.3: Power
7.4: Summary
7.5: Thinking about the material
7.6: Sample Problems and Solutions

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7.1: Work

Review Exercises

- Section A1.3 on the scalar product.
- Section A2.3 on integrals.

We introduce the concept of work as the starting point for building models using energy instead of forces. Work is a scalar quantity that is meant to represent how a force exerted on an object over a given distance results in a change in speed of that object. We will first introduce the concept of work done by a force on an object, and then look at how work can change the kinematics of the object. This is analogous to how we first defined the concept of force, and then looked at how force affects motion (by using Newton's Second Law, which connected the concept of force to the acceleration of the object).

The work done by a force, $\vec{F}$, on an object over a displacement, $\vec{d}$, is defined to be:

$$W = \vec{F} \cdot \vec{d} = Fd\cos\theta = F_x d_x + F_y d_y + F_z d_z \tag{7.1.1}$$

where θ is the angle between the vectors when they are placed tail to tail, as in *Figure 7.1.1*. The dimension of work, force times displacement, is also called "energy". The S.I. unit for energy is the Joule (abbreviated J) which is equivalent to Nm or $\mathrm{kg\,m^2/s^2}$ in base units.

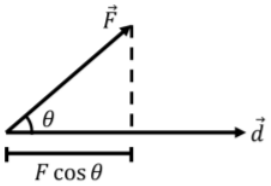

Figure 7.1.1: When determining the scalar product $\vec{F} \cdot \vec{d} = Fd\cos\theta$, θ is the angle between the vectors when they are placed tail to tail.

The work "done" by the force is the scalar product of the force vector and the displacement vector of the object. We say that the force "does work" if it is exerted while the object moves (has a displacement vector) and in such a way that the scalar product of the force and displacement vectors is non-zero. A force that is perpendicular to the displacement vector of an object does no work (since the scalar product of two perpendicular vectors is zero). A force exerted in the same direction as the displacement will do positive work ($\cos\theta$ positive), and a force in the opposite direction of the displacement will do negative work ($\cos\theta$ negative). As we will see, positive work corresponds to increasing the speed of the object, whereas negative work corresponds to decreasing its speed. No work corresponds to no change in speed (but could corresponds to a change in velocity).

? Exercise 7.1.1

A pendulum of length R consists of a mass connected to a string (Figure 7.1.2). The string exerts a force of tension $\vec{F}_T$ on the mass. What is the work done by tension when the pendulum swings through an angle θ?

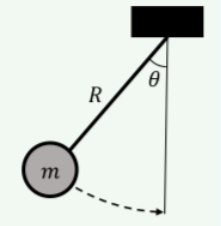

Figure 7.1.2: A pendulum swings through an angle θ.

1. $W = F_T R\theta$
2. $W = F_T R(1-\cos\theta)$
3. Tension does no work on the mass.

Answer

3.

You may be tempted to ask, "Why work? Why not something else? Why that scalar product in particular? How could we possibly have thought of that?". In general, it seems arbitrary that we introduce the quantity "work" and then find that it leads to a convenient way of building models. However, we did not just pull this quantity out of thin air! Many theorists, over many years, tried all sorts of quantities and ways to rephrase Newton's Theory that were not helpful. The quantities that make it into textbooks are the ones that turned out to be useful. You should also keep in mind that, just like force, work is a "made-up" mathematical tool that is helpful in describing the world around us. There is no such thing as work or energy; they are just useful mathematical tools.

Work in one dimension.

Work involves vectors, so we can first examine the concept in one dimension, before extending this to two and three dimensions. We can choose x as the coordinate in one dimension, so that all vectors only have an x component. We can write a force vector as $\vec{F} = F\hat{x}$, where F is the x component of the force (which could be positive or negative). A displacement vector can be written as $\vec{d} = d\hat{x}$, where again, d is the x component of the displacement, and can be positive or negative. In one dimension, work is thus:

$$W = \vec{F} \cdot \vec{d} = (F\hat{x}) \cdot (d\hat{x}) = Fd(\hat{x} \cdot \hat{x}) = Fd$$

where $\hat{x} \cdot \hat{x} = 1$. Consider, for example, the work done by a force, $\vec{F}$, on a box, as the box moves along the x axis from position $x = x_0$ to position $x = x_1$, as shown in Figure 7.1.3.

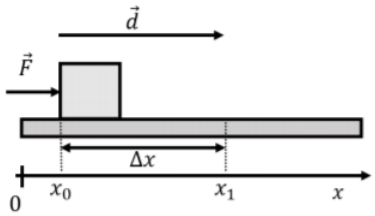

Figure 7.1.3: A force, $\vec{F}$, exerted on an object as it moves from position $x = x_0$ to position $x = x_1$.

We can write the length of the displacement vector as $||\vec{d}|| = d = \Delta x = x_1 - x_0$. The work done by the force is given by:

$$W = \vec{F} \cdot \vec{d} = F\hat{x} \cdot \Delta x\hat{x} = F\Delta x = F(x_1 - x_0)$$

which is a positive quantity, since $x_1 > x_0$, with our choice of coordinate system.

? Exercise 7.1.2

A constant force in the positive x direction, $\vec{F}$, acts on a box, as in *Figure* 7.1.3. Consider the work done by $\vec{F}$ as the box moves from x_1 to x_0. How does it compare to the work done by $\vec{F}$ when moving from x_0 to x_1 (that we calculated above)?

A. $\vec{F}$ does no work on the box when it moves from x_0 to x_1.
B. The work has the same magnitude as before, but the work is now negative.
C. The work done by $\vec{F}$ is the same in both cases.

Answer

B.

Work in one dimension - varying force

Suppose that instead of a constant force, $\vec{F}$, we have a force that changes with position, $\vec{F}(x)$, and can take on three different values between $x = x_0$ and $x = x_3$:

$$\vec{F}(x) = \begin{cases} F_1\hat{x} & x < \Delta x \\ F_2\hat{x} & \Delta x \leq x < 2\Delta x \\ F_3\hat{x} & 2\Delta x \leq x \end{cases}$$

as illustrated in Figure 7.1.3, which shows the force on an object as it moves from position $x = x_0$ to position $x = x_3$\$, along three (equal) displacement vectors, $\vec{d}_1 = \vec{d}_2 = \vec{d}_3 = \Delta x\hat{x}$

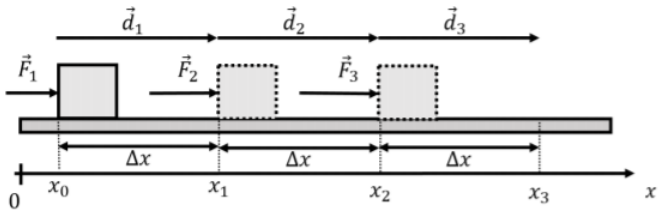

Figure 7.1.4: A varying force, $\vec{F}(x)$, exerted on an object as it moves from position $x = x_0$ to position $x = x_3$.

The total work done by the force over the three separate displacements is the sum of the work done over each displacement:

$$\begin{aligned} W^{tot} &= W_1 + W_2 + W_3 \\ &= \vec{F}_1 \cdot \vec{d}_2 + \vec{F}_2 \cdot \vec{d}_2 + \vec{F}_3 \cdot \vec{d}_3 \\ &= F_1 \Delta x + F_2 \Delta x + F_3 \Delta x \end{aligned}$$

If instead of 3 segments we had N segments and the x component of the force had the N corresponding values F_i in the N segments, the total work done by the force would be:

$$W^{tot} = \sum_{i=0}^{N} \vec{F}_i \cdot \Delta\vec{x}$$

where we introduced a vector $\Delta\vec{x}$ to be the vector of length Δx pointing in the positive x direction. In the limit where $\vec{F}(x)$ changes continuously as a function of position, we take the limit of an infinite number of infinitely small segments of length dx, and the sum becomes an integral:

$$W^{tot} = \int_{x_0}^{x_f} \vec{F}(x) \cdot d\vec{x} \tag{7.1.2}$$

where the work was calculated in going from $x = x_0$ to $x = x_f$, and $d\vec{x} = dx\hat{x}$ is an infinitely small displacement vector (of length dx) in the positive x direction.

Example 7.1.1

A block is pressed against the free end of a horizontal spring with spring constant, k, so as to compress the spring by a distance D relative to its rest length, as shown in *Figure* 7.1.5. The other end of the spring is fixed to a wall. What is the work done by the spring force on the block in going from $x = -D$ to $x = 0$? What is the work done by the block on the spring over the same displacement?

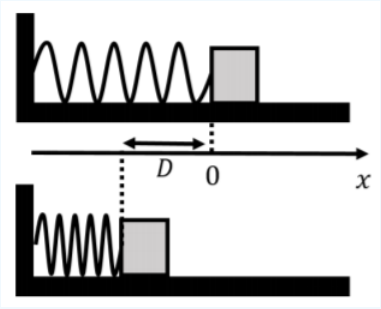

Figure 7.1.5: A block is pressed against a horizontal spring so as to compress the spring by a distance D relative to its rest length.

Solution

The force exerted by the spring on the block changes continuously with position, according to Hooke's law:

$$\vec{F}(x) = -kx\hat{x}$$

and points in the positive x direction when the end of the spring has a negative \$x\$ position (with our coordinate choice illustrated in Figure 7.1.5, where the origin is located at the rest length of the spring). To calculate the work done by the force, we sum the work done by the force over many infinitesimally small displacements $d\vec{x}$ (using an integral):

$$\begin{aligned} W &= \int_{-D}^{0} \vec{F}(x) \cdot d\vec{x} \\ &= \int_{-D}^{0} (-kx\hat{x}) \cdot (dx\hat{x}) \\ &= \int_{-D}^{0} -kx dx (\hat{x} \cdot \hat{x}) \\ &= -\int_{-D}^{0} kx dx \\ &= -\left[\frac{1}{2}kx^2\right]_{-D}^{0} \\ &= \frac{1}{2}kD^2 \end{aligned}$$

In order to determine the work that was done by the block on the spring, we need to determine the force, $\vec{F}'(x)$, exerted by the block on the spring. By Newton's Third Law, this is equal in magnitude but opposite in direction to the force exerted by the spring on the block:

$$\vec{F}'(x) = -\vec{F}(x) = kx\hat{x}$$

The work done by the block on the spring over the same displacement is:

$$\begin{aligned} W' &= \int_{-D}^{0} \vec{F}'(x) \cdot d\vec{x} \\ &= \int_{-D}^{0} (kx\hat{x}) \cdot (dx\hat{x}) \\ &= \int_{-D}^{0} kx dx = -\frac{1}{2}kD^2 \end{aligned}$$

which is negative. This makes sense because the force exerted by the block on the spring is in the direction opposite to the direction of displacement, so the work should be negative.

Work in multiple dimensions

First, consider the work done by a force $\vec{F}$ in pulling a crate over a displacement $\vec{d}$, in the case where the force is directed at an angle θ above the horizontal, as shown in Figure 7.1.6, and the displacement is along the x axis (or rather, we chose the x axis to be parallel to the displacement).

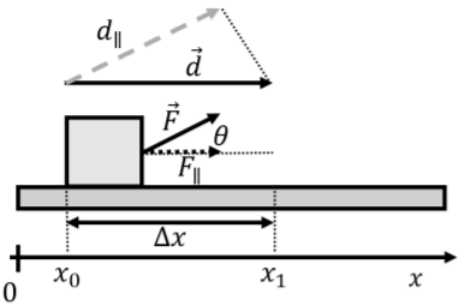

Figure 7.1.6: A force, $\vec{F}$, exerted on an object as it moves from position $x = x_0$ to position $x = x_1$.}

The work done by the force is given by:

$$\begin{aligned} W = \vec{F} \cdot \vec{d} &= Fd\cos\theta \\ &= F_{\|} d \\ &= F d_{\|} \end{aligned}$$

where we highlighted the fact that the scalar product "picks out" components of vectors that are parallel to each other. $F_{\|} = F\cos\theta$ is the component of $\vec{F}$ that is parallel to $\vec{d}$, and $d_{\|} = d\cos\theta$ is the component of $\vec{d}$ that is parallel to $\vec{F}$. These are also shown in Figure 7.1.6.

? Exercise 7.1.3

Brent and Dean pull two crates by using ropes that make the same angle above the horizontal and with the same force. The magnitude of the crates' displacement is the same, but Dean's crate moves horizontally on the ground while Brent's crate moves up a frictionless ramp that is parallel to the rope used to pull the crate. Who did more work on the crate?

A. Dean because there is friction between his crate and the ground.
B. Brent.
C. They did the same amount of work.

Answer

B.

In general, if an object is moving along an arbitrary path, we cannot choose the x axis to be parallel to the displacement or to the force. If the path can be sub-divided into straight segments over which the force is constant, as in Figure 7.1.7, we can calculate the work done by the force over each segment and add the work done in each segment together to obtain the total work done by the force. Note that, in general, the work done by a force as an object moves from one position to another depends on the particular path that was taken between the two positions, since different paths will have difference lengths.

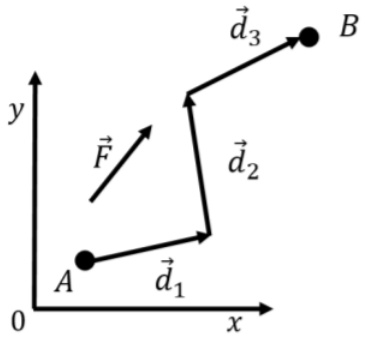

Figure 7.1.7: An arbitrary two dimensional path of an object from A to B broken into three straight segments.

✓ Example 7.1.2

Compare the work done by the force of kinetic friction in sliding a crate along a horizontal surface from position A (coordinates x_A, y_A) to position B (coordinates x_B, y_B) using the two different paths depicted in Figure 7.1.8. Assume that the mass of the crate is m and that the coefficient of kinetic friction between the crate and the ground is μ_k.

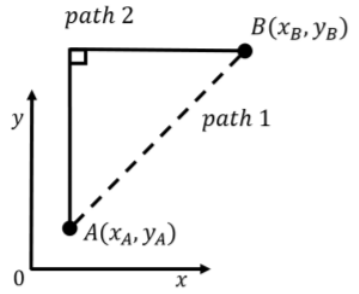

Figure 7.1.8: Two possible paths to slide a crate from position A to position B, as seen from above.

Solution

The force of kinetic friction is always in the direction opposite to that of motion. Thus, regardless of the path taken, the force of friction will do negative work.

Let us first calculate the work done by the force of kinetic friction along the first path (the straight line). The force of kinetic friction will have a magnitude:

$$f_k = \mu_k N = \mu_k mg$$

The normal force will have the same magnitude as the weight because the crate is not moving (accelerating) in the direction perpendicular to the xy plane. The displacement vector from A to B can be written as:

$$\begin{aligned} \vec{d} &= (x_B - x_A)\hat{x} + (y_B - y_A)\hat{y} \\ \therefore ||\vec{d}|| &= d = \sqrt{(x_B - x_A)^2 - (y_B - y_A)^2} \end{aligned}$$

The force of kinetic friction will be in the opposite direction of the displacement vector, so the angle between the two vectors is $180^\circ (\cos\theta = -1)$. The work done by the force of kinetic friction is thus:

$$W = \vec{f}_k \cdot \vec{d} = f_k d \cos\theta = -\mu_k mg\sqrt{(x_B - x_A)^2 - (y_B - y_A)^2}$$

and is negative, as expected.

For path 2, we break up the motion into two segments, with displacements vectors $\vec{d}_1$ (along y) and $\vec{d}_2$ (along x). We can write the two displacement vectors as:

$$\begin{aligned} \vec{d}_1 &= 0\hat{x} + (y_B - y_A)\hat{y} \\ \therefore ||\vec{d}_1|| &= d_1 = (y_B - y_A) \\ \vec{d}_2 &= (x_B - x_A)\hat{x} + 0\hat{y} \\ \therefore ||\vec{d}_2|| &= d_2 = (x_B - x_A) \end{aligned}$$

Along each segment, the force of kinetic friction is anti-parallel to the displacement (note that the force of friction changes direction over the two segments), but the magnitude is $f_k = \mu_k mg$. The work done along the first segment is thus:

$$W_1 = \vec{f}_k \cdot \vec{d}_1 = f_k d_1 \cos\theta = -\mu_k mg(y_B - y_A)$$

The work done along the second segment is:

$$W_2 = \vec{f}_k \cdot \vec{d}_2 = f_k d_2 \cos\theta = -\mu_k mg(x_B - x_A)$$

And the total work done by the force of kinetic friction over the second path is:

$$W^{tot} = W_1 + W_2 = -\mu_k mg\left((x_B - x_A) + (y_B - y_A)\right)$$

which is more work than was done along path 1. This makes sense because for both paths, the force of friction has the same magnitude and is always in the opposite direction of motion; thus, the longer the path, the more work will be done by the force.

Example 7.1.3

A box of mass m is moved from the floor onto a table using two different paths, as shown in Figure 7.1.9. The table is a horizontal distance L away from where the box starts and a height H above the floor. Compare the work done by the weight of the box along the two possible paths.

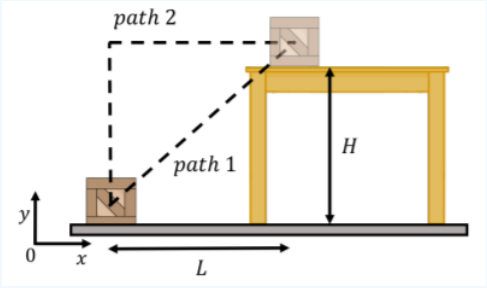

Figure 7.1.9: Two possible paths to move a box from the floor onto a table.

Solution

We can use a coordinate system such that the origin coincides with the initial position of the box. x is horizontal and y is vertical, as shown in Figure 7.1.9. The weight of the box can be written as:

$$\vec{F}_g = -mg\hat{y}$$

and points in the negative y direction with a magnitude of mg. To calculate the work done by the weight along the first path, we first determine the corresponding displacement vector, $\vec{d}$:

$$\vec{d} = L\hat{x} + H\hat{y}$$

and we can then determine the work:

$$\begin{aligned} W &= \vec{F}_g \cdot \vec{d} = (-mg\hat{y}) \cdot (L\hat{x} + H\hat{y}) \\ &= F_x d_x + F_y d_y = (0)(L) + (-mg)(H) \\ &= -mgH \end{aligned}$$

Along path 1, the work done by the weight is negative, and does not depend on the horizontal distance L. Let us now calculate the work done along the second path, which we break up into two segments with displacement vectors $\vec{d}_1$ (vertical) and $\vec{d}_2$ (horizontal). The displacement vectors are:

$$\vec{d}_1 = H\hat{y}$$
$$\vec{d}_2 = L\hat{x}$$

The work done along the vertical segment is:

$$\begin{aligned} W_1 &= \vec{F}_g \cdot \vec{d}_1 = (-mg\hat{y}) \cdot (H\hat{y}) \\ &= -mgH \end{aligned}$$

The work done along the horizontal segment is:

$$\begin{aligned} W_2 &= \vec{F}_g \cdot \vec{d}_2 = (-mg\hat{y}) \cdot (L\hat{x}) \\ &= 0 \end{aligned}$$

which is zero, because the force of gravity is always vertical and thus perpendicular to the displacement vector of the horizontal segment. The total work done by the weight along the second path is:

$$W^{tot} = W_1 + W_2 = -mgH$$

which is the same as the work done along path 1. As we will see, when a force is constant in magnitude and direction, the work that it does on an object in going from one position to another is independent of the path taken. This was not the case in *Example 7.1.2*, because the direction of the force of kinetic friction depends on the direction of the displacement.

Exercise 7.1.4

Clare and Amelia go down two different slides, as shown in Figure 7.1.10. Clare and Amelia have the same mass and the slides have the same non-zero coefficients of friction.

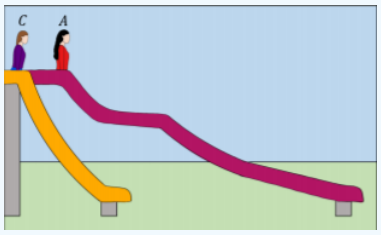

Figure 7.1.10: Clare (C) and Amelia (A) go down two different slides of the same height.

For each of the following forces, decide whether the force: does more work on Clare, does more work on Amelia, or does the same amount of work on both.

1. The force of gravity...
2. The force of friction...
3. The normal force from the slide...

Answer

Gravity does the same amount of work on both, friction does more work on Amelia, and the normal force does the same amount of work on both (the normal force does zero work, since it is always perpendicular to the displacement).

The most general case for which we can calculate the work done by a force is the case when the force changes continuously along a path where the displacement also changes direction continuously. This is illustrated in Figure 7.1.11 which shows an arbitrary path between two points A and B, and a force, $\vec{F}(\vec{r})$, that depends on position ($\vec{r}$). In general, the work done by the force on an object that goes from A to B will depend on the actual path that was taken.

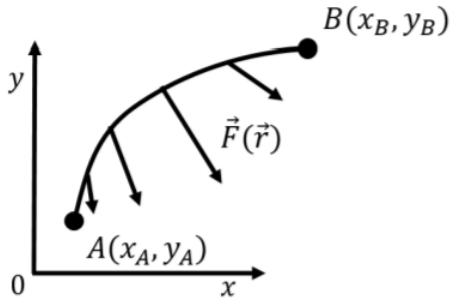

Figure 7.1.11: An arbitrary path between two points A and B with a force that depends on position, $\vec{F}(\vec{r})$.

The strategy for calculating the work in the general case is the same: we break up the path into small straight segments with displacement vectors $d\vec{l}$ (Figure 7.1.12) where we assume that the force is constant over the segment. The total work is the sum of the work over each segment:

$$W = \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} \tag{7.1.3}$$

As usual, we use the integral symbol to indicate that you need to take an infinite number of infinitely small segments $d\vec{l}$ in order to calculate the sum.

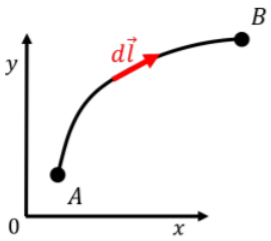

Figure 7.1.12: We divide the path into infinitesimally small segments with displacement vectors $d\vec{l}$.

You should note that this is not an integral like any other that we have seen so far: the integral is not over a single integration variable (usually we use x), but it is the integral (the sum!) over the specific path that we have chosen in going from A to B. This is called a "path integral", and is generally difficult to evaluate.

Example 7.1.4

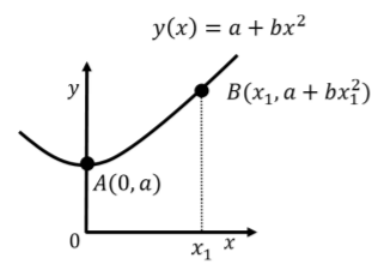

Figure 7.1.13: A parabolic path between A and B.

A force, $\vec{F}(\vec{r}) = \vec{F}(x, y) = F_x\hat{x} + F_y\hat{y}$, is exerted on an object. The object starts at position A and ends at position B, along a parabolic path, $y(x) = a + bx^2$, as depicted in Figure 7.1.13. What is the work done by the force, $\vec{F}$, along this trajectory?

Solution

In this case, the force can change with position (if F_x and F_y are not constant), and the direction of the path changes continuously. When we break up the path into small segments $d\vec{l}$, we need to incorporate the equation of the parabola to include the fact that $d\vec{l}\$$ must always be tangent to the parabola. Consider one small segment along the trajectory and the infinitesimal displacement vector $d\vec{l}$ at that point, as in Figure 7.1.14

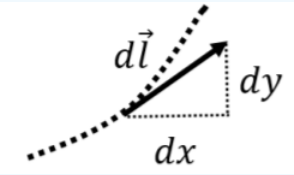

Figure 7.1.14: The infinitesimal displacement vector, $d\vec{l}$.

We can write the x and y components of the vector as infinitesimal distances, dx and dy, along the x and y axes, respectively. The vector $d\vec{l}$ can thus be written:

$$d\vec{l} = dx\hat{x} + dy\hat{y}$$

The total work done by the force is then:

$$
\begin{aligned}
W &= \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} \\
&= \int_A^B (F_x \hat{x} + F_y \hat{y}) \cdot (dx\hat{x} + dy\hat{y}) \\
&= \int_A^B (F_x dx + F_y dy) \\
\therefore W &= \int_A^B F_x dx + \int_A^B F_y dy
\end{aligned}
$$

where in the last line, we simply used the property that the integral of a sum is the sum of the corresponding integrals. At this point, we have two integrals over integration variables (x and y) that are meaningful. However, we have not yet used the fact that our path is a parabola, and in general, we expect that the shape of the path is important. By saying that we are integrating (or calculating the work) over a specific path, we are really saying that x and y are not independent; that is, if we know the value of x at some point on the path, we know the corresponding value of y ($y = a + bx^2$).

Since x and y are not independent, we can use a "substitution of variables" in order to express y in terms of x, and dy in terms of $dx$$:

$$
\begin{aligned}
y(x) &= a + bx^2 \\
\frac{dy}{dx} &= 2bx \\
\therefore dy &= 2bx dx
\end{aligned}
$$

This allows us to convert the integral over y to an integral over x, which also allows us to be explicit for the limits of the integral (in our example, the integral goes from $x = 0$ to $x = x_1$):

$$
\begin{aligned}
W &= \int_A^B F_x dx + \int_A^B F_y dy \\
&= \int_0^{x_1} F_x dx + \int_0^{x_1} F_y (2bx dx) \\
&= \int_0^{x_1} (F_x + 2bx F_y) dx
\end{aligned}
$$

where we would need to know how F_x and F_y depends on x and y in order to actually evaluate the integral.

For example, if the force were constant (F_x and F_y constant), then the work done along the parabolic path would be:

$$
\begin{aligned}
W &= \int_0^{x_1} (F_x + 2bx F_y) dx \\
&= \left[F_x x + b F_y x^2 \right]_0^{x_1} \\
&= F_x x_0 + b F_y x_0^2
\end{aligned}
$$

As we mentioned earlier, **if the force is constant in magnitude and direction**, then the work done is independent of path. We can easily check this, using the displacement vector $\vec{d} = x_1 \hat{x} + b x_1^2 \hat{y}$:

$$
\begin{aligned}
W &= \vec{F} \cdot \vec{d} = (F_x \hat{x} + F_y \hat{y}) \cdot (x_1 \hat{x} + b x_1^2 \hat{y}) \\
&= F_x x_1 + b F_y x_1^2
\end{aligned}
$$

as we found above.

Net work done

So far, we have considered the work done on an object by a single force. If more than one force is exerted on an object, then each force can do work on the object, and we can calculate the "net work" done on the object by adding together the work done by each

force. We will show that this is equivalent to first calculating the net force on the object, F^{net} (i.e. the vector sum of the forces on the object), and then calculating the work done by the net force.

Suppose that three forces, $\vec{F}_1$, $\vec{F}_2$, and $\vec{F}_3$ are exerted on an object as it moves such that its displacement vector is $\vec{d}$. The net work done on the object is easily shown to be equivalent to the work done by the net force::

$$\begin{aligned} W^{net} &= W_1 + W_2 + W_3 \\ &= \vec{F}_1 \cdot \vec{d} + \vec{F}_2 \cdot \vec{d} + \vec{F}_3 \cdot \vec{d} \\ &= (F_{1x}d_x + F_{1y}d_y + F_{1z}d_z) + (F_{2x}d_x + F_{2y}d_y + F_{2z}d_z) + (F_{3x}d_x + F_{3y}d_y + F_{3z}d_z) \\ &= (F_{1x} + F_{2x} + F_{3x})d_x + (F_{1y} + F_{2y} + F_{3y})d_y + (F_{1z} + F_{2z} + F_{3z})d_z \\ &= \vec{F}^{net} \cdot \vec{d} \end{aligned}$$

where $\vec{F}^{net} = \vec{F}_1 + \vec{F}_2 + \vec{F}_3$ is the net force. The result is easily generalized to any number of forces, including if those forces change as a function of position:

$$W^{net} = \int_A^B F^{net}(\vec{r}) \cdot d\vec{l}$$

Example 7.1.5

You push with an unknown horizontal force, $\vec{F}$, against a crate of mass m that is located on an inclined plane that makes an angle θ with respect to the horizontal, as shown in Figure 7.1.15. The coefficient of kinetic friction between the crate and the incline is μ_k. You push in such a way that that crates moves at a constant speed up the incline. What is the net work done on the crate if it moves up the incline by a distance d?

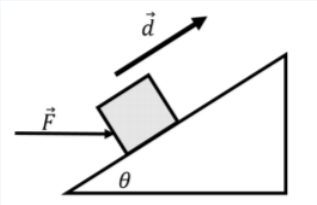

Figure 7.1.15: A crate being pushed up an incline.

Solution

Although the answer may be obvious, let's go the long way about it and calculate the work done by each force, and then sum them together to get the total work done. We start by identifying the forces exerted on the crate:

1. $\vec{F}$, the applied force, of unknown magnitude, $\vec{F}$.
2. $\vec{F}_g$, the weight of the crate, with magnitude mg.
3. $\vec{N}$, a normal force exerted by the incline.
4. $\vec{f}_k$, a force of kinetic friction, with magnitude $\mu_k N$, that points in the direction opposite of $\vec{d}$.

These are shown in the free-body diagram in Figure 7.1.16, along with our choice of coordinate system, and the displacement vector.

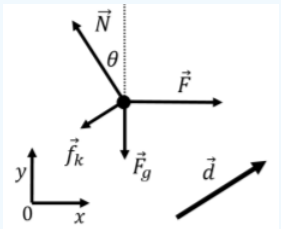

Figure 7.1.16: Free-body diagram for the crate on the incline.

With our choice of coordinate system, the displacement vector is given by:

$$\vec{d} = d(\cos\theta\hat{x} + \sin\theta\hat{y})$$

Before calculating the work done by each force, we need to determine the magnitude of the normal force (and thus of the force of kinetic friction). Since the crate is moving at a constant velocity, its **acceleration is zero**, so the sum of the forces must be zero. Writing out the y component of Newton's Second Law allows us to find the magnitude of the normal force:

$$\begin{aligned}\sum F_y &= N\cos\theta - F_g - f_k\sin\theta = 0\\ \therefore mg &= N\cos\theta - \mu_k N\sin\theta = N(\cos\theta - \mu_k\sin\theta)\\ \therefore N &= \frac{mg}{\cos\theta - \mu_k\sin\theta}\end{aligned}$$

Writing out the x component of Newton's Second Law allows us to find the magnitude of the unknown force F:

$$\begin{aligned}\sum F_x &= F - N\sin\theta - f_k\cos\theta = 0\\ \therefore F &= N\sin\theta + \mu_k N\cos\theta = N(\sin\theta + \mu_k\cos\theta)\\ &= mg\frac{\sin\theta + \mu_k\cos\theta}{\cos\theta - \mu_k\sin\theta}\end{aligned}$$

We now proceed to calculate the work done by each force. The work done by the normal force is identically zero, since it is perpendicular to the displacement vector. The work done by the applied force, $\vec{F} = F\hat{x}$, is:

$$\begin{aligned}W_F &= \vec{F}\cdot\vec{d} = (F\hat{x})\cdot(d(\cos\theta\hat{x} + \sin\theta\hat{y}))\\ &= Fd\cos\theta = mg\frac{\sin\theta + \mu_k\cos\theta}{\cos\theta - \mu_k\sin\theta}d\cos\theta\end{aligned}$$

The work done by the force of gravity, $\vec{F}_g = -mg\hat{y}$, is:

$$\begin{aligned}W_g &= \vec{F}_g\cdot\vec{d} = (-mg\hat{y})\cdot(d(\cos\theta\hat{x} + \sin\theta\hat{y}))\\ &= -mgd\sin\theta\end{aligned}$$

The work done by the force of friction, $\vec{f}_k$, noting that $\vec{f}_k$ and $\vec{d}$ are antiparallel:

$$\begin{aligned}W_f &= \vec{f}_k\cdot\vec{d} = -f_k d = -\mu_k N d\\ &= -\mu_k\frac{mg}{\cos\theta - \mu_k\sin\theta}d\end{aligned}$$

The net work done on the crate is thus:

$$\begin{aligned}W^{net} &= W_F + W_g + W_f\\ &= mg\frac{\sin\theta + \mu_k\cos\theta}{\cos\theta - \mu_k\sin\theta}d\cos\theta - mgd\sin\theta - \mu_k\frac{mg}{\cos\theta - \mu_k\sin\theta}d\\ &= mgd\left(\frac{\sin\theta + \mu_k\cos\theta}{\cos\theta - \mu_k\sin\theta}\cos\theta - \sin\theta - \mu_k\frac{1}{\cos\theta - \mu_k\sin\theta}\right)\\ &= mgd\left(\frac{(\sin\theta + \mu_k\cos\theta)\cos\theta - \sin\theta(\cos\theta - \mu_k\sin\theta) - \mu_k}{\cos\theta - \mu_k\sin\theta}\right)\\ &= mgd\left(\frac{\sin\theta\cos\theta + \mu_k\cos^2\theta - \sin\theta\cos\theta + \mu_k\sin^2\theta - \mu_k}{\cos\theta - \mu_k\sin\theta}\right)\\ &= mgd\left(\frac{\mu_k(\cos^2\theta + \sin^2\theta) - \mu_k}{\cos\theta - \mu_k\sin\theta}\right)\\ &= 0\end{aligned}$$

where we used the fact that $\cos^2\theta + \sin^2\theta = 1$. Thus we find that the net work done on the crate is zero!

Discussion

Of course, this makes sense, because the net force on the crate is zero, since it is not accelerating, so the net work done is also zero. As a consequence, or rather, by construction, we have the condition that if the net work done on an object is zero, then that object does not accelerate. We thus have a scalar quantity (work) that can tell us something about whether an object is changing speed. In the next section, we introduce a new quantity, "kinetic energy", to describe how an object's speed changes when the net work done is not zero. \end{example}

Olivia's Thoughts

Pay close attention to the words "on" and "by." There are a few things about this that can be tricky:

1. In *Example 7.1.5*, we were asked to find the **net work** done **on** the crate. Sometimes, the question won't specify that it wants you to find the net work, and will just say "What is the work done **on** the crate?" When you are just asked for the work done "on" an object, the question is implicitly asking for the *net* work done on the object.
2. Just because the net work done **on** an object is zero doesn't mean that the work done **by** each of the forces is zero. This may seem obvious, but it's easy to get tripped up on a test or exam. If you are reading a question about work and it says that the object is moving at a constant speed, it's tempting to just jump ahead and say that the work must be equal to zero. However, you can only say this if it's asking you for the net work done on the object. For instance, in *Example 7.1.5*, we concluded that since the crate was moving at a constant speed, the net work was equal to zero. But if the question asked you to find the work done on the crate **by gravity,** that would mean something different. The work done **by gravity** in this case is not equal to zero (it's actually negative).
3. The work done "on" an object is not the same as the net work done "by" that object. For example, say you are in a tug-of-war and you pull the other team towards you, but you yourself do not move. The net work done **on** you is zero, but the work done **by** you is not zero. So, when you are talking about work, you should always state explicitly whether the work is being done "on" the object or "by" the object.

Note: The wording won't always be like this - sometimes it will say "How much work do you do on the box?" instead of "How much work is done **by** you on the box," so always be careful. Still, looking for key words like "by" and "on" is a good place to start.

Exercise 7.1.1

A 2kg box sits on a horizontal surface. A constant horizontal force of 6N is applied to the box. The box moves with a constant acceleration of $2\text{m}/\text{s}^2$. Which of the following has the greatest magnitude?

A. The work done by the applied force.
B. The work done by friction.
C. The net work done on the box.

Answer

A.

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7.2: Kinetic energy and the work energy theorem

At this point, you should be comfortable calculating the net work done on an object upon which several forces are exerted. As we saw in the previous section, the net work done on an object is connected to the object's acceleration; if the net force on the object is zero, then the net work done and acceleration are also zero. In this section, we derive a new quantity, kinetic energy, which allows us to connect the work done on an object with its change in speed. This will allow us to describe motion using only scalar quantities. Like the definition of work, the following derivation appears to "come out of thin air". Remember, though, that theorists have tried all sorts of mathematical tricks to reformulate Newton's Theory, and this is the one that worked.

Consider the most general case of an object of mass m acted upon by a net force, $\vec{F}^{net}(\vec{r})$, which can vary in magnitude and direction. We wish to calculate the net work done on the object as it moves along an arbitrary path between two points, A and B, in space, as shown in Figure 7.2.1. The instantaneous acceleration of the object, $\vec{a}$, is shown along with an "element of the path", $d\vec{l}$.

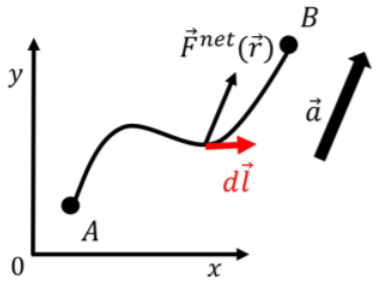

Figure 7.2.1: An object moving along an arbitrary path between points A and B that is acted upon by a net force $\vec{F}^{net}$.

The net work done on the object can be written:

$$W^{net} = \int_A^B F^{net}(\vec{r}) \cdot d\vec{l}$$

and is in general a difficult integral to evaluate for an arbitrary path. Our goal is to find a way to evaluate this integral by finding a function, K, with the property that:

$$\int_A^B F^{net}(\vec{r}) \cdot d\vec{l} = K_B - K_A$$

That is, we will only have to evaluate K at the end points of the path in order to determine the value of the integral. In this way, the function K is akin to an anti-derivative.

In order to determine the form for the function K, we start by noting that, by using Newton's Second Law, we can write the integral for work in terms of the acceleration of the object:

$$\sum \vec{F} = \vec{F}^{net} = m\vec{a}$$

$$\therefore \int_A^B F^{net}(\vec{r}) \cdot d\vec{l} = \int_A^B m\vec{a} \cdot d\vec{l} = m\int_A^B \vec{a} \cdot d\vec{l}$$

where we assumed that the mass of the object does not change along the path and can thus be factored out of the integral. Consider the scalar product of the acceleration, $\vec{a}$, and the path element, $d\vec{l} = dx\hat{x} + dy\hat{y} + dz\hat{z}$, written in terms of the velocity vector:

$$\vec{a} = \frac{d\vec{v}}{dt}$$

$$\begin{aligned} \therefore \vec{a} \cdot d\vec{l} &= \frac{d\vec{v}}{dt} \cdot d\vec{l} \\ &= \left(\frac{dv_x}{dt}\hat{x} + \frac{dv_y}{dt}\hat{y} + \frac{dv_z}{dt}\hat{z} \right) \cdot (dx\hat{x} + dy\hat{y} + dz\hat{z}) \\ &= \frac{dv_x}{dt}dx + \frac{dv_y}{dt}dy + \frac{dv_z}{dt}dz \end{aligned}$$

Any of the terms in the sum can be re-arranged so that the time derivative acts on the element of path (dx, dy, or dz) instead of the velocity, for example:

$$\frac{dv_x}{dt}dx = \frac{dx}{dt}dv_x$$

where we recognize that $\frac{dx}{dt} = v_x$. We can thus write the scalar product between the acceleration vector and the path element as:

$$\begin{aligned}\vec{a}\cdot d\vec{l} &= \frac{dv_x}{dt}dx + \frac{dv_y}{dt}dy + \frac{dv_z}{dt}dz \\ &= \frac{dx}{dt}dv_x + \frac{dy}{dt}dv_y + \frac{dz}{dt}dv_z \\ &= v_x dv_x + v_y dv_y + v_z dv_z\end{aligned}$$

The integral for the net work done can be written as:

$$\begin{aligned}W^{net} &= \int_A^B F^{net}(\vec{r})\cdot d\vec{l} = m\int_A^B (v_x dv_x + v_y dv_y + v_z dv_z) \\ &= m\int_A^B v_x dv_x + m\int_A^B v_y dv_y + m\int_A^B v_z dv_z\end{aligned}$$

which corresponds to the sum of three integrals over the three independent components of the velocity vector. The components of the velocity vector are functions that change over the path and have fixed values at either end of the path. Let the velocity vector of the object at point A be $\vec{v}_A = (v_{Ax}, v_{Ay}, v_{Az})$ and the velocity vector at point B be $\vec{v}_B = (v_{Bx}, v_{By}, v_{Bz})$. The integral over, say, the x component of velocity is then:

$$\begin{aligned}m\int_A^B v_x dv_x &= m\int_{v_{Ax}}^{v_{Bx}} v_x dv_x = m\left[\frac{1}{2}v_x^2\right]_{v_{Ax}}^{v_{Bx}} \\ &= \frac{1}{2}m(v_{Bx}^2 - v_{Ax}^2)\end{aligned}$$

We can thus write the net work integral as:

$$\begin{aligned}W^{net} &= m\int_A^B v_x dv_x + m\int_A^B v_y dv_y + m\int_A^B v_z dv_z \\ &= \frac{1}{2}m(v_{Bx}^2 - v_{Ax}^2) + \frac{1}{2}m(v_{By}^2 - v_{Ay}^2) + \frac{1}{2}m(v_{Bz}^2 - v_{Az}^2) \\ &= \frac{1}{2}m(v_{Bx}^2 + v_{By}^2 + v_{Bz}^2) - \frac{1}{2}m(v_{Ax}^2 + v_{Ay}^2 + v_{Az}^2) \\ &= \frac{1}{2}mv_B^2 - \frac{1}{2}mv_A^2\end{aligned}$$

where we recognized that the magnitude (squared) of the velocity is given by $v_A^2 = v_{Ax}^2 + v_{Ay}^2 + v_{Az}^2$. We have thus arrived at our desired result; namely, we have found a function of speed, $K(v)$, that when evaluated at the endpoints of the path allows us to calculate the net work done on the object over that path:

$$K(v) = \frac{1}{2}mv^2 \tag{7.2.1}$$

That is, if you know the speed at the start of the path, v_A, and the speed at the end of the path, v_B, then the net work done on the object along the path between A and B is given by:

$$W^{net} = \Delta K = K(v_B) - K(v_a) \tag{7.2.2}$$

We call $K(v)$ the "kinetic energy" of the object. We can say that the net work done on an object in going from A to B is equal to its change in kinetic energy (final kinetic energy minus initial kinetic energy). It is important to note that we defined kinetic energy in a way that it is equal to the net work done. You may have already seen kinetic energy from past introductions to physics as a

quantity that is just given; here, we instead derived a function that has the desired property of being equal to the net work done and called it "kinetic energy".

The relation between the net work done and the change in kinetic energy is called the "Work-Energy Theorem" (or Work-Energy Principle). It is the connection that we were looking for between the dynamics (the forces from which we calculate work) and the kinematics (the change in kinetic energy). Unlike Newton's Second Law, which relates two vector quantities (the vector sum of the forces and the acceleration vector), the Work-Energy Theorem relates two scalar quantities to each other (work and kinetic energy). Although we introduced the kinetic energy as a way to calculate the integral for the net work, if you know the value of the net work done on an object, then the Work-Energy Theorem can be used to calculate the change in speed of the object.

Most importantly, the Work-Energy theorem introduces the concept of "energy". As we will see in later chapters, there are other forms of energy in addition to work and kinetic energy. The Work-Energy Theorem is the starting point for the idea that you can convert one form of energy into another. The Work-Energy Theorem tells us how a force, by doing work, can provide kinetic energy to an object or remove kinetic energy from an object.

Example 7.2.1

A net work of W was done on an object of mass m that started at rest. What is the speed of the object after the work has been done on the object?

Solution

Using the Work-Energy Theorem:

$$W = \frac{1}{2}mv_f^2 - \frac{1}{2}mv_i^2$$

where v_i is the initial speed of the object and v_f is its final speed. Since the initial speed is zero, we can easily find the final speed:

$$v_f = \sqrt{\frac{2W}{m}}$$

Example 7.2.2

A block is pressed against the free end of a horizontal spring with spring constant, k, so as to compress the spring by a distance D relative to its rest length, as shown in Figure 7.2.2. The other end of the spring is fixed to a wall.

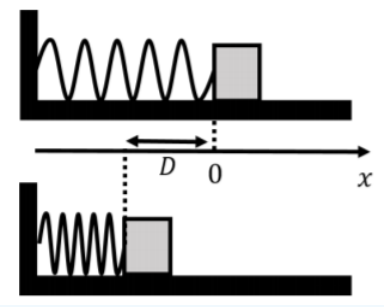

Figure 7.2.2: A block is pressed against a horizontal spring so as to compress the spring by a distance D relative to its rest length.

If the block is released from rest and there is no friction between the block and the horizontal surface, what is the speed of the block when it leaves the spring?

Solution

This is the same problem that we presented in Chapter 6 in *Example 6.2.2*, where we solved a differential equation to find the speed.

Our first step is to calculate the net work done on the object in going from $x = -D$ to $x = 0$ (which corresponds to when the object leaves the spring, as discussed in *Example 6.2.2*). The forces on the object are:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{N}$, the normal force exerted by the ground.
3. $\vec{F}(x)$, the force from the spring, with magnitude kx.

Both the normal force and weight are perpendicular to the displacement, so they will do no work. The net work done is thus the work done by the spring, which we calculated in *Example 7.1.1* to be:

$$W^{net} = W_F = \frac{1}{2}kD^2$$

By the Work-Energy Theorem, this is equal to the change in kinetic energy. Noting that the object started at rest ($v_i = 0$), the final speed v_f is found to be:

$$\begin{aligned} W^{net} &= \frac{1}{2}mv_f^2 - \frac{1}{2}mv_i^2 = \frac{1}{2}mv_f^2 - 0 \\ \frac{1}{2}kD^2 &= \frac{1}{2}mv_f^2 \\ \therefore v_f &= \sqrt{\frac{kD^2}{m}} \end{aligned}$$

Example 7.2.3

A block is pressed against the free end of a horizontal spring with spring constant, k, so as to compress the spring by a distance D relative to its rest length, as shown in Figure 7.2.3. The other end of the spring is fixed to a wall.

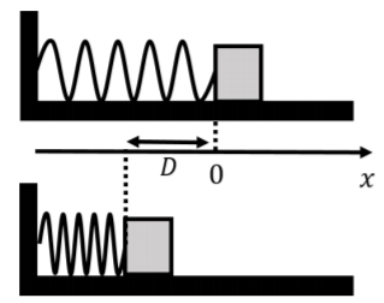

Figure 7.2.3: A block is pressed against a horizontal spring so as to compress the spring by a distance D relative to its rest length.

If the block is released from rest and the coefficient of kinetic friction between the block and the horizontal surface is μ_k, what is the speed of the block when it leaves the spring?

Solution

This is the same example as the previous one, but with kinetic friction. The forces on the block are:

1. $\vec{F}_g$, its weight, with magnitude mg.
2. $\vec{N}$, the normal force exerted by the ground on the block.
3. $\vec{F}(x)$, the force from the spring, with magnitude kx.
4. $\vec{f}_k$, the force of kinetic friction, with magnitude $\mu_k N$.

Both the normal force and weight are perpendicular to the displacement, so they will do no work. Furthermore, since the acceleration in the vertical direction is zero, the normal force will have the same magnitude as the weight ($N = mg$). The magnitude of the force of kinetic friction is thus $f_k = \mu_k mg$. The net work done will be the sum of the work done by the spring, W_F, and the work done by friction, W_f:

$$W^{net} = W_F + W_f$$

We have already determined the work done by the spring:

$$W_F = \frac{1}{2}kD^2$$

The work done by the force of kinetic friction will be negative (since it is in the direction opposite of the motion) and is given by:

$$W_f = \vec{f}_k \cdot \vec{d} = -f_k D = -\mu_k mgD$$

Applying the work energy theorem, and noting that the block started at rest ($v_i = 0$), the final speed v_f is found to be:

$$W^{net} = W_F + W_f = \frac{1}{2}mv_f^2 - \frac{1}{2}mv_i^2$$

$$\frac{1}{2}kD^2 - \mu_k mgD = \frac{1}{2}mv_f^2$$

$$\therefore v_f = \sqrt{\frac{kD^2}{m} - 2\mu_k gD}$$

Discussion:

We can think of this in terms of the concept of energy. The spring does positive work on the block, and so it increases its kinetic energy. Friction does negative work on the block, decreasing its kinetic energy. Only the spring is "introducing" energy into the block, as friction is removing that energy by doing negative work. Another way to think about it is that the spring is inputting energy; some of that energy goes into increasing the kinetic energy of the block, and some of it is lost by friction. The energy that is lost to friction can be thought of as "thermal energy" (heat) that goes up into heating the block and the surface. Indeed, if you rub your hand against the table, you will notice that it gets warmer; you are losing some of the energy introduced to your hand by the work done by your arm into heating up the table and your hand! This shows that we can think about modeling friction using thermal energy rather than a force.

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7.3: Power

We finish the chapter by introducing the concept of "power", which is the rate at which work is done on an object, or more generally, the rate at which energy is being converted from one form to another. If an amount of work, ΔW, was done in a period of time Δt, then the work was done at a rate of:

$$P = \frac{\Delta W}{\Delta t} \tag{7.3.1}$$

where P is called the power. The SI unit for power is the "Watt", abbreviated W, which corresponds to $\mathrm{J/s} = \mathrm{kg\ m^2/s^3}$ in base SI units. If the rate at which work is being done changes with time, then the instantaneous power is defined as:

$$P = \frac{dW}{dt} \tag{7.3.2}$$

You have probably already encountered power in your everyday life. For example, your $1000\mathrm{W}$ hair dryer consumes "electrical energy" at a rate of $1000\mathrm{J}$ per second and converts it into the kinetic energy of the fan as well as the thermal energy to heat up the air. Horsepower (hp) is an imperial unit of power that is often used for vehicles, the conversion being $1\mathrm{hp} = 746\mathrm{W}$. A $100\mathrm{hp}$ car thus has an engine that consumes the chemical energy released by burning gasoline at a rate of $7.46 \times 10^4\mathrm{J}$ per second and converts it into work done on the car as well as into heat.

? Exercise 7.3.1

Two cranes lift two identical boxes off of the ground. One crane is twice as powerful as the other. Both cranes do the same amount of work on the boxes and operate at full power. Which of the following statements is true of the boxes, once the cranes have done work on them?

A. One box has been lifted twice as high as the other.
B. The boxes are lifted to the same height in the same amount of time.
C. The boxes are lifted to the same height, but it takes one of the boxes twice as long to get there.
D. One box is lifted twice as high as the other, but it takes the same amount of time to get there.

Answer

C.

✓ Example 7.3.1

If a car engine can do work on the car with a power of P, what will be the speed of the car at some time t if the car was at rest at time $t = 0$?

Solution

First, we need to calculate how much total work was done on the car:

$$W = Pt$$

Then, using the Work-Energy Theorem, we can find the speed of the car at some time t:

$$W = \frac{1}{2}mv_f^2 - \frac{1}{2}mv_i^2$$

$$Pt = \frac{1}{2}mv_f^2$$

$$\therefore v_f = \sqrt{\frac{2Pt}{m}}$$

Discussion:

The model for the final speed of the car makes sense because:

- The dimension of the expression for v_f is speed (you should check this!).
- The speed is greater if either the time or power are greater (so the speed is larger if more work is done on the car).
- The speed is smaller if the mass of the car is greater (the acceleration of the car will be less if the mass of the car is larger).

Example 7.3.2

You are pushing a crate along a horizontal surface at constant speed, v. You find that you need to exert a force of $\vec{F}$ on the crate in order to overcome the friction between the crate and the ground. How much power are you expending by pushing on the crate?

Solution

We need to calculate the rate at which the force, $\vec{F}$, that you exert on the crate does work. If the crate is moving at constant speed, v, then in a time Δt, it will cover a distance, $d = v\Delta t$. Since you exert a force in the same direction as the motion of the crate, the work done over that distance d is:

$$\Delta W = \vec{F} \cdot \vec{d} = Fd\cos(0) = Fv\Delta t$$

The power corresponding to the work done in that period of time is thus:

$$P = \frac{\Delta W}{\Delta t} = Fv$$

This is quite a general result for the rate at which a force does work when it is exerted on an object moving at constant speed.

Olivia's Thoughts

Example 7.3.2 ties into what I brought up earlier. If you think to yourself: "The velocity is constant, so the work must be zero", the formula,

$$P = \frac{\Delta W}{\Delta t} = Fv$$

wouldn't make any sense. Since v is a constant velocity, the power would always be equal to zero, which of course isn't right. Again, remember that when the velocity is constant, it is only the **net work** that is equal to zero. In *Example 7.3.2*, it's asking for the power that **you** are expending by pushing on the crate (which is the same as asking for the rate of the work done **by** you **on** the crate). So, the formula does indeed make sense.

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7.4: Summary

Key Takeaways

The work, W, done on an object by a force, $\vec{F}$, while the object has moved through a displacement, $\vec{d}$, is defined as the scalar product:

$$\begin{aligned} W = \vec{F} \cdot \vec{d} \ &= Fd\cos\theta \\ &= F_x d_x + F_y d_y + F_z d_z \end{aligned}$$

If the force changes with position and/or the object moves along an arbitrary path in space, the work done by that force over the path is given by:

$$W = \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l}$$

Work allows us to quantify how a force acting on an object changes the speed of that object.

If multiple forces are exerted on an object, then one can calculate the net force on the object (the vector sum of the forces), and the net work done on the object will be equal to the work done by the net force:

$$W^{net} = \int_A^B \vec{F}^{net}(\vec{r}) \cdot d\vec{l}$$

If the net work done on an object is zero, that object does not accelerate. We can define the kinetic energy, $K(v)$ of an object of mass m that has speed v as:

$$K(v) = \frac{1}{2}mv^2$$

The Work-Energy Theorem states that the net work done on an object in going from position A to position B is equal to the object's change in kinetic energy:

$$W^{net} = \Delta K = \frac{1}{2}mv_B^2 - \frac{1}{2}mv_A^2$$

where v_A and v_B are the speed of the object at positions A and B, respectively.

The rate at which work is being done is called power and is defined as:

$$P = \frac{dW}{dt}$$

If a constant force $\vec{F}$ is exerted on an object that has a constant velocity $\vec{v}$, then the power that corresponds to the work being done by that force is:

$$\begin{aligned} P \ &= \frac{d}{dt}W = \frac{d}{dt}(\vec{F} \cdot \vec{d}) \\ &= \vec{F} \cdot \frac{d}{dt}\vec{d} = \vec{F} \cdot \vec{v} \end{aligned}$$

Important Equations

Work:

$$W = \vec{F} \cdot \vec{d} = Fd\cos\theta$$
$$W = F_x d_x + F_y d_y + F_z d_z$$
$$W = \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l}$$
$$W^{net} = \int_A^B \vec{F}^{net}(\vec{r}) \cdot d\vec{l}$$

Kinetic Energy:

$$K(v) = \frac{1}{2}mv^2$$

Work-Energy Theorem:

$$W^{net} = \Delta K = \frac{1}{2}mv_B^2 - \frac{1}{2}mv_A^2$$

Power:

$$P = \frac{dW}{dt}$$
$$P = \vec{F} \cdot \vec{v}$$

Important Definitions

Definition

Work: A scalar quantity to quantity the amount of energy that a force can input into a system when it is exerted over a given distance. SI units: $[\text{J}]$. Common variable(s): W.

Definition

Kinetic energy: A form of energy that an object with a mass has by virtue of having a non-zero speed. SI units: $[\text{J}]$. Common variable(s): K.

Definition

Power: The rate at which energy is converted with respect to time. SI units: $[\text{W}]$. Common variable(s): P.

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7.5: Thinking about the material

Reflect and research

1. When was the concept of work first introduced?
2. To construct the pyramids, the ancient Egyptians used simple machines, like levers, to accomplish tasks that would not be possible otherwise. Apply what we know about work to find out how levers help people lift incredibly heavy objects.
3. After an accident, investigators use skid marks to figure out how fast the cars were going before the crash. Use your knowledge of work, figure out how they do this.
4. The Tesla Model S can accelerate from $0-100\mathrm{km/h}$ in as little as 2.7 seconds. Calculate the power of the car in horsepower. Why is it unusual for a 7 seat sedan, like the Model S, to have such a short acceleration time? Investigate how it's possible for the Tesla to accelerate so quickly.

To try at home

1. Measure the power that you can output with your legs, and describe how you made the measurement.

To try in the lab

1. Propose an experiment to measure the thermal energy associated with a force of kinetic friction.
2. Propose an experiment to test the Work-Energy Theorem.

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7.6: Sample Problems and Solutions

Exercise 7.6.1

A ski jump can is modeled as a ramp of height $h = 5\text{m}$, as shown in Figure 7.6.1. The landing area is at the same height as the bottom of the ramp. A skier of mass $m = 80\text{kg}$ is moving at a speed $v_i = 15\text{m/s}$ when they reach the bottom of the ramp. When the skier lands the jump, their speed is measured to be $v_f = 12\text{m/s}$. Ignore air resistance.

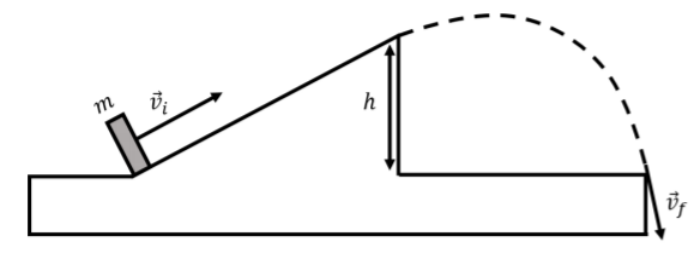

Figure 7.6.1: A person of mass m goes off a ski jump of height h.

a. What is the speed of the skier the instant they leave the ski jump, at the top of the ramp?
b. Use the answer from part (a) to find the work done by friction the friction between the ramp and the skier.

Answer

We start by defining a coordinate system. We choose the x axis to be horizontal and positive in the direction of motion, and we choose the y axis to be vertical and the positive direction upwards.

We will determine the speed at the top of the ramp, v_t, using the Work-Energy Theorem:

$$W^{net} = \frac{1}{2}mv_f^2 - \frac{1}{2}mv_t^2$$

where W^{net} is the net work done on the skier as they "fly" through the air. While the skier is in the air, the only force acting on them is gravity, $\vec{F} = -mg\hat{y}$. The path of the skier is a parabola, so that the displacement vector changes direction continuously.

The work done by gravity is given by:

$$W = \int \vec{F}_g \cdot d\vec{l}$$

where $d\vec{l}$ is an infinitesimal displacement along the trajectory, as shown in Figure 7.6.2

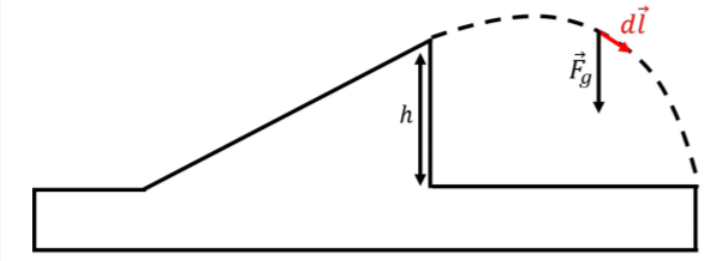

Figure 7.6.2: Infinitesimal displacement along the trajectory of the jump.

The displacement vector will have x and y components:

$$d\vec{l} = dx\hat{x} + dy\hat{y}$$

The scalar product with the force of gravity is thus:

$$\vec{F}_g \cdot d\vec{l} = (-mg\hat{y}) \cdot (dx\hat{x} + dy\hat{y}) = -mgdy$$

The work done by gravity can thus be converted into an integral over y (for which we know the start and end values), and is given by:

$$W = \int \vec{F}_g \cdot d\vec{l} = \int_h^0 -mgdy = [-mgy]_h^0 = mgh$$

The work done by gravity is positive, which makes sense, since the force of gravity is generally in the same direction as the net displacement (downwards). We did not need to take into account the specific shape of the trajectory, because the force was constant in magnitude and direction (see *Example 7.1.4*).

We can now find the speed of the skier when they leave the jump using the Work-Energy theorem:

$$\begin{aligned} W^{net} &= \frac{1}{2}mv_f^2 - \frac{1}{2}mv_t^2 \\ mgh &= \frac{1}{2}mv_f^2 - \frac{1}{2}mv_t^2 \\ \therefore v_t &= \sqrt{v_f^2 - 2gh} = \sqrt{(12\text{m/s})^2 - 2(9.8\text{m/s}^2)(5\text{m})} = 6.8\text{m/s} \end{aligned}$$

b. We can again use the Work-Energy Theorem to determine the work done by friction as the skier slides up the ramp. We know that the speed of the skier at the bottom of the ramp is v_i, and we just found that the speed of the skier at the top of the ramp is $v_t = \sqrt{v_f^2 - 2gh}$. The net work done on the skier going up the ramp is equal to:

$$\begin{aligned} W^{net} &= \frac{1}{2}mv_t^2 - \frac{1}{2}mv_i^2 \\ &= \frac{1}{2}m(v_t^2 - v_i^2) = \frac{1}{2}m(v_f^2 - 2gh - v_i^2) \\ &= \frac{1}{2}m(v_f^2 - v_i^2) - mgh \end{aligned}$$

The net work done is also the sum of the work done by each of the forces acting on the skier as they slide up the ramp. The forces on the skier are the force of gravity, the force of friction, and the normal force. The normal force does no work, since it is always perpendicular to the displacement. The net work is thus the sum of the work done by the force gravity, W_g, and the work done by the force of friction, W_f, over the displacement corresponding to the length of the ramp:

$$W^{net} = W_g + W_f$$

The work done by gravity is:

$$W_g = \vec{F}_g \cdot \vec{d} = (-mg\hat{y}) \cdot (d_x\hat{x} + h\hat{y}) = -mgh$$

where $\vec{d}$ is the displacement vector up the ramp (unknown horizontal distance, d_x, and vertical distance, h). We can now determine the work done by the force of friction:

$$\begin{aligned} W^{net} &= W_g + W_f \\ \frac{1}{2}m(v_f^2 - v_i^2) - mgh &= -mgh + W_f \\ \therefore W_f &= \frac{1}{2}m(v_f^2 - v_i^2) = \frac{1}{2}(80\text{kg})((12\text{m/s})^2 - (15\text{m/s})^2) = -3240\text{J} \end{aligned}$$

And we find that the force of friction did negative work (it reduced the kinetic energy of the skier).

Discussion:

Over the course of the jump, the skier started at the bottom of the ramp with a given kinetic energy, then lost some of that energy going up the ramp (in the form of loss to friction and negative work done by gravity). During the airborne phase, gravity did positive work and the skier gained back some of the kinetic energy that they had lost going up the ramp. Thus the net work done by the force of friction is the difference in kinetic energies between the final landing point and the beginning of the ramp, because friction is the only force that did a net amount of (negative) work over the whole trajectory

(gravity did no net work over the whole trajectory). This example shows how we can start to think about energy as something that is "conserved", which we will explore in more detail in the next chapter.

Exercise 7.6.2

A child of mass m sits on a swing of length L, as in Figure 7.6.3. You push the child with a horizontal force $\vec{F}$. You apply the force in such a way that the child moves at a constant speed (note that $\vec{F}$ will not have a constant magnitude).}

a. How much work do you do to move the child from $\theta = 0$ to $\theta = \theta_1$?

b. Use a detailed diagram to show that the work done by $\vec{F}$ is equal to mgh, where h is the change in height of the child.

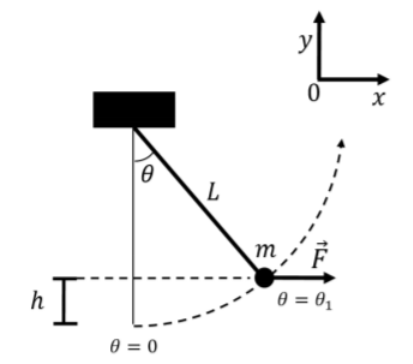

Figure 7.6.3: A child on a swing is pushed from $\theta = 0$ to $\theta = \theta_1$ at constant speed with a horizontal force, $\vec{F}$.

Answer

a. We want to find the work done by the applied force $\vec{F}$. We first need to find an expression for the magnitude of $\vec{F}$, based on the fact that the child is not accelerating. The forces on the child are:

- $\vec{F}_g$, their weight, with magnitude mg.
- $\vec{F}_T$, the tension in the rope, which changes with the angle, θ.
- $\vec{F}$, the applied force, which change in magnitude as the angle, θ, changes.

The forces are illustrated in Figure 7.6.4

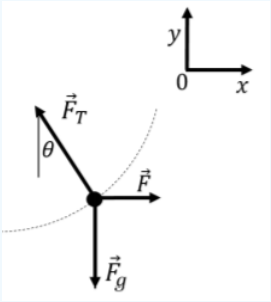

Figure 7.6.4: A free-body diagram of the forces exerted on the child.

The child is moving at a constant speed, so the net force is equal to zero. The sum of the x and y components of the forces are equal to zero (Newton's Second Law):

$$\sum F_x = F - F_T \sin\theta = 0$$
$$\sum F_y = F_T \cos\theta - mg = 0$$

Rearranging these equations gives:

$$F = F_T \sin\theta$$
$$mg = F_T \cos\theta$$

We want an expression for F that does not depend on F_T (since F_T is unknown), so we can divide one equation by the other:

$$\frac{F}{mg} = \frac{F_T \sin\theta}{F_T \cos\theta} = \tan\theta$$

$$\therefore F(\theta) = mg\tan\theta$$

where we indicated that the force $\vec{F}(\theta)$ depends on the angle θ. The work done by the force, $\vec{F}$, is given by:

$$W_F = \int_A^B \vec{F}(\theta)\cdot d\vec{l}$$

$d\vec{l}$ is the "path element" along part of the arc of circle over which the child moves, as illustrated in Figure 7.6.5 We have an expression for how $\vec{F}$ changes in magnitude as a function of the angle θ, and it would thus be convenient to perform the integral over the angle θ.

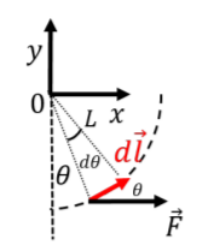

Figure 7.6.5: A path element along the circular trajectory of the swing.

We can use polar coordinate, (r,θ), instead of Cartesian coordinates to describe the displacement vector, $d\vec{l}$. If the vector subtends an arc on the circle that makes an infinitesimal angle, $d\theta$, as illustrated, then the length of the vector $d\vec{l}$ is given by:

$$dl = Ld\theta$$

where L is the radius of the circle. The vector $d\vec{l}$ makes an angle θ with the horizontal, and thus with the vector, $\vec{F}$. The dot product between $\vec{F}$ and $d\vec{l}$ can thus be written as:

$$\vec{F}(\theta)\cdot d\vec{l} = Fdl\cos\theta = (mg\tan\theta)(Ld\theta)\cos\theta = mgL\sin\theta d\theta$$

We can now write the integral for the work using limit that are based on the angle θ, from $\theta = 0$ to $\theta = \theta_1$:

$$W = \int_0^{\theta_1} mgL\sin\theta d\theta$$

$$= mgL[-\cos\theta]_0^{\theta_1} = mgL(1-\cos\theta_1)$$

b. We know that the work done by $\vec{F}$ is $W = mgL(1-\cos\theta_1)$. So, we want to prove that $L(1-\cos\theta_1)$ is equal to h. Expanding $L(1-\cos\theta_1)$ gives:

$$L(1-\cos\theta_1) = L - L\cos\theta_1$$

This can be illustrated on a diagram, as in Figure 7.6.6 which shows that h is equal to $L - L\cos\theta$.

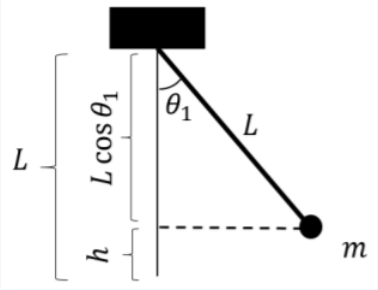

Figure 7.6.6: A diagram showing the geometry of the problem.

Discussion:

The net force acting on the mass is equal to zero, so the net work must be equal to zero. The two forces that do work on the mass are the applied force $\vec{F}$, and gravity. The work done by the applied force if mgh, so the work done by gravity must be $-mgh$.

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CHAPTER OVERVIEW

8: Potential Energy and Conservation of Energy

In this chapter, we continue to develop the concept of energy in order to introduce a different formulation for Classical Physics that does not use forces. Although we can describe many phenomena using energy instead of forces, this method is completely equivalent to using Newton's Three Laws. As such, this method can be derived from Newton's formulation, as we will see. Because energy is a scalar quantity, for many problems, it leads to models that are much easier to develop mathematically than if one had used forces. The chapter will conclude with a presentation of the more modern approach, using "Lagrangian Mechanics", that is currently preferred in physics and forms the basis for extending our description of physics to the microscopic world (e.g. quantum mechanics).

Learning Objectives

- Understand the difference between conservative and non-conservative forces.
- Understand how to define potential energy for a conservative force.
- Understand how to use potential energy to calculate work.
- Understand the definition of mechanical energy.
- Understand how to use conservation of mechanical energy.
- Understand how to apply the Lagrangian formulation in a simple case.

prelude

Three roller coaster carts start at position $x = 0$, where they are all at the same height (Figure 8.1). All of the carts start with the same velocity. At x_1, which roller coaster cart will be moving the fastest?

All of the roller coasters end at ground level, at x_2. Which roller coaster cart will be moving the fastest at x_2? Will all of them make it to x_2? Who will get there first? Assume that the roller coaster track is frictionless.

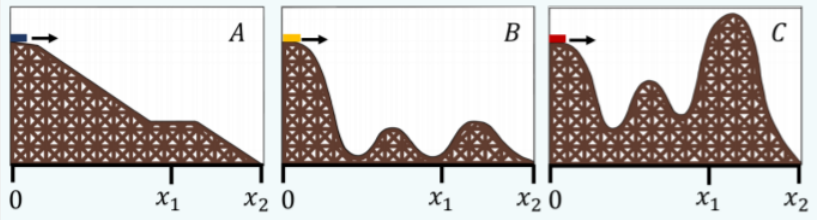

Figure 8.1: *Three roller coasters that start at the same height and end at the same height.*

8.1: Conservative Forces
8.2: Potential Energy
8.3: Mechanical Energy and Conservation of Energy
8.4: Energy diagrams and equilibria
8.5: The Lagrangian Formulation of Classical Physics
8.6: Summary
8.7: Thinking about the material
8.8: Sample problems and solutions

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8.1: Conservative Forces

In Chapter 7, we introduced the concept of work, W, done by a force, $\vec{F}(\vec{r})$, acting on an object as it moves along a path from position A to position B:

$$W = \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} \tag{8.1.1}$$

where $\vec{F}(\vec{r})$ is a force vector that, in general, is different at different positions in space ($\vec{r}$). We can also say that $\vec{F}$ depends on position by writing $\vec{F}(\vec{r}) = \vec{F}(x, y, z)$, since the position vector, $\vec{r}$, is simply the vector $\vec{r} = x\hat{x} + y\hat{y} + z\hat{z}$. That is, $\vec{F}(\vec{r})$ is just a short hand notation for $\vec{F}(x, y, z)$, and $d\vec{l}$ is a (very) small segment along the particular path over which one calculates the work.

The above integral is, in general, difficult to evaluate, as it depends on the specific path over which the object moved. In *Example 7.1.2* of Chapter 7, we calculated the work done by friction on a crate that was slid across the floor along two different paths and indeed found that the work depended on the path that was taken. In *Example 7.1.3* of the same chapter, we saw that the work done by the force of gravity when moving a box along two different paths did not depend on the path chosen[1].

We call "conservative forces" those forces for which the work done only depends on the initial and final positions and not on the path taken between those two positions. "Non-conservative" forces are those for which the work done does depend on the path taken. The force of gravity is an example of a conservative force, whereas friction is an example of a non-conservative force.

This means that the work done by a conservative force on a "closed path" is zero; that is, **the work done by a conservative force on an object is zero if the object moves along a path that brings it back to its starting position.** Indeed, since the work done by a conservative force only depends on the location of the initial and final positions, and not the path taken between them, the work has to be zero if the object ends in the same place as where it started (a possible path is for the object to not move at all).

Consider the work done by gravity in raising (displacement $\vec{d}_1$) and lowering (displacement $\vec{d}_2 = -\vec{d}_1$) an object back to its starting position along a vertical path, as depicted in Figure 8.1.1.

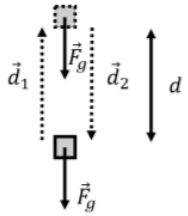

Figure 8.1.1: An object that has moved up and back down.

The total work done by gravity on this particular closed path is easily shown to be zero, as the work can be broken up into the negative work done as the object moves up (displacement vector $\vec{d}_1$) and the positive work done as the object moves down (displacement vector $\vec{d}_2$):

$$W^{tot} = \vec{F}_g \cdot \vec{d}_1 + \vec{F}_g \cdot \vec{d}_2 = -mgd + mgd = 0$$

In order to write the path integral of the force over a closed path, we introduce a new notation to indicate that the starting and ending position are the same:

$$\int_A^A \vec{F}(\vec{r}) \cdot d\vec{l} = \oint \vec{F}(\vec{r}) \cdot d\vec{l}$$

The condition for a force to be conservative is thus:

$$\oint \vec{F}(\vec{r}) \cdot d\vec{} = 0 \tag{8.1.2}$$

since this means that the work done over a closed path is zero. The condition for this integral to be zero can be found by Stokes' Theorem:

$$\oint \vec{F}(\vec{r}) \cdot d\vec{l} = \int_S \left[\left(\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} \right) \hat{x} + \left(\frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x} \right) \hat{y} + \left(\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} \right) \hat{z} \right] \cdot d\vec{A}$$

where the integral on the right is called a "surface integral" over the surface, S, enclosed by the closed path over which the work is being calculated. Don't worry, it is way beyond the scope of this text to understand this integral or Stokes' Theorem in detail! It is however useful in that it gives us the following conditions on the components of a force for that force to be conservative (by requiring the terms in parentheses to be zero):

$$\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} = 0 \tag{8.1.3}$$

$$\frac{\partial F_x}{\partial x} - \frac{\partial F_z}{\partial x} = 0 \tag{8.1.4}$$

$$\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} = 0 \tag{8.1.5}$$

In general:

1. A force can be conservative if it only depends on position in space, and not speed, time, or any other quantity.
2. A force is conservative if it is constant in magnitude and direction.

? Exercise 8.1.1

You push a crate from point A to point B along a horizontal surface. Is the force you exert a conservative force?

A. Yes
B. No
C. Not enough information

Answer

C.

✓ Example 8.1.1

Is the force of gravity on an object of mass m, near the surface of the Earth, given by:

$$\vec{F}(x,y,z) = 0\hat{x} + 0\hat{y} - mg\hat{z}$$

conservative? Note that we have defined the z axis to be vertical and positive upwards.

Solution

The force is expected to be conservative since it is constant in magnitude and direction. We can verify this using the conditions in *Equation 8.1.3*, *8.1.4*, and *8.1.5*:

$$\begin{aligned}
\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} &= \frac{\partial}{\partial y}(-mg) - 0 &&= 0 \\
\frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x} &= 0 - \frac{\partial}{\partial x}(-mg) &&= 0 \\
\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} &= 0 - 0 &&= 0
\end{aligned}$$

and the force is indeed conservative since all three conditions are zero.

✓ Example 8.1.2

Is the following force conservative?

$$\vec{F}(x,y,z) = \frac{-k}{r^3}\vec{r} = \frac{-kx}{(x^2+y^2+z^2)^{\frac{3}{2}}}\hat{x} + \frac{-ky}{(x^2+y^2+z^2)^{\frac{3}{2}}}\hat{y} + \frac{-kz}{(x^2+y^2+z^2)^{\frac{3}{2}}}\hat{z}$$

Solution

Since the force only depends on position, it *could* be conservative, so we must check using the conditions from *Equations 8.1.3, 8.1.4,* and *8.1.5*:

$$\begin{aligned}\frac{\partial F_z}{\partial y}-\frac{\partial F_y}{\partial z} &= \frac{\partial}{\partial y}\left(\frac{-kz}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)-\frac{\partial}{\partial z}\left(\frac{-ky}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)\\ &= \frac{3kz(2y)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}-\frac{3ky(2z)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}=0\\ \frac{\partial F_x}{\partial z}-\frac{\partial F_z}{\partial x} &= \frac{\partial}{\partial z}\left(\frac{-kx}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)-\frac{\partial}{\partial x}\left(\frac{-kz}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)\\ &= \frac{3kx(2z)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}-\frac{3kz(2x)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}=0\\ \frac{\partial F_y}{\partial x}-\frac{\partial F_x}{\partial y} &= \frac{\partial}{\partial x}\left(\frac{-ky}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)-\frac{\partial}{\partial y}\left(\frac{-kx}{(x^2+y^2+z^2)^{\frac{3}{2}}}\right)\\ &= \frac{3ky(2x)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}-\frac{3kx(2y)}{2(x^2+y^2+z^2)^{\frac{5}{2}}}=0\end{aligned}$$

where we used the Chain Rule to take the derivatives. Since all of the conditions are zero, the force is conservative. As we will see, the force represented here is similar mathematically to both the force that Newton introduced in his Universal Theory of Gravity, and the force introduced by Coulomb as the electric force, which are both conservative.

Footnotes

1. At least for those two paths that we tried in the example.

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8.2: Potential Energy

In this section, we introduce the concept of "potential energy". Potential energy is a scalar function of position that can be defined for any conservative force in a way to make it easy to calculate the work done by that force over any path. Since the work done by a conservative force in going from position A to position B does not depend on the particular path taken, but only on the end points, we can write the work done by a conservative force in terms of a "potential energy function", $U(\vec{r})$, that can be evaluated at the end points:

$$-W = -\int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} = U(\vec{r}_B) - U(\vec{r}_A) = \Delta U \tag{8.2.1}$$

where we have have chosen to define the function $U(\vec{r})$ so that it relates to the **negative** of the work done for reasons that will be apparent in the next section. Figure 8.2.1 shows an example of an arbitrary path between two points A and B in two dimensions for which one could calculate the work done by a conservative force using a potential energy function.

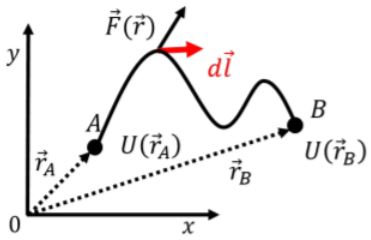

Figure 8.2.1: Illustration of calculating the work done by a conservative function along an arbitrary path by taking the difference in potential energy evaluated at the two endpoints, $-W = U(\vec{r}_B) - U(\vec{r}_A)$.

Once we know the function for the potential energy, $U(\vec{r})$, we can calculate the work done by the associated force along any path. In order to determine the function, $U(\vec{r})$, we can calculate the work that is done along a path over which the integral for work is easy (usually, a straight line).

For example, near the surface of the Earth, the force of gravity on an object of mass, m, is given by:

$$\vec{F}_g = -mg\hat{z}$$

where we have defined the z axis to be vertical and positive upwards. We already showed in *Example 8.1.1* that this force is conservative and that we can thus define a potential energy function. To do so, we can calculate the work done by the force of gravity over a straight vertical path, from position A to position B, as shown in Figure 8.2.2.

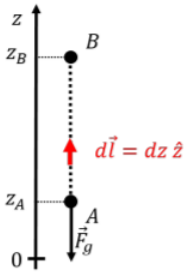

Figure 8.2.2: A vertical path for calculating the word done by gravity.

The work done by gravity from position A to position B is:

$$
\begin{aligned}
W &= \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} \\
&= \int_{z_A}^{z_B} (-mg\hat{z}) \cdot (dz\hat{z}) \\
&= -mg \int_{z_A}^{z_B} dz \\
&= -mg(z_B - z_A)
\end{aligned}
$$

By inspection, we can now identify the functional form for the potential energy function, $U(\vec{r})$.

We require that:

$$-W = U(\vec{r}_B) - U(\vec{r}_A) = U(z_B) - U(z_A)$$

where we replaced the position vector, $\vec{r}$, with the z coordinate, since this is a one dimensional situation. Therefore:

$$
\begin{aligned}
-W = mg(z_B - z_A) &= U(z_B) - U(z_A) \\
\therefore U(z) &= mgz + C
\end{aligned}
$$

and we have found that, for the force of gravity near the surface of the Earth, one can define a potential energy function (by inspection), $U(z) = mgz + C$.

It is important to note that, since it is only the **difference** in potential energy that matters when calculating the work done, the potential energy function can have an arbitrary constant, C, added to it. Thus, **the value of the potential energy function is meaningless, and only differences in potential energy are meaningful and related to the work done on an object**. In other words, it does not matter where the potential energy is equal to zero, and by choosing C, we can therefore choose a convenient location where the potential energy is zero.

? Exercise 8.2.1

When we found the work done by gravity, we defined positive z to be upwards. If we instead chose positive z to be downwards, how would the potential energy function be defined?

A. The potential energy function would be the same, $U(z) = mgz + C$.
B. The potential energy function would be the same but negative, $U(z) = -mgz + C$

Answer

B.

? Exercise 8.2.2

Can an object have a negative potential energy?

A. Yes
B. No

Answer

A. the constant C is specified by the situation and is arbitrary.

✓ Example 8.2.1

Calculate the work done **by the force of gravity** when a box of mass, m, is moved from the ground up onto a table that is a distance L away horizontally and H vertically, as illustrated in Figure 8.2.3. How much work must be done by a person moving the box?

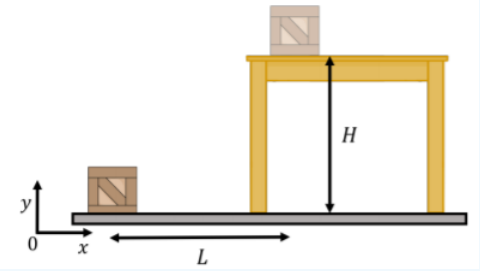

Figure 8.2.3: A box moved from the group up onto a table.

Solution

Since the force of gravity is conservative, we can use the potential energy function given by:

$$U(z) = mgz + C$$

to calculate the work done by the force of gravity when the box is moved. The work done by gravity will only depend on the change in height, H, as the potential energy function only depends on the z coordinate of an object. We can choose the origin of our coordinate system to be the ground and choose the constant $C = 0$, so that the potential energy function at the starting position of the box is:

$$U(z_A = 0) = mg(0) = 0$$

The potential energy function when the box is on the table, with $z = H$, is given by:

$$U(z_B = H) = mgH$$

The change in potential energy, $\Delta U = U(z_B) - U(z_A)$ is equal to the negative of the work done by gravity. The work done by gravity, W_g, is thus:

$$\begin{aligned} -W_g &= U(z_B) - U(z_A) = mgH - 0 \\ \therefore W_g &= -mgH \end{aligned}$$

which is the same as what we found in *Example 7.1.3* of Chapter 7. The work done by gravity is negative, as we found previously. This makes sense because gravity has a component opposite to the direction of motion.

The work done by a person, W_p, to move the box can easily be found by considering the net work done on the box. While the box is moving, only the person and gravity are exerting forces on the box, so those are the only two forces performing work. Since the box starts and ends at rest, the net work done on the box must be zero (no change in kinetic energy, recall the Work-Energy Theorem):

$$\begin{aligned} W^{net} = 0 &= W_g + W_p \\ \therefore W_p &= -W_g = mgH \end{aligned}$$

Discussion

We find that the person had to do positive work, which makes sense, since they had to exert a force with a component in the direction of motion (upwards). It is also interesting to note that it does not matter if the person exerted a constant force or whether they varied the force that they exerted on the box as they moved it: the amount of work done by the person is fixed to be the negative of the work done by gravity.

Example 8.2.2

The force exerted by a spring that is extended or compressed by a distance, x, is given by Hookes' Law:

$$\vec{F}(x) = -kx\hat{x}$$

where the x axis is defined to be co-linear with the spring and the origin is located at the rest position of the spring. Show that the force exerted by the spring onto an object is conservative and determine the corresponding potential energy function.

Solution

Since the force depends on position, it could be conservative, which we can check with the conditions from *Equations 8.1.3, 8.1.4, 8.1.5*:

$$\begin{aligned}
\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} &= 0 - 0 &&= 0 \\
\frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x} &= \frac{\partial}{\partial z}(-kx)) - 0 &&= 0 \\
\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} &= 0 - \frac{\partial}{\partial y}(-kx)) &&= 0
\end{aligned}$$

and the force is indeed conservative. To determine the potential energy function, let us calculate the work done by the spring from position x_A to position x_B:

$$\begin{aligned}
W &= \int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} \\
&= \int_{x_A}^{x_B} (-kx\hat{x}) \cdot dx\hat{x} \\
&= \int_{x_A}^{x_B} (-kx)dx = \left[-\frac{1}{2}kx^2\right]_{x_A}^{x_B} \\
&= -\left(\frac{1}{2}kx_B^2 - \frac{1}{2}kx_A^2\right)
\end{aligned}$$

Again, comparing with:

$$-W = U(\vec{r}_B) - U(\vec{r}_A) = U(x_B) - U(x_A)$$

We can identify the potential energy for a spring:

$$U(x) = \frac{1}{2}kx^2 + C$$

where, in general, the constant C can take any value. If we choose $C = 0$, then the potential energy is zero when the spring is at rest, although it is not important what choice is made. Note that in one dimension, the potential energy function is the negative of the anti-derivative of the function that gives the x component of the force.

? Exercise 8.2.3

A conservative force acts on an object that is initially at rest. No other forces act on the object. Does the object move in a way that increases its potential energy or decreases its potential energy?

A. Increases.
B. Decreases.
C. It depends on the choice of C for the corresponding potential energy.

Answer

B.

Recovering the force from potential energy

Given a (scalar) potential energy function, $U(\vec{r})$, it is possible to determine the (vector) force that is associated with it. Take, for example, the potential energy from a spring (*Example 8.2.2*):

$$U(x) = \frac{1}{2}kx^2 + C$$

As you recall from *Example 8.2.2*, to find this function (in one dimension), we took the x component of the spring force and (effectively) found the negative of its anti-derivative, which we defined as the potential energy function:

$$\begin{aligned} F(x) &= -kx \\ U(x) &= -\int F(x)dx = \int (kx)dx = \frac{1}{2}kx^2 + C \\ \therefore F(x) &= -\frac{d}{dx}U(x) \end{aligned}$$

Thus, the force can be obtained from the negative of the potential energy function, by taking its derivative with respect to position.

In three dimensions, the situation is similar, although the potential energy function (and the components of the force vector) will generally depend on all three position coordinates, x, y, and z. In three dimensions, the the three components of the force vector are given by taking the gradient of the negative of the potential energy function[1]:

$$\vec{F}(\vec{r}) = -\vec{\nabla}U(\vec{r}) = -\vec{\nabla}U(x,y,z)$$

$$\therefore F_x(x,y,z) = -\frac{\partial}{\partial x}U(x,y,z) \tag{8.2.2}$$

$$\therefore F_y(x,y,z) = -\frac{\partial}{\partial y}U(x,y,z) \tag{8.2.3}$$

$$\therefore F_z(x,y,z) = -\frac{\partial}{\partial z}U(x,y,z) \tag{8.2.4}$$

Footnotes

1. As you may recall from Appendix B, the gradient is a vector that points towards the direction of maximal increase in a multivariate function.

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8.3: Mechanical Energy and Conservation of Energy

Recall the Work-Energy Theorem, which relates the net work done on an object to its change in kinetic energy, along a path from point A to point B:

$$W^{net} = \Delta K = K_B - K_A$$

where K_A is the object's initial kinetic energy and K_B is its final kinetic energy. Generally, the net work done is the sum of the work done by conservative forces, W^C, and the work done by none conservative forces, W^{NC}:

$$W^{net} = W^C + W^{NC}$$

The work done by conservative forces can be expressed in terms of changes in potential energy functions. For example, suppose that two conservative forces, $\vec{F}_1$ and $\vec{F}_2$, are exerted on the object. The work done by those two forces is given by:

$$W_1 = -\Delta U_1$$
$$W_2 = -\Delta U_2$$

where U_1 and U_2 are the changes in potential energy associated with forces $\vec{F}_1$ and $\vec{F}_2$, respectively. We can re-arrange the Work-Energy Theorem as follows[1]:

$$W^{net} = W^C + W^{NC} = -\Delta U_1 - \Delta U_2 + W^{NC} \ = \Delta K$$
$$\therefore W^{NC} = \Delta U_1 + \Delta U_2 + \Delta K$$

That is, the work done by non-conservative forces is equal to the sum of the changes in potential and kinetic energies. In general, we can use ΔU to represent the change in the total potential energy of the object. The total potential energy is the sum of the potential energies associated with each of the conservative forces acting on the object ($\Delta U = \Delta U_1 + \Delta U_2$ above). The above expression can thus be written in a more general form:

$$W^{NC} = \Delta U + \Delta K \tag{8.3.1}$$

In particular, note that if there are no non-conservative forces doing work on the object:

$$\Delta K + \Delta U = 0 \text{ if no non-conservative forces} \tag{8.3.2}$$
$$-\Delta U = \Delta K$$

That is, the sum of the changes in potential and kinetic energies of the object is always zero. This means that if the potential energy of the object increases, then the kinetic energy of the object must decrease by the same amount.

We can introduce the "mechanical energy", E, of an object as the sum of the potential and kinetic energies of the object:

$$E = U + K \tag{8.3.3}$$

If the object started at position A, with potential energy U_A and kinetic energy K_A, and ended up at position B with potential energy U_B and kinetic energy K_B, then we can write the mechanical energy at both positions and its change ΔE, as:

$$\begin{aligned} E_A &= U_A + K_A \\ E_B &= U_B + K_B \\ \Delta E &= E_B - E_A \\ &= U_B + K_B - U_A - K_A \\ \therefore \Delta E &= \Delta U + \Delta K \end{aligned}$$

Thus, the change in mechanical energy of the object is equal to the work done by non-conservative forces:

$$W^{NC} = \Delta U + \Delta K = \Delta E$$

and if there is no work done by non-conservative forces on the object, then the mechanical energy of the object does not change:

$$\Delta E = 0 \quad \text{if no non-conservative forces}$$
$$\therefore E = \text{constant}$$

This is what we generally call the “conservation of mechanical energy”. If there are no non-conservative forces doing work on an object, its mechanical energy is conserved (i.e. constant).

The introduction of mechanical energy gives us a completely different way to think about mechanics. We can now think of an object as having “energy” (potential and/or kinetic), and we can think of forces as changing the energy of the object.

? Exercise 8.3.1

Is the value of an an object’s mechanical energy meaningful, or is it only the difference in mechanical energy that is meaningful?

A. Yes, the value of the mechanical energy is meaningful. At any given time, an object will have a quantifiable amount of mechanical energy.
B. No, the value is not meaningful because the value of potential energy is arbitrary. Only differences in mechanical energy are meaningful.
C. No, the value is not meaningful because both the potential and kinetic energies are arbitrary. Their values will change depending on where you set the energy to be zero.
D. It depends on which conservative forces act on the object (and therefore what “kind” of potential energy the object has).

Answer

B.

We can also think of the work done by non-conservative forces as a type of change in energy. For example, the work done by friction can be thought of as a change in thermal energy (feel the burn as you rub your hand vigorously on a table!). If we can model the work done by non-conservative forces as a type of “other” energy, $-W^{NC} = \Delta E^{other}$, then we can state that:

$$\Delta E^{other} + \Delta U + \Delta K = 0$$

which is what we usually refer to as “conservation of energy”. That is, the total energy in a system, including kinetic, potential and any other form (e.g. thermal, electrical, etc.) is constant unless some external agent is acting on the system.

We can always include that external agent in the system so that the total energy of the system is constant. The largest system that we can have is the Universe itself. Thus, the total energy in the Universe is constant and can only transform from one type into another, but no energy can ever be added or removed from the Universe.

Olivia's Thoughts

Here’s an example that may help you understand the concept of external agents and energy conservation. Say we have a mass that hangs from a spring, so that the mass oscillates up and down like a yo-yo. If we define our system to include the spring, the mass, and gravity, energy will be conserved (the energy is transformed from potential energy to kinetic energy and back again).

Now, what if someone is holding the end of the spring and they start walking so that the whole system accelerates? Energy is not conserved because the system is gaining kinetic energy, seemingly out of nowhere. The system is being acted on by an *external agent* (the person). If we expand our system so that it includes the spring, the mass, gravity, *and the person*, energy is conserved. Instead of the kinetic energy “coming out of nowhere”, we can see that it is actually coming from the person converting chemical energy in their body in order to move their muscles.

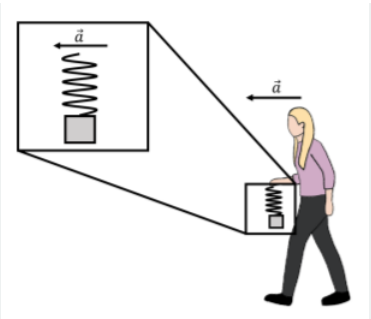

Figure 8.3.1: A person accelerates a mass and spring by walking. If the system does not include the person, energy is not conserved. If it does include the person, energy is conserved.

But what if there's an external agent acting on our new system? We can keep "zooming out" to include more and more external sources in the definition of our system. If you kept zooming out, eventually you would reach the point where the whole Universe was included in your system. At this point, you can't zoom out any more. This means that, if the Universe is your system, energy must always be conserved because there can't be any external agents acting on the system.

Example 8.3.1

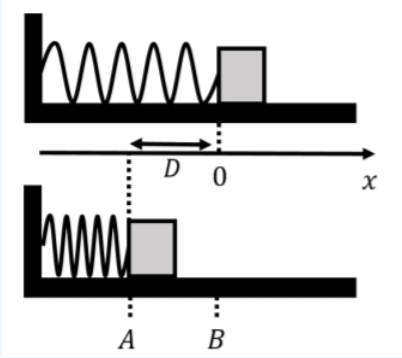

Figure 8.3.2: A block is launched along a frictionless surface by compressing a spring by a distance D. The top panel shows the spring when at rest, and the bottom panel shows the spring compressed by a distance D just before releasing the block.

A block of mass m can slide along a horizontal frictionless surface. A horizontal spring, with spring constant, k, is attached to a wall on one end, while the other end can move, as shown in Figure 8.3.2. A coordinate system is defined such that the x axis is horizontal and the free end of the spring is at $x = 0$ when the spring is at rest. The block is pushed against the spring so that the spring is compressed by a distance D. The block is then released. What speed will the block have when it leaves the spring?

Solution

This is again the same example that we saw in Chapters 6 and 7. We will show here that it is solved very easily using conservation of energy. The forces acting on the block are:

1. Weight, which does no work since it is perpendicular to the block's displacement.
2. The normal force, which does no work since it is perpendicular to the block's displacement.
3. The force from the spring, which is conservative and can be modelled with a potential energy $U(x) = \frac{1}{2}kx^2$, where x is the position of the end of the spring.

The block starts at rest at position A ($x = -D$), where the spring is compressed by a distance D, and leaves the spring at position B ($x = 0$), where the spring is at its rest position.

At position A, the kinetic energy of the block is $K_A = 0$ since the block is at rest, and the potential energy from the spring force of the block is $U_A = \frac{1}{2}kD^2$. The mechanical energy of the block at position A is thus:

$$K_A = 0$$

$$U_A = \frac{1}{2}kD^2$$

$$\therefore E_A = U_A + K_A = \frac{1}{2}kD^2$$

At position B, the spring potential energy of the block is zero (since the spring is at rest), and all of the energy is kinetic:

$$K_B = \frac{1}{2}mv_B^2$$

$$U_B = 0$$

$$\therefore E_B = U_B + K_B = \frac{1}{2}mv_B^2$$

Since there are no non-conservative forces doing work on the block, the mechanical energies at A and B are the same:

$$W^{NC} = \Delta E = E_B - E_A = 0$$

$$\therefore E_B = E_A$$

$$\frac{1}{2}mv_B^2 = \frac{1}{2}kD^2$$

$$v_B = \sqrt{\frac{kD^2}{m}}$$

as we found previously.

Example 8.3.2

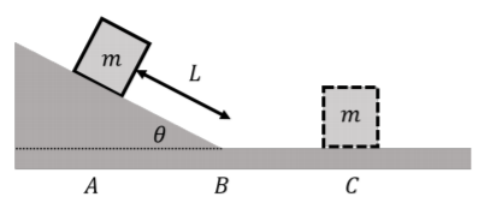

Figure 8.3.3: A block slides down an incline before sliding on a flat surface and stopping.

A block of mass m is placed at rest on an incline that makes an angle θ with respect to the horizontal, as shown in Figure 8.3.3. The block is nudged slightly so that the force of static friction is overcome and the block starts to accelerate down the incline. At the bottom of the incline, the block slides on a horizontal surface. The coefficient of kinetic friction between the block and the incline is μ_{k1}, and the coefficient of kinetic friction between the block and horizontal surface is μ_{k2}. If one assumes that the block started at rest a distance L from the bottom of the incline, how far along the horizontal surface will the block slide before stopping?

Solution

This is the same problem we solved in *Example 6.2.1*. In that case, we solved for the acceleration of the block using Newton's Second Law and then used kinematics to find how far the block went. We can solve this problem in a much easier way using conservation of energy.

It is still a good idea to think about what forces are applied on the object in order to determine if there are non-conservative forces doing work. In this case, the forces on the block are:

1. The normal force, which does no work, as it is always perpendicular to the motion.
2. Weight, which does work when the height of the object changes, which we can model with a potential energy function.
3. Friction, which is a non-conservative force, whose work we must determine.

Let us divide the motion into two segments: (1) a segment along the incline (positions A to B in Figure 8.3.3), where gravitational potential energy changes, and (2), the horizontal segment from positions B to position C on the figure. We can then apply conservation of energy for each segment.

Starting with the first segment, we can choose the gravitational potential energy to be zero when the block is at the bottom of the incline. The block starts at a height $h = L\sin\theta$ above the bottom of the incline. The gravitational potential energy for the beginning and end of the first segment are thus:

$$U_A = mgL\sin\theta$$
$$U_B = 0$$

Since the block starts at rest, its kinetic energy is zero at position A, and if the speed of the box is v_B at position B, we can write its kinetic energy at both positions as:

$$K_A = 0$$
$$K_B = \frac{1}{2}mv_B^2$$

The mechanical energy of the object at positions A and B is thus:

$$E_A = U_A + K_A = mgL\sin\theta$$
$$E_B = U_B + K_B = \frac{1}{2}mv_B^2$$
$$\Delta E = E_B - E_A = \frac{1}{2}mv_B^2 - mgL\sin\theta$$

Finally, since we have a non-conservative force, the force of kinetic friction, acting on the first segment, we need to calculate the work done by that force. We found in *Example 6.2.1* that the force of friction had magnitude $f_k = \mu_{k1}N = \mu_{k1}mg\cos\theta$. Since the force of friction is anti-parallel to the displacement vector, which points down the incline and has length L, the work done by friction is:

$$W^{NC} = W_f = -f_k L = -\mu_{k1}mg\cos\theta L$$

Applying conservation of energy along the first segment, we have:

$$W^{NC} = \Delta E$$
$$-\mu_{k1}mg\cos\theta L = \frac{1}{2}mv_B^2 - mgL\sin\theta$$
$$\therefore \frac{1}{2}mv_B^2 = mgL\sin\theta - \mu_{k1}mg\cos\theta L$$

Note that the above equation, in words, could be read as, "the change in kinetic energy ($\frac{1}{2}mv_B^2$) is equal to the negative change in potential energy ($mgL\sin\theta$) minus the work done by friction ($\mu_{k1}mg\cos\theta L$)". In other words, the block had potential energy, which was converted into kinetic energy and heat (the work done by friction can be thought of as thermal energy).

We now proceed in an analogous way for the second segment, from position B to position C. The only force that can do work along this segment (of length x) is the force of kinetic friction, since both the weight and normal force are perpendicular to the displacement. There are no conservative forces doing work, so there is no change in potential energy. The initial kinetic energy is K_B (from above), and the final kinetic energy, K_C, is zero. The change in mechanical energy is thus:

$$\begin{aligned}\Delta E &= E_C - E_B = K_C - K_B = -K_B \\ &= -\frac{1}{2}mv_B^2 \\ &= -mgL\sin\theta + \mu_{k1}mg\cos\theta L\end{aligned}$$

where, in the last line, we used the result from the first segment. The work done by the force of friction along the horizontal segment of (undetermined) length x is:

$$W^{NC} = W_f = -f_k x = -\mu_{k2}Nx = -\mu_{k2}mgx$$

Finally, we can find x by setting the work done by non-conservative forces equal to the change in mechanical energy:

$$\begin{aligned} W^{NC} &= \Delta E \\ -\mu_{k2} mgx &= -mgL\sin\theta + \mu_{k1} mg\cos\theta L \\ \therefore x &= L\frac{1}{\mu_{k2}}(\sin\theta - \mu_{k1}\cos\theta) \end{aligned}$$

which is the same result that we obtained in *Example 6.2.1*.

Discussion

By using conservation of energy, we were able to model the motion of the block down the incline in a way that was much easier than what was done in *Example 6.2.1*. Furthermore, although we modeled friction as a non-conservative force doing work, we gained some insight into the idea that this could be thought of as an energy loss. In terms of energy, we would say that the block initially had gravitational potential energy, which was then converted into kinetic energy as well as thermal energy (in the heat generated by friction).

Footnotes

1. This is why we defined potential energy as negative of the work; it becomes a positive term when we move it to the same side of the equation as the kinetic energy!

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8.4: Energy diagrams and equilibria

We can write the mechanical energy of an object as:

$$E = K + U$$

which will be a constant if there are no non-conservative forces doing work on the object. This means that if the potential energy of the object increases, then its kinetic energy must decrease by the same amount, and vice-versa.

Consider a block that can slide on a frictionless horizontal surface and that is attached to a spring, as is shown in Figure 8.4.1 (left side), where $x = 0$ is chosen as the position corresponding to the rest length of the spring. If you push on the block so as to compress the spring by a distance D and then release it, the block will initially accelerate because of the spring force in the positive x direction until the block reaches the rest position of the spring ($x = 0$ on the diagram). When it passes that point, the spring will exert a force in the opposite direction. The block will continue in the same direction and decelerate until it stops and turns around. It will then accelerate again towards the rest position of the spring, and then decelerate once the spring starts being compressed again, until the block stops and the motion repeats. We say that the block "oscillates" back and forth about the rest position of the spring.

We can describe the motion of the block in terms of its total mechanical energy, E. Its potential energy is given by:

$$U(x) = \frac{1}{2}kx^2$$

On the right of Figure 8.4.1 is an "Energy Diagram" for the block, which allows us to examine how the total energy, E, of the block is divided between kinetic and potential energy depending on the position of the block. The vertical axis corresponds to energy and the horizontal axis corresponds to the position of the block.

The total mechanical energy, $E = 25\text{J}$, is shown by the horizontal red line. Also illustrated are the potential energy function ($U(x)$ in blue), and the kinetic energy, ($K = E - U(x)$, in dotted black).

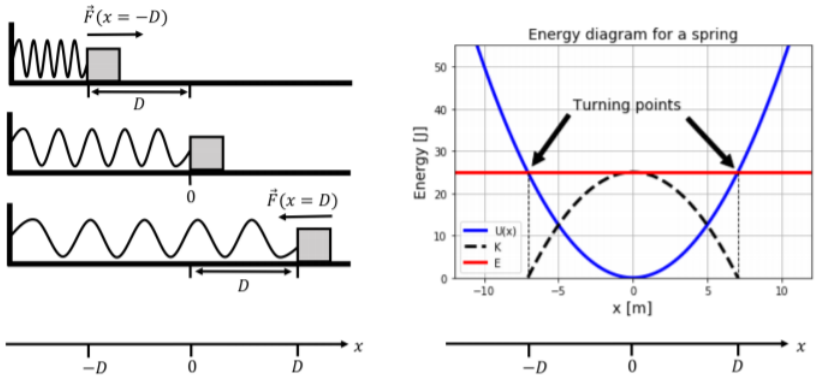

Figure 8.4.1: Left: The block oscillates about the rest position of the spring, between $x = -D$ and $x = D$. Right: The energy diagram for the block. This diagram is for a spring with spring constant $k = 1\text{N}/\text{m}$.

The energy diagram allows us to describe the motion of the object attached to the spring in terms of energy. A few things to note:

1. At $x = \pm D$, the potential energy is equal to E, so the kinetic energy is zero. The block is thus instantaneously at rest at those positions.
2. At $x = 0$, the potential energy is zero, and the kinetic energy is maximal. This corresponds to where the block has the highest speed.
3. The kinetic energy of the block can never be negative[1], thus, the block cannot be located outside the range $[-D, +D]$, and we would say that the motion of the block is "bound". The points between which the motion is bound are called "turning points".

An analysis of the energy diagram tells us that the block is bound between the two turning points, which themselves are equidistant from the origin. When we initially compress the spring, we are "giving" the block "spring potential energy". As the block starts to move, the potential energy of the block is converted into kinetic energy as it accelerates and then back into potential energy as it decelerates.

Exercise 8.4.1

Calculate the positions of the turning points for the situation shown in Figure 8.4.1. The total energy is 25 J and the spring constant is $k = 1\ \mathrm{N/m}$.

Answer

7.1 m

By looking at only the potential energy function, without knowing that it is related to a spring, we can come to the same conclusions; namely that the motion is bound as long as the total mechanical energy is not infinite. We call the point $x = 0$ a "stable equilibrium", because it is a local minimum of the potential energy function. If the object is displaced from the equilibrium point, it will want to move back towards that point. This can also be understood in terms of the force associated with the potential energy function:

$$F = -\frac{d}{dx}U(x)$$

The local minimum occurs where the derivative of the potential function is equal to zero. Thus, the **equilibrium point is given by the condition that the force associated with the potential is zero** ($x = 0$ in the case of the potential energy from a spring). The equilibrium is a stable equilibrium because the force associated with the potential energy function ($F(x) = -kx$ for the spring) points towards the equilibrium point.

The potential energy function for an object with total mechanical energy, E, can be thought of as a little "roller coaster", on which you place a marble and watch it "roll down" the potential energy function. You can think of placing a marble where $U(x) = E$ and releasing it. The marble would then roll down the potential energy function, just as an actual marble would roll down a real slope, mimicking the motion of the object along the x axis. This is illustrated in Figure 8.4.2 which shows an arbitrary potential energy function and a marble being placed at a location where the potential energy is equal to E.

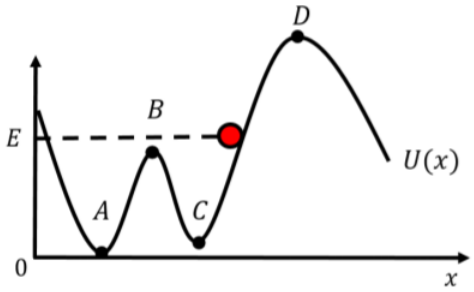

Figure 8.4.2: Arbitrary potential energy function and illustration of visualizing a marble rolling down the function by placing the marble on the potential energy function at a point where $U(x) = E$.

The motion of the marble will be bound between the two points where the potential energy function is equal to E. When the marble is placed as shown, it will roll towards the left, just as if it were a real marble on a track. Since the potential energy is increasing as a function of x at the point where we placed the marble, the force is in the negative x direction (remember, the force is the negative of the derivative of the potential energy function). With the given energy, the marble would never be able to make it to point D, as it does not have enough energy to "climb up the hill". It would roll down, through point C, up to point B, down to point A, and then turn around where $U(x) = E$ and return to where it started.

Locations A and C on the diagram are stable equilibria, because if a marble is placed in one of those locations and nudged slightly, it will come back to the equilibrium point (or oscillate about that point). Points B and D are "unstable equilibria", because if the marble is placed there and nudged, it will not immediately come back to those points. Note that if the marble were placed at point D and nudged towards the right, the motion of the marble would be unbound on the right, and it would keep going in that direction.

Now, say an object's potential energy is described by the function in Figure 8.4.2, and the object has total energy E. The object's motion along the x axis will be exactly the same as the projection of the marble's motion on the x axis.

Exercise 8.4.2

A force, $F(x)$, acts on an object. The potential energy function, $U(x)$, associated with the force is given by $U(x) = a(x-6)^2(x-1)(x-3) + \SI{20}{J}$, where a is a positive constant. $U(x)$ is plotted in Figure 8.4.3. Use the "marble" method to determine the direction of the force at $x = 5$. Confirm your answer by finding the value of the force , $F(x)$, at $x = 5$.

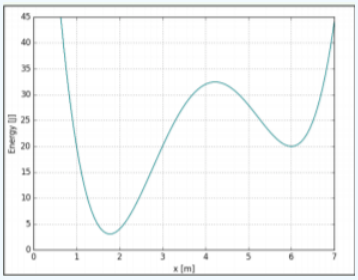

Figure 8.4.3: A potential energy function $U(x)$. The x-axis represents the x position and the y-axis represents the energy.

A. $F(x = 5) = -10a$
B. $F(x = 5) = 10a$
C. $F(x = 5) = 20a$
D. $F(x = 5) = -20a$

Answer

B.

Footnotes

1. Remember, the kinetic energy is given by $K = \frac{1}{2}mv^2$. Since neither mass nor the value of v^2 can be negative, the kinetic energy of an object can never be negative.

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8.5: The Lagrangian Formulation of Classical Physics

So far, we have seen that, based on Newton's Laws, one can formulate a description of motion that is based solely on the concept of energy. A lot of research was done in the eighteenth century to reformulate a theory of mechanics that would be equivalent to Newton's Theory but whose starting point is the concept of energy instead of the concept of force. This "modern" approach to classical mechanics is primarily based on the research by Lagrange and Hamilton.

Although it is beyond the scope of this text to go into the details of this formulation, it is worth taking a quick look in order to get a better sense of how physicists seek to generalize theories. It is also worth noting that the Lagrangian formulation is the method by which theories are developed for quantum mechanics and modern physics.

The Lagrangian description of a "system" is based on a quantity, L, called the "Lagrangian", which is defined as:

$$L = K - U \tag{8.5.1}$$

where K is the kinetic energy of the system, and U is its potential energy. A "system" can be a rather complex collection of objects, although we will illustrate how the Lagrangian formulation is implemented for a single object of mass m moving in one dimension under the influence of gravity. Let x be the direction of motion (which is vertical) such that the potential and kinetic energies of the object are given by:

$$\begin{aligned} U(x) &= mgx \\ K(v_x) &= \frac{1}{2}mv_x^2 \\ \therefore L(x, v_x) &= \frac{1}{2}mv_x^2 - mgx \end{aligned}$$

where we chose the potential energy to be zero at $x = 0$, and v_x is the velocity of the object.

In the modern formulation of classical mechanics, the motion of the system will be such that the following integral is minimized:

$$S = \int L dt$$

where L can depend on time explicitly or implicitly (through the fact that position and velocity, on which the Lagrangian depends, are themselves time-dependent). The requirement that the above integral be minimized is called the "Principle of Least Action"[1], and is thought to be the fundamental principle that describes all of the laws of physics. The condition for the action to be minimized is given by the Euler-Lagrange equation:

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0 \tag{8.5.2}$$

Thus, in the Lagrangian formulation, one first writes down the Lagrangian for the system, and then uses the Euler-Lagrange equation to obtain the "equations of motion" for the system (i.e. equation that give the kinematic quantities, such as acceleration, for the system).

Given the Lagrangian that we found above for a particle moving in one dimension under the influence of gravity, we can determine each term in the Euler-Lagrange equation:

$$\begin{aligned} \frac{\partial L}{\partial v_x} &= \frac{\partial}{\partial v_x}\left(\frac{1}{2}mv_x^2 - mgx\right) = mv_x \\ \therefore \frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) &= \frac{d}{dt}(mv_x) = ma_x \\ \frac{\partial L}{\partial x} &= \frac{\partial}{\partial x}\left(\frac{1}{2}mv_x^2 - mgx\right) = -mg \end{aligned}$$

Putting these into the Euler-Lagrange equation:

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0$$
$$(ma_x) - (-mg) = 0$$
$$ma_x = -mg$$
$$\therefore a_x = -g$$

which is exactly equivalent to using Newton's Second Law (the second last step is equivalent to $F = ma$). In the Lagrangian formulation, we do not need the concept of force. Instead, we describe possible "interactions" by a potential energy function. That is why you may sometimes hear of physicists talking about the "Weak interaction" instead of the "Weak force" when they are talking about one of the four fundamental interactions (forces) of Nature. This is because, in the modern formulation of physics, one does not use the concept of force, and instead thinks of potential energy functions to model what we would call a force in the Newtonian approach.

Emmy Noether, a mathematician in the early twentieth century, proved a theorem that makes the Lagrangian formulation particularly aesthetic. Noether's theorem states that for any symmetry in the Lagrangian, there exists a quantity that is conserved. For example, if the Lagrangian does not depend explicitly on time, then a quantity, which we call energy, is conserved[2].

The Lagrangian that we had above for a particle moving under the influence of gravity did not depend on time explicitly, and thus energy is conserved (gravitational potential energy is converted into kinetic energy and there are no non-conservative forces). If the Lagrangian did not depend on position, then a quantity that we call "momentum"[3] would be conserved. In this case, momentum in the x direction was not conserved because the Lagrangian depended on x through the potential energy.

Olivia's Thoughts

We saw in this chapter that describing systems in terms of energy is often easier than describing them in terms of forces. The Lagrangian gives us a way to get the same information we would get from Newton's laws (like the acceleration, etc.), but using energy as a starting point. The Lagrangian method is really useful when we are looking at motion in multiple dimensions, or when we are describing complicated systems. Using the Lagrangian is actually really simple, and just like with forces, you can pretty much approach every problem the same way. Here are the basic steps to follow:

1. Find two expressions for your system: one for the potential energy (U) and one for the kinetic energy (K). This often ends up being the hardest step.

2. Write down the Lagrangian, $L = K - U$, using the expressions you just found.

3. Pick a coordinate. (In one dimension, this is trivial, but it will be important once you start working in multiple dimensions). The Euler-Lagrange equation was given to you as:

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0$$

because we are working in one dimension. You can actually pick whichever coordinate you are interested in. For example, if you were interested in the motion of your object in the y direction, you would pick y as your coordinate and write:

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_y}\right) - \frac{\partial L}{\partial y} = 0$$

4. Now you just have to do what the equation above tells you to do, which is to start with your Lagrangian (your $L = K - U$ equation) and take a bunch of derivatives. If you try to just plug L into the Euler-Lagrange equation and do all the derivatives at once, it can get confusing. I recommend finding the components separately. I like to start by taking the partial derivative with respect to velocity, $\frac{\partial L}{\partial y}$, then taking its derivative with respect to time. Next, I find $\frac{\partial L}{\partial y}$ and then put it all together.

5. That's it! When you've taken the derivatives (and simplified a bit), you'll have an "equation of motion" that gives you information about the motion of the object. You can then use this equation however you want!

Footnotes

1. The integral, S, is called the “action” of the system.

2. If the Lagrangian does not depend on time, then we can shift the system in time and the equations of motion would be unaffected. We say that the Lagrangian is symmetric, or unaffected, by changes in time.

3. See chapter 10

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8.6: Summary

Key Takeaways

A force is conservative if the work done by that force on a closed path is zero:

$$\oint \vec{F}(\vec{r}) \cdot d\vec{l} = 0$$

Equivalently, the force is conservative if the work done by the force on an object moving from position A to position B does not depend on the particular path between the two points. The conditions for a force to be conservative are given by:

$$\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} = 0$$
$$\frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x} = 0$$
$$\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} = 0$$

In particular, a force that is constant in magnitude and direction will be conservative. A force that depends on quantities other than position (e.g. speed, time) will not be conservative. The force exerted by gravity and the force exerted by a spring are conservative.

For any conservative force, $\vec{F}(\vec{r})$, we can define a potential energy function, $U(\vec{r})$, that can be used to calculate the work done by the force along any path between position A and position B:

$$-W = -\int_A^B \vec{F}(\vec{r}) \cdot d\vec{l} = U(\vec{r}_B) - U(\vec{r}_A) = \Delta U$$

where the change in potential energy function in going from A to B is equal to the negative of the work done in going from point A to point B. We can determine the function $U(\vec{r})$ by calculating the work integral over an "easy" path (e.g. a straight line that is co-linear with the direction of the force).

It is important to note that an arbitrary constant can be added to the potential energy function, because only differences in potential energy are meaningful. In other words, we are free to choose the location in space where the potential energy function is defined to be zero.

We can break up the net work done on an object as the sum of the work done by conservative (W^C) and non-conservative forces (W^{NC}):

$$W^{net} = W^{NC} + W^C = W^{NC} - \Delta U$$

where ΔU is the difference in the total potential energy of the object (the sum of the potential energies for each conservative force acting on the object).

The Work-Energy Theorem states that the net work done on an object in going from position A to position B is equal to the object's change in kinetic energy:

$$W^{net} = \frac{1}{2}mv_B^2 - \frac{1}{2}mv_A^2 = \Delta K$$

We can thus write that the total work done by non conservative forces is equal to the change in potential and kinetic energies:

$$W^{NC} = \Delta K + \Delta U$$

In particular, if no non-conservative forces do work on an object, then the change in total potential energy is equal to the negative of the change in kinetic energy of the object:

$$-\Delta U = \Delta K$$

We can introduce the mechanical energy, E, of an object as:

$$E = U + K$$

The net work done by non-conservative forces is then equal to the change in the object's mechanical energy:

$$W^{NC} = \Delta E$$

In particular, if no net work is done on the object by non-conservative forces, then the mechanical energy of the object does not change ($\Delta E = 0$). In this case, we say that the mechanical energy of the object is conserved.

The Lagrangian description of classical mechanics is based on the Lagrangian, L:

$$L = K - U$$

which is the difference between the kinetic energy, K, and the potential energy, U, of the object. The equations of motion are given by the Principle of Least Action, which leads to the Euler-Lagrange equation (written here for the case of a particle moving in one dimension):

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0$$

Important Equations

Conditions for a force to be conservative:

$$\oint \vec{F}(\vec{r}) \cdot d\vec{l} = 0$$

$$\frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z} = 0$$

$$\frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x} = 0$$

$$\frac{\partial F_y}{\partial x} - \frac{\partial F_x}{\partial y} = 0$$

Potential energy for a conservative force:

$$\Delta U = -W$$

$$U(\vec{r}_B) - U(\vec{r}_A) = -\int_A^B \vec{F}(\vec{r}) \cdot d\vec{l}$$

Work-energy theorem:

$$W^{net} = \frac{1}{2}mv_B^2 - \frac{1}{2}mv_A^2 = \Delta K$$

Work:

$$W^{net} = W^{NC} + W^{C} = W^{NC} - \Delta U$$

$$W^{NC} = \Delta K + \Delta U$$

Energy:

$$E = U + K$$

$$W^{NC} = \Delta E$$

Lagrange:

$$L = K - U$$

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0$$

Important Definitions

Definition

Conservative force: A force that does no net work when exerted over a closed path.

Definition

Potential energy: A form of energy that an object has by virtue of its position in space. The potential energy is associated with a conservative force, which is exerted in the direction that lowers the potential energy of the object. SI units: $[\mathrm{J}]$. Common variable(s): U.

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8.7: Thinking about the material

Reflect and research

1. When did Lagrange publish his theory of classical mechanics, and what was the name of the publication?
2. What is D'Alembert's contribution to the field of classical mechanics?
3. Who first proposed the Principle of Least Action, and when?
4. What is an example of a situation not already covered that you can describe where mechanical energy is conserved?
5. Under what symmetry is angular momentum conserved?
6. Think of three renewable energy sources and describe how they use conservation of energy to produce electricity.
7. What is a Rube Goldberg machine? Look up some videos of Rube Goldberg machines, and find the coolest one!

To try at home

1. Design a small catapult or slingshot that you can build using materials found at home. Describe how these machines work using conservation of energy.

To try in the lab

1. Propose an experiment to test that energy is conserved in a system where only gravity acts.
2. Simulate the launch of a space probe out of the solar system using a gravity assist.
3. Model and investigate the craters that are created when objects are dropped into a bed of sand.

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8.8: Sample problems and solutions

Exercise 8.8.1

A ball of mass m is dropped onto a vertical spring with spring constant k. The spring will compress until the ball comes to rest. How much will it compress if the ball is dropped from a height h above the spring?

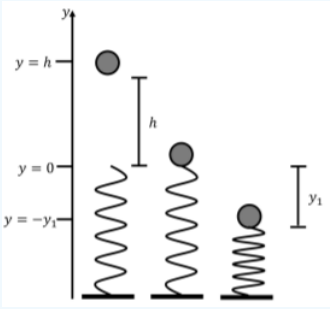

Figure 8.8.1: A ball is dropped from rest onto a vertical spring.

Answer

The two forces acting on the ball are gravity and the spring force. Both are conservative, so we can use conservation of mechanical energy. We will find the energy of the ball when it is at a height h above the spring, and the energy of the ball when the spring is fully compressed. Then, we will use conservation of mechanical energy to determine the compression of the spring.

Remember that the total mechanical energy is the sum of the total potential energy and the kinetic energy, $E = U + K$. Let's call the initial position of the ball A and the final position of the ball B. You will notice that we set up our coordinate system so that y is positive upwards, with $y = 0$ at the point where the ball comes into contact with the spring. We choose to define both the gravitational potential energy and spring potential energy so that they are zero at $y = 0$.

Since the ball starts from rest, its kinetic energy is zero at position A. At this point, the ball is not touching the spring, so the potential energy from the spring force is zero. The mechanical energy of the ball at position A is simply equal to its gravitational potential energy:

$$\begin{aligned} E_A &= U_A + K_A \\ E_A &= mgh \end{aligned}$$

At position B, the ball is again at rest, so the kinetic energy of the ball is zero. Now that the ball is in contact with the spring, it will experience a force from the spring that can be modeled with a potential energy $U(y) = \frac{1}{2}ky_1^2$, where y_1 is the distance between the rest position of the spring and its compressed length. At point B ($y = -y_1$), the ball will have both spring and gravitational potential energy, so its mechanical energy at position B is given by:

$$\begin{aligned} E_B &= U_B + K_B = U_B \\ U_B &= mg(-y_1) + \frac{1}{2}ky_1^2 \\ E_B &= -mgy_1 + \frac{1}{2}ky_1^2 \end{aligned}$$

Since mechanical energy is conserved in this system (no non-conservative forces are doing work), we can now set $E_A = E_B$ and solve for y_1:

$$\begin{aligned} E_A &= E_B \\ mgh &= -mgy_1 + \frac{1}{2}ky_1^2 \\ 0 &= \frac{1}{2}ky_1^2 - mgy_1 - mgh \end{aligned}$$

where in the last line we rewrote the expression as a quadratic equation. We can solve for y_1 with the quadratic formula:

$$y_1 = \frac{mg \pm \sqrt{(mg)^2 - 4(1/2k)(-mgh)}}{k}$$

$$y_1 = \frac{mg \pm \sqrt{mg(mg + 2kh)}}{k}$$

We now have an expression for the amount the spring is compressed, y_1, in terms of our known values.

Exercise 8.8.2

A simple pendulum consists of a mass m connected to a string of length L. The pendulum is released from an angle θ_0 from the vertical. Use conservation of energy to find an expression for the velocity of the mass as a function of the angle.

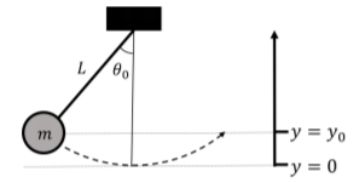

Figure 8.8.2: A pendulum is released from rest an angle θ_0 from the vertical.

Answer

We are going to find a general expression for the energy of the system, and then use this expression to find the velocity at any point. There are two forces acting on the mass:

The force of tension (from the string). This force is perpendicular to the direction of motion at any point, so it does no work on the mass.

The force of gravity, which has a potential energy function given by $U(y) = mgy$. We choose the gravitational potential energy to be zero when the pendulum hangs vertically (when $\theta = 0$ and $y = 0$).

The mechanical energy of the mass is conserved, and at any point is given by the sum of its kinetic and its gravitational potential energies:

$$E = mgy + \frac{1}{2}mv^2$$

We want to find the velocity as a function of θ, so we need to write y in terms of θ. As you may recall from *Problem 7.6.2*, we saw that from the geometry of the problem, we can express the height of the mass as $y = L - L\cos\theta$, or $L(1 - \cos\theta)$, where y is the height as measured from the bottom point of the motion. You can refer to Figure 7.6.4 to refresh your memory. The energy at any point is then:

$$E = mgL(1 - \cos\theta) + \frac{1}{2}mv^2$$

Conservation of energy tells us that the total energy at any point must be the same as the initial energy. So, we can use our initial conditions to find the total energy of the system. The mass starts from rest (initial kinetic energy is zero) an angle θ_0 above the vertical:

$$E = mgL(1 - \cos\theta) + \frac{1}{2}mv^2$$

$$E_{initial} = mgL(1 - \cos\theta_0)$$

Now that we have found the total energy of the system, we can write our general expression for the energy of the system at any point:

$$E = mgL(1-\cos\theta) + \frac{1}{2}mv^2$$

$$mgL(1-\cos\theta_0) = mgL(1-\cos\theta) + \frac{1}{2}mv^2$$

All that's left to do is simplify the expression and rearrange for v:

$$\begin{aligned} mgL(1-\cos\theta_0) &= mgL(1-\cos\theta) + \frac{1}{2}mv^2 \\ gL(1-\cos\theta_0) - gL(1-\cos\theta) &= \frac{1}{2}v^2 \\ gL - gL\cos\theta_0 - gL + gL\cos\theta &= \frac{1}{2}v^2 \\ gL(\cos\theta - \cos\theta_0) &= \frac{1}{2}v^2 \\ \therefore v &= \sqrt{2gl(\cos\theta - \cos\theta_0)} \end{aligned}$$

Discussion

We can see from this expression that the speed will be maximized when $\cos\theta$ is maximized, which will occur when $\theta = 0$ (when the pendulum is vertical). This is as we expected. We can also see that we will get an imaginary number if the magnitude of θ is greater than θ_0, showing that the motion is constrained between $-\theta_0$ and θ_0. Finally, we showed that the velocity of the pendulum does not depend on the mass!

Exercise 8.8.3

A block of mass m sits on a frictionless horizontal surface. It is attached to a wall by a spring with a spring constant k. The mass is pushed so as to compress the spring and then it is released (Figure 8.8.3). Use the Lagrangian formalism to find an equation of motion for the mass/spring system (i.e. use the Lagrangian to determine the acceleration of the mass).

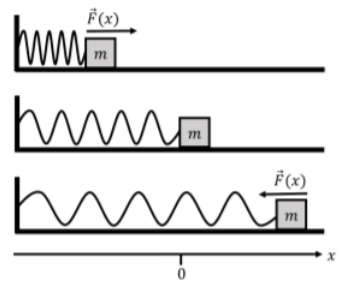

Figure 8.8.3: A mass attached to a spring oscillates about the rest position of the spring.

Answer

We are going to find an equation of motion of the system using the Lagrangian method. We choose to use a one dimension coordinate system, with the x axis defined to be co-linear with the spring, positive in the direction where the spring is extended, and set the origin to be located at the rest position of the spring. The kinetic energy and potential energy of the mass are given by

$$K = \frac{1}{2}mv_x^2$$

$$U = \frac{1}{2}kx^2$$

since the only force exerted on the mass that can do work is the force from the spring. We have chosen the potential energy to be zero at $x = 0$. The Lagrangian for this system is:

$$L = K - U$$
$$L = \frac{1}{2}mv_x^2 - \frac{1}{2}kx^2$$

The Euler-Lagrange equation in one dimension is:

$$\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} = 0$$

We can calculate the terms of the Euler-Lagrange equation:

$$\begin{aligned}
\frac{\partial L}{\partial v_x} &= \frac{\partial}{\partial v_x}\left(\frac{1}{2}mv_x^2 - \frac{1}{2}kx^2\right) \\
&= mv_x \\
\therefore \frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) &= \frac{d}{dt}(mv_x) \\
&= ma_x \\
\text{and} \qquad \frac{\partial L}{\partial x} &= \left(\frac{1}{2}mv_x^2 - \frac{1}{2}kx^2\right) \\
&= -kx
\end{aligned}$$

and then put them together to get:

$$\begin{aligned}
\frac{d}{dt}\left(\frac{\partial L}{\partial v_x}\right) - \frac{\partial L}{\partial x} &= 0 \\
\therefore ma_x &= -kx
\end{aligned}$$

We can see that this equation of motion is equivalent to Newton's Second Law.

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CHAPTER OVERVIEW

9: Gravity

Learning Objectives

- Understand Kepler's Laws.
- Understand Newton's Universal Theory of Gravity.
- Understand Gauss' Law and the gravitational field.
- Understand how to use energy to describe orbits.
- Understand how Einstein's General Theory of Relativity differs from Newton's theory of gravity.

In previous chapters, we have so far learned about Newton's Theory of Classical Mechanics, which allowed us to model the motion of an object based on the forces acting on the object. In this chapter, we present the theories that describe the force of gravity itself. We will see several theories of gravity and focus primarily on Newton's Universal Theory of Gravity.

prelude

A person stands on a scale at the top of Mount Logan, the tallest mountain in Canada. How will her measured weight compare to her weight at sea level?

A. It will be slightly less than her weight at sea level.
B. It will be equal to her weight at sea level.
C. It will be slightly more than her weight at sea level.

9.1: Kepler's Laws
9.2: Newton's Universal Theory of Gravity
9.3: Gravitational potential energy
9.4: Einstein's Theory of General Relativity
9.5: Summary
9.6: Thinking about the material
9.7: Sample problems and solutions

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9.1: Kepler's Laws

Although humans have long been fascinated by the motion of objects in the sky, it was Johannes Kepler, in the early seventeenth century, that was the first to write down quantitative rules that described the motion of planets around the Sun. His theory was based on the extensive and detailed observations recorded by Tycho Brahe in the late sixteenth century.

Kepler proposed three laws that describe all of the data that Tycho Brahe had collected about planetary motion:

1. The path of a planet around the Sun is described by an ellipse with the Sun at once of its foci.
2. All planets move in such a way that the area swept by a line connecting the planet and the Sun in a given period of time is constant.
3.

We examine these three laws in more detail in the sections that follow. It should also be noted that, even though Kepler's Laws were derived for planets orbiting the Sun, they apply to any body that is orbiting any other body under the influence of gravity[1].

Kepler's First Law

Kepler noticed that the motion of all planets followed the path of an ellipse with the Sun located at one of its foci. Figure 9.1.1 shows a diagram of an ellipse, along with its two foci, its semi-major axis, s, its semi-minor axis, b, and its eccentricity, e. The eccentricity is a measure of how far a focus is from the center of the ellipse. A larger eccentricity thus corresponds to a "flatter" ellipse. Note that a circle is just a special case of an ellipse, with both foci located at the center of the circle.

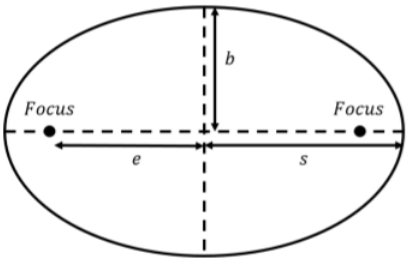

Figure 9.1.1: A ellipse, showing its two foci, its semi-major axis, s, its semi-minor axis, b, and its eccentricity, e.

The sun is located at one of the foci. The point of closest approach to the Sun is called the "perihelion" of the orbit (or "perigee" if the orbit is not around the Sun), and the point furthest from the Sun is called the "aphelion" of the orbit (or "apogee" if the orbit is not around the Sun), as shown in *Figure* 9.1.2.

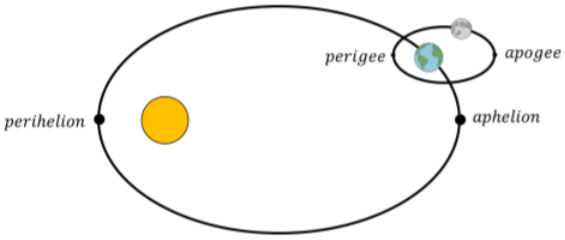

Figure 9.1.2: The orbit of the Earth around the Sun, showing the perihelion and aphelion, and the orbit of the Moon around the Earth, showing the perigee and the apogee. (Not to scale.)

? Exercise 9.1.1

Order the ellipses from smallest eccentricity to largest eccentricity.

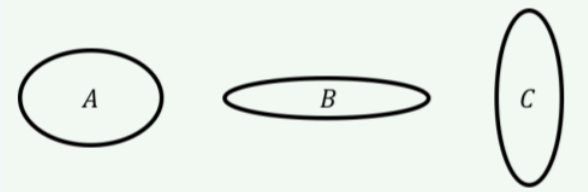

Figure 9.1.3: Three ellipses, each with a different eccentricity.

Answer

$A < C < B$

Kepler's Second Law

Kepler's Second Law is really a statement about the speed of a planet in an elliptical orbit. It states that the area swept by a line connecting the planet and the Sun in a given period of time is fixed. This is illustrated in Figure 9.1.4, which shows the elliptical orbit of a planet around the Sun located at one of the foci, and the area swept out when the planet goes from A to B and from C to D.

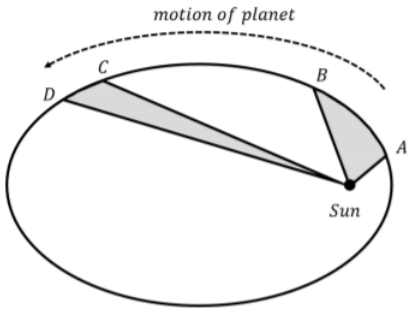

Figure 9.1.4: Illustration of Kepler's Second Law, showing the area that is "swept" by a planet in a fixed period of time.

Kepler's Second Law states that the two areas that are shown by the grayed out sections in the figure are the same if the planet took the same amount of time to travel between points A and B as it did to travel between points C and D. Because the points C and D are further away from the Sun than points A and B, the distance between points C and D must be smaller than the distance between points A and B for the two areas to be the same. This, in turn, implies that the planet must be moving slower between C and D than between points A and B. The speed of a planet is thus greatest at the perihelion and smallest at the aphelion. As we will see in a later chapter, Kepler's Second Law is equivalent to the statement that the angular momentum of the planet about the Sun is conserved.

? Exercise 9.1.2

Based on Kepler's second law, what can you say about the speed of a planet in a **circular** orbit?

Answer

The speed of the planet is constant.

Kepler's Third Law

Kepler's Third Law is quantitative and relates the orbital periods (T) and the semi-major axes (s) between any two planets in orbit around the Sun:

$$\left(\frac{T_1}{T_2}\right)^2 = \left(\frac{s_1}{s_2}\right)^3$$

We can re-arrange this relation so that all of the quantities related to one planet are on the same side of the equal sign:

$$\frac{T_1^2}{s_1^3} = \frac{T_2^2}{s_2^3} = \text{constant}$$

In other words, the ratio between the orbital period squared and the semi-major axis cubed is a constant, independent of the particular planet. In *Example 9.2.2*, we will use Newton's Universal Theory of Gravity to evaluate the constant.

Exercise 9.1.3

An object is in a circular orbit with radius r and has an orbital speed v. If you double the radius of the circular orbit, what will be the value of the orbital speed?

A. $2v$
B. $8v$
C. $\sqrt{8}v$
D. $\frac{1}{\sqrt{2}}v$

Answer

D.

1. In fact, they apply for any two bodies orbiting each other if the force between them is an “inverse-square” law, such as the gravitational and electric forces.

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9.2: Newton's Universal Theory of Gravity

Newton supposedly gained insight into the gravitational force by observing an apple falling from a tree and concluding that if it is the same force that makes apples fall at sea level and at the top of a mountain, perhaps that force can be exerted all the way up to the moon. It is rather remarkable that Newton was able to make the connection between falling apples and the motion of the moon around the Earth to find a single theory to describe both situations.

We should be clear that the theory of gravity is a different theory than Newton's "Laws of Motion" (Newton's Three Laws). The Laws of Motion introduce the concepts of force and inertial mass, and prescribe how to use those concepts in order to model motion using kinematics. Newton's Universal Theory of Gravity is a theory that describes the force of gravity that two bodies with (gravitational) mass exert on each other.

Newton's Universal Theory of Gravity states that if two bodies, with masses M_1 and M_2, located at positions $\vec{r}_1$ and $\vec{r}_2$, respectively, are separated by a distance, r, then M_2 will exert an attractive force on M_1, $\vec{F}_{12}$, given by:

$$\vec{F}_{12} = -G\frac{M_1 M_2}{r^2}\hat{r}_{21}$$

where $\hat{r}_{21}$ is the unit vector from M_2 to M_1:

$$\vec{r}_{21} = \vec{r}_2 - \vec{r}_1$$
$$\hat{r}_{21} = \frac{1}{r}\vec{r}_{21}$$

as shown in *Figure* 9.2.1. $\vec{F}_{12}$ should be read as "the force on body 1 from body 2". $G = 6.67 \times 10^{-11}\text{Nm}^2/\text{kg}^2$ is Newton's Universal Constant of Gravity. It should be noted that Newton's theory for the force of gravity written in this form only applies to either point masses (separated by a distance r) or spherical bodies whose centers are separated by a distance r that is larger than the radius of either sphere.

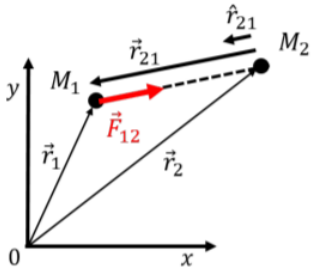

Figure 9.2.1: Illustration of the vectors involved in Newton's Universal Theory of Gravity.

Originally, Newton argued that the force of gravity would be proportional to the masses of the bodies, and inversely proportional to the square of the distance between them:

$$F_{12} \propto \frac{M_1 M_2}{r^2}$$

and G is simply the constant of proportionality.

Because of Newton's Third Law, body 1 exerts a force on body 2 that is equal in magnitude but opposite in direction:

$$\vec{F}_{12} = -\vec{F}_{21}$$

Example 9.2.1

Calculate the magnitude of the force of gravity between yourself and a person standing 50cm from you and compare that to your weight at the surface of the Earth (the force of gravity exerted by the Earth on you).

Solution

If we assume that the two people have a mass of 50kg, the force of gravity exerted by one on the other, if they are separated by 50cm, is given by:

$$F = G\frac{M_1 M_2}{r^2} = (6.67\times10^{-11}\text{Nm}^2/\text{kg}^2)\frac{(50\text{kg})(50\text{kg})}{(0.5\text{m})^2} = 6.67\times10^{-7}\text{N}$$

This is a very small force, compared to their weight, F_g:

$$F_g = M_1 g = (50\text{kg})(9.8\text{N}/\text{kg}) = 490\text{N}$$

which is approximately 700 million times bigger.

Discussion

The force of gravity is a very weak force when compared to other forces in Nature, such as the electric force between two charges. Newton's Universal Constant of Gravity is very small, so the force of gravity between two objects is very small unless either of the masses involved are very large, or the distance between them is very small. In general, when modeling the motion of objects on the Earth, it is generally safe to ignore the forces of gravity between objects and only include their weight (the force of gravity from the Earth).

? Exercise 9.2.4

The radius of the Earth is 6371 km. What is the order of magnitude of the Earth's mass?

A. 10^{24}kg
B. 10^{18}kg
C. 10^{19}kg
D. 10^{21}kg

Not enough information.

Answer

✓ Example 9.2.2

Determine the constant in Kepler's Third Law for planets orbiting the Sun, namely the value of the ratio:

$$\frac{s^3}{T^2}$$

where s is the semi-major axis and T is the orbital period.

Solution

Since Kepler's Third Law holds for any body orbiting the Sun, we can easily determine the ratio by considering a planet of mass m in a circular orbit of radius R centerd about the Sun. The semi-major axis of the orbit is equal to the radius of the orbit for a circular orbit ($s = R$).

If the planet is in a circular orbit about the Sun, its speed, v, will be constant, by Kepler's Second Law, and it will thus be executing uniform circular motion. The only force exerted on the planet is the force of gravity exerted by the Sun. Thus the force of gravity must be equal to the mass of the planet times its radial (centripetal) acceleration, a_R, which is given by:

$$a_R = \frac{v^2}{R}$$

Newton's Second Law for the planet can be written as:

$$\sum F = F_g = ma_R$$
$$G\frac{Mm}{R^2} = m\frac{v^2}{R}$$
$$G\frac{M}{R} = v^2$$

where M is the mass of the Sun. The speed of the planet is given by the circumference of the orbit divided by the orbital period T, since it is constant:

$$v = \frac{2\pi R}{T}$$

Re-arranging the equation from Newton's Second Law:

$$G\frac{M}{R} = v^2$$
$$G\frac{M}{R} = \frac{4\pi^2 R^2}{T^2}$$
$$\therefore \frac{R^3}{T^2} = G\frac{M}{4\pi^2}$$

Thus, we find that the ratio of the cube of the orbital radius to the period squared is a constant that depends only on the mass of the Sun, which will then be the same for all planets (as it does not depend on, say, the mass of the planet that we considered).

Discussion

The relation above can, for example, be used to determine the mass of the Sun, since we can use geometry to determine the semi-major axis for the orbit of a planet, as Kepler did with the data from Tycho Brahe.

Example 9.2.3

The acceleration due to Earth's gravity depends on the force that the Earth exerts on an object. Using the Earth's mass and radius, determine the acceleration of falling objects due to Earth's gravity at the surface of the Earth. Also, determine the altitude where the acceleration due to Earth's gravity is half of that at the Earth's surface.

Solution

We can find the acceleration due to Earth's gravity by determining the acceleration of a mass m upon which gravity is the only acting force. In other words, we model an object that is in free-fall a distance R away from the center of the Earth. Newton's Second Law can be used in one dimension (corresponding to the direction that connects the falling mass to the center of the Earth):

$$\sum F = G\frac{Mm}{R^2} = ma$$
$$\therefore a = G\frac{M}{R^2}$$

where $M = 5.97 \times 10^{24}\text{kg}$ is the mass of the Earth. At the surface of the Earth, $R = R_\oplus = 6.371 \times 10^6\text{m}$:

$$a = G\frac{M}{R_\oplus^2} = (6.67 \times 10^{-11}\text{Nm}^2/\text{kg}^2)\frac{(5.97 \times 10^{24}\text{kg})}{(6.371 \times 10^6\text{m})^2}$$
$$= 9.81\text{m/s}^2$$

which, of course, is the value of g that we have been using so far for the acceleration due to gravity near the surface of the Earth. To find the altitude at which this is reduced by half, we first find the value of R that corresponds to this acceleration:

$$\frac{1}{2}G\frac{M}{R_\oplus^2} = G\frac{M}{R^2}$$

$$\therefore R = \sqrt{2}R_\oplus = 9.0 \times 10^6 \text{m}$$

which corresponds to an altitude of $h = R - R_\oplus = 2640\text{km}$, well above the Earth's atmosphere.

Discussion

The acceleration of falling objects decreases as one moves further from the center of the Earth. It is thus an approximation to assume that g is a constant, although in most cases this is a very good approximation. In practice, the value of g will depend both on the distance from the center of the Earth and the composition (density) of the material in the Earth's crust below where g is being measured. Precise measurements of g have bee used to determine the composition of the Earth's crust and to search for mineral and oil deposits.

Weight and apparent weight

You have probably seen images of astronauts floating around the International Space Station (ISS) or other orbiting vessels, and heard of the term "weightlessness" to describe their motion. The ISS is in orbit at an altitude of approximately 400km, where the force of Earth's gravity is far from negligible (in *Example 9.2.3* we showed that one needs to go to an altitude of 2640km for the force to be reduced by half of that at the surface of the Earth). The contradiction between being weightless and the fact that weight is not zero is resolved by understanding that the popular term "weightless" is imprecise from a physics perspective.

The correct term to use from a physics perspective is to say that the *apparent weight* of the astronauts is zero when they are floating around. Weight is the magnitude of the force of gravity exerted by the Earth. Apparent weight is, for example, the force that one measures when standing on a spring scale, which is equal to the normal force exerted by the spring scale on the person. Apparent weight could also be determined by the tension in a string from which a person is suspended. The apparent weight is the sum of the forces exerted on a person minus the force of gravity. If gravity is the only force exerted on a person (or object), that person's apparent weight is zero, which is what is popularly called being weightless.

One way to feel weightless is when you are in free-fall (e.g. the first few seconds of a parachute jump from an airplane). One can think of being in orbit as continuously falling towards the center of the Earth, but with an initial velocity in a direction such that you never actually collide with the Earth. The feeling of weightlessness will occur any time that the only force exerted on you is the force of gravity. If you are in a spacecraft in any orbit and the only force on the spacecraft is from gravity (i.e. no rockets or wings are exerting any forces), then everything in the spacecraft will have the same acceleration, since gravity is the only force acting on anything in the spacecraft, and it will appear that everything is just floating. To an outside observer, it would be clear that the spacecraft and its contents are all accelerating.

Effects of Earth's rotation

Earth's rotation affects the apparent weight of objects near the Earth's surface. Consider a person standing on a spring scale at the North pole (top free-body diagram in *Figure* $9.2.2$). The only two forces exerted on the person are their weight, $\vec{F}_g$, and the normal force, $\vec{N}$, exerted by the spring scale. Since the person is not accelerating, the normal force and the weight have the same magnitude and opposite directions. The scale will thus read the actual weight of the person[1].

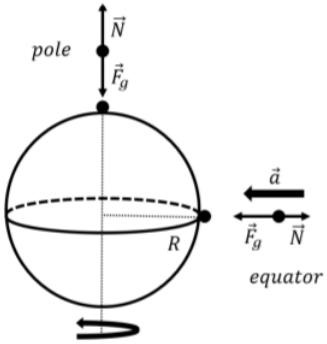

Figure 9.2.2: The apparent weight, given by the normal force, is different at the Earth's equator because a person's acceleration is non-zero as they rotate with the Earth.

Consider, instead, a person standing on a spring scale at the equator (Figure 9.2.2). That person is accelerating because they are undergoing uniform circular motion as they rotate along with the Earth. Again, the only forces acting on the person are their weight and the normal force exerted by the scale. The sum of the forces must now be equal to the person's mass, m, times the radial acceleration, a_r, that is necessary for them to follow the surface of the Earth as the Earth rotates about its axis. Newton's Second Law allows us to find the magnitude of the normal force acting on the person:

$$\begin{aligned}\sum F &= F_g - N = ma_r = m\frac{v^2}{R} \\ \therefore N &= F_g - m\frac{v^2}{R} \\ &= G\frac{Mm}{R^2} - m\frac{v^2}{R} \\ &= m\left(G\frac{M}{R^2} - \frac{v^2}{R}\right) \\ &= m\left(g - \frac{v^2}{R}\right)\end{aligned}$$

where M is the mass of the Earth, R is the radius of the Earth, and v is the speed at the surface of the Earth due to the Earth's rotation. In the last line, we used the result from *Example 9.2.3* where we determined the value of g in terms of the mass and radius of the Earth.

We see that the normal force is reduced compared to what it would be if the Earth were not rotating ($v = 0$) or if one is standing at one of the poles. Your apparent weight, which you can measure by standing on a spring scale, is thus smaller at the equator than it is at the poles. The quantity in parenthesis can be thought of as a modified or "effective" value of g at the equator.

? Exercise 9.2.5

What is the effective value of g at the equator?

A. 9.80m/s^2
B. 9.78m/s^2
C. 9.70m/s^2
D. 9.51m/s^2

Answer

If you are circling the Earth a distance R from the center of the Earth at a constant speed v, it is possible for your apparent weight to be zero. Imagine standing on a scale in an aircraft that is circling the Earth and measuring your apparent weight with the spring scale. As the speed of the aircraft increases, your apparent weight, N, decreases according to the formula that we just found:

$$N = m\left(G\frac{M}{R^2} - \frac{v^2}{R}\right)$$

At a certain speed, v, your apparent weight is zero and you feel weightless:

$$G\frac{M}{R^2} = \frac{v^2}{R}$$

$$\therefore v = \sqrt{G\frac{M}{R}}$$

This speed corresponds to a centripetal acceleration that is exactly equal to that due to gravity. This makes sense, since gravity is the only force that is acting on you (the normal force is now zero), which is exactly what we call being in orbit.

Earth's rotation has some interesting consequences for stationary objects. At any position on Earth that is not at the equator or the poles, the sum of the forces on any stationary object (meaning stationary relative to the Earth's surface) cannot be zero. This is because the object must rotate along with the Earth, so the net force on the object must point toward the center of the circle about which that location on Earth is rotating.

Take, for example, a plumb line, which consists of a mass hanging from a string. The two forces acting on the mass are gravity and tension. The force of gravity must point towards the center of the Earth. We would expect that the force of tension, and therefore the string, would point directly away from the center of the Earth. However, we find that if the plumb line is located at some angle θ from the equator (but not at the equator or poles), as in Figure 9.2.3, then the string will point slightly away from the center of the Earth. In order for the mass to remain stationary relative to the ground, it must rotate along with the Earth (radius R) around a circle of radius $R\cos\theta$. Thus, the tension from the string cannot point away from the center of the Earth, because the net force must point towards the center of the circle of radius $R\cos\theta$.

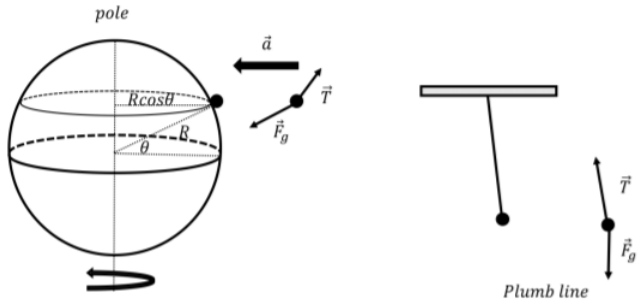

Figure 9.2.3: Away from the equator and poles, a plumb line (right) does not point towards the center of the Earth, because the net force on the mass must provide the acceleration towards the center of the circle (of radius $R\cos\theta$) about which the plumb line rotates due to the Earth's rotation. Note that the deflection of the plumb line is highly exaggerated.

? Exercise 9.2.6

You cut the string of the plumb line. Where does the mass land relative to its initial latitude (the angle θ in Figure 9.2.3)?

A. At the same latitude.
B. Closer to the nearest pole.
C. Closer to the equator.

Answer

The gravitational field

The gravitational force exerted on a mass m by a mass M can be written as:

$$\vec{F}(\vec{r}) = -G\frac{Mm}{r^2}\hat{r}$$

if we define a coordinate system with the origin located at the center of mass M so that $\vec{r}$ is the position of m relative to M. We can define the "gravitational field", $\vec{g}(\vec{r})$, at position, $\vec{r}$, due to the presence of mass M as the gravitational force per unit mass

exerted by M:

$$\vec{g}(\vec{r}) = \frac{\vec{F}(\vec{r})}{m} = -G\frac{Mm}{r^2}\hat{r} \tag{9.2.1}$$

The word “field” is just a mathematical term for a function that depends on position. Since $\vec{g}(\vec{r})$ is a vector, we would refer to it as a “vector field”.

Defining the gravitational field makes it easy to calculate the force of gravity from M on any mass m:

$$\vec{F}_g = m\vec{g}(\vec{r})$$

At the surface of the Earth, the magnitude of the gravitational field is given by:

$$g(R_\oplus) = \frac{GM}{R_\oplus^2} = 9.81\text{N/kg}$$

where $R_\oplus$ is the radius of the Earth. Of course, this also corresponds to the acceleration of objects in free-fall near the surface of the Earth, which we can find from Newton’s Second Law:

$$\begin{aligned} \sum \vec{F} &= \vec{F}_g = m\vec{a} \\ m\vec{g}(R_\oplus) &= m\vec{a} \\ \therefore \vec{a} &= \vec{g}(R_\oplus) \end{aligned}$$

but we see here why it more precise to refer to g as the “magnitude of the gravitational field at the surface of the Earth” rather than “the acceleration due to Earth’s gravity”. It is also worth noting that the two are only equal if the gravitational mass (on the left of the equation in the second line) is the same as the inertial mass (on the right of the equation). The gravitational mass is the mass that appears in the gravitational force defined by Newton, whereas the inertial mass is the mass that appears with the acceleration in Newton’s Second Law.

? Exercise 9.2.7

Two small objects with different masses, m_1 and m_2, are located a distance r from a nearby star. What can you say about the magnitude of the gravitational field and the magnitude of the gravitational force on m_1 and m_2?

A. The field is different and the forces are different.
B. The field is different but the forces are the same.
C. The field is the same but the forces are different.
D. The field is the same and the forces are the same.

Answer

Suppose that there are two large mass bodies, M_1 and M_2, and a smaller mass body, m. We can calculate the net gravitational force on m by summing the gravitational force vectors from M_1 and M_2 separately. If the gravitational fields from M_1 and M_2 are given by $\vec{g}_1(\vec{r})$ and $\vec{g}_2(\vec{r})$, respectively, then the total gravitational force on m is given by:

$$\begin{aligned} \vec{F} &= m\vec{g}_1(\vec{r}) + m\vec{g}_2(\vec{r}) = m(\vec{g}_1(\vec{r}) + \vec{g}_2(\vec{r})) \\ &= m\vec{g}(\vec{r}) \end{aligned}$$

where we have introduced the total gravitational field:

$$\vec{g}(\vec{r}) = \vec{g}_1(\vec{r}) + \vec{g}_2(\vec{r})$$

In other words, if there are multiple bodies that result in a non-negligible force of gravity, we can calculate their gravitational fields independently and sum them together to define a net gravitational field, $\vec{g}(\vec{r})$, that models the net force of gravity from all of the bodies. The net gravitational force on a new body of mass m' is then simply given by $m'\vec{g}(\vec{r})$, and we do not need to add any more vectors together. For example, when calculating the motion of satellites that can be influenced by the force of gravity from both the

Earth and the Moon, we simply need to calculate the net gravitational field from the Earth and Moon, and the motion of any satellite can then be modeled using that net gravitational field.

? Exercise 9.2.8

There are two planets with equal mass located a distance d apart. Position A is located midway between the two planets. Position B is located a distance $d/2$ from one of the planets, in the position shown in Figure 9.2.4. How does the field at A compare to the field at B?

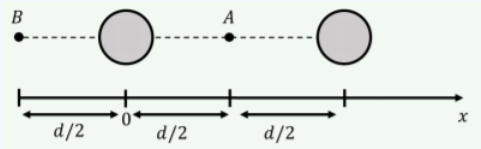

Figure 9.2.4: Two planets are a distance d apart. We are considering the gravitational field at two positions, A and B, located near the planets.

A. The magnitude of the field is the same at A and B.
B. The magnitude of the field is greater at A than at B.
C. The magnitude of the field is greater at B than at A.

Answer

Gauss' Law

Newton's Universal Theory of Gravity postulates that the force of gravity between two bodies decreases as the squared of the distance between those two bodies. Using the terminology of a field, we would say that the strength of the gravitational field from an object decreases as the inverse of the square of the distance to that object. This is an example of a what we generally call an "inverse-square law". The electric force between two charges is also given by an inverse-square law, and we now understand that these forces behave as if they were "transmitted" by waves or particles.

If a force is given by an inverse-square law, then Gauss' Law gives a way to determine the strength of the field that is associated with that force. In the case of gravity, Gauss' Law states that:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = 4\pi G M^{enc}$$

where the integral on the left is an integral over a "closed surface" that completely surrounds the mass for which we want to determine the gravitational field. To evaluate the integral, imagine taking a closed surface, S, that surrounds the mass. The vector $d\vec{A}$ is defined as the vector that goes with a small element of that surface and points outwards from the closed surface. The magnitude of the vector is equal to the area of that small surface (dA), as illustrated in Figure 9.2.5. You can then take the scalar product of $d\vec{A}$ with the gravitational field, $\vec{g}(\vec{r})$, at that point on the surface. If you sum all of those scalar products, you get the value of the integral on the left. Gauss' Law states that the value of that integral is equal $4\pi G$ times the total mass that is enclosed by the surface.

Olivia's Thoughts

If you want to know if a surface is closed, ask yourself if you could put water inside the surface and not be worried about it spilling out. For example, if you put water in a sphere or a cube , the water would not spill out even if you shook it around, so they are closed surfaces. A flat square is an open surface because there is no "inside" to even put the water in. A bowl is an open surface because, though you can put water in it, the water could spill out.

We will go into more detail about Gauss' Law when we cover electromagnetism, but it is worth seeing how to use it in a simple scenario. Figure 9.2.5 shows a spherical body of mass M and radius R for which we would like to determine the value of the gravitational field at a distance r from the center of the body.

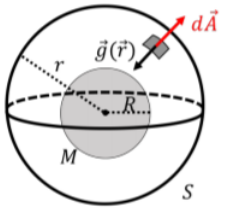

Figure 9.2.5: Example of a spherical Gaussian surface, S, of radius r centered about a body of mass M and radius R. An element of the surface, $d\vec{A}$ is also shown along with the gravitational field, $\vec{g}(\vec{r})$, at that point.

To do so, we draw a "Gaussian surface", S, that is a sphere with a radius r, and centered about the body. At any point on the surface, the area element vector $d\vec{A}$ points away from the center of the spherical surface. The gravitational field vector, $\vec{g}(\vec{r})$ will always point towards the center of the spherical surface, as illustrated. Furthermore, by symmetry, the magnitude of $\vec{g}(\vec{r})$ is constant along the whole Gaussian surface. Thus, the scalar product $\vec{g}(\vec{r}) \cdot d\vec{A} = -g(r)dA$ everywhere along the surface (it is negative because the two vectors are anti-parallel). The integral is thus given by:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = -g(r) \oint dA$$

where we factored $g(r)$ out of the integral, since the magnitude of $\vec{g}(\vec{r})$ is constant for all of the area elements dA on the sphere. Remember that an integral is a sum. The integral $\oint dA$ thus means "sum all of the area elements dA over the entire surface S". Thus, the integral is the total area of the spherical surface S[2]:

\[\begin{aligned} \oint \vec g(\vec r) \\

$$d\vec A = -g(r)\oint dA =-g(r)(4\pi r^2)\end{aligned}\]$$

Inserting this into Gauss' Law, we find:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = 4\pi G M^{enc}$$

$$-g(r)(4\pi r^2) = 4\pi G M^{enc}$$

$$\therefore g(r) = -\frac{GM}{r^2}$$

where $M^{enc} = M$ is the total mass enclosed by the Gaussian surface (in this case, the entire mass M is enclosed). This is of course the result that we expected and obtained earlier from Newton's formulation. Note that Gauss' Law is only easy to use if the system is highly symmetric (e.g. spherically symmetric), and that it does not give the direction of the field vector, which must be obtained from symmetry arguments.

Olivia's Thoughts

Here's an analogy to describe Gauss's Law for gravity: A famous celebrity is doing an event, and they attract a certain number of fans who want to get as close to the celebrity as possible. You put up a barricade around the celebrity. The gravitational field is represented by how crowded it is somewhere along the barricade. If a second celebrity is at the event, they will attract their own fans, so there will be more people around the barricade. The number of celebrities is kind of like the enclosed mass M^{enc}.

A photographer is coming to the event, and you told him to stand at some location that is a distance r from the celebrities. The photographer wants to know how crowded it will be when he is standing behind the barricade at that location. Gauss's law gives us a way to figure this out. If you know which celebrities are at the event (M^{enc}), you can determine how many people will be there (this is like finding $4\pi G M^{enc}$). Then, if you can build a barricade such that the fans are evenly distributed around it, and you know how long that barricade is ($\oint dA$), you can easily calculate how crowded it will be at some point along the barricade (you can just divide the number of people by the length of the barricade). The barricade represents our Gaussian surface and, like a Gaussian surface, it can be whatever shape we want as long as it encloses the celebrities and passes through the point we are interested in. If we want to make sure the people are spread out evenly, the shape of the barricade is going to depend on the specific case. Let's take the example of our single spherical body. This is analagous to having one celebrity at the event.

Figure 9.2.6 shows two possible barricades we could build. Although we can technically build the barricade on the left, it doesn't help us because the areas closer to the celebrity will be more crowded. Instead, we want to build the barricade on the right, which is a circle of radius r, because the fans are evenly spread out. This is why we use a spherical Gaussian surface when we're considering the field due to a spherical body - at any point a distance r from the body, the field will be the same. (Note: Remember that, unlike the barricade, the Gaussian surface isn't a physical thing, so it won't affect the gravitational field. It is just a mathematical tool that allows us to take advantage of what the field already looks like.)

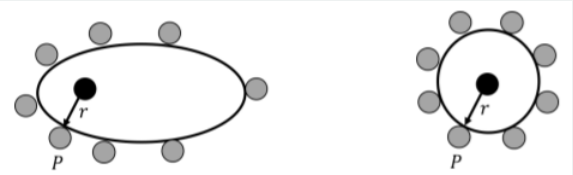

Figure 9.2.6: A celebrity (black dot) attracts fans (grey dots). A photographer (dot labeled "P") stands behind the barricade a distance r away. This shows two possible barricades we could build around the celebrity. The density of the fans is not uniform for the barricade on the left, so we would not choose that shape to evaluate the Gaussian integral.

We can also use Gauss' Law to determine the gravitational field **inside** of the body of mass M and radius R. This is illustrated in Figure 9.2.7, which shows a spherical Gaussian surface of radius r that is **inside** of the body of mass M.

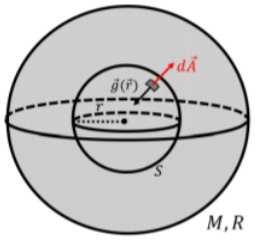

Figure 9.2.7: Example of a spherical Gaussian surface, S, of radius r centered inside a body of mass M and radius R.

The gravitational field inside of the body of mass M is also symmetric and constant in magnitude across the whole surface, so that the integral is the same as before:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = -g(r)(4\pi r^2)$$

However, in order to use Gauss' Law, we need to determine the mass of the body that is enclosed within the spherical surface, which will be less than M. If we assume that the mass density, ρ, of the object is constant (the body is made of a uniform material), then the density is simply the mass of the object over its volume:

$$\rho = \frac{M}{\frac{4}{3}\pi R^3}$$

The amount of mass enclosed by the spherical surface of radius r is the density multiplied by the volume of a sphere of radius r:

$$M^{enc} = \rho \frac{4}{3}\pi r^3 = M\frac{r^3}{R^3}$$

Applying Gauss' Law, we can now find the magnitude of the gravitational field inside of the spherical body at a distance r from the center:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = 4\pi G M^{enc}$$

$$-g(r)(4\pi r^2) = 4\pi G M \frac{r^3}{R^3}$$

$$\therefore g(r) = -\frac{GM}{R^3} r$$

And we find that, inside a uniform spherical body of mass M, the gravitational field increases linearly with radius as one moves out from the center. At the center of the body, the gravitational field is zero.

Exercise 9.2.9

What can you say about the magnitude of the gravitational field inside a spherical **shell** of mass M?

A. It increases as you move out from the center of the spherical shell.
B. It decreases as you move out from the center of the spherical shell.
C. It is equal to zero.
D. It is nonzero and constant in magnitude.

Answer

Footnotes

1. The weight that is displayed on the scale is equal in magnitude to the normal force exerted by the scale on the person. It is the reaction force to that normal force.

2. The surface area of a sphere of radius r is $4\pi r^2$.

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9.3: Gravitational potential energy

Consider a large spherical body of mass M with a coordinate system whose origin coincides with the center of the spherical body (for example, the large body could be the Earth). The force, $\vec{F}(\vec{r})$ on a body of mass m (for example, a satellite), located at a position $\vec{r}$ is then given by:

$$\vec{F}(\vec{r}) = -G\frac{Mm}{r^2}\hat{r} = -G\frac{Mm}{r^3}\vec{r}$$

where in the second equality, we use the fact that the unit vector in the direction of $\vec{r}$ is simply the vector $\vec{r}$ divided by its magnitude. We can write the force out in Cartesian coordinates:

$$\begin{aligned}\vec{r} &= x\hat{x}+y\hat{y}+z\hat{z}\\ r &= \sqrt{x^2+y^2+z^2} = (x^2+y^2+z^2)^{\frac{1}{2}}\\ \therefore \vec{F}(x,y,z) &= -G\frac{Mm}{(x^2+y^2+z^2)^{\frac{3}{2}}}(x\hat{x}+y\hat{y}+z\hat{z})\end{aligned}$$

Mathematically, this is equivalent to the force that we considered in *Example 8.1.2* of Chapter 8, which we showed was a conservative force. The force of gravity in Newton's theory is thus a conservative force, for which we can determine a potential energy function.

In order to determine the gravitational potential energy function for the mass m in the presence of a mass M, we calculate the work done by the force of gravity on the mass m over a path where the integral for work will be "easy" to evaluate, namely a straight line. Figure $9.3.1$ shows such a path in the radial direction, r, over which it will be easy to calculate the work done by the force of gravity from mass M when mass m moves from being a distance r_A to a distance r_B from the center of mass M.

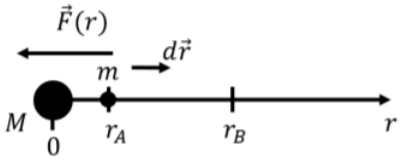

Figure 9.3.1: Calculating the work done on a mass m by the force of gravity exerted by mass M when mass m moves from a distance r_A to a distance r_B from the center of mass M.

The work done by the force of gravity on m in going from r_A to r_B is given by:

$$\begin{aligned}W &= \int_{r_A}^{r_B}\vec{F}(r)\cdot d\vec{r} = \int_{r_A}^{r_B}\left(-G\frac{Mm}{r^2}\hat{r}\right)\cdot d\vec{r} = \int_{r_A}^{r_B}-G\frac{Mm}{r^2}dr\\ &= \left[G\frac{Mm}{r}\right]_{r_A}^{r_B} = G\frac{Mm}{r_B}-G\frac{Mm}{r_A}\end{aligned}$$

The difference in potential energy in going from position A to position B is given by the negative of the work done by the force:

$$\Delta U = U(r_B)-U(r_A) = -W = G\frac{Mm}{r_A}-G\frac{Mm}{r_B}$$

By inspection, we can identify the potential energy function for gravity:

$$U(r) = -G\frac{Mm}{r}+C \tag{9.3.1}$$

which is determined only up to a constant, C.

A particularly useful choice of constant is $C=0$. This corresponds to choosing the potential energy to be zero only when r goes to infinity. That is, the potential energy of mass m is zero only when it is infinitely far away from mass M. The choice of constant C corresponds to the (arbitrary) value of the potential energy when mass m is infinitely far from mass M. When mass m is not infinitely far away, it has **negative** potential energy (if $C=0$). This is not a problem! Remember, the only thing that is meaningful

is a difference in potential energy, so the specific value of the potential energy has no meaning. The kinetic energy of an object, on the other hand, has to be positive.

Recall that if there are no other forces acting on an object, that object will move in such a way to reduce its potential energy. If the object of mass m is located at some distance r from the object of mass M, the force of gravity will attract m so that r decreases. As r decreases in magnitude, the potential energy becomes more negative (larger in magnitude, but further away from zero), and the potential energy of m will indeed decrease as it accelerates due to the force of gravity.

Mechanical energy with gravity

Unless noted otherwise, we will continue our discussion of gravitational potential energy with the particular choice of constant $C = 0$:

$$U(r) = -G\frac{Mm}{r} \tag{9.3.2}$$

Furthermore, we will assume that M is a large body, such as the Earth, which we can consider as fixed, and focus our discussion on describing the motion of mass m (e.g. a satellite). If M is much bigger than m, they will both experience a force of gravity from each other of the same magnitude (Newton's Third Law), but because M is so much larger, its acceleration will be much smaller (Newton's Second Law). Thus, it is a good approximation to assume that M is stationary and that only m moves when $M >> m$.

We can define the total mechanical energy of mass m when it has a speed v (relative to M) and is located at a distance r from the center of mass M:

$$E = U + K = -G\frac{Mm}{r} + \frac{1}{2}mv^2$$

where the kinetic energy term is always positive. If gravity is the only force exerted on mass m, then the mechanical energy, E, as defined above, will be a constant. The mechanical energy of an object can give us insight into the possible motion of the object.

Imagine launching a rocket straight upwards from the surface of the Earth; once all of the fuel has burnt up, the rocket's mechanical energy becomes constant as the rocket engine stops doing work on the rocket. As soon as the engine stops providing thrust, the rocket will start to slow down as the force of gravity attracts the rocket back to Earth. If the rocket is going fast enough, it will be able to completely escape the Earth's gravitational pull and travel to infinity (we assume that there are no other planets or the Sun, just the Earth exists!). If, on the other hand, the rocket's speed is too low, it will eventually stop and fall back to Earth. This is the same thing that happens to you when you try to jump vertically. If you could jump hard enough, you would be able to escape the Earth's gravitational pull!

In terms of mechanical energy, we can ask ourselves if the mechanical energy of the rocket is large enough to escape the Earth's gravitational pull. Specifically, we can ask ourselves what the value of the rocket's kinetic energy would be when it reaches infinity. The kinetic energy of the rocket is given by:

$$K = E - U$$

If the rocket is infinitely far from the Earth, then its potential energy is zero, and the kinetic energy is equal to E.

If the mechanical energy, E, is negative, it is not possible for the rocket to ever make it to infinity because its kinetic energy would have to be negative. In other words, if the mechanical energy is negative, then the object of mass m can never escape the gravitational pull of object M. We say that m is "gravitationally bound" to M.

If the mechanical energy, E, is exactly zero, then the object's kinetic energy will become zero just as it reaches infinity. In other words, it will just barely be able to escape the gravitational pull from mass M. The condition for this to happen is:

$$\begin{aligned} E &= 0 \\ K &= -U \\ \frac{1}{2}mv^2 &= G\frac{Mm}{r} \\ \therefore v_{esc} &= \sqrt{\frac{2GM}{r}} \end{aligned}$$

which we can interpret as a condition for the speed of the rocket. If at some distance r from M, the rocket has the speed given by the condition above, then it will have enough kinetic energy to escape the gravitational pull of M. We call this speed the "escape velocity".

Finally, if the mechanical energy is greater than zero, then the rocket will have enough energy to escape the gravitational pull of M and have a non-zero speed when it reaches infinity.

? Exercise 9.3.1

What is the escape velocity from the surface of the Earth?

A. $4.29 \times 10^6 \mathrm{km/s}$
B. $1.25 \times 10^5 \mathrm{km/s}$
C. $11.2 \times km/s$
D. $9.81 \times km/s$

Answer

✓ Example 9.3.1

Show that an object of mass m in a circular orbit of radius r around a body of mass M has half of the kinetic energy required to escape the gravitational pull of M.

Solution

The only force acting on the object is gravity, so it has a mechanical energy given by:

$$E = U + K$$
$$E = -G\frac{Mm}{r} + \frac{1}{2}mv^2$$

In order for the object to just escape the gravitational pull of M, it's mechanical energy must be equal to zero:

$$E = 0$$
$$\therefore K_{esc} = -U$$

Since the object is in a circular orbit, we can use Newton's Second Law to find an expression for v^2:

$$F_{net} = \frac{mv^2}{r}$$
$$\frac{GMm}{r^2} = \frac{mv^2}{r}$$
$$\frac{GM}{r} = v^2$$

where in the second line we used the fact that F_{net} is equal to the force of gravity exerted by M on the object. The kinetic energy of the object is thus:

$$K = \frac{1}{2}mv^2$$
$$K = \frac{1}{2}\frac{GMm}{r}$$

You will notice that this is very similar to our expression for U. In fact, we have:

$$K = -\frac{1}{2}U$$

$$\therefore K = \frac{1}{2}K_{esc}$$

Note:

We can also see that the velocity of an object in a circular orbit is equal to $\sqrt{GM/r}$, which is half the escape velocity, $v_{esc} = \sqrt{2GM/r}$

Types of orbits

The mechanical energy of a body of mass m determines whether it is gravitationally bound to (i.e. cannot escape) the body of mass M. The path (orbit) that m will take depends on its velocity with respect to M. Clearly, if the velocity of m is directed at the center of M, then m will just collide with M. In all other cases, the orbit that m will take depends on the mechanical energy of m as well as the speed of m at the point of closest approach to M (see Figure 9.3.2). The velocity of m at the point of closest approach will always be perpendicular to the line joining the centers of m and M. The different possible orbits are:

1. A **circular orbit** of radius R (where R is the distance of closest approach) if the **mechanical energy is negative** (i.e. it is bound) and the speed is exactly equal to the value necessary for the gravitational force to provide the required centripetal acceleration for uniform circular motion:

$$\sum F = G\frac{Mm}{R^2} = m\frac{v^2}{R}$$

$$\therefore v_{circ} = \sqrt{\frac{GM}{R}}$$

2. An **elliptical orbit** if the **mechanical energy is negative** and the speed at the point of closest approach is different than that required for a circular orbit.
3. A **parabolic orbit** if the **mechanical energy is exactly zero**.
4. A **hyperbolic orbit** if the **mechanical energy is bigger than zero**.

The possible orbits are illustrated in Figure 9.3.2, and are curves in the family of "conic sections", as they can be found by the intersection of a plane and a cone. All conic sections have at least one "focus" point (ellipses have two) that corresponds to the location of M.

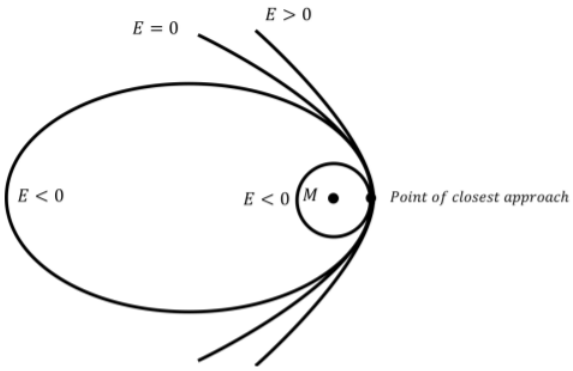

Figure 9.3.2: The different possible orbits of m due to the gravitational force of M depend on the mechanical energy, E, of m. The orbits are drawn in a frame of reference where M is at rest.

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9.4: Einstein's Theory of General Relativity

Newton's Universal Theory of Gravity was extremely successful at describing the motion of planets in the solar system, and allowed for high precision astronomy. For example, precision measurements of Uranus's orbit showed that it appeared to be inconsistent with Newton's theory, unless the gravitational influence of another planet was included in the model. This led to the discovery of the planet Neptune.

However, some issues with Newton's theory were uncovered. The orbit of Mercury was shown to be different than what Newton's theory could describe, but searches for another planet (Vulcan) were unsuccessful. In addition, Albert Einstein's theory of Special Relativity, published in 1905, was found to be incompatible with Newton's theory of gravity. One of the consequences of Special Relativity is that nothing can propagate faster than the speed of light. Newton's Universal Theory of Gravity implies that the gravitational force is transmitted instantaneously. In Newton's theory, if the Sun suddenly disappeared, Earth would immediately "fall out" of its orbit, and we would immediately know that the Sun has disappeared. This would violate Special Relativity because there cannot be a mechanism that would allow us to know that the Sun has disappeared faster than it would take light to propagate from the Sun. In other words, for the 8 min that are required for light to travel from the Sun to the Earth, we cannot know that the Sun has disappeared: only when we literally see the Sun disappear would the Earth be "allowed" to fall out of its orbit.

Einstein's Theory of General Relativity is a theory developed by Einstein in order to describe gravity in a way that is consistent with Special Relativity and the propagation of light. Einstein was famous for his "thought experiments," which allow us to think about some of the implications of a theory, even if the experiments would be very difficult to carry out in practice. One such thought experiment is to consider what someone would observe in an accelerating frame of reference.

Consider an observer in an elevator, as illustrated in Figure 9.4.1. If the elevator is stationary at the surface of the Earth (left panel), and the observer is standing on a scale, they could measure their weight, mg, on the scale. The two forces on the observer are their weight and the normal force, which would be equal in magnitude since the observer is not accelerating. The normal force, read out by the scale, would thus correspond to their weight. To be more precise, the normal force would be equal to $m_G g$, where m_G is the gravitational mass of the observer (that mass which is related to the force of gravity experienced by a mass).

If the elevator was instead placed in empty space, and the elevator was accelerating upwards with an acceleration of g (right panel), the observer would still be able to measure their weight by stepping on the scale. The only force on the observer is the normal force from the scale, which must be equal to its mass times their acceleration $N = ma = mg$, since the observer is accelerating with the elevator. In this case, it is the inertial mass of the observer, m_I, that comes into play, so the normal force read on the scale is $m_I g$.

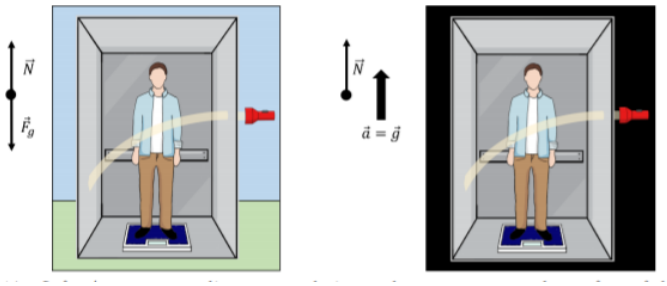

Figure 9.4.1: Left: A person standing on a scale in an elevator at rest at the surface of the Earth. Right: A person in an elevator that is accelerating in empty space with the same acceleration as that due to gravity at the Earth's surface. The curvature of the light beam is exaggerated.

Einstein postulated that it would be impossible for the observer to distinguish whether they are at rest on the surface of the Earth, or in empty space accelerating with an acceleration of g. In other words, he postulated that the inertial and gravitational masses are exactly equivalent. This is what is called the "Equivalence Principle".

This simple statement has dramatic implications. Special Relativity requires that light will travel in a straight line in empty space. If a beam of light enters and then exits the elevator, the observer on Earth and the one accelerating in empty space must observe the same thing, since they cannot distinguish between being on Earth or accelerating in space. The observer in space, who is accelerating, will observe that the beam of light bends as it crosses the elevator (the beam travels in a straight line as observed in an inertial reference frame, so the person in the accelerating elevator would see it follow a parabolic path). The observer on Earth must thus observe the same thing, namely that light will follow a curved path in the presence of a gravitational field.

But...light must travel in a straight line in empty space. That means that if the path of a beam of light is curved near Earth, it must be because space itself is curved in the presence of a gravitational field! In other words, Einstein's Theory of General Relativity describes how the presence of mass (or energy) results in a curvature of space (and time).

Imagine a ladybug on the side of a basketball. If the ladybug starts moving in what it believes to be a straight line, it will actually move in a curved path along the surface of the ball, as in Figure 9.4.2. This is like the curved path of light that we observe. If we didn't know the ball was there, we would just think that the bug was moving along a curved path. In the same way, if an observer is not aware of the curvature of spacetime, it appears that light follows a curved path.

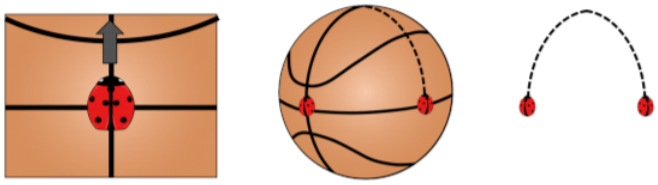

Figure 9.4.2: Left: A ladybug perceives itself to be moving in a straight line. Center: The basketball is curved, so the ladybugs follow curved paths. Right: What an observer would see if they didn't know the basketball was there.

Now imagine there's a second ladybug. Both bugs start at the middle of the ball and start moving towards the top of the ball in what they think is a straight line (as shown in the center panel of Figure 9.4.2). When the bugs start moving, they are parallel to each other, so if the ball was not curved, the ladybugs would never meet. However, because it is curved, the ladybugs will eventually cross paths. If you were not aware that the ball was there, you would have to conclude that there was some force attracting the bugs to each other, just like if you were unaware that spacetime was curved, you would conclude that massive bodies moving towards each other are attracted by a gravitational force.

Objects that are moving in a gravitational field are actually following Newton's First Law (they are moving at constant velocity in a straight line and no force is exerted on them). It is strange and unexpected, but high precision measurements confirm that this correctly describes everything that we have measured!

Einstein's theory was able to describe the orbit of Mercury, and the prediction that gravity leads to light following a curved path was confirmed by Eddington within five years of Einstein's theory being published. Another implication of the theory is that time goes by slower in the presence of a gravitational field. Clocks on Earth run slower than clocks in orbit (where the gravitational field is weaker). This effect is taken into account when using GPS to determine your position on Earth, since this is based on comparing the time that it takes signals to arrive to your position on Earth from different satellites. This is also somewhat reasonably well described in the movie "Interstellar", where time is seen to pass much slower for a set of astronauts in the vicinity of a black hole, where the gravitational field is strong.

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9.5: Summary

Key Takeaways

Kepler was the first to synthesize a large amount of data to quantitatively describe gravity with his three laws:

1. The path of a planet around the Sun is described by an ellipse with the Sun at once of its foci.
2. Planets move in such a way that the area swept by a line connecting the planet and the Sun in a given period of time is constant, independent of the location of the planet.
3. The ratio between the orbital periods, T, squared of two planets is equal to the ratio of the semi-major axes, s, of their orbits cubed:

$$\left(\frac{T_1}{T_2}\right)^2 = \left(\frac{s_1}{s_2}\right)^3$$

Newton described the attractive force of gravity exerted between two bodies of mass M_1 and M_2 (which must be point masses) as:

$$\vec{F}_{12} = -G\frac{M_1 M_2}{r^2}\hat{r}_{21}$$

where $\vec{F}_{12}$ is the force on body 1 from body 2, r is the distance between the two bodies, and $\vec{r}_{21}$ is the vector from body 2 to body 1. The motion of a body under the influence of only this force will satisfy all of Kepler's Laws, if the body is gravitationally bound.

The gravitational field, $\vec{g}(\vec{r})$, from a body of mass M, is defined as the gravitational force that another body would experience per unit mass:

$$\vec{g}(\vec{r}) = \frac{\vec{F}(\vec{r})}{m} = -G\frac{M}{r^2}\hat{r}$$

The field can be used to determine the corresponding gravitational force, $\vec{F}_g$, that a body of mass m would experience if located at a position $\vec{r}$ relative to the body of mass M:

$$F_g = m\vec{g}(\vec{r})$$

When describing the motion of objects near the surface of the Earth, it is thus more precise to refer to $g = 9.8\text{N/kg}$ as the magnitude of the Earth's gravitational field at the surface of the Earth, then to refer to $g = 9.8\text{m/s}^2$ as the acceleration due to Earth's gravity. The two are only equal if gravitational mass (the m in the above equation) and inertial mass (the m in Newton's Second Law) are the same.

Gauss' Law, which applies to all inverse-square force laws, can be used to determine the magnitude of the gravitational field from a body of mass M, even it if it not a point mass:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = 4\pi G M^{enc}$$

Since the force described by Newton's theory is conservative, we can define a potential energy function. The gravitational potential energy of a mass m located a distance r away from a mass M is:

$$U(r) = -G\frac{Mm}{r} + C$$

A convenient choice of the constant is $C = 0$, as this corresponds to the gravitational potential energy being equal to zero when m is infinitely far away from M.

The mechanical energy, E, of an object of mass m that is located at a distance r from an object of mass M, if gravity is the only conservative force exerted on m, is given by:

$$E = K + u = \frac{1}{2}mv^2 - G\frac{Mm}{r}$$

where we have explicitly chosen $C = 0$, and v is the speed of m relative M (considered to be at rest). Furthermore, if no non-conservative forces do work on the body of mass m, the mechanical energy, E, is constant.

If the mechanical energy of m is negative, it is gravitationally bound to M. Depending on the mechanical energy of m and its velocity at the point of closest approach to M, the orbit of m will be described by one of four conic sections (circle, ellipse, parabola, hyperbola).

Einstein's Theory of General Relativity describes gravitation as the bending of space and time caused by the presence of mass and energy. In Einstein's theory, objects follow straight (inertial) paths and do not feel a force of gravity. The curvature of space is what

results in their apparent motion not being a straight line. Einstein's theory is based on the Equivalence Principle (inertial and gravitational mass are exactly equal) and the properties of how light propagates according to the Theory of Special Relativity.

Important Equations

Kepler's Third Law:

$$\left(\frac{T_1}{T_2}\right)^2 = \left(\frac{s_1}{s_2}\right)^3$$

Gravitational force and gravitational field:

$$\vec{F}_{12} = -G\frac{M_1 M_2}{r^2}\vec{r}_{21}$$

$$\vec{g}(\vec{r}) = -G\frac{M}{r^2}\hat{r}$$

$$F_g = m\vec{(}\vec{r})$$

Gauss's Law:

$$\oint \vec{g}(\vec{r}) \cdot d\vec{A} = 4\pi G M^{enc}$$

Gravitational potential energy and mechanical energy:

$$U(r) = -G\frac{Mm}{r} + C$$

$$E = K + U = \frac{1}{2}mv^2 - G\frac{Mm}{r}$$

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9.6: Thinking about the material

Reflect and research

1. When you look at the night sky, how can you tell the difference between a planet and a star?
2. What was the relationship between Tycho Brahe and Johannes Kepler?
3. How did Tycho Brahe collect all the data that Kepler used?
4. How much time elapsed between Kepler publishing his laws and Newton publishing his Universal Theory of Gravity?
5. What was Kepler's original intention when he synthesized Tycho Brahe's observations? What was he hoping to show?
6. What was Ptolemy's theory of gravity based upon?
7. Who was the first to suggest that planets revolved around the Sun instead of the Earth?
8. Explain how the force of gravity from the moon results in tides on both sides of the Earth.
9. Explain what an L1 Lagrange point is, and how it does not violate Kepler's Third Law.
10. How did Eddington confirm that light follows a curved path in a gravitational field?

To try in the lab

1. Theory project: Prove, based on Newton's Universal Theory of Gravity, that the motion of orbiting bodies is given by a conic section.
2. Write a computer simulation to plot the orbit of two bodies, and explore how the total mechanical energy of one object affects its motion. If the two bodies have the same mass, and both move, where is the focus of the conical section describing their respective paths?
3. Propose an experiment to model and map the position of a planet in the night sky.

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9.7: Sample problems and solutions

Exercise 9.7.1

Geosynchronous satellites are satellites that are placed in a circular orbit around the Earth in such a way that their orbital period is synchronized with the 24 h rotation period of the Earth. The advantage of geosynchronous satellites is that they are always above the same point on Earth, which makes them useful for establishing communication networks. At what altitude must geosynchronous satellites be placed?

Answer

When a satellite orbits the Earth, the only force on the satellite is the force of gravity from the Earth. Since the satellite is in a circular orbit, that force of gravity must point towards the center of the Earth in such a way that the satellite has the correct radial acceleration, a_R, to stay in uniform circular motion:

$$a_r = \tfrac{v^2}{R}$$

where v is the speed of the satellite, and R is the distance between the satellite and the center of the Earth (i.e. the center of the circular orbit). The magnitude of the force of gravity on the satellite of mass m is given by:

$$F = G\tfrac{Mm}{R^2}$$

where M is the mass of the Earth. Newton's Second Law applied to the satellite is:

$$\sum F_r = F = ma_r$$

$$\therefore G\frac{Mm}{R^2} = m\frac{v^2}{R}$$

The speed of the satellite can be found from the fact that it must travel a distance of $2\pi R$ (the circumference of the orbit) in a period $T = 24\ \text{h}$:

$$v = \tfrac{2\pi R}{T}$$

which we can substitute into the equation from Newton's Second Law to find the distance R (i.e. the radius of the circular orbit):

$$G\frac{Mm}{R^2} = m\frac{v^2}{R}$$

$$G\frac{M}{R^2} = \frac{(2\pi R)^2}{T^2 R}$$

$$G\frac{M}{R^2} = \frac{4\pi^2 R}{T^2}$$

$$\therefore R = \sqrt[3]{G\frac{MT^2}{4\pi^2}}$$

$$= \sqrt[3]{(6.67\times10^{-11}\text{Nm}^2/\text{kg}^2)\frac{(5.97\times10^{24}\text{kg})(86400\text{s})^2}{4\pi^2}}$$

$$= 42.2\times10^6\text{m}$$

which corresponds to the distance between the satellite and the center of the Earth. To obtain the "altitude", h, namely the distance from the surface of the Earth to the satellite, we must subtract the radius of the Earth, $R_\oplus = 6.371\times10^6$ m from this distance:

$$h = R - R_\oplus = 35.9\times10^6\ \text{m}$$

Thus, geosynchronous satellites are located at an altitude of approximately 36000 km

Discussion

Note that we could have also easily used Kepler's Third Law to determine the radius of the orbit, since we already know the period (24 h), and we know the value of the constant for Kepler's Third Law from *Example 9.2.2*.

? Exercise 9.7.2

How much energy must be expended in order to place a satellite of mass $m = 1000\ \text{kg}$ in a geosynchronous circular orbit around the Earth, if the satellite is launched from the North Pole of the Earth? How much energy is this per kilogram of satellite placed in orbit?

Answer

We need to calculate how much work must be done for the satellite to go from being at rest at the surface of the Earth to being in a geosynchronous orbit. That work will be done by a non-conservative force (a rocket engine). The work done by the non-conservative force, W, is equal to the satellite's change in mechanical energy:

$$W = \Delta E = E_B - E_A$$

The initial mechanical energy of the satellite, E_A, is given by its gravitational potential energy (it has no kinetic energy at the surface of the Earth when at the North Pole - on the equator, it would have kinetic energy due to the Earth's rotation):

$$E_A = K + U = 0 - G\frac{Mm}{R_\oplus}$$

where $M = 5.97 \times 10^{24}\ \text{kg}$ is the mass of the Earth, and $R_\oplus = 6.731 \times 10^6\ \text{m}$ is the radius of the Earth.

In orbit, the energy of the rocket, E_B, is given by:

$$E_B = K + U = \tfrac{1}{2}mv^2 - G\frac{Mm}{R}$$

where $R = 42.2 \times 10^6\ \text{m}$ is the radius of the geosynchronous orbit (*Problem 9.2.1*) and v is the speed of the satellite in orbit. The speed is given by:

$$v = \frac{2\pi R}{T}$$

where $T = 24\ \text{h}$ is the orbital period. The net work that must be done to place the satellite in orbit is thus given by:

$$\begin{aligned} W = E_B - E_A &= \frac{1}{2}mv^2 - G\frac{Mm}{R} - \left(-G\frac{Mm}{R_\oplus}\right) \\ &= \frac{1}{2}m\frac{4\pi^2R^2}{T^2} + GMm\left(\frac{1}{R_\oplus} - \frac{1}{R}\right) \\ &= \frac{1}{2}(1000\text{kg})\frac{4\pi^2(42.2\times10^6\text{m})^2}{(86400\text{s})^2} \\ &\quad + (6.67\times10^{-11}\text{Nm}^2/\text{kg}^2)(5.97\times10^{24}\text{kg})(1000\text{kg})\left(\frac{1}{(6.731\times10^6\text{m})} - \frac{1}{(42.2\times10^6\text{m})}\right) \\ &= 5.78\times10^{10}\text{kg} \end{aligned}$$

This corresponds to the energy that must be imparted to a 1000 kg satellite for it to end up in a geosynchronous orbit. This corresponds to $5.78 \times 10^7\ \text{J/kg}$ as the energy required per kilogram of payload placed in geosynchronous orbit. Although we calculated work as if it were work done by a force, we can think of this work coming from stored chemical potential energy in the fuel of the rocket carrying the satellite.

Discussion

The energy that we found above is the minimum energy that one must provide to the satellite. In practice, in order to place a satellite in orbit, one will also need to provide enough energy to accelerate the rocket that carries the satellite up into orbit, which is typically much heavier than the satellite. If the satellite were instead launched from the equator of the Earth, the

satellite would already have some initial kinetic energy due to the rotation of the Earth, and one would need to provide less energy to place it in orbit. This is the reason that most rockets are launched from near the equator (think French Guyana, Florida, Kazakhstan) in a direction that is roughly parallel with the Earth's rotation.

? Exercise 9.7.3

Find an expression for the gravitational field due to a thin uniform rod of mass M at point P, which is a distance h above the midsection of the rod (Figure 9.7.1).

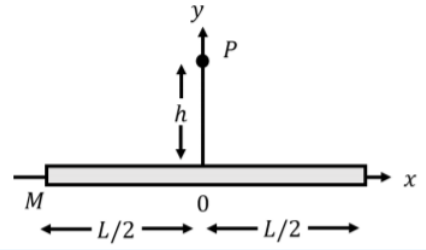

Figure 9.7.1: A thin rod of mass M and length L produces a gravitational field at a point P located above the midsection of the rod.

Answer

We cannot use Gauss's law to determine the magnitude of the field because the gravitational field lacks symmetry (i.e. the field will be different at the ends of the rod than along the length of the rod). The gravitational field due to a body of mass M is given by:

$$\vec{g}(\vec{r}) = -\frac{GM}{r^2}\hat{r}$$

Our strategy will be to break the rod into very small segments of length dx. Each segment, of mass dM, will make a small contribution, $d\vec{g}$, to the gravitational field, as shown in Figure 9.7.2 We will then take the sum of all these contributions to find the net field.

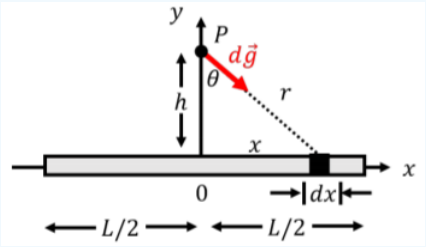

Figure 9.7.2: A thin rod of mass M and length L produces a gravitational field at a point P located above the midsection of the rod. Each segment of the rod dx will contribute to the gravitational field.

The gravitational field due to each segment is given by:

$$d\vec{g} = -\frac{GdM}{r^2}\hat{r}$$

The element of the field, $d\vec{g}$, will point in a different direction for each segment dx. You can conclude from Figure 9.7.2 that, due to symmetry, the x components of the field from each segment will cancel out (for the segment dx shown in the diagram, there will be an identical segment on the other side of the rod). The net field will point in the $-\hat{y}$ direction, so we are only interested in the vertical component of $d\vec{g}$. Using our diagram, this means that we want to find the magnitude of $dg\,cos\theta$:

$$dg\cos\theta = \frac{GdM}{r^2}\cos\theta$$

The magnitude of the gravitational field at point P is thus given by:

$$g = \int dg\cos\theta = \int \frac{GdM}{r^2}\cos\theta$$

The integral is written over dM, where both r, and θ are different for each different mass element, dM. We need to express any variable that changes for different mass elements in terms of a single variable of integration. We will choose θ

as the variable of integration, and thus need to express r and dM in terms of θ, $d\theta$, and other constants.

The distance, r, between P and a mass element dM located at angle θ is easily found to be:

$$r = \frac{h}{\cos\theta}$$
$$\therefore \frac{1}{r^2} = \frac{\cos^2\theta}{h^2}$$

dM can easily be expressed in term of dx (the length of the mass element in the x direction) and λ, the mass per unit length of the rod:

$$dM = \lambda dx = \tfrac{M}{L} dx$$

We now need to express dx in terms of $d\theta$. This can be found as follows, by first expressing x in terms of θ, and then taking the derivative of x with respect to θ

$$x = h\tan\theta$$
$$\therefore \frac{dx}{d\theta} = \frac{h}{\cos^2\theta}$$
$$\therefore dx = \frac{h}{\cos^2\theta} d\theta$$

Now that we have found the small change in x that results from a small change in θ, we can write the mass element, dM, in terms of the $d\theta$:

$$dM = \tfrac{M}{L} dx = \tfrac{M}{L} \tfrac{h}{\cos^2\theta} d\theta$$

We can now write the integral in terms of θ:

$$g = \int \frac{GdM}{r^2}\cos\theta = G\int \frac{1}{r^2}\cos\theta dM$$
$$= G\int \left(\frac{\cos^2\theta}{h^2}\right)\cos\theta\left(\frac{M}{L}\frac{h}{\cos^2\theta}\right)$$
$$= \frac{GM}{Lh}\int \cos\theta d\theta$$

Now that we have the integral over θ, we need to set the limits to correspond to the values of θ at each end of the rod. The angle will have the same magnitude for each end of the rod, θ_0, given by:

$$\sin\theta_0 = \frac{L}{2\sqrt{h^2+\frac{L^2}{4}}}$$

The magnitude of the field is thus given by:

$$g = \frac{GM}{Lh}\int_{-\theta_0}^{\theta_0}\cos\theta d\theta = \frac{GM}{Lh}[\sin\theta]_{-\theta_0}^{\theta_0}$$
$$= \frac{2GM}{Lh}\sin\theta_0 = \frac{2GM}{Lh}\frac{L}{2\sqrt{h^2+\frac{L^2}{4}}}$$

The gravitational field at point P is thus given by:

$$\vec{g} = -\tfrac{2GM}{Lh}\frac{L}{2\sqrt{h^2+\frac{L^2}{4}}}\hat{y}$$

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CHAPTER OVERVIEW

10: Linear Momentum and the Center of Mass

Learning Objectives

- Understand how to calculate linear momentum.
- Understand how to calculate impulse and that it corresponds to a change in momentum.
- Understand when and how to apply conservation of linear momentum to model situations.
- Understand the difference between elastic and inelastic collisions, and when mechanical energy is conserved.
- Understand how to calculate the center of mass of an object.

In this chapter, we introduce the concepts of linear momentum and of center of mass. Momentum is a quantity that, like energy, can be defined from Newton's Second Law, to facilitate building models. Since momentum is often a conserved quantity within a system, it can make calculations much easier than using forces. The concepts of momentum and of center of mass will also allow us to apply Newton's Second Law to systems comprised of multiple particles including solid objects.

Prelude

You hit a pool ball square on with the cue ball. If both balls have the same mass, and you can neglect any "english" on the cue ball, what happens to the cue ball?

A. It stops.
B. It continues, with half of its original speed.
C. It continues, with its original speed.
D. It rebounds, with its original speed.

10.1: Momentum
10.2: Collisions
10.3: The center of mass
10.4: Summary
10.5: Thinking about the material .
10.6: Sample problems and solutions

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10.1: Momentum

Momentum of a point particle

We can define the momentum, $\vec{p}$, of a particle of mass m and velocity $\vec{v}$ as the vector quantity:

$$\vec{p} = m\vec{v} \tag{10.1.1}$$

Since this is a vector equation, it corresponds to three equations, one for each component of the momentum vector. It should be noted that the numerical value for the momentum of a particle is arbitrary, as it depends in which frame of reference the velocity of the particle is defined. For example, your velocity with respect to the surface of the Earth is zero, so your momentum relative to the surface of the Earth is zero. However, relative to the surface of the Sun, your velocity, and momentum, are not zero. As we will see, forces are related to a *changes* in momentum, just as they are related to a change in velocity (acceleration).

If the particle has a constant mass, then the time derivative of its momentum is given by:

$$\frac{d}{dt}\vec{p} = \frac{d}{dt}m\vec{v} = m\frac{d}{dt}\vec{v} = m\vec{a}$$

and we can write this as Newton's Second Law, since $m\vec{a}$ must be equal to the vector sum of the forces on the particle of mass m:

$$\frac{d}{dt}\vec{p} = \sum \vec{F} = \vec{F}^{net} \tag{10.1.2}$$

The equation above is the original form in which Newton first developed his theory. It says that the net force on an object is equal to the rate of change of its momentum. **If the net force on the object is zero, then its momentum is constant** (as is its velocity). In terms of components, Newton's Second Law written for the rate of change of momentum is given by:

$$\frac{dp_x}{dt} = \sum F_x$$
$$\frac{dp_y}{dt} = \sum F_y$$
$$\frac{dp_z}{dt} = \sum F_z$$

Example 10.1.1

A particle of mass m is released from rest and allowed to fall freely under the influence of gravity near the Earth's surface (assume that drag is negligible). Is the mechanical energy of the particle conserved? Is the momentum of the particle conserved? If momentum is not conserved, how does momentum change with time? Do your answers change if the force of drag cannot be ignored?

Solution

First, we model the falling particle assuming that there is no force of drag. The only force exerted on the particle is thus its weight.

The mechanical energy of the particle will be conserved only if there are no non-conservative forces doing work on the particle. Since the force of gravity is the only force acting on the particle, its mechanical energy is conserved.

The total momentum of the particle is not conserved, because the sum of the forces on the particle is not zero. Choosing the z axis to be vertical and positive upwards, Newton's Second Law in the z direction is given by:

$$\sum F_z = -mg = \frac{dp_z}{dt}$$

Note that the x and y components of momentum are conserved, since there are no forces with components in that direction. We can find how the z component of the momentum changes with time by taking the anti-derivative of the force with respect to time (from $t = 0$ to $t = T$):

$$\frac{dp_z}{dt} = -mg$$

$$\int dp_z = \int_0^T (-mg)dt$$

$$p_z(T) - p_z(0) = -mgT$$

$$\therefore p_z(T) = p_z(0) - mgT$$

where the z component of momentum, $p_z(T)$ at some time T, is given by its value at time $t = 0$ plus $-mgT$. If the object started at rest ($\vec{v} = 0$), then the magnitude of the momentum, as a function of time, is given by:

$$p(t) = p_z(t) = -mgt$$

and indeed changes with time.

If the force of drag were not negligible, there would be a non-conservative force acting on the particle, so its mechanical energy would no longer be conserved. The particle will accelerate until it reaches terminal velocity. During that phase of acceleration, the net force on the particle is not zero (it is accelerating), so its momentum is not conserved. Once the particle reaches terminal velocity, the net force on the particle is zero, and its momentum is conserved from then on.

Discussion

This simple example highlights the fact that mechanical energy and momentum are conserved under different conditions. Just because one is conserved does not mean that the other is conserved. It also shows that Newton's Second Law is a statement about change in momentum, not momentum itself (just like it is a statement about acceleration, change in velocity, not velocity).

Impulse

When we introduced the concept of energy, we started by calculating the "work", W, done by a force exerted on an object over a specific path between two points:

$$W = \int_A^B \vec{F} \cdot d\vec{l}$$

We then introduced kinetic energy, K, to be that quantity whose change is equal to the net work done on the particle

$$W^{net} = \int_A^B \vec{F}^{net} \cdot d\vec{l} = \Delta K$$

where the net force, $\vec{F}^{net}$, is the vector sum of the forces on the particle.

We can do the same thing, but instead of integrating the force over distance, we can integrate it over time. We thus introduce the concept of "impulse", $\vec{J}$, of a force, as that force integrated from an initial time, t_A, to a final time, t_B:

$$\vec{J} = \int_{t_A}^{t_B} \vec{F} dt \tag{10.1.3}$$

where it should be clear that impulse is a vector quantity (and the above vector equation thus corresponds to one integral per component). Impulse is, in general, defined as an integral because the force, $\vec{F}$, could change with time. If the force is constant in time (magnitude and direction), then we can define the impulse without using an integral:

$$\vec{J} = \vec{F}\Delta t$$

where Δt is the amount of time over which the force was exerted. Although the force might never be constant, we can sometimes use the above formula to calculate impulse using an average value of the force.

Exercise 10.1.1

What is the SI unit for impulse?

A. $\mathrm{kg \cdot m/s^2}$
B. $\mathrm{kg \cdot s^2}$
C. $\mathrm{kg \cdot m/s}$
D. $\mathrm{kg \cdot m/s^3}$

Answer

Example 10.1.2

Estimate the impulse that is given to someone's head when they are slapped in the face.

Solution

When we slap someone's face with our hand, our hand exerts a force on their face during the period of time, Δt, over which our hand is in contact with their face. During that period of time, the force on their face goes from being 0, to some unpleasantly high value, and then back to zero, so the force cannot be considered constant.

Let us estimate the average magnitude of the slapping force by considering the deceleration of our slapping hand and modeling the motion as one-dimensional. Let us assume that our slapping hand has a mass $m = 1\mathrm{kg}$ and that it is has a speed of $2\mathrm{m/s}$ just before it makes contact. Furthermore, let us assume that it is in contact with the face for a period of time Δt. This allows us to find the average acceleration of our hand and thus the average force exerted by the face on our hand to stop it:

$$a = \frac{\Delta v}{\Delta t}$$

$$\therefore F = ma = m\frac{\Delta v}{\Delta t}$$

By Newton's Third Law, the force decelerating our hand has the same magnitude as the force that our hand exerts on the face, allowing us to calculate the impulse given to the person's head:

$$J = F\Delta t = \left(m\frac{\Delta v}{\Delta t}\right)\Delta t = m\Delta v$$

$$= (1\mathrm{kg})(2\mathrm{m/s}) = 2\mathrm{kg \cdot m/s}$$

Discussion

Note that the impulse given to the head corresponds exactly to the change in momentum of the hand ($\Delta p = m\Delta v$).

So far, we calculated the impulse that is given by a single force. We can also consider the net impulse given to an object by the net force exerted on the object:

$$\vec{J}^{net} = \int_{t_A}^{t_B} \vec{F}^{net}\, dt$$

Compare this to Newton's Second Law written out using momentum:

$$\frac{d}{dt}\vec{p} = \vec{F}^{net}$$

$$\int_{\vec{p}_A}^{\vec{p}_B} d\vec{p} = \int_{t_A}^{t_B} \vec{F}^{net}\, dt$$

$$\vec{p}_B - \vec{p}_A = \int_{t_A}^{t_B} \vec{F}^{net}\, dt$$

$$\therefore \Delta\vec{p} = \int_{t_A}^{t_B} \vec{F}^{net}\, dt$$

and we find that the net impulse received by a particle is precisely equal to its change in momentum:

$$\Delta\vec{p} = \vec{J}^{net} \tag{10.1.4}$$

This is similar to the statement that the net work done on an object corresponds to its change in kinetic energy, although one should keep in mind that momentum is a vector quantity, unlike kinetic energy.

Example 10.1.3

A car moving with a speed of 100km/h collides with a building and comes to a complete stop. The driver and passenger each have a mass of 80kg. The driver wore a seat belt that extended during the collision, so that the force exerted by the seatbelt on the driver acted for about 2.5s. The passenger did not wear a seat belt and instead was slowed down by the force exerted by the dashboard, over a much smaller amount of time, 0.2s. Compare the average decelerating force experienced by the driver and the passenger.

Solution

We can calculate the change in momentum of both people, which will be equal to the impulse they received as they collided with the seatbelt or with the dashboard. Since we know the duration in time that the forces were exerted, we can calculate the average force involved in order to give the required impulse. We can assume that this all happens in one dimension, so we use scalar quantities instead of vectors.

The change in momentum along the direction of motion for either the driver or passenger is given by:

$$\Delta p = p_B - p_A = (0) - p_A = -mv_A$$

where v_A is the initial speed of the car, and the final momentum of either person is zero.

The change in momentum is equal to the impulse received by either person during a period of time Δt, which is related to the force that was exerted on them:

$$J = F\Delta t = \Delta p = -mv_A$$

$$F = -m\frac{v_A}{\Delta t}$$

For the driver, this corresponds:

$$F = (80\text{kg})\frac{(27.8\text{m/s})}{(2.5\text{s})} = 890\text{N}$$

and for the passenger:

$$F = (80\text{kg})\frac{(27.8\text{m/s})}{(0.2\text{s})} = 11120\text{N}$$

The force on the driver is thus comparable to their weight, whereas the passenger experiences an average force that is more than 10 times their weight.

Discussion

Any mechanism that results in a longer collision time will help to reduce the forces that are involved. This is why cars are designed to crumple in head-on collisions. We can understand this in terms of the crumpling of the car absorbing some of the kinetic energy of the car, as well as lengthening the time of the collision so that the forces involved are smaller. You may also hear people that look at modern cars that are all crumpled up after a crash and say something along the lines of "They sure don't make cars the way they used to". But of course, that is by design; it is safer if the car crumples up (and cars are designed to crumple up in specific areas, not the passenger cabin).

Note that we did not need to use impulse to calculate the average force, since we could have just used kinematics to determine the acceleration and Newton's Second Law to calculate the corresponding force. Using impulse is equivalent by construction, but sometimes, it is easier mathematically.

Systems of particles: internal and external forces

So far, we have only used Newton's Second Law to describe the motion of a single point mass particle or to describe the motion of an object whose orientation we did not need to describe (e.g. a block sliding down a hill). In this section, we consider what happens when there are multiple point particles that form a "system".

In physics, we loosely define a system as the ensemble of objects/particles that we wish to describe. So far, we have only described systems made of one particle, so describing the motion of the system was equivalent to describing the motion of that single particle. A system of two particles could be, for example, two billiard balls on a pool table. To describe that system, we would need to provide functions that describe the positions, velocities, and forces exerted on both balls. We can also define functions/quantities that describe the system as a whole, rather than the details. For example, we can define the total kinetic energy of the system, K, corresponding to the sum of kinetic energies of the two balls. We can also define the total momentum of the system, $\vec{P}$, given by the vector sum of the momenta of the two balls.

When considering a system of multiple particles, we distinguish between **internal** and **external** forces. Internal forces are those forces that the particles in the system exert on each other. For example, if the two billiard balls in the system collide with each other, they will each exert a force on the other during the collision; those forces are internal. External forces are all other forces exerted on the particles of the system. For example, the force of gravity and the normal force from the pool table are both external forces exerted on the balls in the system (exerted by the Earth, or by the pool table, neither of which we considered to be part of the system). The force exerted by a person hitting one of the balls with a pool queue is similarly an external force. What we consider to be a system is arbitrary; we could consider the pool table and the Earth to be part of the system along with the two balls; in that case, the normal force and the weight of the balls would become internal forces. The classification of whether a force is internal or external to a system of course depends on what is considered part of the system.

? Exercise 10.1.1

Two pool balls crash against each other. Is this force of gravity exerted by one ball on the other an internal or external force?

A. Internal.
B. External.

Answer

The key property of internal forces is that **the vector sum of the internal forces in a system is zero**. Indeed, Newton's Third Law states that for every force exerted by object A on object B, there is a force that is equal in magnitude and opposite in direction exerted by object B on object A. If we consider both objects to be in the same system, then the sum of the internal forces between objects A and B must sum to zero. It is important to note that this is quite different than what we have discussed so far about summing forces. The forces that sum to zero are exerted on *different* objects. Thus far, we had only ever considered summing forces that are exerted on the same object in order to apply Newton's Second Law. We have never encountered a situation where "action" and "reaction" forces are summed together, because they act on different objects.

emma's Thoughts

Internal vs. External forces - what is the "system" and what forces should we consider?

As discussed above, internal and external forces can only be considered in the context of a specific system. So, how do we define this "system"? How far do we go when defining the system?

For example, let's say that you kick a soccer ball, and it hits a nearby lawn chair, knocking it down. You want to determine what will happen to the soccer ball after it hits the lawn chair. What is defined to be the system here, and how should the forces be classified? Is the force exerted by the soccer ball on the lawn chair an external force? Should we consider the friction between the first foot particle that touches the first soccer ball particle?

The best way to approach "defining the system" is to pin down exactly what you're trying to model. Here, specifically, you are trying to determine the velocity of the ball after it hits the lawn chair. In this situation, thinking about the friction between individual foot and soccer ball particles wouldn't help us to figure out the final velocity of the soccer ball. Rather, thinking of the soccer ball and lawn chair as two giant, continuous particles, colliding and exchanging energy would be helpful. In this situation, it would be useful to consider the "system" to be the soccer ball and lawn chair only.

The force exerted by the soccer ball on the lawn chair would be an internal force, as this gives us information as to the final velocity of the soccer ball and is a force exchanged between the particles within the system. The force that gravity exerts on the lawn chair, normal force on the person's foot and the force exerted by the foot on the soccer ball are all forces that we would consider "external".

Remember - "internal" and "external" are not magical properties of a specific type of force. These definitions are made by us in the quest of building useful models.

Conservation of momentum

Consider a system of two particles with momenta $\vec{p}_1$ and $\vec{p}_2$. Newton's Second Law must hold for each particle:

$$\frac{d\vec{p}_1}{dt} = \sum_k \vec{F}_{1k}$$

$$\frac{d\vec{p}_2}{dt} = \sum_k \vec{F}_{2k}$$

where F_{ik} is the k-th force that is acting on particle i. We can sum these two equations together:

$$\frac{d\vec{p}_1}{dt} + \frac{d\vec{p}_2}{dt} = \sum_k \vec{F}_{1k} + \sum_k \vec{F}_{2k}$$

The quantity on the right is the sum of the forces exerted on particle 1 plus the sum of the forces exerted on particle 2. In other words, it is the sum of all of the forces exerted on all of the particles in the system, which we can write as a single sum. On the left hand side, we have the sum of the two time derivatives of the momenta, which is equal to the time-derivative of the sum of the momenta. We can thus re-write the equation as:

$$\frac{d}{dt}(\vec{p}_1 + \vec{p}_2) = \sum \vec{F}$$

where, again, the sum on the right is the sum over all of the forces exerted on the system. Some of those forces are external (e.g. gravity exerted by Earth on the particles), whereas some of the forces are internal (e.g. a contact force between the two particles). We can separate the sum into a sum over all external forces ($\vec{F}^{ext}$) and a sum over internal forces ($\vec{F}^{int}$):

$$\sum \vec{F} = \sum \vec{F}^{ext} + \sum \vec{F}^{int}$$

The sum of the internal forces is zero:

$$\sum \vec{F}^{int} = 0$$

because for every force that particle 1 exerts on particle 2, there will be an equal and opposite force exerted by particle 2 on particle 1. We thus have:

$$\frac{d}{dt}(\vec{p}_1+\vec{p}_2)=\sum\vec{F}^{ext}$$

Furthermore, if we introduce the "total momentum of the system", $\vec{P}=\vec{p}_1+\vec{p}_2$, as the sum of the momenta of the individual particles, we find:

$$\frac{d\vec{P}}{dt}=\sum\vec{F}^{ext}$$

which is the equivalent of Newton's Second Law for a system where, $\vec{P}$, is the total momentum of the system, and the sum of the forces is only over external forces to the system.

Note that the derivation above easily extends to any number, N, of particles, even though we only did it with $N=2$. In general, for the "ith particle", with momentum $\vec{p}_i$, we can write Newton's Second Law:

$$\frac{d\vec{p}_i}{dt}=\sum_k\vec{F}_{ik}$$

where the sum is over only those forces exerted on particle i. Summing the above equation for all N particles in the system:

$$\frac{d}{dt}\sum_i\vec{p}_i=\sum\vec{F}^{ext}+\sum\vec{F}^{int}$$

where the sum over internal forces will vanish for the same reason as above. Introducing the total momentum of the system, $\vec{P}$:

$$\vec{P}=\sum_i\vec{p}_i$$

We can write an equation for the time-derivative of the total momentum of the system:

$$\frac{d\vec{P}}{dt}=\sum\vec{F}^{ext} \tag{10.1.5}$$

where the sum of the forces is the sum over all forces external to the system. Thus, **if there are no external forces on a system, then the total momentum of that system is conserved** (if the time-derivative of a quantity is zero then that quantity is constant).

We already argued in the previous section that we can make all forces internal if we choose our system to be large enough. If we make the system be the Universe, then there are no forces external to the Universe, and the total momentum of the Universe must be constant:

$$\frac{d\vec{P}^{Universe}}{dt}=\sum_{Universe}\vec{F}^{ext}=0$$

$$\therefore\vec{P}^{Universe}=\text{constant}$$

In summary, we saw that:

- If no forces are exerted on a single particle, then the momentum of that particle is constant (conserved).
- In a system of particles, the total momentum of the system is conserved if there are no external forces on the system.
- If there are no non-conservative forces exerted on a particle, then that particle's mechanical energy is constant (conserved).
- In a system of multiple particles, the total mechanical energy of the system will be conserved if there are no non-conservative forces exerted on the system.

When we refer to a force being "exerted on a system", we mean exerted on one or more of the particles in the system. In particular, the sum of the work done by internal forces is not necessarily zero, so **energy and momentum are thus conserved under different conditions**.

Example 10.1.4

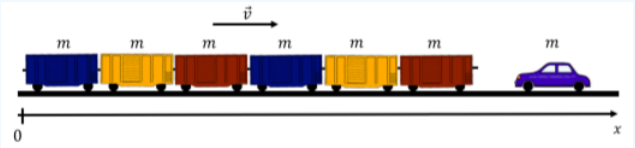

Figure 10.1.1: A train with N cars of mass m about to collide with a car of mass m that is at rest on the track.

Consider a train made of N cars of equal mass m that is traveling at constant speed v along a straight piece of track where friction and drag are negligible, as depicted in Figure 10.1.1. An empty car of mass m was left at rest on the track in front of the train. The train collides with the empty car which stays attached to the front of the train. What is the speed of the train after the collision? Is the total mechanical energy of the system conserved?

Solution

When the train collides with the car, it will exert a "collision" force on the car, and the car will exert an opposite force on the train. If we consider both of the train and the car as being part of the same system, then those collision forces will be internal, and the momentum of the system (train + car) will be conserved. The train and car both experience external forces from Earth's gravity and the normal force from the train tracks. However, those two sets of forces cancel each other out, since neither the train nor the car have any acceleration in the vertical direction (the sum of the forces on each object has no net vertical component). Thus, there are no net external forces on the car+train system, and the total momentum of the system is conserved through the collision.

We can model this system in one dimension (along the track), defining our x axis. We choose the ground as a frame of reference, the positive direction parallel to the initial velocity of the train, and the origin to be located where the car initially starts. Before the collision, the x component of the momenta of the train (mass Nm) and car (mass m) are:

$$p_{train} = Nmv$$
$$p_{car} = 0$$

After the collision, the car is attached to the train (and thus has the same speed, v'), so the momenta of the train and car after the collision are:

$$p'_{train} = Nmv'$$
$$p'_{car} = mv'$$

where the primes $'$ denote quantities after the collision. Applying conservation of momentum to the system, the total momentum before and after the collision must be equal:

$$p_{train} + p_{car} = p'_{train} + p'_{car}$$
$$\therefore Nmv = Nmv' + mv'$$
$$\therefore v' = \frac{N}{N+1}v$$

and the speed of the train with the additional car attached is reduced by a factor $N/(N+1)$ compared to what it was before the collision.

We can check to see if the mechanical energy of the system is conserved, since we know the speeds of the train and car before and after the collision. Since all of the motion is horizontal, gravity and the normal force do no work on either the train or car, so their mechanical energy can be taken as their kinetic energy (their gravitational potential energy does not change after the collision). The total mechanical energy of the system, E, before the collision is the kinetic energy of the train:

$$E = \frac{1}{2}Nmv^2$$

The total mechanical energy of the system, E', after the collision is:

$$\begin{aligned} E' &= \frac{1}{2}Nmv'^2 + \frac{1}{2}mv'^2 = \frac{1}{2}(N+1)mv'^2 \\ &= \frac{1}{2}(N+1)m\left(\frac{N}{N+1}v\right)^2 \\ &= \frac{1}{2}m\frac{N^2}{N+1}v^2 \end{aligned}$$

and we see that $E' < E$, and thus that the total mechanical energy of the system is not conserved (it is reduced after the collision).

Discussion

We could have solved this problem by carefully modeling the force exerted by the car on the train during the collision, which would have allowed us to find the speed of the train after the collision using its acceleration. This would have required a detailed model for that force, which we do not have. However, by realizing that the train and car could be considered as a system with no net external forces exert on it, we were able to easily find the speed of the train after the collision using conservation of momentum.

We also found that mechanical energy was not conserved. This makes physical sense because, for the car to remain attached to the train, there presumably had to be some significant forces in play that "crushed" the car into the train. Some of the initial kinetic energy of the train was used to deform the train and the car during the collision. We can also think of deforming a material as giving it energy. Sometimes that energy is recoverable (e.g. compressing a spring), sometimes, it is not (e.g. crushing a car).

If the car and train were equipped with large springs to absorb the energy of the impact, the collision could have conserved mechanical energy, as the springs compress and then expand back. The speed of the car and train would then be different after the collision in this case (see *Example 10.2.3*). It is a feature of collisions where the two bodies remain attached to each other that mechanical energy is not conserved.

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10.2: Collisions

In this section we go through a few examples of applying conservation of momentum to model collisions. Collisions can loosely be defined as events where the momenta of individual particles in a system are different before and after the event.

We distinguish between two types of collisions: **elastic** and **inelastic** collisions. Elastic collisions are those for which the total mechanical energy of the system is conserved during the collision (i.e. it is the same before and after the collision). Inelastic collisions are those for which the total mechanical energy of the system is not conserved. In either case, to model the system, one chooses to define the system such that there are no external forces on the system so that total momentum is conserved.

Inelastic collisions

In this section, we give a few examples of modelling inelastic collisions. Inelastic collisions are usually easier to handle mathematically, because one only needs to consider conservation of momentum and does not use conservation of energy (which usually involves equations that are quadratic in the speeds because of the kinetic energy term).

✔ Example 10.2.1

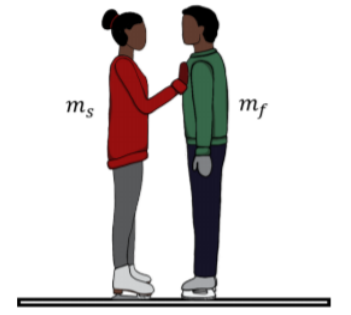

Figure 10.2.1: One skater pushing another on a frictionless horizontal surface.

You (mass m_s) and your friend (mass m_f) face each other on ice skates on an ice surface that is slippery enough that friction can be considered negligible, as shown in Figure 10.2.1. You shove your friend away from you so that he moves with velocity $\vec{v}_f$ away from you (the velocity is measured relative to the ice). Is the collision elastic? What is your speed relative to the ice after you shoved your friend?

Solution

We can consider the system as being comprised of you and your friend. There are no net external forces on the system (gravity and normal forces cancel each other), so the momentum of the system will be conserved.

The mechanical energy will not be conserved. You had to use chemical potential energy stored in your muscles to shove your friend. Thus, external energy (i.e. not mechanical energy from you or your friend) was injected into the system, and we should expect the total mechanical energy to be larger after the collision.

Before the collision, both you and your friend have zero speed, and thus zero kinetic energy and zero momentum. After the collision, your friend has a velocity $\vec{v}_f$. We can use conservation of total momentum, $\vec{P}$, to determine your velocity, $\vec{v}_s$, after the collision.

$$\begin{aligned} \vec{P} &= \vec{P}' \\ 0 &= m_s\vec{v}_s + m_f\vec{v}_f \\ \therefore \vec{v}_s &= -\frac{m_f}{m_s}\vec{v}_f \end{aligned}$$

where primes ($'$) denote a quantity after the collision. We find that your velocity is in the opposite direction from that of your friend. Before the collision, the mechanical energy, E, of the system is zero (we can ignore gravitational potential energy, since everything is in the horizontal plane). After the collision, the mechanical energy, E', is:

$$E' = \frac{1}{2}m_s v_s^2 + \frac{1}{2}m_f v_f^2$$

which is clearly bigger than the mechanical energy before the collision (i.e. 0), as we suspected it would be.

Discussion

We find that you recoil in the opposite direction, which makes sense. If you push your friend in one direction, Newton's Third Law says that your friend pushes you in the opposite direction. Your speed furthermore depends on the ratio of your friend's mass to yours. This also makes sense, because if you both feel the same force, the person with the smallest mass will have the highest speed; if your mass is higher than your friend's, then your speed after the collision will be smaller than your friend's.

We also saw that mechanical energy was not conserved. In terms of energy, we can explain this by saying that you burned up chemical potential energy stored in your muscles in order to shove your friend. Because we included both you and your friend in the system, the shove was an internal force and momentum is conserved. Of course, if we had considered only you as the system, then your momentum would not have been conserved during the collision.

The type of collision that we described here is also sometimes called an "explosion". You can imagine all of the parts that make up a bomb as small particles. When the bomb explodes, chemical potential energy is converted into the kinetic energy of the bomb fragments. If you consider all of the particles/fragments of the bomb as a system, then the total momentum of all of the bomb fragments is conserved (and equal to zero if the bomb was initially at rest). Again, mechanical energy would not be conserved (and would increase) as the chemical potential energy is converted into mechanical energy.

Example 10.2.2

A proton of mass m_p and initial velocity $\vec{v}_p$ collides inelastically with a nucleus of mass m_N at rest, as shown in Figure 10.2.2 A coordinate system is set up as shown, such that the initial velocity of the proton is in the x direction. After the collision, the proton's speed is measured to be v_p' and its velocity vector is found to make an angle θ with the x axis as shown. What is the velocity vector of the nucleus after the collision? Assume that the collision takes place in vacuum.

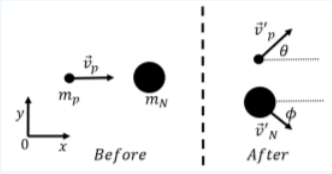

Figure 10.2.2: A proton of mass m_p colliding inelastically with a nucleus of mass m_N.

Solution

As a system, we consider the proton and the nucleus together, so that the total momentum of the system is conserved during the collision, as no other external forces are exerted on the two particles (since they are in vacuum). Because momentum is a vector, each component of the total momentum, $\vec{P}$, is conserved during the collision:

$$\vec{P} = \vec{P}'$$
$$\therefore P_x = P_x'$$
$$\therefore P_y = P_y'$$

where, as usual, primes ($'$) denote quantities after the collision. After the collision, both particles will have velocity vectors that have x and y components. Let the velocity vector of the nucleus after the collision be $\vec{v}_N'$ and let ϕ be the angle that it makes with the x axis, as shown in Figure 10.2.2

We can start by considering the conservation of the x component of the total momentum. The initial and final momenta in the x direction are given by:

$$\begin{aligned} P_x &= m_p v_p \\ P'_x &= m_p v'_p \cos\theta + m_N v'_N \cos\phi \\ \therefore m_p v_p &= m_p v'_p \cos\theta + m_N v'_N \cos\phi \end{aligned}$$

which gives us a first equation to determine the final velocity of the nucleus.

The y component of the total momentum before the collision is zero since we chose the coordinate system such that the initial velocity of the proton is in the x direction. The initial and final momenta in the y direction are given by:

$$\begin{aligned} P_y &= 0 \\ P'_y &= m_p v'_p \sin\theta - m_N v'_N \sin\phi \\ \therefore m_p v'_p \sin\theta &= m_N v'_N \sin\phi \end{aligned}$$

which gives us a second equation to solve for the velocity of the nucleus. With the two equations from momentum conservation, we can solve for the magnitude and direction of the velocity of the nucleus. From the y component of momentum conservation, we can find an expression for the speed of the nucleus:

$$\begin{aligned} m_p v'_p \sin\theta &= m_N v'_N \sin\phi \\ \therefore v'_N &= \frac{m_p}{m_N} v'_p \sin\theta \frac{1}{\sin\phi} \end{aligned}$$

which we can substitute into the x equation for momentum conservation to solve for the angle ϕ:

$$\begin{aligned} m_p v_p &= m_p v'_p \cos\theta + m_N v'_N \cos\phi \\ m_p v_p &= m_p v'_p \cos\theta + m_N \frac{m_p}{m_N} v'_p \sin\theta \frac{\cos\phi}{\sin\phi} \\ v_p &= v'_p \cos\theta + v'_p \sin\theta \frac{1}{\tan\phi} \\ \therefore \tan\phi &= \frac{v'_p \sin\theta}{v_p - v'_p \cos\theta} \end{aligned}$$

If we were given numbers for the initial and final speed of the proton, as well as the angle θ, we would be able to find a value for the angle ϕ, which we could then use to determine the final speed of the nucleus:

$$v'_N = \frac{m_p}{m_N} v'_p \sin\theta \frac{1}{\sin\phi}$$

Discussion:

By using the conservation of momentum equation and writing out the x and y components, we were able to find two equations to determine the magnitude and direction of the nucleus' velocity after the collision. In the limit where $m_N >> m_p$, the final speed of the nucleus would be very small (close to zero).

Elastic collisions

In this section, we give a few examples of modeling elastic collisions. Even though it is mechanical energy that is conserved in an elastic collision, one can almost always simplify this to only kinetic energy being conserved. If a collision takes place in a well localized position in space (i.e. before and after the collision are the same point in space), then the potential energies of the objects involved will not change, thus any change in their mechanical energy is due to a change in kinetic energy.

✔ Example 10.2.3

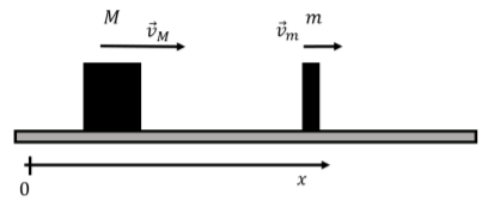

Figure 10.2.3: Two blocks about to collide elastically.

A block of mass M moves with velocity $\vec{v}_M$ in the x direction, as shown in Figure 10.2.3 A block of mass m is moving with velocity $\vec{v}_m$ also in the x direction and collides elastically with block M. Both blocks slide with no friction on the horizontal surface. What are the velocities of the two blocks after the collision?

Solution

Because this is an elastic collision, both the total momentum and total mechanical energy are conserved. Equating the total momentum before and after the collision, and considering only the x component gives the following equation:

$$\vec{P} = \vec{P}'$$

$$Mv_M + mv_m = Mv'_M + mv'_m$$

where the primes ($'$) correspond to the quantities after the collision. Note that, in principle, the x components of the velocities (v_M, v'_M, v_m, v'_m) could be negative numbers if the corresponding block is moving in the negative x direction.

For the mechanical energy of the two blocks, we only need to consider their kinetic energy since their gravitational potential energies are the same before and after the collision on the horizontal surface. The total mechanical energy of the system, before and after the collision is given by:

$$E = E'$$

$$\frac{1}{2}Mv_M^2 + \frac{1}{2}mv_m^2 = \frac{1}{2}Mv_M'^2 + \frac{1}{2}mv_m'^2$$

$$\therefore Mv_M^2 + mv_m^2 = Mv_M'^2 + mv_m'^2$$

where we canceled the factor of one half in the last line. This gives two equations (conservation of energy and momentum) and two unknowns (the two speeds after the collision). This is not a linear system of equations, because the equation from conservation of energy is quadratic in the speeds.

The following method allows many models for elastic collisions between two particles to be solved easily by converting the quadratic equation from energy conservation into an equation that is linear in the speeds. First, write both equations so that the quantities related to each particle are on opposite sides of the equation. For momentum, this gives:

$$Mv_M + mv_m = Mv_M^{'} + mv_m^{'} \tag{10.2.1}$$

$$\therefore M(v_M - v_M^{'}) = m(v'm - v_m) \tag{10.2.2}$$

For conservation of energy, this gives:

$$Mv_M^2 + mv_m^2 = Mv_M^{'2} + mv_m^{'2} \tag{10.2.3}$$

$$\therefore M(v_M^2 - v_M^{'2} = M(v_m^{'2} - v_m^2) \tag{10.2.4}$$

which we can re-write as:

$$M(v_M^2 - v_M'^2) = M(v_m'^2 - v_m^2)$$

$$M(v_M - v'_M)(v_M + v'_M) = M(v'_m - v_m)(v'_m + v_m)$$

We can then divide *Equation 10.2.3* and *10.2.4* by *Equation 10.2.1* and *10.2.2*:

$$\frac{M(v_M - v'_M)(v_M + v'_M)}{M(v_M - v'_M)} = \frac{M(v'_m - v_m)(v'_m + v_m)}{m(v'm - v_m)}$$
$$\therefore v_M + v'_M = v'_m + v_m$$

which gives us an equation that is much easier to work with, since it is linear in the speeds. If we re-arrange this last equation back so that quantities before and after the collision are on different sides of the equality:

$$v_M - v_m = -(v'_M - v'_m) \tag{10.2.5}$$

we can see that the relative speed between M and m is the same before and after the collision. That is, if block M "saw" block m approaching with a speed of $3\mathrm{m/s}$ before the collision, it would "see" block m moving *away* with speed $3\mathrm{m/s}$ after the collision, regardless of the actual directions and velocities of the block, if the collision was elastic.

By using this equation with the original conservation of momentum equation, we now have two equations and two unknowns that are easy to solve:

$$\begin{aligned} v_M - v_m &= -(v'_M - v'_m) \\ Mv_M + mv_m &= Mv'_M + mv'_m \end{aligned}$$

Solving for v'_m in both equations gives:

$$\begin{aligned} v_M - v_m &= -(v'_M - v'_m) \\ \therefore v'_m &= v_M + v'_M - v_m \\ Mv_M + mv_m &= Mv'_M + mv'_m \\ \therefore v'_m &= \frac{1}{m}(Mv_M + mv_m - Mv'_M) \end{aligned}$$

Equating the two expressions for v'_m allows us to solve for v'_M:

$$\begin{aligned} \frac{1}{m}(Mv_M + mv_m - Mv'_M) &= v_M + v'_M - v_m \\ Mv_M + mv_m - Mv'_M &= mv_M + mv'_M - mv_m \\ (M-m)v_M + 2mv_m &= (M+m)v'_M \\ \therefore v'_M &= \frac{M-m}{M+m}v_M + \frac{2m}{M+m}v_m \end{aligned}$$

One can easily solve for the other speed, v'_m:

$$\therefore v'_m = \frac{m-M}{M+m}v_m + \frac{2M}{M+m}v_M$$

And writing these together:

$$\begin{aligned} v'_M &= \frac{M-m}{M+m}v_M + \frac{2m}{M+m}v_m \\ v'_m &= \frac{m-M}{M+m}v_m + \frac{2M}{M+m}v_M \end{aligned}$$

Discussion

The formulas that we obtained above are valid for any one dimensional elastic collision.

Exercise 10.2.1

Two trains of equal masses collide elastically on a track. If train A had a speed v and train B was at rest, what are the speeds of the trains after the collision?

A. Both trains A and B travel away from each other with speeds $\frac{1}{2}v$.
B. Train A will be at rest and train B will move away with a speed v.
C. Both trains A and B will stick together and move at a speed of v.
D. Train B will be at rest and train A will move away at a speed of v.

Answer

Example 10.2.4

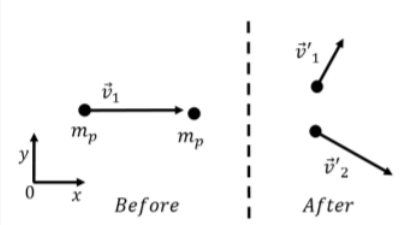

Figure 10.2.4: A proton elastically collides with a proton at rest.

A proton of mass m and initial velocity $\vec{v}_1$ collides elastically with a second proton that is at rest. After the collision, the two protons have velocities $\vec{v}'_1$ and $\vec{v}'_2$, as shown in Figure 10.2.4 Show that the velocity vectors of the two protons are perpendicular after the collision.

Solution

This example highlights a particular feature of elastic collisions when the two objects have the same mass and one of the objects is initially at rest. The conservation of momentum for the system comprised of the two protons can be written as:

$$\begin{aligned} m\vec{v}_1 &= m\vec{v}'_1 + m\vec{v}'_2 \\ \vec{v}_1 &= \vec{v}'_1 + \vec{v}'_2 \end{aligned}$$

where the left hand side corresponds to the initial total momentum and the right hand side to the total momentum after the collision. In the second line, we canceled out the mass, and obtained a vector relation between the velocity vectors. We can graphically illustrate the vector relation as in Figure 10.2.5which shows the triangle that is formed by adding the two outgoing velocity vectors to obtain the initial velocity vector.

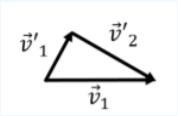

Figure 10.2.5: Graphical illustration of the relation between the initial and final velocity vectors as a vector sum.

Conservation of kinetic energy for the collision can be written as:

$$\begin{aligned} \frac{1}{2}mv_1^2 &= \frac{1}{2}mv_1'^2 + \frac{1}{2}mv_2'^2 \\ v_1^2 &= v_1'^2 + v_2'^2 \end{aligned}$$

where the left hand side corresponds to the initial kinetic energy and the right hand side to the final kinetic energy. We canceled the mass and factor of one half in the second line. This last equation gives a relation between the magnitudes of the velocity vectors. By comparing the equation above to Pythagoras' theorem, and by inspecting the triangle in Figure 10.2.5, it is clear that the triangle must be a right angle triangle, and thus that $\vec{v}'_1$ and $\vec{v}'_2$ must be perpendicular.

Frames of reference

review topics

Before proceeding, you may wish to review Sections 3.4 and 4.1 on expressing velocities in different frames of reference.

Because the momentum of a particle is defined using the velocity of the particle, its value depends on the reference frame in which we chose to measure that velocity. In some cases, it is useful to apply momentum conservation in a frame of reference where the total momentum of the system is zero. For example, consider two particles of mass m_1 and m_2, moving towards each other with velocities $\vec{v}_1$ and $\vec{v}_2$, respectively, as measured in a frame of reference S, as illustrated in Figure 10.2.6.

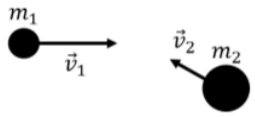

Figure 10.2.6: Two particles moving towards each other.

In the frame of reference S, the total momentum, $\vec{P}$, of the two particles can be written:

$$\vec{P} = m_1\vec{v}_1 + m_2\vec{v}_2$$

Consider a frame of reference, S', that is moving with velocity, $\vec{v}_{CM}$, relative to the frame of reference S. In that frame of reference, the velocities of the two particles are different and given by:

$$\begin{aligned}\vec{v}_1' &= \vec{v}_1 - \vec{v}_{CM}\\ \vec{v}_2' &= \vec{v}_2 - \vec{v}_{CM}\end{aligned}$$

The total momentum, $\vec{P}'$, in the frame of reference S' is then given by[1]:

$$\begin{aligned}\vec{P}' &= m_1\vec{v}_1' + m_2\vec{v}_2'\\ &= m_1(\vec{v}_1 - \vec{v}_{CM}) + m_2(\vec{v}_2 - \vec{v}_{CM})\\ &= m_1\vec{v}_1 + m_2\vec{v}_2 - (m_1+m_2)\vec{v}_{CM}\end{aligned}$$

We can choose the velocity of the frame S', $\vec{v}_{CM}$, such that the total momentum in that frame of reference is zero:

$$\begin{aligned}\vec{P}' &= 0\\ m_1\vec{v}_1 + m_2\vec{v}_2 - (m_1+m_2)\vec{v}_{CM} &= 0\\ \therefore \vec{v}_{CM} &= \frac{m_1\vec{v}_1 + m_2\vec{v}_2}{m_1+m_2}\end{aligned}$$

This "special" frame of reference, in which the total momentum of the system is zero, is called the "center of mass frame of reference". The velocity of center of mass frame of reference can easily be obtained if there are N particles involved instead of two:

$$\therefore \vec{v}_{CM} = \frac{m_1\vec{v}_1 + m_2\vec{v}_2 + m_3\vec{v}_3 + \ldots}{m_1 + m_2 + m_3 + \ldots} = \frac{\sum m_i\vec{v}_i}{\sum m_i} \tag{10.2.6}$$

Again, you should note that because the above equation is a vector equation, it represents one equation per component of the vectors. For example, the x component of the velocity of the center of mass frame of reference is given by:

$$\therefore v_{CMx} = \frac{m_1v_{1x} + m_2v_{2x} + m_3v_{3x} + \ldots}{m_1 + m_2 + m_3 + \ldots} = \frac{\sum m_iv_{ix}}{\sum m_i}$$

Example 10.2.5

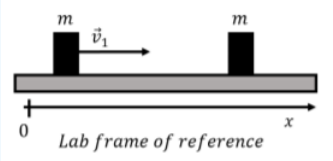

Figure 10.2.7: One block approaching another identical block at rest, as seen in the lab frame of reference.

In the frame of reference of a lab, a block of mass m has a velocity $\vec{v}_1$ directed along the positive x axis and is approaching a second block of mass m that is at rest ($\vec{v}_2 = 0$), as shown in Figure 10.2.7. What is the velocity of the center of mass frame? What is the velocity of each block in the center of mass frame? Verify that the total momentum is zero in the center of mass frame.

Solution

Since this is a one dimensional situation, we only need to evaluate the x component of the velocity of the center of mass:

$$\begin{aligned} \vec{v}_{CM} &= \frac{m_1\vec{v}_1 + m_2\vec{v}_2}{m_1 + m_2} \\ \therefore v_{CMx} &= \frac{m_1 v_{1x} + m_2 v_{2x}}{m_1 + m_2} \\ &= \frac{mv_1 + m(0)}{m + m} \\ &= \frac{1}{2}v_1 \end{aligned}$$

The center of mass frame of reference is thus also moving along the positive direction of the x axis, but with a speed that is half of that of the moving block. In the center of mass frame of reference, it appears that the block on the left is slower than in the lab frame and that the block on the right is moving in the negative x direction. The velocities of the two blocks in the center of mass frame of reference are given by:

$$\begin{aligned} v_1' &= v_1 - v_{CMx} = \frac{1}{2}v_1 \\ v_2' &= (0) - v_{CMx} = -\frac{1}{2}v_1 \end{aligned}$$

Thus, in the reference frame of the center of mass, the two block are approaching each other with the same speed ($v_1/2$), which is only the case because the two blocks have the same mass. The blocks, as viewed in the center of mass frame of reference, are shown in Figure 10.2.8

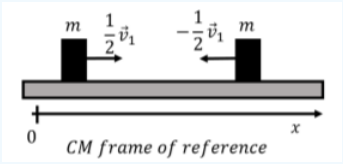

Figure 10.2.8: In the center of mass frame of reference, the block approach each other with the same speed, because they have the same mass.

Clearly, the total momentum is zero in the center of mass frame of reference:

$$\vec{P}' = m\vec{v}_1' + m\vec{v}_2' = m\left(\frac{1}{2}\vec{v}_1 - \frac{1}{2}\vec{v}_1\right) = 0$$

Discussion

As we have seen, in the center of mass frame of reference the total momentum is zero. If there are only two particles, and they have the same mass, then, in the center of mass frame of reference, they both have the same speed and move either towards or

away from each other.

Footnotes

1. Note that we are using primes (′) to denote quantities in a different reference frame, not after a collision.

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10.3: The center of mass

In this section, we show how to generalize Newton's Second Law so that it may describe the motion of an object that is not a point particle. Any object can be described as being made up of point particles; for example, those particles could be the atoms that make up regular matter. We can thus use the same terminology as in the previous sections to describe a complicated object as a "system" comprised of many point particles, themselves described by Newton's Second Law. A system could be a rigid object where the point particles cannot move relative to each other, such as atoms in a solid[1]. Or, the system could be a gas, made of many atoms moving around, or it could be a combination of many solid objects moving around.

In the previous section, we saw how the total momentum and the total mechanical energy of the system could be used to describe the system as a whole. In this section, we will define the center of mass which will allow us to describe the position of the system as a whole.

Consider a system comprised of N point particles. Each point particle i, of mass m_i, can be described by a position vector, $\vec{r}_i$, a velocity vector, $\vec{v}_i$, and an acceleration vector, $\vec{a}_i$, relative to some coordinate system in an inertial frame of reference. Newton's Second Law can be applied to any one of the particles in the system:

$$\sum_k \vec{F}_{ik} = m_i \vec{a}_i$$

where $\vec{F}_{ik}$ is the k-th force exerted on particle i. We can write Newton's Second Law once for each of the N particles, and we can sum those N equations together:

$$\sum_k \vec{F}_{1k} + \sum_k \vec{F}_{2k} + \sum_k \vec{F}_{3k} + \ldots \;\; = m_1\vec{a}_1 + m_2\vec{a}_2 + m_3\vec{a}_3 + \ldots$$

$$\sum \vec{F} = \sum_i m_i \vec{a}_i$$

where the sum on the left is the sum of all of the forces exerted on all of the particles in the system[2] and the sum over i on the right is over all of the N particles in the system. As we have already seen, the sum of all of the forces exerted on the system can be divided into separate sums over external and internal forces:

$$\sum \vec{F} = \sum \vec{F}^{ext} + \sum \vec{F}^{int}$$

and the sum over the internal forces is zero[3]. We can thus write that the sum of the external forces exerted on the system is given by:

$$\sum \vec{F}^{ext} = \sum_i m_i \vec{a}_i \tag{10.3.1}$$

We would like this equation to resemble Newton's Second Law, but for the system as a whole. Suppose that the system has a total mass, M:

$$M = m_1 + m_2 + m_3 + \cdots = \sum_i m_i$$

we would like to have an equation of the form:

$$\sum \vec{F}^{ext} = M\vec{a}_{CM} \tag{10.3.2}$$

to describe the system as a whole. However, it is not (yet) clear what is accelerating with acceleration, $\vec{a}_{CM}$, since the particles in the system could all be moving in different directions. Suppose that there is a point in the system, whose position is given by the vector, $\vec{r}_{CM}$, in such a way that the acceleration above is the second time-derivative of that position vector:

$$\vec{a}_{CM} = \frac{d^2}{dt^2}\vec{r}_{CM}$$

We can compare *Equations 10.3.1* and *10.3.2* to determine what the position vector $\vec{r}_{CM}$ corresponds to:

$$\sum \vec{F}^{ext} = \sum_i m_i \vec{a}_i = \sum_i m_i \frac{d^2}{dt^2}\vec{r}_i$$

$$\sum \vec{F}^{ext} = M\vec{a}_{CM} = M\frac{d^2}{dt^2}\vec{r}_{CM}$$

$$\therefore M\frac{d^2}{dt^2}\vec{r}_{CM} = \sum_i m_i \frac{d^2}{dt^2}\vec{r}_i$$

Re-arranging, and noting that the masses are constant in time, and so they can be factored into the derivatives:

$$\frac{d^2}{dt^2}\vec{r}_{CM} = \frac{1}{M}\sum_i m_i \frac{d^2}{dt^2}\vec{r}_i$$

$$\frac{d^2}{dt^2}\vec{r}_{CM} = \frac{d^2}{dt^2}\left(\frac{1}{M}\sum_i m_i \vec{r}_i\right)$$

$$\therefore \vec{r}_{CM} = \frac{1}{M}\sum_i m_i \vec{r}_i$$

where in the last line we set the quantities that have the same time derivative equal to each other[4]. $\vec{r}_{CM}$ is the vector that describes the position of the "center of mass" (CM). The position of the center of mass is described by Newton's Second Law applied to the system as a whole:

$$\sum \vec{F}^{ext} = M\vec{a}_{CM} \tag{10.3.3}$$

where M is the total mass of the system, and the sum of the forces is the sum over only external forces on the system.

Although we have formally derived Newton's Second Law for a system of particles, we really have been using this result throughout the text. For example, when we modeled a block sliding down an incline, we never worried that the block was made of many atoms all interacting with each other and the surroundings. Instead, we only considered the external forces on the block, namely, the normal force from the incline, any frictional forces, and the total weight of the object (the force exerted by gravity). Technically, the force of gravity is not exerted on the block as a whole, but on each of the atoms. However, when we sum the force of gravity exerted on each atom:

$$m_1\vec{g} + m_2\vec{g} + m_3\vec{g} + \cdots = (m_1 + m_2 + m_3 + \ldots)\vec{g} = M\vec{g}$$

we find that it can be modeled by considering the block as a single particle of mass M upon which gravity is exerted. The center of mass is sometimes described as the "center of gravity", because it **corresponds to the location where we can model the total force of gravity, $M\vec{g}$, as being exerted**. When we applied Newton's Second Law to the block, we then described the motion of the block as a whole (and not the motion of the individual atoms). Specifically, we modeled the motion of the center of mass of the block.

The position of the center of mass is a vector equation that is true for each coordinate:

$$\vec{r}_{CM} = \frac{1}{M}\sum_i m_i \vec{r}_i$$

$$\therefore x_{CM} = \frac{1}{M}\sum_i m_i x_i$$

$$\therefore y_{CM} = \frac{1}{M}\sum_i m_i y_i$$

$$\therefore z_{CM} = \frac{1}{M}\sum_i m_i z_i$$

The center of mass is that **position in a system that is described by Newton's Second Law when it is applied to the system as a whole**. The center of mass can be thought of as an average position for the system (it is the average of the positions of the particles in the system, weighted by their mass). By describing the position of the center of mass, we are not worried about the detailed

positions of the all of the particles in the system, but rather only the average position of the system as a whole. In other words, this is equivalent to viewing the whole system as a single particle of mass M located at the position of the center of mass.

Consider, for example, a person throwing a dumbbell that is made from two spherical masses connected by a rod, as illustrated in Figure 10.3.1. The dumbbell will rotate in a complex manner as it moves through the air. However, the center of mass of the dumbbell will travel along a parabolic trajectory (projectile motion), because the only external force exerted on the dumbbell during its trajectory is gravity.

Figure 10.3.1: The motion of the center of mass of a dumbbell is described by Newton's Second Law, even if the motion of the rotating dumbbell is more complex.

If we take the derivative with respect to time of the center of mass position, we obtain the velocity of the center of mass, and its components, which allow us to describe how the system is moving as a whole:

$$\vec{v}_{CM} = \frac{d}{dt}\vec{r}_{CM} = \frac{1}{M}\sum_i m_i \frac{d}{dt}\vec{r}_i = \frac{1}{m}\sum_i m_i \vec{v}_i$$

$$\therefore v_{CM_x} = \frac{1}{M}\sum_i m_i v_{i_x} \tag{10.3.4}$$

$$\therefore v_{CM_y} = \frac{1}{M}\sum_i m_i v_{i_y} \tag{10.3.5}$$

$$\therefore v_{CM_z} = \frac{1}{M}\sum_i m_i v_{i_z} \tag{10.3.6}$$

Note that this is the same velocity that we found earlier for the velocity of the center of mass frame of reference. In the center of mass frame of reference, the total momentum of the system is zero. This makes sense, because the center of mass represents the average position of the system; if we move “with the system”, then the system appears to have zero momentum.

We can also define the total momentum of the system, $\vec{P}$, in terms of the total mass, M, of the system and the velocity of the center of mass:

$$\vec{P} = \sum m_i \vec{v}_i = \frac{M}{M}\sum m_i \vec{v}_i$$
$$= M\vec{v}_{CM}$$

which we can also use in Newton’s Second Law:

$$\frac{d}{dt}\vec{P} = \sum \vec{F}^{ext}$$

and again, we see that the total momentum of the system is conserved if the net external force on the system is zero. In other words, the center of mass of the system will move with constant velocity when momentum is conserved.

Finally, we can also define the acceleration of the center of mass by taking the time derivative of the velocity:

$$\vec{a}_{CM} = \frac{d}{dt}\vec{v}_{CM} = \frac{1}{M}\sum_i m_i \frac{d}{dt}\vec{v}_i = \frac{1}{M}\sum_i m_i \vec{a}_i$$

$$\therefore a_{CM_x} = \frac{1}{M}\sum_i m_i a_{i_x} \tag{10.3.7}$$

$$\therefore a_{CM_y} = \frac{1}{M}\sum_i m_i a_{i_y} \tag{10.3.8}$$

$$\therefore a_{CM_z} = \frac{1}{M}\sum_i m_i a_{i_z} \tag{10.3.9}$$

Example 10.3.1

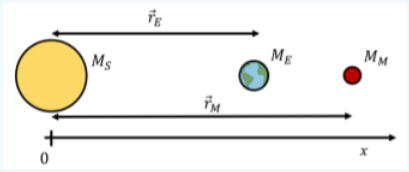

Figure 10.3.2: A syzygy between the Sun, Earth, and Mars.

In astronomy, a syzygy is defined as the event in which three bodies are all lined up along a straight line. For example, a syzygy occurs when the Sun (mass $M_S = 2.00 \times 10^{30}\text{kg}$), Earth (mass $M_E = 5.97 \times 10^{24}\text{kg}$), and Mars (mass $M_M = 6.39 \times 10^{23}\text{kg}$) are all lined up, as in Figure 10.3.2. How far from the center of the Sun is the center of mass of the Sun, Earth, Mars system during a syzygy?

Solution

Since this is a one-dimensional problem, we can define an x axis that is co-linear with the three bodies, and find only the x coordinate of the position of the center of mass. We are free to choose the origin of the coordinate system, so we choose the origin to be located at the center of the Sun. This way, the position of the center of mass along the x axis will directly correspond to its distance from the center of the Sun.

The Sun, Earth, and Mars are not point particles. However, because they are spherically symmetric, their centers of mass correspond to their geometric centers. We can thus model them as point particles with the mass of the body located at the corresponding geometric center. If $r_E = 1.50 \times 10^{11}\text{m}$ ($r_M = 2.28 \times 10^{11}\text{m}$) is the distance from the center of the Earth (Mars) to the center of the Sun, then the position of the center of mass is given by:

$$\begin{aligned} x_{CM} &= \frac{1}{M}\sum_i m_i x_i \\ &= \frac{M_S(0) + M_E r_E + M_M r_M}{M_S + M_E + M_M} \\ &= \frac{(2.00 \times 10^{30}\text{kg})(0) + (5.97 \times 10^{24}\text{kg})(1.50 \times 10^{11}\text{m}) + (6.39 \times 10^{23}\text{kg})(2.28 \times 10^{11}\text{m})}{(2.00 \times 10^{30}\text{kg}) + (5.97 \times 10^{24}\text{kg}) + (6.39 \times 10^{23}\text{kg})} \\ &= 5.21 \times 10^{5}\text{m} \end{aligned}$$

The center of mass of the Sun-Earth-Mars system during a syzygy is located approximately 500km from the center of the Sun.

Discussion

The radius of the Sun is approximately 700000km, so the center of mass of the system is well inside of the Sun. The Sun is so much more massive than either of the Earth or Mars, that the two planets hardly contribute to shifting the center of mass away from the center of the Sun. We would generally consider the masses of the two planets to be negligible if one wanted to model how the solar system itself moves around the Milky Way galaxy.

Example 10.3.2

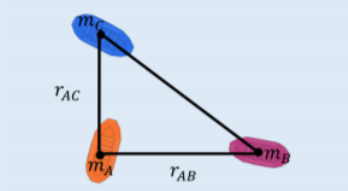

Figure 10.3.3: Three people on rafts on a lake.

Alice (mass m_A), Brice (mass m_B), and (mass m_C) are stranded on individual rafts of negligible mass on a lake, off of the coast of Nyon. The rafts are located at the corners of a right-angle triangle, as illustrated in Figure 10.3.3, and are connected by ropes. The distance between Alice and Brice is r_{AB} and the distance between Alice and is r_{AC}, as illustrated. Alice decides to pull on the rope that connects her to , while Brice decide to pull on the rope that connects him to Alice. Where will the three rafts meet?

Solution

We consider the system comprised of the three people and their rafts and model each person and their raft as a point particle with the mass concentrated at the center of the raft. The forces exerted by pulling on the ropes are internal forces (one particle on the other), and will thus have no impact on the motion of the center of mass of the system. There are no net external forces exerted on the system (the forces of gravity are balanced out by the forces of buoyancy from the rafts). The center of mass of the system does not move when the people are pulling on the ropes, so they must ultimately meet at the center of mass.

We can define a coordinate system such that the origin is located where Alice is initially located, the x axis is in the direction from Alice to Brice, and the y axis is in the direction from Alice to Chloë. The initial positions of Alice, Brice, and are thus:

$$\begin{aligned}\vec{r}_A &= 0\hat{x} + 0\hat{y} \\ \vec{r}_B &= r_{AB}\hat{x} + 0\hat{y} \\ \vec{r}_C &= 0\hat{x} + r_{AC}\hat{y}\end{aligned}$$

respectively. The x and y coordinates of the center of mass are thus:

$$x_{CM} = \frac{1}{M}\sum_i m_i x_i = \frac{m_A(0) + m_B r_{AB} + m_C(0)}{m_A + m_B + m_C} = \left(\frac{m_B}{m_A + m_B + m_C}\right) r_{AB}$$

$$y_{CM} = \frac{1}{M}\sum_i m_i y_i = \frac{m_A(0) + m_B(0) + m_C r_{AC}}{m_A + m_B + m_C} = \left(\frac{m_C}{m_A + m_B + m_C}\right) r_{AC}$$

which corresponds to the position where the three rafts will meet, relative to the initial position of Alice.

Discussion

By using the center of mass, we easily found where the three rafts would meet. If we had used Newton's Second Law on the three rafts individually, the model would have been complicated by the fact that the forces exerted by Alice and Brice on the ropes change direction as the rafts begin to move, which would have required the use of integrals to determine the motion of each person.

The center of mass for a continuous object

So far, we have considered the center of mass for a system made of point particles. In this section, we show how one can determine the center of mass for a "continuous object"[5]. We previously argued that if an object is uniform and symmetric, its center of mass will be located at the center of the object. Let us show this explicitly for a uniform rod of total mass M and length L, as depicted in Figure 10.3.4

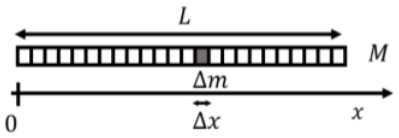

Figure 10.3.4: A rod of length L and mass M.

In order to determine the center of mass of the rod, we first model the rod as being made of N small "mass elements" each of equal mass, Δm, and of length Δx, as shown in Figure 10.3.4 If we choose those mass elements to be small enough, we can model them as point particles, and use the same formulas as above to determine the center of mass of the rod.

We define the x axis to be co-linear with the rod, such that the origin is located at one end of the rod. We can define the "linear mass density" of the rod, λ, as the mass per unit length of the rod:

$$\lambda = \frac{M}{L}.$$

A small mass element of length Δx, will thus have a mass, Δm, given by:

$$\Delta m = \lambda \Delta x$$

If there are N mass elements that make up the rod, the x position of the center of mass of the rod is given by:

$$\begin{aligned} x_{CM} &= \frac{1}{M}\sum_i^N m_i x_i = \frac{1}{M}\sum_i^N \Delta m x_i \\ &= \frac{1}{M}\sum_i^N \lambda \Delta x x_i \end{aligned}$$

where x_i is the x coordinate of the i-th mass element. Of course, we can take the limit over which the length, Δx, of each mass element goes to zero to obtain an integral:

$$x_{CM} = \lim_{\Delta x \to 0} \frac{1}{M}\sum_i^N \lambda \Delta x x_i = \frac{1}{M}\int_0^L \lambda x dx$$

where the discrete variable x_i became the continuous variable x, and Δx was replaced by dx (which is the same, but indicates that we are taking the limit of $\Delta x \to 0$). The integral is easily found:

$$\begin{aligned} x_{CM} &= \frac{1}{M}\int_0^L \lambda x dx = \frac{1}{M}\lambda\left[\frac{1}{2}x^2\right]_0^L \\ &= \frac{1}{M}\lambda\frac{1}{2}L^2 = \frac{1}{M}\left(\frac{M}{L}\right)\frac{1}{2}L^2 \\ &= \frac{1}{2}L \end{aligned}$$

where we substituted the definition of λ back in to find, as expected, that the center of mass of the rod is half its length away from one of the ends.

Suppose that the rod was instead not uniform and that its linear density depended on the position x along the rod:

$$\lambda(x) = 2a + 3bx$$

We can still find the center of mass by considering an infinitesimally small mass element of mass dm, and length dx. In terms of the linear mass density and length of the mass element, dx, the mass dm is given by:

$$dm = \lambda(x) dx$$

The x position of the center of mass is thus found the same way as before, except that the linear mass density is now a function of x:

$$x_{CM} = \frac{1}{M}\int_0^L \lambda(x)x\,dx = \frac{1}{M}\int_0^L (2a+3bx)x\,dx = \frac{1}{M}\int_0^L (2ax+3bx^2)dx$$
$$= \frac{1}{M}\left[ax^2+bx^3\right]_0^L$$
$$= \frac{1}{M}(aL^2+bL^3)$$

In general, for a continuous object, the position of the center of mass is given by:

$$\vec{r}_{CM} = \frac{1}{M}\int \vec{r}\,dm$$
$$\therefore x_{CM} = \frac{1}{M}\int x\,dm \tag{10.3.10}$$
$$\therefore y_{CM} = \frac{1}{M}\int y\,dm \tag{10.3.11}$$
$$\therefore z_{CM} = \frac{1}{M}\int z\,dm \tag{10.3.12}$$

where in general, one will need to write dm in terms of something that depends on position (or a constant) so that the integrals can be evaluated over the spatial coordinates (x,y,z) over the range that describe the object. In the above, we wrote $dm = \lambda dx$ to express the mass element in terms of spatial coordinates.

Example 10.3.3

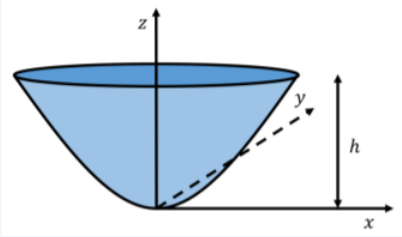

Figure 10.3.5: A symmetric bowl with parabolic sides is completely filled with water. The bowl has a height h.

A bowl of height h has parabolic sides and a circular cross-section, as illustrated in Figure 10.3.5. The bowl is filled with water. The bowl itself has a negligible mass and thickness, so that the mass of the full bowl is dominated by the mass of the water. Where is the center of mass of the full bowl?

Solution

We can define a coordinate system such that the origin is located at the bottom of the bowl and the z axis corresponds to the axis of symmetry of the bowl. Because the bowl is full of water, and the bowl itself has negligible mass, we can model the full bowl as a uniform body of water with the same shape as the bowl and (volume) mass density ρ equal to the density of water. Furthermore, by symmetry, the center of mass of the bowl will be on the z axis.

Because the bowl has a circular cross-section, we can divide it up into disk-shaped mass elements, dm, that have an infinitesimally small height dz, and a radius $r(z)$, that depends on their z coordinate (Figure 10.3.5).

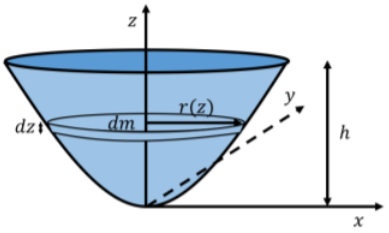

Figure 10.3.6: The parabolic bowl divided up into disk-shaped mass elements, dm, that have an infinitesimally small height dz, and a radius $r(z)$, that depends on their z coordinate.

The center of mass of each disk-shaped mass element will be located where the corresponding disk intersects the z axis. The mass of one disk element is given by:

$$dm = \rho dV = \rho\pi r^2(z)dz$$

where $dV = \pi r(z)^2 dz$ is the volume of the disk with radius $r(z)$ and thickness dz. The radius of the infinitesimal disk depends on its z position, since the radii of the different disks must describe a parabola:

$$\begin{aligned} z(r) &= \frac{1}{a^2}r^2 \\ r(z) &= a\sqrt{z} \\ \therefore dm &= \rho\pi r^2(z)dz = \rho\pi a^2 z dz \end{aligned}$$

where we introduced the constant a so that the dimensions are correct. The constant a determines how "steep" the parabolic sides are. The z coordinate of the center of mass is thus given by:

$$\begin{aligned} z_{CM} &= \frac{1}{M}\int z dm = \frac{1}{M}\int_0^h z(\rho\pi a^2 z dz) = \frac{\rho\pi a^2}{M}\int_0^h z^2 dz \\ &= \frac{\rho\pi a^2}{M}\left[\frac{1}{3}z^3\right]_0^h \\ &= \frac{\rho\pi a^2}{3M}h^3 \end{aligned}$$

However, we are not quite done, since we do not know the total mass, M, of the water. To find the total mass of water, M, we proceed in an analogous way, and determine the value of the sum (integral) of all of the mass elements:

$$M = \int dm = \int_0^h \rho\pi a^2 z dz = \rho\pi a^2\left[\frac{1}{2}z^2\right]_0^h = \frac{1}{2}\rho\pi a^2 h^2$$

Substituting this value for M, we can determine the z coordinate of the center of mass of the full bowl:

$$z_{CM} = \frac{\rho\pi a^2}{3M}h^3 = \frac{2\rho\pi a^2}{3\rho\pi a^2 h^2}h^3 = \frac{2}{3}h$$

Regardless of the actual shape of the parabola (the parameter a), the center of mass will always be two thirds of the way up from the bottom of the bowl.

Discussion

In determining the center of mass of a three dimensional object, we used symmetry to argue that the x and y coordinates would be zero. We then found the z position of the center of mass by dividing up the bowl into infinitesimally small mass elements (disks) along the direction in which we needed to find the center of mass coordinate.

Exercise 10.3.1

True or False: The center of mass of a continuous object is always located within the object.

A. True
B. False

Answer

Footnotes

1. In reality, even atoms in a solid can move relative to each other, but they do not move by large amounts compared to the object.

2. Again, we are summing together forces that are acting on **different** particles.

3. Recall, the internal forces are those forces that particles in the system are exerting on one another. Because of Newton's Third Law, these will sum to zero.

4. Technically, the terms in the derivatives are only equal to within two constants of integration, $\vec{r}_{CM} = \frac{1}{M}\sum_i m_i \vec{r}_i + at + b$, which we can set to zero.

5. In reality, there are of course no continuous objects since, at the atomic level, everything is made of particles.

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10.4: Summary

Key Takeaways

The momentum vector, $\vec{p}$, of a point particle of mass, m, with velocity, $\vec{v}$, is defined as:

$$\vec{p} = m\vec{v}$$

We can write Newton's Second Law for a point particle in term of its momentum:

$$\frac{d}{dt}\vec{p} = \sum \vec{F} = \vec{F}^{net}$$

where the net force on the particle determines the rate of change of its momentum. In particular, if there is no net force on a particle, its momentum will not change.

The net impulse vector, $\vec{J}^{net}$, is defined as the net force exerted on a particle integrated from a time t_A to a time t_B:

$$\vec{J}^{net} = \int_{t_A}^{tB} \vec{F}^{net} dt$$

The net impulse vector is also equal to the change in momentum of the particle in that same period of time:

$$\vec{J}^{net} = \Delta\vec{p} = \vec{p}_B - \vec{p}_A$$

When we define a system of particles, we can distinguish between internal and external forces. Internal forces are those forces exerted by the particles in the system on each other. External forces are those forces on the particles in the system that are not exerted by the particles on each other. The sum over all of the forces on all of the particles in the system will be equal to the sum over the external forces, because the sum over all internal forces on a system is always zero (Newton's Third Law).

The total momentum of a system, $\vec{P}$, is the sum of the momenta, $\vec{p}_i$, of all of the particles in the system:

$$\vec{P} = \sum \vec{p}_i$$

The rate of change of the momentum of a system is equal to the sum of the external forces exerted on the system:

$$\frac{d}{dt}\vec{P} = \sum \vec{F}^{ext}$$

which can be thought of as an equivalent description as Newton's Second Law, but for the system as a whole. If the net (external) force on a system is zero, then the total momentum of the system is conserved.

Collisions are those events when the particles in a system interact (e.g. by colliding) and change their momenta. When modeling collisions, it is usually beneficial to first define a system for which the total momentum is conserved before and after the collision.

Collisions can be elastic or inelastic. Elastic collisions are those where, in addition to the total momentum, the total mechanical energy of the system is conserved. The total mechanical energy can usually be taken as the sum of the kinetic energies of the particles in the system.

Inelastic collisions are those in which the total mechanical energy of the system is not conserved. One can usually identify if mechanical energy was introduced or removed from the system and determine if the collision is elastic. It is important to identify when momentum and mechanical energy are conserved. Momentum is conserved if no net force is exerted on the system, whereas mechanical energy is conserved if no net work was done on the system by non-conservative forces (internal or external) or by external conservative forces.

We can always choose in which frame of reference to model a collision. In some cases, it is convenient to use the frame of reference of the center of mass of the system, because in that frame of reference, the total momentum of the system is zero.

If a system has a total mass M, then one can use Newton's Second Law to describe its motion:

$$\sum \vec{F}^{ext} = M\vec{a}_{CM}$$
$$\sum \vec{F}^{ext} = \frac{d}{dt}\vec{P}$$

where the sum of the forces is over all of the external forces on the system. The acceleration vector, $\vec{a}_{CM}$, describes the motion of the "center of mass" of the system. $\vec{P} = M\vec{v}_{CM}$ is the total momentum of the system.

The center of mass of a system is a mass-weighted average of the positions of all of the particles of mass m_i and position $\vec{r}_i$ that comprise the system:

$$\vec{r}_{CM} = \frac{1}{M}\sum_i m_i\vec{r}_i$$

The vector equation can be broken into components to find the x, y, and z component of the position of the center of mass. Similarly, one can also define the velocity of the center of mass of the system, in terms of the individual velocities, $\vec{v}_i$, of the particles in the system:

$$\vec{v}_{CM} = \frac{1}{M}\sum_i m_i\vec{v}_i$$

Finally, one can define the acceleration of the center of mass of the system, in terms of the individual accelerations, $\vec{a}_i$, of the particles in the system:

$$\vec{a}_{CM} = \frac{1}{M}\sum_i m_i\vec{a}_i$$

If the system is a continuous object, we can find its center of mass using a sum (integral) of infinitesimally small mass elements, dm, weighted by their position:

$$\vec{r}_{CM} = \frac{1}{M}\int \vec{r}dm$$
$$\therefore x_{CM} = \frac{1}{M}\int xdm$$
$$\therefore y_{CM} = \frac{1}{M}\int ydm$$
$$\therefore z_{CM} = \frac{1}{M}\int zdm$$

The strategy to set up the integrals above is usually to express the mass element, dm, in terms of the position and density of the material of which the object is made. One can then integrate over position in the range defined by the dimensions of the object.

Important Equations

Momentum of a point particle:

$$\vec{p} = m\vec{v}$$
$$\frac{d}{dt}\vec{p} = \sum \vec{F} = \vec{F}^{net}$$

Impulse:

$$\vec{J}^{net} = \int_{t_A}^{tB} \vec{F}^{net}dt$$
$$\vec{J}^{net} = \Delta\vec{p} = \vec{p}_B - \vec{p}_A$$

Momentum of a system:

$$\vec{P} = \sum \vec{p}_i$$

$$\frac{d}{dt}\vec{P} = \sum \vec{F}^{ext}$$

Newton's Second Law for a system:

$$\sum \vec{F}^{ext} = M\vec{a}_{CM}$$

$$\sum \vec{F}^{ext} = \frac{d}{dt}\vec{P}$$

Position of the Center of Mass of a system:

$$\vec{r}_{CM} = \frac{1}{M}\sum_i m_i \vec{r}_i$$

Velocity of the Center of Mass of a system:

$$\vec{v}_{CM} = \frac{1}{M}\sum_i m_i \vec{v}_i$$

Acceleration of the Center of Mass of a system:

$$\vec{a}_{CM} = \frac{1}{M}\sum_i m_i \vec{a}_i$$

Position of the Center of Mass for a continuous object:

$$\vec{r}_{CM} = \frac{1}{M}\int \vec{r} dm$$

$$\therefore x_{CM} = \frac{1}{M}\int x dm$$

$$\therefore y_{CM} = \frac{1}{M}\int y dm$$

$$\therefore z_{CM} = \frac{1}{M}\int z dm$$

Important Definitions

Definition

Momentum: The product of velocity and mass. SI units: $[\text{kgms}^{-1}]$. Common variable(s): $\vec{p}$.

Definition

Impulse: A property of matter which describes an object's resistance to rotational motion. SI units: $[\text{Ns}]$. Common variable(s): $\vec{J}$.

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10.5: Thinking about the material .

Reflect and research

1. Explain how Newton's Cradle illustrates the conservation of momentum. Are the collisions in Newton's Cradle elastic? Explain!
2. Gymnasts have specially engineered "crash mats" for landing after doing spins and flips in the air. Why do these crash mats have to be specially engineered, and why can't the gymnast just use a big pile of blankets?
3. Give 2 examples where the center of mass of an object is not located inside of the object.
4. The Volvo XC60 is supposedly the safest car in the world that money can buy. Why is this?
5. In the boxing world, boxers try to "ride the punch". Research and explain how this method helps boxers to reduce injuries.

To try at home

1. Grab two or three of your friends and ask them to hold a bed sheet. Throw an egg at full speed onto the bed sheet. What happens to the egg, and why?
2. Verify that in a 1 one-dimensional elastic collision between two objects of the same mass, if one object starts at rest, the other object will end at rest after the collision (look up Newton's Cradle to get an idea).

To try in the lab

1. Propose an experiment to test whether a collision is elastic.
2. Propose an experiment to test whether momentum is conserved in a two dimensional collision.
3. Design a technique which measures the center of mass of an arbitrary 3D object.

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10.6: Sample problems and solutions

Exercise 10.6.1

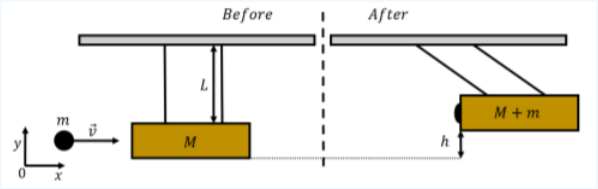

Figure 10.6.1: A bullet of mass m strikes and embeds itself into a ballistic pendulum of mass M.

A ballistic pendulum is a device that can be built to measure the speed of a projectile. The pendulum is constructed such that the projectile is fired at the bob of the pendulum (typically a block of wood) which then swings as illustrated in Figure 10.6.1, with the projectile embedded within. By measuring the height that is reached by the pendulum's bob, one can determine the speed of the projectile before it collided with the pendulum. If a ballistic pendulum with a mass M suspended at the end of strings of length L is observed to rise by a height h after being struck by a bullet of mass m, how fast was the bullet moving?

Answer

We can model this situation by dividing it into three phases:

1. Before the bullet collides with the pendulum, only the bullet has momentum in the x direction.
2. Immediately after the **inelastic** collision, the bullet and pendulum form a combined object of mass $M+m$ that has the same momentum as the bullet, in the x direction, before the pendulum starts to swing upwards.
3. The pendulum with the embedded bullet swings upwards until its kinetic energy is zero.

The collision between the bullet and pendulum is inelastic, because some of the kinetic energy of the bullet is used to deform the bullet and the pendulum. In general, any collision where two objects end up "stuck together" is inelastic.

In order to model the pendulum's motion we first apply conservation of momentum to determine the speed, v', of the pendulum and embedded bullet just after the collision. Applying conservation of momentum in the x direction to the system formed by the pendulum and the bullet, just before and after the collision, we have:

$$\begin{aligned} P &= mv \\ P' &= (M+m)v' \\ \therefore mv &= (M+m)v' \\ \therefore v' &= \frac{m}{m+M}v \end{aligned}$$

where P and P' are the initial and final momenta of the system, respectively. The pendulum with the bullet embedded in it will thus have a speed of v' at the bottom of the pendulum's motion, before it swings upwards.

We can now use conservation of energy to model the swinging motion since, at that point, only tension and gravity act on the pendulum, and there are no non-conservative forces. If we choose the origin to be the location of the pendulum at the bottom of its trajectory, its initial gravitational potential energy is zero and its initial mechanical energy, E, is given by:

$$E = \frac{1}{2}(m+M)v'^2$$

At the top of the trajectory, the pendulum with the embedded bullet will stop and have no kinetic energy. The mechanical energy at the top of the trajectory, E', is thus equal to the gravitational potential energy of the pendulum at a height h above the origin:

$$E' = (m+M)gh$$

Applying conservation of mechanical energy allows us to find the initial speed of the bullet:

$$E = E'$$

$$\frac{1}{2}(m+M)v'^2 = (m+M)gh$$

$$v'^2 = 2gh$$

$$\left(\frac{m}{m+M}v\right)^2 = 2gh$$

$$\therefore v = \frac{m+M}{m}\sqrt{2gh}$$

where is the second last line we used the expression for v' that we obtained from conservation of momentum.

Discussion

This example showed a situation in which momentum and energy were both conserved, but not at the same time. This example also highlighted how, by using conservation laws, one can derive models that are much easier to solve mathematically than if one had to model all of the forces involved.

Exercise 10.6.2

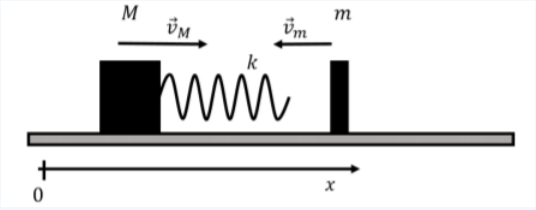

Figure 10.6.2: One block attached to a spring about to collide with another block.

A block of mass M with a spring of spring constant k attached to it is sliding on a frictionless surface with velocity $\vec{v}_M$ in the x direction. A second block of mass m has velocity $\vec{v}_m$ also in the x direction (shown above in the negative x direction, but let us assume that we do not necessarily know the direction, only that the two blocks will collide). During the collision between the blocks, what is the maximum amount by which the spring is compressed?

Answer

The collision is elastic because the energy used to compress the spring is “given back” when the spring extends again, since the spring force is conservative.

They key to modeling the compression of the spring is to identify the condition under which the spring is maximally compressed. This will occur at the point during the collision where the two masses will have exactly the same velocity, momentarily moving in unison as the spring is maximally compressed. Because, instantaneously, the masses have the same velocity, there is a frame of reference in which the two masses are at rest, and the momentum is zero. Of course, that frame of reference is the center of mass frame of reference.

Because the collision is one-dimensional, we can calculate the velocity of the center of mass as:

$$v_{CM} = \frac{Mv_M + mv_m}{m+M}$$

where we note that v_m is a negative number, since the block of mass m is moving in the negative x direction. The total momentum, $\vec{P}^{CM}$, in the center of mass frame of reference must be zero. Writing this out for the x component and transforming the velocities of the two blocks into the center of mass frame of reference:

$$P_x^{CM} = M(v_M - v_{CM}) + m(v_m - v_{CM}) = 0$$

$$\therefore (v_m - v_{CM}) = -\frac{M}{m}(v_M - v_{CM})$$

Also note that we can write the velocity difference $v_M - v_{CM}$ without using the center of mass velocity:

$$\begin{aligned} v_M - v_{CM} &= v_M - \frac{Mv_M + mv_m}{m+M} = \frac{1}{m+M}\left(v_M(m+M) - Mv_M - mv_m\right) \\ &= \frac{m}{m+M}(v_M - v_m) \end{aligned}$$

We can then use conservation of energy in the center of mass frame to determine the maximal compression of the spring. Before the collision, the total mechanical energy in the system, E, is the sum of the kinetic energies of the two blocks (as the spring is not compressed):

$$\begin{aligned} E &= \frac{1}{2}m(v_m - v_{CM})^2 + \frac{1}{2}M(v_M - v_{CM})^2 \\ &= \frac{1}{2}\frac{M^2}{m}(v_M - v_{CM})^2 + \frac{1}{2}M(v_M - v_{CM})^2 \\ &= \frac{1}{2}M\left(1 + \frac{M}{m}\right)(v_M - v_{CM})^2 \\ &= \frac{1}{2}M\left(\frac{m+M}{m}\right)(v_M - v_{CM})^2 \\ &= \frac{1}{2}M\left(\frac{m+M}{m}\right)\left(\frac{m}{m+M}(v_M - v_m)\right)^2 \\ &= \frac{1}{2}\left(\frac{mM}{m+M}\right)(v_M - v_m)^2 \end{aligned}$$

where we used our expressions above to simplify the expression. When the spring is maximally compressed, the two blocks are at rest and the mechanical energy of the system, E', is all "stored" as spring potential energy:

$$E' = \frac{1}{2}kx^2$$

where x is the distance by which the spring is compressed. Equating the two allows us to determine the maximal compression of the spring:

$$\begin{aligned} E &= E' \\ \frac{1}{2}\left(\frac{mM}{m+M}\right)(v_M - v_m)^2 &= \frac{1}{2}kx^2 \\ \therefore x &= \sqrt{\frac{1}{k}\left(\frac{mM}{m+M}\right)}(v_M - v_m) \end{aligned}$$

Discussion

By modeling the collision in the center of mass frame of reference, we were easily able to determine the maximal compression of the spring. This would have been more difficult in the lab frame of reference, because the two blocks would still be moving when the spring is maximally compressed, so we would have needed to determine their speeds to determine the total mechanical energy when the spring is compressed.

When we calculated the initial kinetic energy, we found that it was given by:

$$E = \frac{1}{2}\left(\frac{mM}{m+M}\right)(v_M - v_m)^2 = \frac{1}{2}M_{red}(v_M - v_m)^2$$

The combination of masses in parentheses is called the "reduced mass" of the system, and is a sort of effective mass that can be used to model the system as a whole.

Exercise 10.6.3

A uniform wire is bent into a semi-circle of radius R. Where is the center of mass of the wire?

Answer

The curved wire is illustrated in Figure 10.6.3 along with a small mass element, dm, on the wire, and our choice of coordinate system (centered at the center of the semi-circle). By symmetry, the position of the center of mass will be located at $x = 0$, so we only need to determine the y position.

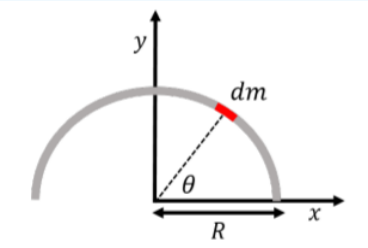

Figure 10.6.3: A uniform wire bent into a semi circle of radius R, and a small mass element, dm, on the wire.

The y position of the center of mass is given by:

$$y_{CM} = \frac{1}{M}\int y dm$$

where M is the total mass of the wire. We can define the mass per unit length, λ, for the wire as:

$$\lambda = \frac{M}{\pi R}$$

We will choose to integrate the equation for the y position of the center of mass over θ (from 0 to π), instead of over y, as it will make the integral easier (it is easier to express dm in terms of $d\theta$ than dy because the wire is curved). θ is the angle at which the mass element is located. The mass element forms an arc on the wire of length ds that subtends an angle $d\theta$. The two are related by:

$$ds = Rd\theta$$

The mass element, dm, can then be expressed in terms of the mass per unit length of the wire and the length, $Rd\theta$, of the mass element:

$$dm = \lambda ds = \lambda R d\theta$$

We also need to express the y position of the mass element using θ:

$$y = R\sin\theta$$

Now that we have expressed dm and y in terms of θ, we can determine the y position of the center of mass:

$$\begin{aligned} y_{CM} &= \frac{1}{M}\int y dm = \frac{1}{M}\int_0^\pi R\sin\theta\lambda R d\theta \\ &= \frac{R^2\lambda}{M}\int_0^\pi \sin\theta d\theta = \frac{R^2\lambda}{M}\left[-\cos\theta\right]_0^\pi \\ &= \frac{2R^2\lambda}{M} = \frac{2R}{\pi} \end{aligned}$$

where in the last equality, we used the expression for the mass per unit length, λ, obtained above.

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CHAPTER OVERVIEW

11: Rotational dynamics

Learning Objectives

- Understand how to use vector quantities for describing the kinematics of rotations.
- Understand how to use torque to determine the angular acceleration of an object.
- Understand conditions for static and dynamic equilibrium.
- Understand how to determine the moment of inertia of an object.

In this Chapter, we use Newton's Second Law to develop a formalism to describe how objects rotate. In particular, we will introduce the concept of torque which plays a similar role to that of force in non-rotational dynamics. We will also introduce the concept of moment of inertia to describe how objects resist rotational motion.

prelude

A construction worker would like to lift one end of a heavy block from the ground using a bar propped against a rock on the ground as a lever. Should he place the rock close or far from the block to make it easier to lift the block?

A. It will be easier to lift the block if the rock is close to the block.
B. It will be easier to lift the block if the rock is far from the block.
C. It does not matter where he places the rock, as long as the bar is short.
D. It does not matter where he places the rock, as long as the bar is long.

11.1: Rotational kinematic vectors
11.2: Rotational dynamics for a single particle
11.3: Torque
11.4: Rotation about an axis versus rotation about a point .
11.5: Rotational dynamics for a solid object
11.6: Moment of Inertia
11.7: Equilibrium
11.8: Summary
11.9: Thinking about the material
11.10: Sample problems and solutions

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11.1: Rotational kinematic vectors

Before proceeding, you may wish to review:

review topics

- Section 4.4 on kinematics for circular motion.
- Section A1.3 on the vector product.
- Section A1.4 on axial vectors and their use in defining rotational quantities.

Scalar rotational kinematic quantities

Recall that we can describe the motion of a particle along a circle of radius, R, by using its angular position, θ, its angular velocity, ω, and its angular acceleration, α. With a suitable choice of coordinate system, the angular position can be defined as the angle made by the position vector of the particles, $\vec{r}$, and the x axis of a coordinate system whose origin is the center of the circle, as shown in Figure 11.1.1.

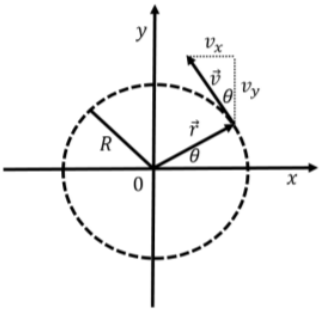

Figure 11.1.1: Angular position for a particle moving around the z axis (out of the page), along a circle of radius R with a center at the origin.

The angular velocity, ω, is the rate of the change of the angular position, and the angular acceleration, α, is the rate of change of the angular velocity:

$$\omega = \frac{d}{dt}\theta$$

$$\alpha = \frac{d}{dt}\omega$$

If the angular acceleration is constant, then angular velocity and position as a function of time are given by:

$$\omega(t) = \omega_0 + \alpha t$$

$$\theta(t) = \theta_0 + \omega_0 t + \frac{1}{2}\alpha t^2$$

where θ_0 and ω_0 are the angular position and velocity, respectively, at $t = 0$.

We can also describe the motion of the particle in terms of "linear" quantities (as opposed to "angular" quantities) along a one-dimensional axis that is curved along the circle. If s is the distance along the circumference of the circle, measured counter-clockwise from where the circle intersects the x axis, then it is related to the angular displacement:

$$s = R\theta$$

if θ is expressed in radians. Similarly, the linear velocity along the s axis, v_s, and the corresponding acceleration, a_s, are given by:

$$v_s = \frac{ds}{dt} = \frac{d}{dt}R\theta = R\omega$$

$$a_s = \frac{dv}{dt} = \frac{d}{dt}R\omega = R\alpha$$

where the radius of the circle, R, is a constant that can be taken out of the time derivatives. For motion along a circle, the velocity vector, $\vec{v}$, of the particle is always tangent to the circle (Figure 11.1.1), so v_s corresponds to the speed of the particle. The acceleration vector, $\vec{a}$, is in general not tangent to the circle; a_s represents the component of the acceleration vector that is tangent to the circle. If $a_s = 0$, then $\alpha = 0$, and the particle is moving with a constant speed (uniform circular motion), and the acceleration vector points towards the center of the circle.

? Exercise 11.1.1

Which of the following statements correctly describes the speeds at points A and B on the disk rotating about an axis through its center, as illustrated in *Figure* 11.1.2?

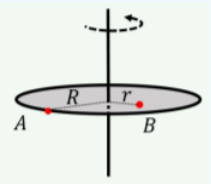

Figure 11.1.2: Two points at different radii on a rotating disk.

A. Both points A and B have the same angular and linear speeds.
B. Both points A and B have the same linear speed but they have different angular speeds.
C. Both points A and B have the same angular speed but they have different linear speeds.

Answer

Vector rotational kinematic quantities

In the previous section, we defined angular quantities to describe the motion of a particle about the z axis along a circle of radius R that lies in the xy plane. By using vectors, we can define the angular quantities for rotation about an **axis that can point in any direction**. Given an axis of rotation, the path of any particle rotating about that axis can be described by a circle that lies in the plane perpendicular to that axis of rotation, as illustrated in Figure 11.1.3.

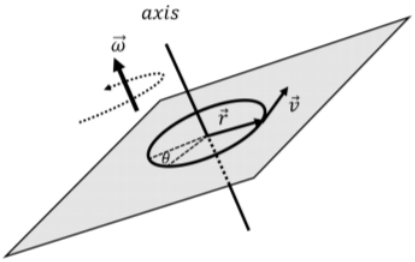

Figure 11.1.3: Defining the vector $\vec{r}$ and the angular velocity, $\vec{w}$ for a particle with velocity $\vec{v}$ rotating about an axis in a general direction.

We define the vector, $\vec{r}$, for a particle to be the vector that goes from the axis of rotation to the particle and is in a plane perpendicular to the axis of rotation, as in Figure 11.1.3. Given the velocity vector of the particle, $\vec{v}$, we define its angular velocity vector, $\vec{\omega}$, **about the axis of rotation**, as:

$$\vec{w} = \frac{1}{r^2}\vec{r} \times \vec{v} \tag{11.1.1}$$

The angular velocity vector is perpendicular to both the velocity vector and the vector $\vec{r}$, since it is defined as their cross-product. Thus, the **angular velocity vector is co-linear with the axis of rotation**. By using the angular velocity vector, we can specify **the direction of the axis of rotation as well as the direction in which the particle is rotating about that axis**. The direction of rotation is given by the right hand rule for axial vectors: when you point your thumb in the same direction as the angular velocity vector, the direction of rotation is the direction that your fingers point when you curl them, as illustrated in Figure 11.1.4.

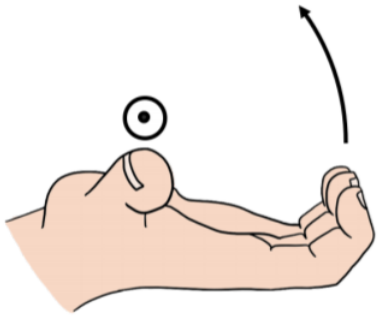

Figure 11.1.4: Using the right hand rule for axial vectors. In this case, the direction of rotation is counter clockwise when looking at the page (the direction that the fingers curl), so the rotation vector points out of the page (the direction of the thumb).

This definition of the angular velocity is consistent with the description from the previous section for motion about a circle of radius R that lies in the xy plane, as in Figure 11.1.1. In that case, the magnitude of the angular velocity is given by:

$$\omega = \frac{1}{r^2}||\vec{r}\times\vec{v}|| = \frac{1}{r^2}rv\sin\phi = \frac{v}{R}$$

$$\therefore v = R\omega$$

where ϕ is the angle between the vectors $\vec{r}$ and $\vec{v}$ (90° for motion around a circle). The direction of the angular velocity in Figure 11.1.1 is in the positive z direction, which corresponds to counter-clockwise rotation about the z axis.

? Exercise 11.1.2

You push on the right-hand side of a door to open it, as the door's hinges are on the left. The angular velocity vector of the door is:

A. Upwards
B. Downwards
C. Forwards
D. Backwards

Answer

TBA

One can always define an angular velocity vector **relative to a point of rotation**, even if the particle is not moving along a circle. If we define the vector $\vec{r}$ to be the vector from the point of rotation to the particle, then the angular velocity vector describes the motion of the particle as if it were instantaneously moving in a circle centered at the point of rotation, in a plane given by the vectors $\vec{r}$ and $\vec{v}$.

Consider, for example, the particle in Figure 11.1.5 which is moving in a straight line with a velocity vector in the xy plane at a position $\vec{r}$ relative to the origin. We can define its angular velocity vector relative to the origin, which will be in the positive z direction.

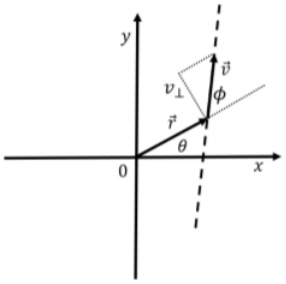

Figure 11.1.5: Angular position for a particle moving in a straight line.

The angular velocity describes the motion of the particle as if it were **instantaneously moving along a circle of radius r centered about the origin**. The angular velocity is related to the component of $\vec{v}$, $v_\perp$, that is perpendicular to $\vec{r}$ (which is the component

tangent to the circle of radius r, in Figure 11.1.5):

$$||\vec{w}|| = \frac{1}{r^2}||\vec{r} \times \vec{v}|| = \frac{v \sin \varphi}{r} = \frac{v_{\perp}}{r} \tag{11.1.2}$$

where ϕ is the angle between $\vec{r}$ and $\vec{v}$.

Similarly, we can define the angular acceleration vector, $\vec{\alpha}$, about an axis of rotation:

$$\vec{\alpha} = \frac{1}{r^2}\vec{r} \times \vec{a} \tag{11.1.3}$$

where $\vec{a}$ is the particle's acceleration vector, and $\vec{r}$ is the vector from the axis of rotation to the particle. The direction of the angular acceleration is co-linear with the axis of rotation and the right-hand rule gives the rotational direction of the angular acceleration. We can also define the angular acceleration about a point; in that case, the direction of the vector will define an instantaneous axis of rotation about a circle of radius r centered at the point as well as the direction of the angular acceleration about that axis.

Finally, we can define an angular displacement vector, $\vec{\theta}$, relative to an axis of rotation. The direction of the angular displacement vector will be co-linear with the axis of rotation, its direction will indicate the direction of rotation about that axis, and its magnitude (in radians) will correspond to the angular displacement (as shown in *Figure* 11.1.3). We can only relate the angular displacement vector to an infinitesimal linear displacement vector, $d\vec{s}$, since the position vector $\vec{r}$ from the axis of rotation will be different at each end of the displacement vector if the displacement is large. The infinitesimal angular displacement vector that corresponds to an infinitesimal displacement vector, $d\vec{s}$, is defined as:

$$d\vec{\theta} = \frac{1}{r^2}\vec{r} \times d\vec{s}$$

? Exercise 11.1.3

Which statement is correct regarding an ant on a disk that is rotating slower and slower as illustrated?

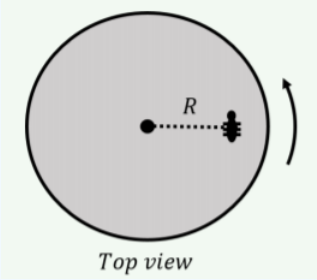

Figure 11.1.6: An ant on a disk.

A. The angular velocity points into the page and the angular acceleration points out of the page.
B. Both the angular velocity and acceleration point into the page.
C. Both the angular velocity and acceleration point out of the page.
D. The angular acceleration points into the page and the angular velocity points out of the page.

Answer

The instantaneous angular velocity vector is the rate of change of the angular displacement vector:

$$\vec{\omega} = \frac{d\vec{\theta}}{dt} = \frac{d}{dt}\frac{1}{r^2}\vec{r} \times d\vec{s} = \frac{1}{r^2}\vec{r} \times \vec{v}_s$$

where $\vec{v}_s$ is the (instantaneous) tangential velocity around the circle (i.e. the component of the velocity $\vec{v}$ that is perpendicular to $\vec{r}$). The angular acceleration vector is the rate of change of the angular velocity vector:

$$\vec{\alpha} = \frac{d}{dt}\vec{\omega}$$

Given the angular kinematic quantities, the related linear quantities at a position $\vec{r}$ from the axis of rotation are given by:

$$d\vec{s} = d\vec{\theta} \times \vec{r} \tag{11.1.4}$$

$$\vec{v}_s = \vec{w} \times \vec{r} \tag{11.1.5}$$

$$\vec{a}_s = \vec{\alpha} \times \vec{r} \tag{11.1.6}$$

where the linear quantities are always in the direction perpendicular to $\vec{r}$ (tangent to the circle, for motion around a circle). In other words, one cannot, say, take the acceleration vector, obtain the angular acceleration vector, and then get back the original acceleration vector - one will only get back the component of the acceleration vector that is perpendicular to $\vec{r}$.

? Exercise 11.1.4

A particle has an angular velocity in the negative z direction. In which way is the particle's velocity vector at a point in its trajectory when it is on the positive y axis?

A. Positive z direction
B. Negative y direction
C. Positive x direction
D. Negative x direction

Answer

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11.2: Rotational dynamics for a single particle

Suppose that a single force, $\vec{F}$, is acting on a particle of mass m. Newton's Second Law for the particle is then given by:

$$\vec{F} = m\vec{a}$$

We can define a point of rotation such that $\vec{r}$ is the position of the particle relative to that point. We can take the cross-product of $\vec{r}$ with both sides of the equation in Newton's Second Law:

$$\vec{r} \times \vec{F} = m\vec{r} \times \vec{a}$$

The left hand-side of the equation is called "the torque of $\vec{F}$ relative to the point of rotation", and is usually denoted by $\vec{\tau}$:

$$\vec{\tau} = \vec{r} \times \vec{F} \tag{11.2.1}$$

The right-hand side of the equation is related to the angular acceleration vector, $\vec{\alpha}$, about that point of rotation:

$$m\vec{r} \times \vec{a} = mr^2\vec{\alpha}$$

Putting this altogether, we get:

$$\vec{\tau} = mr^2\vec{\alpha}$$

If more than one force is exerted on the particle, it is easy to show that the **net torque** from the net force on the particle **is equal to the sum of the torques on the particle**:

$$\vec{r} \times (\vec{F}_1 + \vec{F}_2 + \vec{F}_3 + \ldots) = (\vec{r} \times \vec{F}_1 + \vec{r} \times \vec{F}_2 + \vec{r} \times \vec{F}_3 + \ldots)$$
$$\therefore \vec{r} \times \sum \vec{F} = \sum \vec{\tau} = \vec{\tau}^{net}$$

We can write "Newton's Second Law for the rotational dynamics of a particle":

$$\sum \vec{\tau} = \vec{\tau}^{net} = mr^2\vec{\alpha} \tag{11.2.2}$$

This equation provides us an alternate formulation to Newton's Second Law that is useful for describing the motion of a particle that is rotating. The left-hand side of the equation corresponds to the "causes of motion" (much like the sum of the forces in Newton's Second Law), and the right-hand side of the equation to the inertia and the kinematics. A few things to note when comparing to Newton's Second Law:

1. The rotational quantities, torque and angular acceleration, **are only defined with respect to a point or axis of rotation** (as this determines the vector $\vec{r}$). If one chooses a different point of rotation, then the torque and angular acceleration will be different.
2. The angular acceleration of a particle is proportional to the net torque exerted on it, much like the linear acceleration is proportional to the net force exerted on the particle.
3. Torque about a center of rotation can be thought of as the equivalent of a force that causes things rotate about an axis that goes through the point of rotation and that is parallel to the torque/angular acceleration vectors.
4. Instead of mass, it is mass times r^2 that plays the role of inertia and determines how large of an angular acceleration a particle will experience for a given net torque.

Example 11.2.1

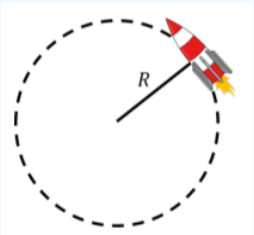

Figure 11.2.1: A toy rocket accelerating around a circle of radius R, as seen from above.

A toy rocket is attached to a string on a horizontal frictionless table, as shown in Figure 11.2.1. The rocket has a mass m and produces a constant force of thrust with a magnitude F that accelerates the rocket along a circle of radius R (the length of the

string). If the rocket starts at rest, what distance along the circumference of the circle will the rocket have traveled after a time, t?

Solution

We can model the rocket as a point particle of mass m with the following forces exerted on it:

1. $\vec{F}$, the thrust of the rocket, always acting tangent to the circle.
2. $\vec{T}$, the force of tension in the string, always acting towards the center of the circle.
3. $\vec{F}_g$, the rocket's weight, acting into the page, with magnitude mg.
4. $\vec{N}$, a normal force exerted by the table, out of the page, with magnitude mg.

Because the normal force and the weight are equal in magnitude and opposite in direction, the net force will be the sum of the force of thrust and the force of tension, which are always perpendicular to each other. Thinking about this with Newton's Second Law, we could model the force of thrust as increasing the speed of the particle, while the force of tension keeps the rocket moving in a circle (it can do no work to increase the speed, since it is always perpendicular to the motion).

We can also think about this in terms of torques and angular acceleration about the center of the circle. The thrust will result in a net torque about the center of rotation, which will lead to the rocket having an angular acceleration. By determining the angular acceleration, we can then model the displacement at some time, t, using kinematics. The force of tension will create no torque about the center of the circle because the force of tension is always co-linear with the position vector, $\vec{r}$ (the cross-product of co-linear vectors is always zero).

We introduce a coordinate system whose origin coincides with the center of the circle, as shown in Figure 11.2.2, so that $\vec{r}$ corresponds to the position of the rocket relative to the origin. The force of thrust and the tension are also shown in the diagram. We choose the direction of the x axis such that the rocket was located at the intersection of the x axis and the circle at time, $t = 0$.

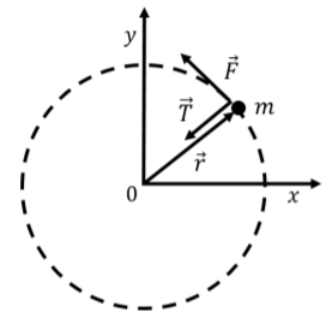

Figure 11.2.2: Coordinate system to describe the motion of the rocket.

The net torque on the rocket about the point of rotation is given by the cross-product between the thrust force, $\vec{F}$, and the position vector, $\vec{r}$:

$$\vec{\tau}^{net} = \vec{r} \times \vec{F}$$

and will point in the positive z direction (as given by the right hand rule). $\vec{r}$ and $\vec{F}$ are perpendicular, so the magnitude of the net torque is given by:

$$\tau^{net} = rF\sin(90^\circ) = RF$$

where R is the magnitude of $\vec{r}$. The net torque vector is thus:

$$\vec{\tau}^{net} = RF\hat{z}$$

Applying the rotational version of Newton's Second Law allows us to determine the angular acceleration:

$$\vec{\tau}^{net} = mr^2\vec{\alpha}$$
$$RF\hat{z} = mR^2\vec{\alpha}$$
$$\therefore \vec{\alpha} = \frac{F}{mR}\hat{z}$$

The angular acceleration vector points in the positive z direction (as does the net torque), and indicates that the rocket is accelerating in the counter-clockwise direction about the z axis.

After a period of time t, the rocket will have covered an angular displacement, $\Delta\theta$, given by:

$$\Delta\theta = \theta(t) - \theta_0 = \omega_0 t + \frac{1}{2}\alpha t^2$$
$$= \frac{1}{2}\frac{F}{mR}t^2$$

The linear displacement, Δs, that corresponds to this angular displacement is:

$$\Delta s = R\Delta\theta = \frac{1}{2}\frac{F}{m}t^2$$

Discussion

The formula that we found for the total linear displacement is the same that we would have found if the particle were moving in a straight line with a net force F applied to it (as the particle would have a constant acceleration given by F/m).

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11.3: Torque

The torque associated with a force is a mathematical tool to describe how much a particular force will cause a particle (or solid) object to rotate about a given point or a given axis of rotation. A torque is **only defined relative to an axis or point of rotation**. It never makes sense to say "the torque is ...", and one should always say "the torque about this axis/point of rotation is ... ". Angular quantities (torque, angular velocity, angular displacement, etc) are only ever defined relative to a specific axis or point of rotation.

Mathematically, the torque vector from a force, $\vec{F}$, exerted at a position, $\vec{r}$, relative to the axis or point of rotation is defined as:

$$\vec{\tau} = \vec{r} \times \vec{F}$$

Note that the torque from a given force increases if that force is further from the axis of rotation (if $\vec{r}$ has a bigger magnitude).

Consider the solid disk of radius, r, depicted in Figure 11.3.1. The disk can rotate about an axis that passes through the center of the disk and that is perpendicular to the plane of the disk. A force, $\vec{F}$, is exerted on the edge of the disk as shown.

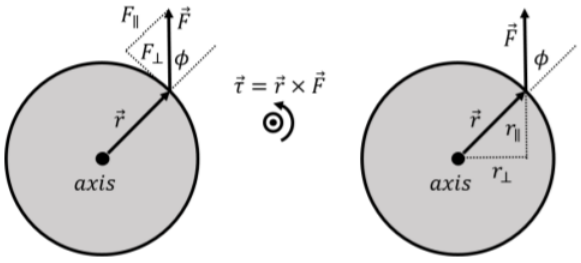

Figure 11.3.1: A force exerted on the perimeter of a disk that can rotate about an axis that is perpendicular to the disk and that passes through its center. We can determine the resulting torque by considering either the component of $\vec{F}$ that is perpendicular to $\vec{r}$ (left panel) or the component of $\vec{r}$ that is perpendicular to $\vec{F}$ (right panel). The torque vector, $\vec{\tau}$, is out of the page, as illustrated in the center.

Intuitively, that force will cause the disk to rotate in the counter-clockwise direction. The torque from the force $\vec{F}$ about the axis as rotation is given by:

$$\vec{\tau} = \vec{r} \times \vec{F}$$

where the vector $\vec{r}$ is perpendicular to the axis of rotation and goes from the axis of rotation to the point where $\vec{F}$ is exerted. The direction of the torque vector is out of the page (right hand rule, see Figure 11.3.1), and will thus lead to an angular acceleration that is also out of the page, which corresponds to the counter-clockwise direction, as anticipated.

We can break up the force into components that are parallel ($F_\parallel$) and perpendicular ($F_\perp$) to the vector $\vec{r}$, as shown on the left panel of Figure 11.3.1. Only the component of the force that is perpendicular to $\vec{r}$ will contribute to rotating the disk. Imagine that the force is from a string that you have attached to the perimeter of the disk; if you pull on the string such that the force is parallel to $\vec{r}$, the disk would not rotate. The magnitude of the torque is given by:

$$\tau = rF\sin\phi \tag{11.3.1}$$

where ϕ is the angle between $\vec{r}$ and $\vec{F}$, as shown in Figure 11.3.1. $F\sin\phi$ is precisely the component of $\vec{F}$ that is perpendicular to $\vec{r}$, so we could also write the magnitude of the torque as:

$$\tau = rF_\perp$$

which highlights that only the component of the force that is perpendicular to $\vec{r}$ contributes to the torque. Instead of combining the $\sin\phi$ with F to obtain $F_\perp$, the component of $\vec{F}$ perpendicular to $\vec{r}$, we can instead combine the $\sin\phi$ with r in *Equation 11.3.1* to obtain $r_\perp$, the component of $\vec{r}$ that is perpendicular to $\vec{F}$. This is illustrated in the right panel of Figure 11.3.1. The magnitude of the torque is thus also given by:

$$\tau = r_\perp F$$

The quantity $r_\perp$ is called the "lever arm" of the force about a specific axis of rotation.

emma's thoughts

Remembering how to maximize the torque about an axis using a pencil

We already know that the greater the force that you apply, the more an object will rotate. Here is an easy way to quickly remind yourself of the two other factors that play a role in whether or not an object will rotate:

Torque about an axis increases if the force is applied further from the axis of rotation.

First, pinch the center of your pencil. Try to make the pencil rotate by pushing right next to where you are pinching. Try making the pencil rotate again, by pushing near the eraser. You should notice that it is much easier to make the pencil rotate by pushing near the eraser, as it is further from the axis of rotation (the pinch).

Torque about an axis is maximized if the force is applied perpendicular to the object.

Next, you should try pushing on the top of the eraser of your pencil, parallel to the pencil. The pencil will not rotate. Now, try pushing on the eraser, but perpendicular to the pencil. In this case, the pencil will rotate.

If you are ever having trouble remembering the factors involved in maximizing torque about an axis, just grab your pencil case and do this quick exercise.

? Exercise 11.3.1

Why is the handle of a door placed on the side of the door that is opposite to the hinges?

A. Because it increases the lever arm of a force used to rotate the door about the handle.
B. Because it increases the perpendicular component of force used to rotate the door about the hinges.
C. Because it increases the lever arm of a force used to rotate the door about the hinges.
D. Because it would be inconvenient if the handle were next to the hinges.

Answer

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11.4: Rotation about an axis versus rotation about a point .

When defining angular quantities (torque, angular acceleration, etc.), it is important to identify whether these are defined relative to an axis or to a point of rotation. This, in turn, determines the vector $\vec{r}$ that is involved in the definition of the angular quantities.

Consider a disk of radius r with a force, $\vec{F}$ exerted on its perimeter, as illustrated in *Figure* 11.4.1. The disk can only rotate about an axis that is perpendicular to the disk and that goes through the center of the disk, like a wheel mounted on an axle. The force has a component, $\vec{F}_{plane}$, that lies in the plane perpendicular to the axis of rotation, and a component, $\vec{F}_{axis}$, that is parallel to axis of rotation.

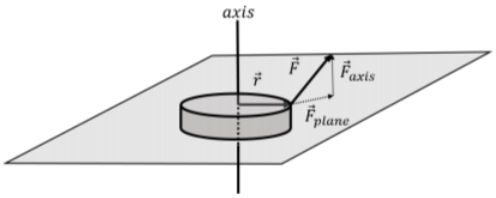

Figure 11.4.1: A force exerted on disk that can only rotate about an axis through its center and perpendicular to its plane. Only the component of $\vec{F}$ that is in the plane perpendicular to the axis of rotation, $\vec{F}$ plane, will contribute to the torque about the axis of rotation.

The vector $\vec{r}$ is **always defined to be perpendicular to the axis of rotation and to go from the axis of rotation to the point where the force $\vec{F}$ is exerted**, as illustrated. The torque obtained by taking the cross product:

$$\vec{\tau} = \vec{r} \times \vec{F}$$

will be perpendicular to both $\vec{r}$ and $\vec{F}$, and will thus not be parallel to the axis of rotation. **Only the component of the torque that is parallel to the axis of rotation** will contribute to rotating the disk about the axis. Only the component of the force that lies in the plane perpendicular to the axis of rotation, $\vec{F}_{plane}$, will contribute to the component of the torque about that axis of rotation. Thus, when we need to determine the torque about an axis of rotation, we can **consider vectors $\vec{r}$ and $\vec{F}$ that lie in the plane perpendicular to the axis of rotation**. The torque of $\vec{F}$ relative to the axis of rotation is thus:

$$\vec{\tau}_{axis} = \vec{r} \times \vec{F}_{plane}$$

Furthermore, only the component of $\vec{F}_{plane}$ that is perpendicular to $\vec{r}$ will contribute to that torque, as we saw in the previous section.

In general, solid objects such as a disk can only rotate about an axis. In that case, one can consider only the components of forces that lie in the plane perpendicular to the axis of rotation in order to calculate the components of the torques about that axis that are parallel to that axis.

A point particle may be able to rotate about any axis that goes through a point of rotation. The net torque vector on the particle about that point will indicate the direction of the axis about which the particle would rotate. This is illustrated in the left panel of *Figure* 11.4.2

Instead, if the particle were constrained to rotate about the z axis (e.g. if the particle is on a track), then we would use the component of the torque vector that is parallel to the z axis to describe its motion, as illustrated in the right panel. The z component of the torque could be determined by using only the components of the forces that lie in the plane perpendicular to the axis, and defining the vector $\vec{r}$ from the axis to the particle rather than from the point of rotation to the particle.

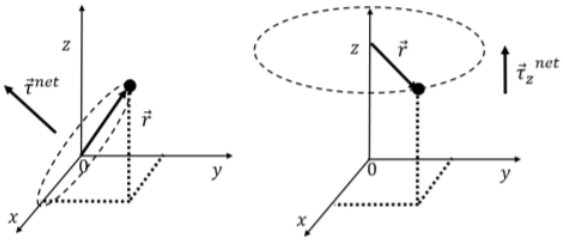

Figure 11.4.2: Left panel: a particle rotating about a circle centered at the origin with an axis determined from the net torque vector. Right panel: a particle that is constrained to rotate about the z axis.

Example 11.4.1

A force given by $\vec{F} = F_x\hat{x} + F_y\hat{y} + F_z\hat{z}$ is exerted at a position $\vec{r} = r_x\hat{x} + r_y\hat{y} + r_z\hat{z}$. Calculate the torque about the z axis as well as the torque about the origin.

Solution

To calculate the torque about the z axis, we need take the cross-product between the components of the vectors $\vec{r}$ and $\vec{F}$ that lie in the $x-y$ plane, since that is the plane perpendicular to the axis of rotation (the z axis). This gives:

$$\vec{\tau}_z = (r_x\hat{x} + r_y\hat{y}) \times (F_x\hat{x} + F_y\hat{y}) = (r_xF_y - r_yF_y)\hat{z}$$

If instead we want to calculate the torque about the origin, we take the cross-product between the two vectors:

$$\begin{aligned}\vec{\tau} &= (r_x\hat{x} + r_y\hat{y} + r_z\hat{z}) \times (F_x\hat{x} + F_y\hat{y} + F_z\hat{z}) \\ &= (r_yF_z - r_zF_y)\hat{x} + (r_zF_x - r_xF_z)\hat{y} + (r_xF_y - r_yF_y)\hat{z}\end{aligned}$$

If a particle were located at the given position, the force would cause the particle to (instantaneously) rotate about an axis that goes through the origin and is parallel to the torque vector.

Discussion

This example highlights the difference between calculating the torque about an axis of rotation and determining the torque about a point. When calculating the torque about an axis that goes through the origin, we only consider the components of the vectors $\vec{r}$ and $\vec{F}$ that are in the plane perpendicular to the axis of rotation. This would correspond to a situation in which the particle is constrained to move in a plane that is perpendicular to the axis of rotation. Instead, if we calculate the torque about the origin, then the torque vector determines the axis of rotation through the origin about which the particle would rotate. In this case, since the axis of rotation is the z axis, and the point of rotation was the origin, the torque about the z axis was simply the z component of the torque calculated about the origin.

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11.5: Rotational dynamics for a solid object

We now consider the rotational dynamics for a solid object about a specific axis of rotation. Just as we did in Chapter 10, we model a solid object as a system made of many particles of mass m_i. Because all of the points in a solid must move in unison, they all **rotate about an axis of rotation instead of a point**. We describe the position of each particle i by a vector $\vec{r}_i$ that is **perpendicular to the axis of rotation and goes from the axis to the corresponding particle**, as shown in *Figure 11.5.1*.

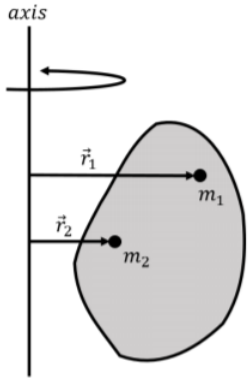

Figure 11.5.1: Two point particles that are part of a large solid object and their position vectors relative to an axis of rotation.

We wish to model the motion of the object as it rotates about a specific axis. Thus, when considering the net torque on any particle i, we only consider the component of the particle's net torque that is parallel to the axis of rotation (that component of torque that comes from forces that are in the plane perpendicular to the rotation axis).

We can write the rotational version of Newton's Second Law for particle, i, with mass m_i, and position vector $\vec{r}_i$ relative to the rotation axis:

$$\sum_k \vec{\tau}_{ik} = \vec{\tau}_i^{net} = m_i r_i^2 \vec{\alpha}_i$$

where $\vec{\tau}_{ik}$ is the k-th torque on particle i. $\vec{\tau}_i^{net}$ is the net torque on the particle **about the axis of rotation** and $\vec{\alpha}_i$ is the particle's angular acceleration about that axis.

We can divide the torques exerted on a particle into internal and external torques. Internal torques are those exerted by another particle in the system, whereas external torques are exerted by something external to the system. If particle 1 exerts a torque $\vec{\tau}$ on particle 2, particle 2 will exert an equal and opposite torque, $-\vec{\tau}$ on particle 1.

Indeed, consider the two particles that exert an equal and opposite force (Newton's Third Law), $\vec{F}$, on each other, and an arbitrary point/axis of rotation, as illustrated in Figure 11.5.2 The torque on particle 1 from the force exerted by particle 2 will have the same magnitude as the torque on particle 2 from the force by particle 1. This is because both forces have the same magnitude and they are co-linear, which results in them having the same lever arm. The torque vector from each force will be in opposite directions, because the forces are in opposite direction. Newton's Third Law thus also holds for torques.

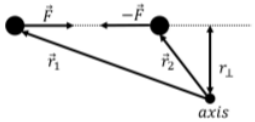

Figure 11.5.2: Two particles will exert equal and opposite torques on each other due to Newton's Third Law; the forces exerted by each particle on the other are co-linear and will thus have the same lever arm relative to any point/axis of rotation.

We can sum together the equations for each particle i:

$$\vec{\tau}_1^{net} + \vec{\tau}_2^{net} + \vec{\tau}_3^{net} + \ldots = m_1 r_1^2 \vec{\alpha}_1 + m_2 r_2^2 \vec{\alpha}_2 + m_3 r_3^2 \vec{\alpha}_3 + \ldots$$

$$\sum_i \vec{\tau}_i^{net} = \sum_i m_i r_i^2 \vec{\alpha}_i$$

where the sum over all of the torques exerted on each particle will be equal to the net external torque exerted on all of the particles, since the sum of the internal torques, $\vec{\tau}_i^{int}$, will be zero:

$$\sum_i \vec{\tau}_i^{net} = \sum_i \vec{\tau}_i^{int} + \sum_i \vec{\tau}_i^{ext} = \sum_i \vec{\tau}_i^{ext} = \vec{\tau}^{ext}$$

where $\vec{\tau}^{ext}$ is the net external torque on the system.

All of the particles are part of the same rigid body, and cannot move relative to each other. Furthermore, they must all move around circles that are centered about the axis of rotation and in a plane perpendicular to that axis. They must thus all have the same angular acceleration[1], $\vec{\alpha}_i = \vec{\alpha}_1 = \vec{\alpha}_2 = \cdots = \vec{\alpha}$. We can thus factor the angular acceleration, $\vec{\alpha}$, out of the sum.

We can thus write Newton's Second Law for rotational dynamics of a solid object as:

$$\sum_i \vec{\tau}_i^{net} = \sum_i m_i r_i^2 \vec{\alpha}_i$$

$$\therefore \vec{\tau}^{ext} = \left(\sum_i m_i r_i^2 \right) \vec{\alpha}$$

The term in parentheses describes how the various masses are distributed relative to the axis of rotation. The term in parenthesis is called the **moment of inertia of the object**, and usually denoted with the letter, I:

$$I = \sum_i m_i r_i^2 \tag{11.5.1}$$

The moment of inertia is a property of the object **relative to a specific axis of rotation**. Re-writing Newton's Second Law for the rotational dynamics of solid objects using the moment of inertia:

$$\vec{\tau}^{ext} = I\vec{\alpha} \tag{11.5.2}$$

The net torque exerted on an object in the direction of the axis of rotation is thus equal to its moment of inertia about that axis multiplied by its angular acceleration about that axis. In other words, the moment of inertia describes how the object will resist rotational motion given a net torque. An object with a smaller moment of inertia will have a larger angular acceleration for a given torque. Again, this is analogous to the linear case, where the acceleration of an object given a net force is determined by its inertial mass.

✔ Example 11.5.1

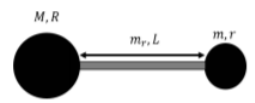

Figure 11.5.3: A dumbbell made of two small identical masses separated by a distance L.

Two small point masses, m, are connected by a mass-less rod of length L to form a dumbbell, as illustrated in Figure 11.5.3 A net force of magnitude F is exerted on each mass, in opposite directions, as illustrated in the Figure.

a. What is the linear acceleration of the center of mass of the dumbbell?
b. What is the angular acceleration of the dumbbell relative to an axis that goes through its center of mass and is perpendicular to the page?
c. What is the angular acceleration of the dumbbell relative to an axis that goes through one of the masses and is perpendicular to the page?

Solution

We model the dumbbell as a rigid body made of two point masses held at a fixed distance.

a. The linear acceleration of the center of mass must be zero, because the net force on the dumbbell is zero. However, just because the center of mass does not move does not mean that all parts of the dumbbell are immobile.

b. First, we calculate the angular acceleration relative to an axis that is perpendicular the page and goes through the center of mass. The center of mass is located midway between the two masses, as illustrated in Figure 11.5.4 We also define a coordinate system as shown, such that the z axis is out of the page.

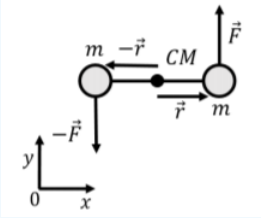

Figure 11.5.4: The dumbbell rotating about the center of mass.

The vector from the axis of rotation to each mass will have the same magnitude, r, but different directions. The net external torque on the dumbbell relative to the axis that goes through the center of mass, $\vec{\tau}^{ext}$, which is equal to the sum of the torques from each force:

$$\begin{aligned}\vec{\tau}^{ext} &= \vec{r}\times\vec{F}+(-\vec{r})\times(-\vec{F})\\ &= 2(\vec{r}\times\vec{F}) = 2(r\hat{x}\times F\hat{y}) = 2rF(\hat{x}\times\hat{y}) = 2rF\hat{z}\\ &= LF\hat{z}\end{aligned}$$

where we used the fact that $2r = L$. The net torque is thus non zero and in the positive z direction; the dumbbell will have an angular acceleration that is parallel to the net torque, and thus will accelerate in the counter-clockwise direction.

The moment of inertia of the dumbbell relative to the axis through the center of mass is given by:

$$I = \sum_i m_i r_i^2 = mr^2 + mr^2 = 2mr^2 = \frac{1}{2}mL^2$$

Using Newton's Second Law for rotational dynamics, we find the angular acceleration to be:

$$\begin{aligned}\vec{\tau}^{ext} &= I\vec{\alpha}\\ LF\hat{z} &= \frac{1}{2}mL^2\vec{\alpha}\\ \therefore \vec{\alpha} &= \frac{2F}{mL}\hat{z}\end{aligned}$$

Because the center of mass is fixed (the sum of the forces is zero), the two ends of the dumbbell will rotate about an axis that goes through the center of mass. This is a feature of all situations in which the net force on an object is zero and the net torque about an axis that goes through the center of mass is non-zero.

c. Let us now calculate the angular acceleration of the dumbbell about an axis that goes through one of the masses, as illustrated in Figure 11.5.5

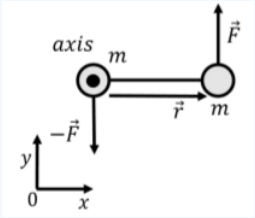

Figure 11.5.5: The dumbbell rotating about one of its ends.

We first calculate the net torque on the dumbbell. The vector that goes from the axis of rotation to the force exerted on the mass that coincides with the rotation axis is zero. Thus, only the force exerted on the mass that is not at the rotation axis contributes to the net torque:

$$\vec{\tau}^{ext} = \vec{r}\times\vec{F} = LF\hat{z}$$

The moment of inertia of the dumbbell about this axis is:

$$I = \sum_i m_i r_i^2 = m(0)^2 + m(r^2) = mL^2$$

which is larger than it was about the center of mass. Again, the angular acceleration is found using Newton's Second Law for rotational dynamics:

$$\vec{\tau}^{ext} = I\vec{\alpha}$$
$$LF\hat{z} = mL^2\vec{\alpha}$$
$$\therefore \vec{\alpha} = \frac{F}{mL}\hat{z}$$

We find that the angular acceleration is smaller about an axis that goes through one of the mass than it is about an axis through the center of mass. Because the center of mass of the dumbbell is fixed, we can only think of the dumbbell as instantaneously rotating about one of its ends; that is, the motion of the dumbbell will not be such that one mass rotates about the other; this is only true instantaneously.

Discussion

This simple example illustrates several key features about rotational dynamics:

- If the sum of the forces on an object is zero, it does not mean that the entire object is stationary; it only implies that the center of mass is stationary (or rather, moving with a constant velocity, but we can always choose to model the system in a frame of reference where the center of mass is stationary).
- If the sum of the forces on an object is zero, and the sum of the external torques is non-zero, the object will rotate about an axis that goes through the center of mass. That is, all points on the object will move along circles that are centered on an axis that goes through the center of mass.
- We can model the rotating object about any axis that we choose. In general, the net external torque and the moment of inertia will depend on the choice of axis, as will the resulting angular acceleration.
- When determining the motion of the center of mass, we can draw a free-body diagram, and the location of where the forces are exerted do not matter.
- When determining how the object rotates, we cannot use a free-body diagram, because it matters where the forces are applied (as the torque from a given force depends on the location where the force is applied relative to the axis of rotation).

Footnotes

1. They will have different linear accelerations, but the angular acceleration (and angular velocity) will be the same for all particles if they are moving in unison.

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11.6: Moment of Inertia

In order to model how an object rotates about an axis, we use Newton's Second Law for rotational dynamics:

$$\vec{\tau}^{ext} = I\vec{\alpha}$$

where $\vec{\tau}^{ext}$ is the net external torque exerted on the object about the axis of rotation, $\vec{\alpha}$ is the angular acceleration of the object, and I is the moment of inertia of the object (about the axis). If we consider the object as being made of many particles of mass m_i each located at a position $\vec{r}_i$ relative to the axis of rotation, the moment of inertia is defined as:

$$I = \sum_i m_i r_i^2$$

Consider, for example, the moment of inertia of a uniform rod of mass M and length L that is rotated about an axis perpendicular to the rod that pass through one of the ends of the rod, as depicted in Figure 11.6.1.

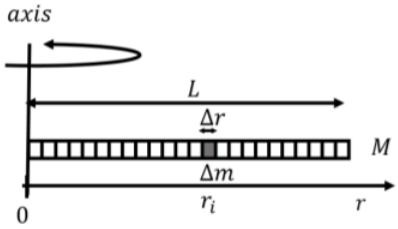

Figure 11.6.1: A rod of length L and mass M being rotated about an axis perpendicular to the rod that goes through one of its ends.

We introduce the linear mass density of the rod, λ, as the mass per unit length:

$$\lambda = \frac{M}{L}$$

We model the rod as being made of many small mass elements of mass Δm, of length Δr, at a location r_i, as illustrated in Figure 11.6.1. Using the linear mass density, the mass element, Δm, has a mass of:

$$\Delta m = \lambda \Delta r$$

The rod is made of many such mass elements, and the moment of inertia of the rod is thus given by:

$$I = \sum_i \Delta m r_i^2 = \sum_i \lambda \Delta r r_i^2$$

If we take the limit in which the length of the mass element is infinitesimally small ($\Delta r \to dr$) the sum can be written as an integral over the dimension of the rod:

$$I = \int_0^L \lambda r_i^2 dr = \frac{1}{3}\lambda L^3 = \frac{1}{3}\left(\frac{M}{L}\right)L^3$$
$$= \frac{1}{3}ML^2$$

where we re-expressed the linear mass density in terms of the mass and length of the rod. In general, we can write the moment of inertia of a continuous object as:

$$I = \int r^2 dm$$

where dm is a small mass element that makes up the object, r is the distance from that mass element to the axis of rotation, and the integral is over the dimension of the object. As we did above, we would usually set up this integral so that dm is expressed in terms of r so that we can take an integral over r.

Example 11.6.1

Calculate the moment of inertia of a uniform thin ring of mass M and radius R, rotated about an axis that goes through its center and is perpendicular to the disk.

Solution

We take a small mass element dm of the ring, as shown in Figure 11.6.2

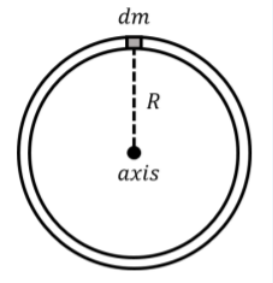

Figure 11.6.2: A small mass element on a ring.

The moment of inertia is given by:

$$I = \int dmr^2$$

In this case, each mass element around the ring will be the same distance away from the axis of rotation. The value r^2 in the integral is a constant over the whole ring, and so can be taken out of the integral:

$$I = \int dmr^2 = R^2 \int dm$$

where we used the fact that the ring has a radius R, so the distance r of each mass element to the axis of rotation is R. The integral:

$$\int dm$$

just means "sum all of the mass elements, dm", and is thus equal to M, the total mass of the ring. The moment of inertia of the ring is thus:

$$I = R^2 \int dm = MR^2$$

The parallel axis theorem

The moment of inertia of a solid object can be difficult to calculate, especially if the object is not symmetric. The parallel axis theorem allows us to determine the moment of inertia of an object about an axis, if we already know the moment of inertia of the object about an axis that is parallel and goes through the center of mass of the object.

Consider an object for which we know the moment of inertia, I_{CM}, about an axis that goes through the object's center of mass. We define a coordinate system such that the origin is located at the center of mass, and the z axis is parallel to the axis about which we know the moment of inertia, as illustrated in Figure 11.6.3

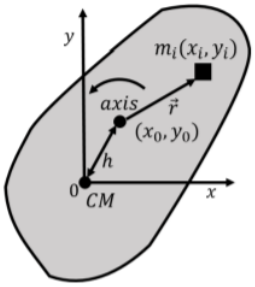

Figure 11.6.3: An object with a coordinate system whose origin is at the object's center of mass, and for which we know the moment of inertia about the z axis. We wish to determine the object's moment of inertia through a second axis, parallel to the z axis, but located a distance h away from the center of mass.

We wish to determine the moment of inertia for the object for an axis that is parallel to the z axis, but goes through a point with coordinates (x_0, y_0) located a distance h away from the center of mass. The moment of inertia about an axis parallel to the z axis and that goes through that point, I_h is given by:

$$I_h = \sum_i m_i r_i^2$$

where m_i is a mass element of the object located at a distance r_i from the axis of rotation. If the mass element is located at a position (x_i, y_i) relative to the center of mass, we can write the distance r_i in terms of the position of the mass element, and of the position of the axis of rotation:

$$r_i^2 = (x_i - x_0)^2 + (y_i - y_0)^2 = x_i^2 - 2x_i x_0 + x_0^2 + y_i^2 - 2y_i y_0 + y_0^2$$

Note that:

$$x_0^2 + y_0^2 = h^2$$

The moment of inertia, I_h, can thus be written as:

$$\begin{aligned} I_h &= \sum_i m_i r_i^2 = \sum_i (m_i(x_i^2 + y_i^2) - 2x_0 m_i x_i - 2y_0 m_i y_i + m_i h^2) \\ &= \sum_i m_i (x_i^2 + y_i^2) + h^2 \sum_i m_i - 2x_0 \sum_i m_i x_i - 2y_0 \sum_i m_i y_i \end{aligned}$$

where we broke the sum up into several sums, and factored constant terms (h, x_0, y_0) out of the sums, since these constants do not depend on which mass element we are considering. The first term is the moment of inertia about the center of mass, since $x_i^2 + y_i^2$ is the distance to the center of mass. The second term is h^2 times the total mass of the object, since the sum of all the m_i is just the mass, M, of the object. Now consider the term:

$$-2x_0 \sum_i m_i x_i$$

The sum, $\sum m_i x_i$ is the numerator in the definition of the x coordinate of the center of mass! The sum is thus zero, because we choose the origin to be located at the center of mass. The last two terms in the sum are thus identically zero, because they correspond to the x and y coordinates of the center of mass!

We can thus write the parallel axis theorem:

$$I_h = I_{CM} + Mh^2 \tag{11.6.1}$$

where I_{CM} is the moment of inertia of an object of mass M about an axis that goes through the center of mass and, I_h, is the moment of inertia about a second axis that is parallel to the first and a distance h away.

Example 11.6.2

In the previous section, we calculated the moment of inertia of a rod of length L and mass M through an axis that is perpendicular to the rod and through one of its ends, and found that it was given by:

$$I = \frac{1}{3}ML^2$$

What is the moment of inertia of the rod about an axis that is perpendicular to the rod and goes through its center of mass?

Solution

In this case, we know the moment of inertia through an axis that does not go through the center of mass. The center of mass is located a distance $h = L/2$ away from the point about which we know the moment of inertia, I_h.

Using the parallel axis theorem, we can find the moment of inertia through the center of mass:

$$\begin{aligned} I_{CM} &= I_h - Mh^2 \\ &= \frac{1}{3}ML^2 - M\left(\frac{L}{2}\right)^2 = \frac{1}{12}ML^2 \end{aligned}$$

Discussion

We find that the moment of inertia about the center of mass is smaller than the moment of inertia about the end of the rod. This makes sense because when rotating the rod about its end, more of its mass is further away from the axis of rotation, which results in a larger moment of inertia.

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11.7: Equilibrium

In this section, we consider the conditions under which an object is in static or dynamic equilibrium. An object is in equilibrium if it does not rotate when viewed in a frame of reference where the object's center of mass is stationary (or moving at constant velocity).

Static equilibrium

An object is in static equilibrium, if **both the sum of the external forces exerted on the object and the sum of the external torques (about any axis) are zero**. If the object is in static equilibrium the center of mass will have no acceleration and the object will have no angular acceleration. In the center of mass frame of reference, the object is immobile.

Example 11.7.1

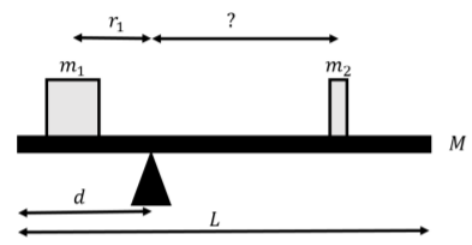

Figure 11.7.1: Two masses on a balance.

Two masses, m_1 and m_2 are placed on a balance as shown in Figure 11.7.1. The balance is made of a plank of mass M and length L that is placed on a fulcrum that is a distance d from one of the edges of the plank. If mass m_1 is placed at a distance r_1 from the fulcrum, how far should mass m_2 be placed on the other side of the plank in order for the balance to be in equilibrium?

Solution

We can consider the plank as the object that is in static equilibrium. Thus, the sum of the forces and the sum of the torques on the plank must be zero. We first start by identifying the forces that are exerted on the plank; these are:

1. $\vec{F}_g$, the weight of the plank, exerted at the center of mass of the plank.
2. $\vec{F}_1$, a force equal to the weight of mass m_1, exerted at the location of m_1.
3. $\vec{F}_2$, a force equal to the weight of mass m_2, exerted at the location of m_2.
4. $\vec{N}$, a normal force exerted by the fulcrum.

The forces are illustrated in Figure 11.7.2 along with our choice of coordinate system. The z axis is not illustrated, and is directed out of the page.

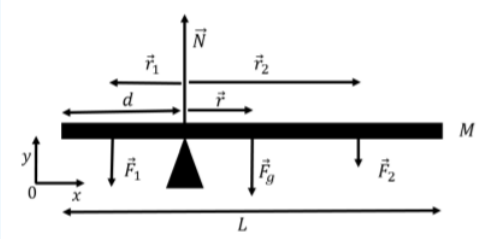

Figure 11.7.2: Forces exerted on the plank.

All of the forces are in the y direction, so we only write the y component of Newton's Second Law (with zero acceleration), which allows us to determine the magnitude of the normal force:

$$\sum F_y = N - Mg - m_1 g - m_2 g = 0$$

$$\therefore N = (M + m_1 + m_2)g$$

Because the plank is in static equilibrium, the sum of the torques must also be zero. We can choose the axis of rotation about which to calculate the torques. We choose an axis that is parallel to the z axis (out of the page) and goes through the fulcrum. In general, since we can choose the axis of rotation, it is usually convenient to choose an axis that goes through a point where at least one force is being exerted, because the torque from that force will be zero (its lever arm will be zero). Furthermore, since all of the forces are in the xy plane, the net torque on the plank will be in the z direction, so it makes sense to choose an axis in that direction.

The torques from the weight of the plank and from the force exerted by mass m_2 will be in the negative z direction, and the torque from the force exerted by mass m_1 will be in the positive z direction. The normal force will not result in any torque, because it is exerted at the axis of rotation and has a lever arm of zero.

We define $\vec{r}_1$ as the vector from the fulcrum to mass m_1. The torque, $\vec{\tau}_1$, from the force exerted by mass m_1 is given by:

$$\begin{aligned}\vec{\tau}_1 &= \vec{r}_1 \times \vec{F}_1 = (-r_1\hat{x}) \times (-F_1\hat{y}) \\ &= r_1F_1(\hat{x} \times \hat{y}) = r_1F_1\hat{z} = r_1m_1g\hat{z}\end{aligned}$$

where we used the fact that the magnitude of $\vec{F}_1$ is m_1g. Similarly, the torques from the force exerted by m_2, $\vec{\tau}_2$, and by the weight, $\vec{\tau}_g$, are given by:

$$\begin{aligned}\vec{\tau}_2 &= \vec{r}_2 \times \vec{F}_2 = -m_2gr_2\hat{z} \\ \vec{\tau}_g &= \vec{r} \times \vec{F}_g = -rMg\hat{z} = -\left(\frac{L}{2} - d\right)Mg\hat{z}\end{aligned}$$

where $\frac{L}{2} - d$ is the distance between the fulcrum and where the weight of the plank is exerted. We require that the z component of the net torque be equal to zero (since all of the torques are in the z direction), which allows us to determine r_2:

$$\begin{aligned}\sum \tau_z = \tau_{1z} + \tau_{2z} + \tau_{gz} &= 0 \\ m_1gr_1 - m_2gr_2 - \left(\frac{L}{2} - d\right)Mg &= 0 \\ \therefore r_2 = \frac{1}{m_2}\left(m_1r_1 - \left(\frac{L}{2} - d\right)M\right)\end{aligned}$$

Note that because we chose to calculate the torques about a point that goes through the fulcrum, in this case, we did not need to determine the value of the normal force which we obtained from Newton's Second Law.

Discussion

This example highlights the fact that when an object is in static equilibrium, we can choose a convenient axis about which to calculate the torques. In this case, by calculating the torques about the fulcrum, we did not need to consider the torque from the normal force. If we had chosen a different point, then the torque from the normal force would have been non-zero, and we would have used Newton's Second Law to express the normal force in terms of the other quantities. Physically, if we had placed the fulcrum at the center of the plank $d = L/2$, then we would have found that $m_1r_1 = m_2r_2$, the well known equation for a balance. This equation, of course, comes from requiring that the torques from the forces exerted by m_1 and m_2 are equal in magnitude and opposite in direction.

Dynamic equilibrium

Review Topics

Before proceeding, you may wish to review Section 5.6 on inertial forces.

When an object is in dynamic equilibrium, its center of mass is accelerating, but the object is not rotating when viewed from its center of mass frame of reference. Thus, the sum of the external forces exerted on the object is not zero, while the net external torque exerted on the object is zero, in the frame of reference of the center of mass.

Consider, for example, a speed skater going around a circular track or radius R, and leaning into the center making an angle θ with the ice, as depicted in Figure 11.7.3. The skater's center of mass is accelerating, because she is going around a circle, so the net force on the skater is not zero. However, in the reference frame of the skater, the skater is not rotating; she is thus in dynamic equilibrium.

Figure 11.7.3: A speed skater leaning in as she goes around a circle.

The forces on the skater are:

1. $\vec{F}_g$, her weight, exerted at her center of mass with magnitude, Mg.
2. $\vec{N}$, a normal force, exerted by the ice upwards on her skates.
3. $\vec{f}_s$, a force of static friction, exerted towards the center of the circle, by the ice on her skates.

The forces are illustrated in Figure 11.7.4along with our choice of coordinate system.

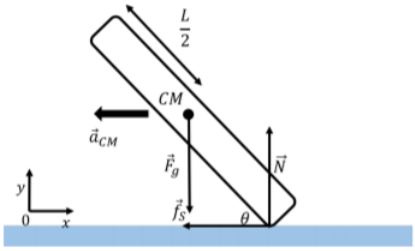

Figure 11.7.3.

The sum of the forces exerted on the skater must be towards the center of the circle and equal to the mass of the skater times her centripetal acceleration (which is the acceleration of her center of mass, $\vec{a}_{CM}$). The x and y components of Newton's Second Law are thus given by:

$$\sum F_x = -f_s = -ma_{CM} - m\frac{v^2}{R}$$
$$\sum F_y = N - mg = 0$$

All of the forces exerted on the skater are in the xy plane, so we consider torques about an axis that is co-linear with the z axis. Consider the torques about an axis through the point of contact between the skates and the ice; there is a net torque in the counter-clockwise direction due to the weight of the skater (the weight is the only force that can result in a torque about the point of contact with the ice). We expect that the skater would topple over, however, this must not be a correct model for the skater, since we know that it is possible for her to lean in without falling.

Consider, instead, the sum of the torques about an axis through her center of mass. If the skater has a length L and the center of mass is in the middle of the skater, the sum of the torques about the center of mass is given by the torques from the normal forces and the force of friction:

$$\sum \tau = \tau_{Nz} + \tau_{f_s z} = \frac{L}{2}\cos\theta N - \frac{L}{2}\sin\theta f_s$$

About the center of mass, the torques must be zero for the skater not to rotate, and this would give a relation between the force of static friction and the normal force.

Why do we get an incorrect model when we take the torques about the point of contact between the ice and the skater? In order to determine if the skater is rotating, we need to be in the same reference frame as the skater. However, the frame of reference of the skater is not an inertial frame of reference, since the skater is accelerating. We can still model the forces on the skater in the non-accelerating frame of reference, **as long as we include the inertial force, $-m\vec{a}_{CM}$**, in that frame of reference. In the frame of reference of the skater, there is an additional inertial force, $-m\vec{a}_{CM}$, in order for the sum of te forces to be zero (in the frame of

reference of the skater, the sum of the forces must be zero since the skater is not accelerating in that frame of reference). The additional inertial force is exerted at the center of mass, as illustrated in Figure 11.7.5.

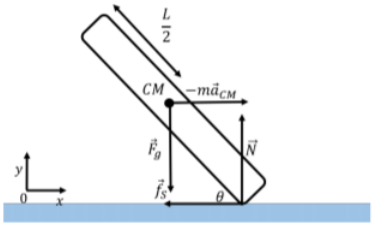

Figure 11.7.3 as seen in the accelerating frame of reference of the center of mass.

The reason that our model worked when taking the torques about the center of mass is that the inertial force, exerted at the center of mass, does not result in a torque (since it has a lever arm of zero). Our model was technically wrong, but if we take the torques about the center of mass, then we do not need to worry about the inertial force. If we include the additional inertial force, then we can take the torques about any point, just as in the static equilibrium case.

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11.8: Summary

Key Takeaways

We can describe the kinematics of rotational motion using vectors to indicate both an axis of rotation and the direction of rotation about that axis. If a particle with velocity vector, $\vec{v}$, is rotating in an circle about an axis, then its angular velocity vector, $\vec{\omega}$, relative to that axis is defined as:

$$\vec{\omega} = \frac{1}{r^2}\vec{r} \times \vec{v}$$

where $\vec{r}$ is a vector from the axis of rotation to the particle. The particle rotates in a circle that lies in the plane defined by $\vec{r}$ and $\vec{v}$, perpendicular to the axis of rotation. The direction of the angular velocity vector is co-linear with the axis of rotation and the direction of rotation is given by the right-hand rule for axial vectors.

One can define the angular velocity of a particle relative to a point of rotation, even if the particle is not moving in a circle. In that case, the angular velocity corresponds to the angular velocity of the particle as if it were instantaneously moving about a circle.

If a particle moving around a circle has a tangential acceleration, $\vec{a}_s$, then its angular acceleration vector is defined as:

$$\vec{\alpha} = \frac{1}{r^2}\vec{r} \times \vec{a}_s$$

The torque from a force, $\vec{F}$, exerted at a position $\vec{r}$, relative to an axis (or point) of rotation is defined as:

$$\vec{\tau} = \vec{r} \times \vec{F}$$

Torque is analogous to force in that it is used to model the causes of motion. Torques are only ever defined relative to an axis or point of rotation. The torque vector will be co-linear with the axis about which the object on which the force is exerted would rotate as a result of that force.

The magnitude of the torque can be written using either the component of the force, $F_\perp$ perpendicular to the vector $\vec{r}$, or the lever arm, $r_\perp$, of the force relative to the axis of rotation:

$$\begin{aligned}\tau &= rF\sin\phi \\ &= rF_\perp \\ &= r_\perp F\end{aligned}$$

where ϕ is the angle between the vectors $\vec{r}$ and $\vec{F}$ when these are placed “tail to tail”.

Using rotational/angular quantities, we can modify Newton’s Second Law to describe rotational dynamics about a given axis (or point) of rotation. For a point particle, this gives:

$$\vec{\tau}^{net} = mr^2\vec{\alpha}$$

where $\vec{\tau}^{net}$ is the net torque on the particle (the sum of the torques from each force exerted on the particle) about the axis, and $\vec{\alpha}$ is the resulting angular acceleration about that axis.

For an object (either continuous or made of point particles), the rotational version of Newton’s Second Law for rotation about a specific axis is given by:

$$\vec{\tau}^{net} = I\vec{\alpha}$$

where I is the moment of inertia of the object about that axis.

The moment of inertia of an object about an axis of rotation is given by

$$I = \sum_i m_i r_i^2$$

if the object is modeled as a system of point particles of mass m_i each a distance r_i from the axis of rotation. For a continuous object, the moment of inertia is given by:

$$I = \int r^2 dm$$

where dm is a small mass element a distance r from the axis of rotation and the integral is over the dimension of the object. Generally, one can set up the integral by expressing dm in terms of r using the density of the object, and then integrating r over the dimension of the object.

If the moment of inertia of an object of mass M about an axis that goes through the center of mass is given by I_{CM}, then the moment of inertia, I_h, of the object through an axis that is parallel and a distance h from the center of mass is given by the parallel axis theorem:

$$I_h = I_{CM} + Mh^2 \quad \text{Parallel axis theorem}$$

Objects are in equilibrium if they are not rotating when viewed in their center of mass frame of reference. Thus, for an object to be in equilibrium, the sum of the torques on the object, in the center of mass reference frame, must be zero.

An object is in static equilibrium if the center of mass is not accelerating, and thus the sum of the external forces on the object is zero. To model the torques on an object in static equilibrium, one can choose the axis about which to calculate the torques. A good choice is to choose an axis that is perpendicular to the plane in which the forces on the object are exerted (if such a plane exists), and to choose the axis to go through a point where at least one force is exerted (so that torques exerted at that point are identically zero).

An object is in dynamic equilibrium if the center of mass is accelerating, but the object does not rotate when viewed in the frame of reference of its center of mass. In dynamic equilibrium, if one models the torques exerted on the object about an axis that does not go through the center of mass, then one must remember to include an inertial force exerted at the center of mass.

Important Equations

Angular quantities:

$$\begin{aligned}
\vec{\omega} &= \frac{1}{r^2}\vec{r} \times \vec{v} \\
\vec{\alpha} &= \frac{1}{r^2}\vec{r} \times \vec{a}_\perp \\
\vec{v}_s &= \vec{\omega} \times \vec{r} \\
\vec{a}_s &= \vec{\alpha} \times \vec{r}
\end{aligned}$$

Torque from a force:

$$\begin{aligned}
\vec{\tau} &= \vec{r} \times \vec{F} \\
\tau &= rF \sin\phi \\
&= rF_\perp \\
&= r_\perp F
\end{aligned}$$

Newton's Second Law for a point particle about a given axis of rotation:

$$\vec{\tau}^{net} = mr^2\vec{\alpha}$$

Newton's Second Law for rotation about an axis:

$$\vec{\tau}^{net} = I\vec{\alpha}$$

Moment of Inertia:

$$I = \sum_i m_i r_i^2$$

$$I = \int r^2 dm$$

Parallel Axis Theorem:

$$I_h = I_{CM} + Mh^2$$

Important Definitions

Definition

Torque: A rotational equivalent of force which occurs when a force is applied at a distance r from the axis of rotation of a rigid body or particle. SI units: $[\mathrm{J}]$. Common variable(s): τ.

Definition

Moment of inertia: A property of matter which describes an object's resistance to rotational motion. SI units: $[\mathrm{kgm}^2]$. Common variable(s): I.

Definition

Linear mass density: The mass per unit length of an object. SI units: $[\mathrm{kgm}^{-1}]$. Common variable(s): λ.

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11.9: Thinking about the material

Reflect and research

1. Compare the steering wheels of a small car and a large transport truck. What are the differences, and why?
2. List 2 kitchen utensils that use torque to “get the job done”.

To try at home

1. Take a large textbook and consider the 3 axes that are parallel to the sides of the textbook and go through the center of mass. By rotating the book along the three axes successively, determine the axis about which the moment of inertia of the textbook is the largest.
2. Confirm that the moment of inertia of a rod is smaller if the rod is rotated about its center of mass than if it is rotated by one of its ends.

To try in the lab

1. Propose an experiment to measure the moment of inertia of an object and to compare that to a model prediction.
2. Construct a comeback can, then model the forces which make the toy’s peculiar motion possible.

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11.10: Sample problems and solutions

Exercise 11.10.1

Calculate the moment of inertia of a uniform disk of mass M and radius R, rotated about an axis that goes through its center and is perpendicular to the disk.

Answer

We need to split up the disk into mass elements, dm, that we can sum together to obtain the moment of inertia of the disk. We can choose a ring of radius r and radial thickness dr for the shape of our mass element, as depicted in Figure 11.10.1

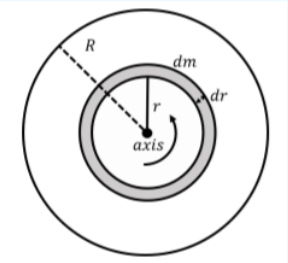

Figure 11.10.1: A mass element, dm, in the shape of a ring of radius r and radial thickness dr.

We can define a surface mass density, σ, equal to the mass per unit area of the disk:

$$\sigma = \frac{M}{\pi R^2}$$

The mass of the ring shaped element is thus given by:

$$dm = \sigma 2\pi r dr$$

where $2\pi r dr$ is the area of the mass element. You can imagine unfolding the mass element into a rectangle of height dr and of length $2\pi r$ to obtain its area. Now that we have expressed the mass element in terms of r, we can proceed to calculate the moment of inertia of the disk.

We know from *Example 11.6.1*, that the infinitesimal moment of inertia, dI, of a ring of radius r and infinitesimal mass, dm, about its axis of symmetry is given by:

$$dI = dmr^2$$

The moment of inertia of the disk, is found by summing the moments of inertia of the infinitesimal rings:

$$\begin{aligned} I &= \int dI = \int dmr^2 = \int_0^R \sigma 2\pi r dr r^2 = 2\pi\sigma \int_0^R r^3 dr \\ &= 2\pi\sigma \frac{1}{4} R^4 = 2\pi \left(\frac{M}{\pi R^2}\right) \frac{1}{4} R^4 \\ &= \frac{1}{2} MR^2 \end{aligned}$$

where we removed the surface mass density by expressing it in term of the total mass and radius of the disk.

Discussion

The moment of inertia of a disk of mass M and radius R is half of that of a ring of radius R and mass M. It is thus easier to rotate the disk than the ring.

Exercise 11.10.2

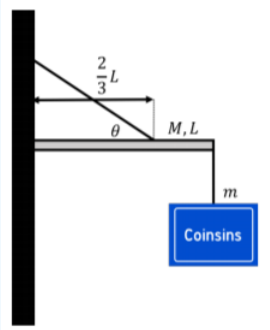

Figure 11.10.2: A sign is suspended on a horizontal bar of mass M and length L.

A sign holder is built by attaching a bar of mass M and length L to a wall using a hinge that allows the bar to rotate in the vertical plane. The sign of mass m is attached to the end of the bar that is opposite from the wall. The bar is held up by a rope that is attached to the wall on one end and to the bar on the other end, two thirds of the length of the bar from the wall, as illustrated in Figure 11.10.2 The rope makes an angle θ with respect to the horizontal bar. Find the tension in the rope and the magnitude of the force exerted by the hinge onto the bar.

Answer

The whole system does not move and so it is in static equilibrium. In order to determine the forces exerted on the bar by the rope and the hinge, we model the bar as being in static equilibrium. The forces exerted on the bar are:

- $\vec{F}_g$, the weight of the bar, with magnitude Mg, exerted at the bar's center of mass.
- $\vec{F}_m$, a downwards forced exerted by the sign at the end of the bar, with magnitude mg.
- $\vec{T}$, a force of tension exerted by the rope at a distance $2/3L$ from the wall.
- $\vec{R}$, a force exerted by the hinge on the bar at the end next to the wall[1]. We expect that the force from the hinge will have both a horizontal component, R_x, and a vertical component, R_y, in order for the net force on the bar to be zero.

The forces are illustrated in Figure 11.10.3along with our choice of coordinate system (and the z axis, not shown, points out of the page).

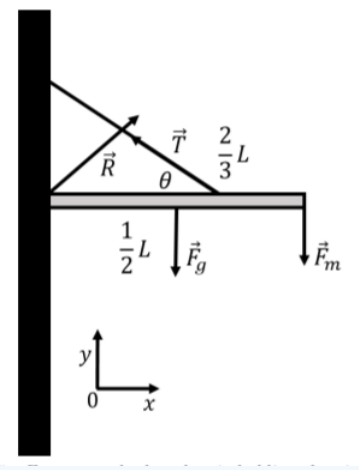

Figure 11.10.3: Forces on the bar that is holding the sign of mass m.

We start by writing out the x and y components of Newton's Second Law (with zero acceleration):

$$\sum F_x = R_x - T\cos\theta = 0$$
$$\sum F_y = R_y + T\sin\theta - Mg - mg = 0$$

We can choose the axis about which to calculate the torques. Since all of the forces are in the xy plane, we choose to calculate the torques about an axis parallel to the z axis that goes through the hinge on the wall. The force from the hinge,

$\vec{R}$, will thus result in a torque of zero (since it has a lever arm of zero). The torque from each force about the hinge is given by:

$$\begin{aligned}\vec{\tau}_M &= \vec{r}_M \times \vec{F}_g = \left(\frac{L}{2}\hat{x}\right) \times (-Mg\hat{y}) = -Mg\frac{L}{2}\hat{z} \\ \vec{\tau}_T &= \vec{r}_T \times \vec{T} = \left(\frac{L}{3}\hat{x}\right) \times (-T\cos\theta\hat{x} + T\sin\theta\hat{y}) = T\sin\theta\frac{L}{3}\hat{z} \\ \vec{\tau}_m &= \vec{r}_m \times \vec{F}_m = (L\hat{x}) \times (-mg\hat{y}) = -mgL\hat{z}\end{aligned}$$

The sum of the torques in the z direction must be zero for static equilibrium, which allows us to determine the magnitude of the force of tension:

$$\begin{aligned}\sum \tau_z = \tau_{Mz} + \tau_{Tz} + \tau_{mz} &= 0 \\ -Mg\frac{L}{2} + T\sin\theta\frac{L}{3} - mgL &= 0 \\ -Mg\frac{1}{2} + T\sin\theta\frac{1}{3} - mg &= 0 \\ \therefore T &= \frac{3g}{\sin\theta}\left(m + \frac{M}{2}\right)\end{aligned}$$

Using the x and y components of Newton's Second Law, we can now use the tension to determine the x and y components of the force exerted by the hinge:

$$\begin{aligned}R_x &= T\cos\theta = \frac{3g}{\tan\theta}\left(m + \frac{M}{2}\right) \\ R_y &= (M+m)g - T\sin\theta = (M+m)g - 3g\left(m + \frac{M}{2}\right) = -\left(2m + \frac{M}{2}\right)g\end{aligned}$$

We find that the y component of the force from the hinge is in the negative y direction, so **our diagram in Figure** 11.10.3 **is wrong**! If you removed the hinge on the wall and instead held that end of the bar with your hand, you would feel that the end of the bar is trying to go into the wall and upwards, as the bar tries to rotate with the opposite end moving downwards due to the weight of the sign. You would have to push in the positive x and negative y direction to keep the bar from moving.

Discussion

In this example, we saw that we needed to use both the sum of the forces and the sum of the torques in order to determine the forces on the bar.

Footnotes

1. We chose the letter R for "Reaction", as this is the force of reaction from the hinge as the bar pushes against the hinge.

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CHAPTER OVERVIEW

12: Rotational Energy and Momentum

Learning Objectives

- Understand how to define the rotational kinetic energy of an object as well as its total kinetic energy.
- Understand how to model rolling motion, and what slipping means in the context of rolling motion.
- Understand how to define the angular momentum of an object and when it is conserved.

In this chapter, we extend our description of rotational dynamics to include the rotational equivalents of kinetic energy and momentum. We also develop the framework for describing the motion of rolling objects. We will see that many of the relations that hold for linear quantities also hold for angular quantities.

Prelude

How can you model the motion of a downwards going yo-yo?

- It is similar to that of an object falling with a force of drag.
- It is similar to that of an object rolling down an incline.
- It is similar to that of an object sliding down an incline.
- It is similar to that of an object rotating about a fixed axis of rotation.

12.1: Rotational Kinetic Energy of an Object
12.2: Rolling motion
12.3: Angular Momentum
12.4: Summary
12.5: Thinking about the material
12.6: Sample problems and solutions

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12.1: Rotational Kinetic Energy of an Object

In this section, we show how to define the rotational kinetic energy of an object that is rotating about a stationary axis in an inertial frame of reference. Consider a solid object that is rotating about an axis with angular velocity, $\vec{\omega}$, as depicted in Figure 12.1.1.

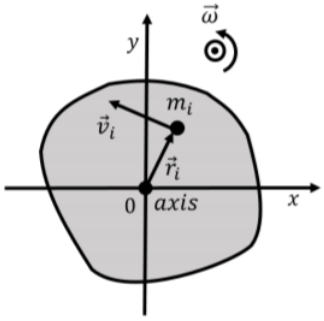

Figure 12.1.1: An object rotating about an axis that is perpendicular to the page.

We can model the object as being composed of many point particles, each with a mass m_i, located at a position $\vec{r}_i$, with velocity $\vec{v}_i$ relative to the axis of rotation. We choose a coordinate system whose origin is on the axis of rotation and whose z axis is co-linear with the axis of rotation, as depicted in Figure 12.1.1.

Each particle of mass m_i in the object has a kinetic energy, K_i:

$$K_i = \frac{1}{2} m_i v_i^2$$

We can sum the kinetic energy of each particle together to get the total rotational kinetic energy, K_{rot}, of the object:

$$K_{rot} = \sum_i \frac{1}{2} m_i v_i^2$$

Although each particle will have a different velocity, $\vec{v}_i$, they will all have the same angular velocity, $\vec{\omega}$. For any particle, located a distance r_i from the axis of rotation, their velocity is related to the angular velocity of the object by:

$$\vec{v}_i = \vec{\omega} \times \vec{r}_i$$
$$v_i = \omega r_i$$

where $\vec{\omega}$ and $\vec{r}_i$ are always perpendicular to each other, since $\vec{\omega}$ is out of the plane of the page. Furthermore, the velocity vector, $\vec{v}_i$, will always be perpendicular to $\vec{r}_i$, since all particles are moving in circles centerd about the axis of rotation. We can thus write the total rotational kinetic energy of the object using the angular speed:

$$K_{rot} = \sum_i \frac{1}{2} m_i v_i^2 = \sum_i \frac{1}{2} m_i r_i^2 \omega^2 = \frac{1}{2} \omega^2 \sum_i m_i r_i^2$$
$$= \frac{1}{2} I \omega^2$$

where we factored ω and the one half out of the sum, as these are the same for each particle i. We then recognized that the remaining sum is simply the definition of the object's moment of inertia about the axis:

$$I = \sum_i m r_i^2$$

Thus, the rotational kinetic energy of an object rotating with angular speed ω about an axis that is stationary in an inertial frame of reference is given by:

$$K_{rot} = \frac{1}{2} I \omega^2 \tag{12.1.1}$$

where I is the object's moment of inertia about that axis. The rotational kinetic energy is functionally very similar to the linear kinetic energy; instead of mass, we use the moment of inertia, and instead of speed squared, we use angular speed squared.

Work on a rotating object

We can calculate the work done by a force exerted on an object rotating about a stationary axis in an inertial frame of reference. Let $\vec{F}$ be a force exerted at position, $\vec{r}$, relative to the axis of rotation at some instant in time, and let the force be exerted in the plane perpendicular to the axis of rotation, as illustrated in Figure 12.1.2 Because the object is rotating about the given axis, only the component of the force that is tangent to the circle about which the point where the force is exerted can do work (only the component of the force that is parallel to the displacement can do work).

The work done by the force as the object rotates by a certain angle is given by:

$$W = \int \vec{F} \cdot d\vec{l} = \int F_{\perp} dl$$

where $d\vec{l}$ is a small displacement along the (circular) path followed by the point where the force is exerted, as illustrated in Figure 12.1.2 $F_{\perp}$ is the component of $\vec{F}$ that is perpendicular to the vector, $\vec{r}$, from the axis of rotation to the location where the force is exerted ($F_{\perp}$ is the component of $\vec{F}$ that is tangent to the circle).

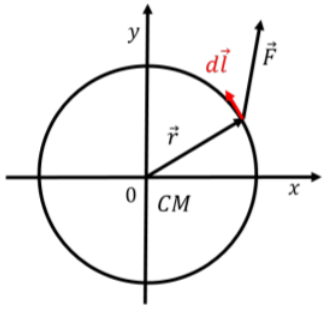

Figure 12.1.2: Calculating the work done by a force on a rotating object.

At some instant in time, when the force is exerted at position, $\vec{r}$, consider the scalar product between the torque from the force, $\vec{\tau}$, and an infinitesimal angular displacement, $d\vec{\theta}$, about the axis of rotation:

$$\vec{\tau} \cdot d\vec{\theta} = (\vec{r} \times \vec{F}) \cdot \left(\frac{1}{r^2} \vec{r} \times d\vec{l} \right)$$

The vectors $\vec{\tau}$ and $d\vec{\theta}$ are parallel to the axis of rotation (because $\vec{F}$ and $d\vec{l}$ are in the plane perpendicular to the axis of rotation), so their scalar product will be equal to the product of their magnitudes. The vector $\vec{r} \times \vec{F}$ has a magnitude of:

$$\vec{r} \times \vec{F} = rF_{\perp}$$

where $F_{\perp}$ is the component of the force tangent to the circle. The vector $\vec{r} \times d\vec{l}$ has a magnitude:

$$\vec{r} \times d\vec{l} = rdl$$

since $\vec{r}$ and $d\vec{l}$ are always perpendicular. The scalar product $\vec{\tau} \cdot d\vec{\theta}$ is thus equal to:

$$\vec{\tau} \cdot d\vec{\theta} = rF_{\perp} \frac{1}{r^2} rdl = F_{\perp} dl$$

The work done by a force when an object rotates about an axis can thus be written in terms of its torque about that axis and the corresponding angular displacement from θ_1 to θ_2:

$$W = \int_{\theta_1}^{\theta_2} \vec{\tau} \cdot f\vec{\theta} \tag{12.1.2}$$

The net work done on an object through an angular displacement from θ_1 to θ_2 can thus be written using the net torque $\vec{\tau}^{net}$ exerted on the object:

$$W^{net} = \int_{\theta_1}^{\theta_2} \vec{\tau}^{net} \cdot d\vec{\theta}$$

We can re-arrange this using Newton's Second Law for rotational dynamics:

$$\begin{aligned}\vec{\tau}^{net} &= I\vec{\alpha} \\ &= I\frac{d\vec{\omega}}{dt} = I\frac{d\omega}{d\theta}\frac{d\vec{\theta}}{dt} = I\frac{d\omega}{d\theta}\vec{\omega}\end{aligned}$$

which allows us to write the integral over a change in angular velocity instead of angular displacement:

$$\begin{aligned}W^{net} &= \int_{\theta_1}^{\theta_2} \vec{\tau}^{net}\cdot d\vec{\theta} = \int_{\theta_1}^{\theta_2} I\frac{d\omega}{d\theta}\vec{\omega}\cdot d\vec{\theta} \\ &= \int_{\omega_1}^{\omega_2} I\omega d\omega = \frac{1}{2}I\omega_2^2 - \frac{1}{2}I\omega_1^2\end{aligned}$$

where we used the fact that $\vec{\omega}$ are $d\vec{\theta}$ are parallel. We thus find that the Work-Energy Theorem can also be applied to find the change in rotational kinetic energy resulting from the net work done by a torque:

$$W^{net} = \int_{\theta_1}^{\theta_2} \vec{\tau}^{net}\cdot d\vec{\theta} = \Delta K_{rot} \tag{12.1.3}$$

If a constant torque, $\vec{\tau}$, is exerted on an object that is rotating at constant angular velocity, $\vec{\omega}$, then the rate at which that work is being done is given by:

$$P = \frac{dW}{dt} = \frac{d}{dt}\vec{\tau}\cdot d\vec{\theta} = \vec{\tau}\cdot\frac{d\vec{\theta}}{dt} = \vec{\tau}\cdot\vec{\omega}$$

This is very similar to the power, $P = \vec{F}\cdot\vec{v}$, with which a force does work on an object moving with constant velocity, except that instead of force we use torque, and instead of velocity, we use angular velocity.

Total kinetic energy of an object

In the frame of reference of the center of mass, an object rotating about an axis through its center of mass with angular velocity, $\vec{\omega}$, will have rotational kinetic energy, K_{rot}, given by:

$$K_{rot} = \frac{1}{2}I_{CM}\omega^2$$

where I_{CM} is the moment of inertia of the object about the axis through its center of mass.

We wish to determine the kinetic energy of the object in an inertial frame of reference where the object's center of mass is moving with a velocity $\vec{v}_{cm}$; that is, in a frame where the axis of rotation is moving with the velocity of the center of mass. We model the object as being composed of particles of mass, m_i, each located at position, $\vec{r}_i$, relative to the axis of rotation through the center of mass. The velocity, $\vec{v}_i$, of a particle i, in this frame of reference, is given by:

$$\vec{v}_i = \vec{\omega}\times\vec{r}_i + \vec{v}_{CM}$$

where $\vec{\omega}\times\vec{r}_i$ is the velocity of the particle as seen in the center of mass (due to rotation). The kinetic energy of particle i, K_i, is given by:

$$K_i = \frac{1}{2}m_i v_i^2 = \frac{1}{2}m_i(\vec{v}_i\cdot\vec{v}_i)$$

where we expressed the speed of the particle squared using a scalar product of the velocity of the particle with itself. The total kinetic energy of the object is found by summing the kinetic energies of all of the particles:

$$
\begin{aligned}
K_{tot} &= \sum \frac{1}{2} m_i (\vec{v}_i \cdot \vec{v}_i) \\
&= \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i + \vec{v}_{CM}) \cdot (\vec{\omega} \times \vec{r}_i + \vec{v}_{CM}) \\
&= \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i) \cdot (\vec{\omega} \times \vec{r}_i) + \frac{1}{2} \sum_i m_i (\vec{v}_{CM}) \cdot (\vec{v}_{CM}) + \sum_i m_i (\vec{\omega} \times \vec{r}_i) \cdot (\vec{v}_{CM}) \\
&= \frac{1}{2} \sum_i m_i \omega^2 r_i^2 + \frac{1}{2} \sum_i m_i v_{CM}^2 + \sum_i m_i (\vec{\omega} \times \vec{r}_i) \cdot (\vec{v}_{CM}) \\
&= \frac{1}{2} I_{CM} \omega^2 + \frac{1}{2} M v_{CM}^2 + \sum_i m_i (\vec{\omega} \times \vec{r}_i) \cdot (\vec{v}_{CM})
\end{aligned}
$$

where the first term is the rotational kinetic energy that we found earlier. The second term, called the “translational kinetic energy”, can be thought of as the kinetic energy of the whole system with mass $M = \sum m_i$, due to the translational motion of the center of mass. The last term is identically zero; we can re-order the scalar product and factor $\vec{v}_{CM}$ out of the sum:

$$
\begin{aligned}
\sum_i m_i (\vec{\omega} \times \vec{r}_i) \cdot (\vec{v}_{CM}) &= (\vec{v}_{CM}) \cdot \sum_i m_i (\vec{\omega} \times \vec{r}_i) \\
&= (\vec{v}_{CM}) \cdot \sum_i m_i \vec{v}_i'
\end{aligned}
$$

where $v_i' = \vec{\omega} \times \vec{r}_i$ is the velocity of particle i in the center of mass frame of reference. But the sum:

$$
\sum_i m_i \vec{v}_i'
$$

is the numerator for the definition of the velocity of the center of mass, which, in the center of mass frame of reference is identically zero!

Thus, the total kinetic energy of an object of mass, M, that is rotating about an axis through its center of mass with angular velocity, ω, and whose center of mass is moving with velocity, $\vec{v}_{CM}$, is given by:

$$
K_{tot} = K_{rot} + K_{trans} = \frac{1}{2} I_{CM} \omega^2 + \frac{1}{2} M v_{CM}^2 \tag{12.1.4}
$$

The total kinetic energy can be thought of as the sum of the rotational and kinetic energies.

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12.2: Rolling motion

In this section, we examine how to model the motion of an object that is rolling along a surface, such as the motion of a bicycle wheel. Consider the motion of a wheel of radius, R, rotating with angular velocity, $\vec{\omega}$, about an axis perpendicular to the wheel and through its center of mass, **as observed in the center of mass frame**. This is illustrated in Figure 12.2.1.

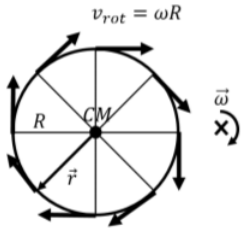

Figure 12.2.1: A wheel rotating with angular velocity $\vec{\omega}$ about an axis through its center of mass.

In the frame of reference of the center of mass, each point on the edge of the wheel has a velocity, $\vec{v}_{rot}$, due to rotation given by:

$$\vec{v}_{rot} = \vec{\omega} \times \vec{r}$$

where $\vec{r}$ is a vector (of magnitude R) from the center of mass to the corresponding point on the edge of the wheel (shown in Figure 12.2.1 for a point on the lower left of the wheel). The vector $\vec{r}$ is always perpendicular to $\vec{\omega}$, so that the speed of all points on the edge, as measured in the frame of reference of the center of mass, is the same:

$$v_{rot} = \omega R \tag{12.2.1}$$

as illustrated in Figure 12.2.1.

Now, suppose that the whole wheel is moving, as it rolls on the ground, such that the center of mass of the wheel moves with a velocity, $\vec{v}_{CM}$, as illustrated in Figure 12.2.2

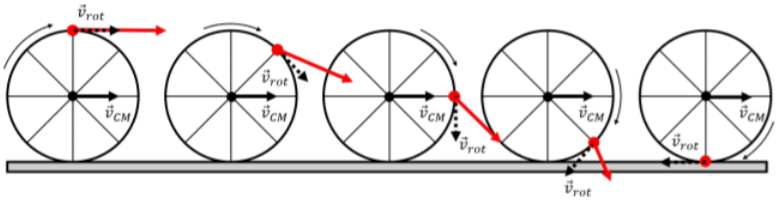

Figure 12.2.2: A wheel rolling without slipping on the ground, with the center of mass moving with velocity $\vec{v}_{CM}$. The wheel is shown at different instants in time, as the point shown in red moves around the center of mass.

In the frame of reference of the ground, each point on the edge of the wheel will have a velocity $\vec{v}$ given by:

$$\vec{v} = \vec{v}_{rot} + \vec{v}_{CM}$$

That is, in the frame reference of the ground, each point will have a velocity obtained by (vectorially) adding its velocity relative to the center of mass, $\vec{v}_{rot}$, and the velocity of the center of mass relative to the ground, $\vec{v}_{CM}$. This is illustrated in Figure 12.2.2 for one specific point, shown in red. The red vector corresponds to the velocity of the red point as the wheel rotates, and is obtained by adding the velocity of the center of mass, $\vec{v}_{CM}$, and the velocity, $\vec{v}_{rot}$, relative to the center of mass (shown as the dashed vector, tangent to the edge of the wheel).

Consider, specifically, the instant in time when the red point is at the bottom of the wheel, where the wheel makes contact with the ground. **If the wheel is not slipping with respect to the ground**, then the point is, at that instant, at rest relative to the ground. We call this type of motion “rolling without slipping”; the point on the rotating object that is in contact with the ground is instantaneously at rest relative to the ground. This is the scenario illustrated in Figure 12.2.2

For the point in contact with the ground, the vectors $\vec{v}_{rot}$ and $\vec{v}_{CM}$ are anti-parallel, horizontal, and must sum to zero. Writing out the horizontal component of the velocity of that point (choosing the positive direction to be in the direction of the velocity of the center of mass):

$$v = -v_{rot} + v_{CM} = 0$$

$$\therefore v_{rot} = v_{CM}$$

and we find that, for rolling without slipping, the speed due to rotation about the center of mass has to be equal to the speed of the center of mass. The speed due to rotation about the center of mass can be expressed using the angular velocity of the wheel about the center of mass (*Equation 12.2.1*). For rolling without slipping, we thus have the following relationship between angular velocity and the speed of the center of mass:

$$\omega R = v_{CM} \qquad \text{(rolling without slipping)} \tag{12.2.2}$$

It makes sense for the angular velocity to be related to the speed of the center of mass. The faster the wheel rotates, the faster the center of mass will move. If the wheel is slipping with respect to the ground, then the point of contact is no longer stationary relative to the ground, and there is no relation between the angular velocity and the speed of the center of mass. For rolling with slipping, imagine the motion of your bicycle wheel as you try to ride your bike on a slick sheet of ice.

For rolling without slipping, the magnitude of the linear acceleration of the center of mass, a_{CM}, is similarly related to the magnitude of the angular acceleration of the wheel, α, about the center of mass:

$$a_{CM} = \frac{dv_{CM}}{dt} = \frac{d}{dt}\omega R = R\frac{d\omega}{dt}$$
$$\therefore a_{CM} = R\alpha$$

? Exercise 12.2.1

For rolling without slipping (Figure 12.2.2), the speed of the point on the wheel that is in contact with the ground is 0. What is the speed of the point at the top of the wheel?

A. 0.
B. v_{CM}.
C. $2v_{CM}$.
D. None of the above.

Answer

✓ Example 12.2.1

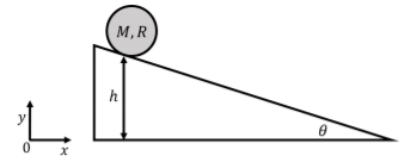

Figure 12.2.3: A disk rolling without slipping down an incline.

Solution

A disk of mass M and radius R is placed on an incline at a height h above the ground. The incline makes an angle θ with respect to the horizontal, as shown in Figure 12.2.3. If the disk starts at rest and rolls without slipping down the incline, what speed will the center of mass have when the disk reaches the bottom of the incline? We can use the conservation of mechanical energy to determine the speed of the center of mass at the bottom of the incline, as there are no non-conservative forces doing work on the disk. If we choose to define gravitational potential energy such that it is zero at the bottom of the incline, we can write the total mechanical energy of the disk at the top of the incline as:

$$E = K + U = (0) + Mgh$$

where the kinetic energy is zero, since the disk starts at rest[1]. At the bottom of the incline, the disk will have only kinetic energy, since the potential energy at the bottom is defined to be zero. The kinetic energy of the disk will have a component from the rotation of the disk about the center of mass, with angular speed ω, and a component from the translation of the center of mass with speed v_{CM}. The mechanical energy at the bottom of the incline is thus:

$$E' = K' + U = K'_{rot} + K'_{trans} + (0) = \frac{1}{2}I_{CM}\omega^2 + \frac{1}{2}Mv_{cm}^2$$

Since the disk is rolling without slipping, its angular speed is related to the speed of center of mass:

$$\omega = \frac{v_{CM}}{R}$$

The moment of inertia of the disk about its center of mass is given by:

$$I_{CM} = \frac{1}{2}MR^2$$

We can thus write the mechanical energy at the bottom of the incline as:

$$\begin{aligned} E' &= \frac{1}{2}I_{CM}\omega^2 + \frac{1}{2}Mv_{cm}^2 \\ &= \frac{1}{2}\left(\frac{1}{2}MR^2\right)\left(\frac{v_{CM}}{R}\right)^2 + \frac{1}{2}Mv_{cm}^2 \\ &= \frac{3}{4}Mv_{cm}^2 \end{aligned}$$

Applying conservation of energy allows us to determine the speed of the center of mass at the bottom of the incline:

$$\begin{aligned} E &= E' \\ Mgh &= \frac{3}{4}Mv_{cm}^2 \\ \therefore v_{CM} &= \sqrt{\frac{4}{3}gh} \end{aligned}$$

Discussion

This example showed how we can use the conservation of energy to model the motion of an object that is rolling without slipping. The constraint of rolling without slipping allowed for the angular speed of the object to be related to the speed of its center of mass.

? Exercise 12.2.2

A hoop, a disk, and a sphere roll without slipping down an incline. If they are all released at the same time, in what order will they arrive at the bottom?

A. Hoop, disk, sphere.
B. Sphere, disk, hoop.
C. Disk, sphere, hoop.
D. Disk, hoop, sphere.

Answer

The instantaneous axis of rotation

When an object is rolling without slipping, we can model its motion as the superposition of rotation about the center of mass and translational motion of the center of mass, as in the previous section. However, because the point of contact between the rolling object and the ground is stationary, we can also model the motion as if the object were instantaneously rotating with angular velocity, $\vec{\omega}$, about a stationary axis through the point of contact. That is, we can model the motion as rotation only, with no translation, if we choose an axis of rotation through the point of contact between the ground and the wheel.

We call the axis through the point of contact the “instantaneous axis of rotation”, since, instantaneously, it appears as if the whole wheel is rotating about that point. This is illustrated in Figure 12.2.4, which shows, in red, the velocity vector for each point on the edge of the wheel, relative to the instantaneous axis of rotation. Because the axis of rotation is fixed to the ground, the velocity of each point about that axis of rotation corresponds to the same velocity relative to the ground that is depicted in Figure 12.2.2

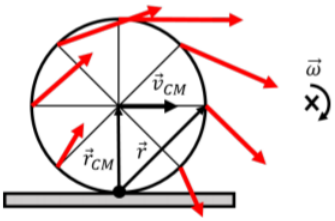

Figure 12.2.4: A wheel that is rolling without slipping, as viewed if rotating about the instantaneous axis of rotation that passes through the point of contact with the ground.

In particular, the angular velocity, $\vec{\omega}$, about the instantaneous axis of rotation is the same as when we model the motion as translation plus rotation about the center of mass ,as in the previous section. Indeed, relative to the instantaneous axis of rotation, the center of mass must still have a velocity $\vec{v}_{CM}$, which is given by:

$$\vec{v}_{CM} = \vec{\omega} \times \vec{r}_{CM}$$

$$\therefore v_{CM} = \omega R$$

where $\vec{r}_{CM}$ is the vector from the axis of rotation to the center of mass. This is the same condition for rolling without slipping that we found before. Similarly, the velocity of any point on the wheel, relative to the ground, is given by:

$$\vec{v} = \vec{\omega} \times \vec{r}$$

where $\vec{r}$ is the vector from the axis of rotation to the point of interest (shown in Figure 12.2.4 for the point on the right side of the wheel). In particular, the velocity vector (in red) for any point is always perpendicular to the vector $\vec{r}$ for that point, which was not necessarily obvious when modeling the motion as rotation plus translation, as in Figure 12.2.2

Example 12.2.2

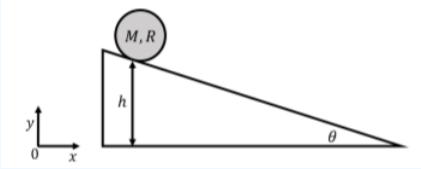

Figure 12.2.5: A disk rolling without slipping down an incline.

A disk of mass M and radius R is placed on an incline at a height h above the ground. The incline makes an angle θ with respect to the horizontal, as shown in Figure 12.2.5. What is the angular acceleration of the disk, about an axis through its center of mass, as it rolls without slipping down the slope?

Solution

In order to determine the angular acceleration of the disk about the center of mass, we need to model the forces that are exerted on the disk. The forces exerted on the disk are:

1. $\vec{F}_g$, the weight of the disk, exerted downwards at the center of mass, with magnitude Mg.
2. $\vec{N}$, a normal force perpendicular to the incline, exerted by the incline at the point of contact with the disk.
3. $\vec{f}_s$, a force of static friction parallel to the incline, exerted by the incline at the point of contact with the disk. Without this force, the disk would simply slide down the incline without rotating.

These forces are illustrated in Figure 12.2.6, along with the acceleration of the center of mass, and our choice of coordinate system (we choose the x axis parallel to the acceleration of the center of mass, to facilitate applying Newton's Second Law).

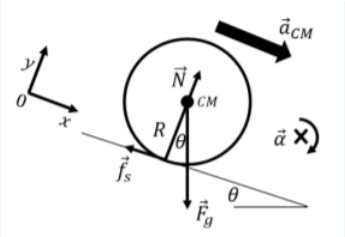

Figure 12.2.6: The forces on the disk rolling without slipping down an incline.

The angular acceleration of the disk about the center of mass, $\vec{\alpha}$ is given by Newton's Second Law for rotational dynamics:

$$\vec{\tau}^{ext} = I_{CM}\vec{\alpha}$$

where $\vec{\tau}^{ext}$ is the net external torque on the disk about the center of mass (which will be in the negative z direction).

The only force that can exert a torque about the center of mass is the force of static friction. Gravity has a lever arm of zero and the normal force is anti-parallel to the vector that goes from the center of mass to the point where the force is exerted. The net torque about the center of mass is thus:

$$\vec{\tau}^{ext} = \vec{\tau}_{f_s} = \vec{r}_{f_s} \times \vec{f}_s = -Rf_s\hat{z}$$

The angular acceleration will thus be in the negative z direction, and the magnitude is given by:

$$\alpha = \frac{\tau^{ext}}{I_{CM}} = \frac{Rf_s}{\frac{1}{2}MR^2} = \frac{2f_s}{MR}$$

However, we do not know the magnitude of the force of static friction. We can use the x and y components of Newton's Second Law to determine it (with acceleration of the center of mass in the x direction):

$$\begin{aligned}\sum F_x &= F_g\sin\theta - f_s = Ma_{CM}\\ \sum F_y &= N - F_g\cos\theta = 0\end{aligned}$$

Because the disk is rolling without slipping, the acceleration of the center of mass is related to the angular acceleration of the disk:

$$a_{cm} = \alpha R$$

The x component of Newton's Second Law can thus be used to determine the magnitude of the force of static friction in terms of the angular acceleration:

$$\begin{aligned}Mg\sin\theta - f_s &= M\alpha R\\ \therefore f_s &= Mg\sin\theta - M\alpha R\end{aligned}$$

We can then substitute out the force of friction from our previous formula for the angular acceleration:

$$\begin{aligned}\alpha &= \frac{2f_s}{MR}\\ &= \frac{2Mg\sin\theta - 2M\alpha R}{MR} = \frac{2g\sin\theta}{R} - 2\alpha\\ \therefore \alpha &= \frac{2g\sin\theta}{3R}\end{aligned}$$

Instead of modeling the motion of the disk as rotation about the center of mass and translation of the center of mass, we can also model it about the instantaneous axis of rotation.

The angular acceleration about the instantaneous axis of rotation will be the same as the angular acceleration about the center of mass. About the instantaneous axis of rotation, only the force of gravity can exert a torque, since the normal force and the force of friction both have a lever arm of zero. The torque from the force of gravity, about the instantaneous axis of rotation is:

$$\vec{\tau}_g = -F_gR\sin\theta\hat{z} = -MgR\sin\theta\hat{z}$$

The torque from the force of gravity is equal to the moment of inertia of the disk about the instantaneous axis of rotation, I, multiplied by its angular acceleration:

$$\tau^{ext} = \tau_g = I\alpha$$

$$\therefore \alpha = \frac{\tau_g}{I} = \frac{MgR\sin\theta}{I}$$

The moment of inertia about the instantaneous axis of rotation is easily found using the parallel axis theorem:

$$I = I_{CM} + MR^2 = \frac{1}{2}MR^2 + MR^2 = \frac{3}{2}MR^2$$

This allows us to find the angular acceleration of the disk:

$$\alpha = \frac{MgR\sin\theta}{I} = \frac{MgR\sin\theta}{\frac{3}{2}MR^2}$$

$$= \frac{2g\sin\theta}{3R}$$

as we found previously, but in this case, we did not need to use Newton's Second Law to determine the force of friction.

Discussion

We saw that we can model the dynamics of the rolling body using either an axis through the center of mass, or an axis through the instantaneous axis of rotation. The latter was easier in this case, because it did not require using Newton's Second Law.

By using an axis through the center of mass to model the motion of the disk, it was clear that the force of static friction is required in order for the disk to rotate. Without the force of static friction, the disk would slide along the surface of the incline. The disk could still rotate if there is a force of kinetic friction that causes a torque that rotates the disk. If the surface were completely frictionless, the disk would simply slide down the incline, and we could model it as a sliding block. If the incline is too steep the force of static friction is no longer sufficient to provide the necessary torque required for the angular acceleration to be that which corresponds to rolling without slipping, and the disk would slip.

Footnotes

1. Technically, the potential energy should be taken for the height of the center of mass, which is a distance $h_{CM} = h + R\cos\theta$ from the ground at the top of the incline, and a height $h'_{CM} = R$ at the bottom of the incline. The net difference in height for the center of mass is thus $h_{CM} - h'_{CM} = h + R(1 - \cos\theta)$. If we assume that h is much bigger than R, then this is negligible, otherwise, that is what we should use instead of h for the potential energy.

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12.3: Angular Momentum

In this section, we show that we can define a quantity called “angular momentum” as the rotational equivalent of the linear momentum.

Angular Momentum of a Particle

The angular momentum relative to a point of rotation, $\vec{L}$, of a particle with linear momentum, $\vec{p}$, is defined as:

$$\vec{L} = \vec{r} \times \vec{p} \tag{12.3.1}$$

where $\vec{r}$ is the vector from the point of rotation to the particle, and the linear momentum, $\vec{p}$, is defined relative to an inertial frame of reference in which the point of rotation is at rest.

Consider the time-derivative of angular momentum (where we have to use the product rule for derivatives):

$$\begin{aligned} \frac{d\vec{L}}{dt} &= \frac{d}{dt}(\vec{r} \times \vec{p}) \\ &= \frac{d\vec{r}}{dt} \times \vec{p} + \vec{r} \times \frac{d\vec{p}}{dt} \\ &= \vec{v} \times \vec{p} + \vec{r} \times \frac{d\vec{p}}{dt} \end{aligned}$$

The first term is zero, since $\vec{v}$ is parallel to $\vec{p}$ by definition. Recall Newton’s Second Law written using linear momentum:

$$\frac{d\vec{p}}{dt} = \vec{F}^{net}$$

where $\vec{F}^{net}$ is the net force on the particle relative to the point of rotation. The rate of change of angular momentum is thus given by:

$$\begin{aligned} \frac{d\vec{L}}{dt} &= \vec{r} \times \frac{d\vec{p}}{dt} \\ &= \vec{r} \times \vec{F}^{net} \end{aligned}$$

where the term on the right is the net torque on the particle. Thus, the rate of change of angular momentum is given by:

$$\frac{d\vec{L}}{dt} = \vec{\tau}^{net} \tag{12.3.2}$$

which is analogous to the linear case, but we used angular momentum instead of linear momentum and net torque instead of net force. The net torque on a particle is thus equal to the rate of change of its angular momentum. In particular, the angular momentum of a particle will remain constant (not change with time) if the net torque on the particle is zero.

We can also define the angular momentum of a particle using only angular quantities:

$$\vec{L} = \vec{r} \times \vec{p} = m\vec{r} \times \vec{v} = mr^2\vec{\omega}$$

where we factored the mass m out of the momentum and used the definition $\vec{\omega} = 1/r^2(\vec{r} \times \vec{v})$. We can think of mr^2 as the moment of inertia, I, of the particle and write:

$$\vec{L} = mr^2\vec{\omega} = I\vec{\omega} \tag{12.3.3}$$

which is a close analogue to the definition of linear momentum, but we use moment of inertia instead of mass and angular velocity instead of velocity.

The angular momentum is thus parallel to the angular velocity of the particle about the point of rotation. If no net torque is exerted on the particle about that point, then the particle’s angular momentum about that point will remain constant. We can also consider the torque and angular momentum about an axis instead of a point; in that case, we would simply take the components of torque and angular momentum that are parallel to that axis.

Example 12.3.1

A small block of mass m attached to a mass-less string is moving along a circle of radius R on a horizontal table, as depicted from above in Figure 12.3.1. If the table is frictionless: are the block's linear and/or angular momentum with respect to the axis of rotation conserved? If there is friction between the table and the block, are the block's linear and/or angular momentum with respect to the axis of rotation conserved? What can you say about the kinetic energy of the block in the two cases?

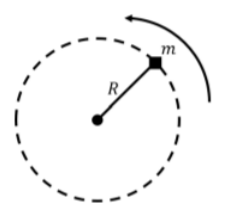

Figure 12.3.1: A small block attached to a mass-less string moving in a horizontal circle on a table.

Solution

If there is no friction between the block and the table, then the forces exerted on the block are:

1. $\vec{F}_g$, the block's weight, exerted downwards, with magnitude mg.
2. $\vec{N}$, a normal force, exerted upwards, with magnitude mg.
3. $\vec{T}$, a force of tension, exerted towards the center of the circle.

All of these forces are perpendicular to the (tangential) displacement of the block along the circle. Thus, there can be no work done on the block and its speed, v, must remain constant. The kinetic energy of the block must thus remain constant.

The sum of the forces on the block must be towards the center of the circle, since the block is in uniform circular motion. The linear momentum of the block cannot be conserved if there is a net force on the block (and clearly, the block's velocity vector changes direction as it goes around the circle).

The forces of weight and the normal force are both outside of the plane of motion, and thus cannot exert a torque along the axis of rotation. They are also equal and opposite in magnitude so the net torque from those two forces is always zero (since the net force from those forces is zero). The force of tension is always anti-parallel to the vector $\vec{r}$, from the axis of rotation to the particle, and cannot result in a torque about the rotation axis. Thus, the net torque on the block is zero and its angular momentum must be conserved.

If there is kinetic friction exerted by the table on the block, then there is an additional force, $\vec{f}_s$, exerted on the block in the direction opposite of motion (tangent to the circle, in the opposite direction from the block's velocity).

The force of friction will do negative work on the block, slowing it down and reducing its kinetic energy, which is no longer conserved. The net force on the block is non-zero, so its linear momentum is still not conserved. Finally, the force of friction, which is always perpendicular to $\vec{r}$, will result in a torque that reduces the angular velocity of the block. The block's angular momentum is thus no longer conserved when there is friction between the table and the block.

Discussion

In this example, we saw that kinetic energy, linear momentum, and angular momentum are all conserved under different conditions. Kinetic energy is conserved if no net work is done on the block. Linear momentum is conserved if the net force on the block is zero. Angular momentum is conserved if the net torque on the block is zero. By introducing angular momentum, we are able to use a new conserved quantity to help us model rotational dynamics.

Example 12.3.2

A particle is moving with constant velocity $\vec{v}$ (in a straight line) relative to a coordinate system in an inertial frame of reference, as shown in Figure 12.3.2 Show that its angular momentum about the origin is conserved.

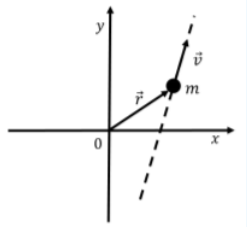

Figure 12.3.2: A particle moving in a straight line.

Solution

In this case, the particle is moving in a straight line, but we can still define its angular momentum relative to the origin. If $\vec{r}$ is the position vector of the particle relative to the origin, its angular momentum is:

$$\vec{L} = \vec{r} \times \vec{p}$$

We can take the time derivative of the angular momentum to see if it changes with time:

$$\begin{aligned} \frac{d\vec{L}}{dt} = &= \frac{d}{dt}(\vec{r} \times \vec{p}) \\ &= \frac{d\vec{r}}{dt} \times \vec{p} + \vec{r} \times \frac{d\vec{p}}{dt} \\ &= \vec{v} \times \vec{p} + \vec{r} \times \frac{d\vec{p}}{dt} \end{aligned}$$

The first term is zero because $\vec{v}$ and $\vec{p}$ are parallel (so their cross-product must be zero). The second term is zero because the particle's momentum is constant in time (since its velocity is constant). Thus, the particle's angular momentum does not change with time, and it is conserved.

Discussion

Of course, we expected this result since no net torque is exerted on the particle. It is however worth highlighting that a particle does not need to be rotating for its angular momentum about a given axis to be defined or conserved; all that matters is that there is no net torque on the particle relative to that axis.

Angular momentum of an object or system

Consider a system made of many particles of mass, m_i, each with a position, $\vec{r}_i$, and velocity, $\vec{v}_i$, relative to a point of rotation that is fixed in an inertial frame of reference.

We can write Newton's Second Law using the angular momentum, $\vec{L}_i$, for particle i:

$$\frac{d\vec{L}_i}{dt} = \vec{\tau}_i^{net}$$

where $\vec{\tau}_i^{net}$ is the net torque exerted on particle i. We can sum each side of this equation for all of the particles in the system:

$$\begin{aligned} \frac{d\vec{L}_1}{dt} + \frac{d\vec{L}_2}{dt} + \frac{d\vec{L}_3}{dt} + \ldots \; &= \vec{\tau}_1^{net} + \vec{\tau}_2^{net} + \vec{\tau}_3^{net} + \ldots \\ \therefore \frac{d}{dt}\sum_i \vec{L}_i &= \sum_i \vec{\tau}_i^{net} \end{aligned}$$

The sum of all of the torques on all of the particles will include a sum over torques that are internal to the system and torques that are external to the system. The sum over internal torques is zero:

$$\sum_i \vec{\tau}_i^{net} = \sum_i \vec{\tau}_i^{int} + \sum_i \vec{\tau}_i^{ext} = \sum_i \vec{\tau}_i^{ext} = \vec{\tau}^{ext}$$

where we defined, $\vec{\tau}^{ext}$, to be the net external torque exerted on the system. We also introduce the total angular momentum of the system, $\vec{L}$, as the sum of the angular momenta of the individual particles:

$$\vec{L} = \sum_i \vec{L}_i$$

The rate of change of the total angular momentum of the system is then given by:

$$\frac{d\vec{L}}{dt} = \vec{\tau}^{ext} \tag{12.3.4}$$

Up to this point, we did not require that the system be a solid object, so the particles in the system can move relative to each other. For example, the particles could be the Sun, planets, and everything else that is in our Solar System. The total angular momentum of all of the bodies in the Solar System (say, relative to the Sun) is conserved if there is no net torque on the solar system relative to the Sun (i.e. if there is no torque about the Sun exerted on any of the bodies in the system that is not exerted by one of the other bodies in the system).

Now, consider a solid object that is modelled as a system of many particles of mass, m_i, at position, $\vec{r}_i$, with velocity, $\vec{v}_i$, relative to a fixed axis of rotation. We can define the angular momentum of a single particle as (*Equation 12.3.3*):

$$\vec{L}_i = m_i r_i^2 \vec{\omega}_i^2$$

The total momentum of the system is the sum of the angular momenta of the individual particles:

$$\vec{L} = \sum_i \vec{L}_i = \sum_i m_i r_i^2 \vec{\omega}_i^2$$

Because all of the particles are part of the same object, they must all move in unison and have the same angular velocity, $\vec{\omega}$, relative to the axis of rotation. We can thus define the angular momentum about the rotation axis for a solid object with angular velocity, $\vec{\omega}$, as:

$$\vec{L} = \left(\sum_i m_i r_i^2 \right) \vec{\omega} = I\vec{\omega} \tag{12.3.5}$$

where we recognized that the sum in parentheses is simply the moment of inertia of the object relative to the axis of rotation. Again, it should be emphasized that this is the total angular momentum of the object about an axis of rotation, and not about a point.

Visualizing the torque and angular momentum of a system can be challenging because it almost always requires visualizing something in three dimensions. Consider a wheel (e.g. a bicycle wheel) that is spinning about horizontal axle which you hold with your hands, as illustrated in the left panel of Figure 12.3.3 (without the hands). Imagine that you are holding onto the axle so that the wheel is front of you, your right hand is to the right of the wheel and your left hand is to the left of the wheel.

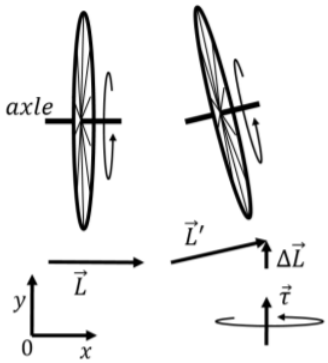

Figure 12.3.3: A wheel rotating on an axle, with a horizontal angular velocity (left). If you try to tilt the axle as shown in the right panel, changing the angular momentum of the wheel, you will also need to exert a torque in the vertical direction (shown at the bottom right).

We define a coordinate system as shown so that the wheel is spinning as shown in the left panel, with angular velocity (and angular momentum) in the positive x direction (the top of the wheel is coming towards you).

You then try to lift your right hand while lowering your left hand in order to tilt the rotation axis, as shown in the right panel. In doing so, you change the direction of the angular momentum (and angular velocity) of the wheel such that the angular momentum, $\vec{L}'$, now has a vertical component, $\Delta\vec{L}$, as shown. The torque that is required in order to change the angular momentum is given by:

$$\vec{\tau} = \frac{d\vec{L}}{dt} \sim \frac{\Delta\vec{L}}{\Delta t}$$

where Δt is the time that it takes to change the axis of rotation. The torque required in order to change the axis of rotation is directed in the same direction as $\Delta\vec{L}$ (the positive y direction). That is, you will not be able to simply tilt the axle as shown; if you want to tilt the axle, you will also need to push forward with you right hand and pull backwards with your left hand to exert the required torque (shown in the bottom right of the figure)! If you simply try to tilt the rotation axis, your right hand will be pushed towards you and your left hand away from you, as a reaction to the torque that would otherwise be required to tilt the axis!

Conservation of angular momentum

In the previous section, we saw that the net external torque that is exerted on an object (or system) is equal to the rate of change of its angular momentum:

$$\frac{d\vec{L}}{dt} = \vec{\tau}^{ext}$$

where the angular momentum and torque are measured about the same axis or point of rotation, fixed in an inertial frame of reference.

The total angular momentum of a system about a point of rotation is conserved (i.e. does not change with time) if there is no net external torque exerted on the system about that point. If one makes the system large enough, then all of the torques can be taken to be internal, and the angular momentum of the system is conserved. The angular momentum of the Universe about a fixed point is thus conserved.

Conservation of angular momentum is another conservation law that we derived from Newton's Second Law. In the modern formulation of physics, we understand that the conservation of angular momentum is associated with rotational symmetry of Newton's Second Law; it does not matter from which "angle" we model a system, we can always use Newton's Second Law. Similarly, conservation of linear momentum is associated with translational symmetry and conservation of energy is associated with the fact that Newton's Second Law does not change with time. Angular momentum is fundamentally different than linear momentum and energy, and is conserved under different conditions. The angular momentum of a system about a given axis/point is conserved if there is no net torque on the system about that axis/point.

Example 12.3.3

During a spin, a figure skater brings his arms close to his body and increases his angular velocity from ω_1 to ω_2. By what fraction did his moment of inertia decrease in doing so?

Solution

We can consider the rotation axis to be vertical through the center of the skater. When the figure skater is spinning, there is no net external torque on him. Thus, his angular momentum is conserved as he bring his arms in. As he bring his arms in, his moment of inertia decreases, since he is bringing the mass of his arms closer to the axis of rotation. If I_1 and I_2 are the moments of inertia of the skater before and after brining his arms in, respectively, we can write the angular momentum about his axis of rotation as:

$$L_1 = I_1\omega_1$$

$$L_2 = I_2\omega_2$$

Since there is no external torque on the skater, the angular momentum is the same before and after he changes his moment of inertia:

$$L_1 = L_2$$
$$I_1\omega_1 = I_2\omega_2$$
$$\therefore \frac{I_1}{I_2} = \frac{\omega_2}{\omega_1}$$

Discussion

A spinning figure skater is a good example of the conservation of angular momentum. By changing their shape, they can change their moment of inertia and thus their angular velocity.

Example 12.3.4

Show that Kepler's Second Law is equivalent to a statement about conservation of the angular momentum of a planet orbiting the Sun.

Solution

Kepler's Second Law states that in a period of time Δt, the area, ΔA, that is swept out by a planet is constant, regardless of where it is along its orbit. In other words:

$$\frac{\Delta A}{\Delta t} = \text{constant}$$

Figure 12.3.4shows a planet in an elliptical orbit around the sun.

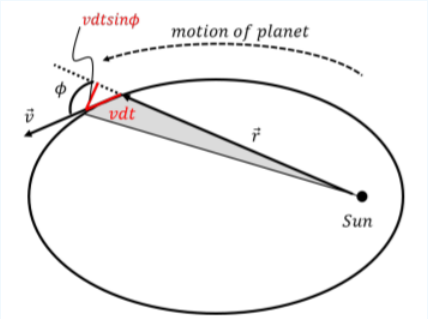

Figure 12.3.4: The area swept out by a planet in a period of time dt.

At some point in time, the planet has a velocity vector $\vec{v}$ and position vector $\vec{r}$ relative to the Sun. In a small period of time dt, the planet will move along a short distance vdt, which we can take as a straight line if dt is small enough. Let ϕ be the angle between the velocity and position vectors when these are tail to tail, as illustrated.

The small amount of area, dA, swept out by the planet in a period of time dt, is given by the area of the right angle triangle with height r and base $vdt\sin\phi$[1]:

$$dA = \frac{1}{2}rvdt\sin\phi$$

The rate at which the area is swept out is thus:

$$\frac{dA}{dt} = \frac{1}{2}rv\sin\phi$$

Consider now the magnitude of the planet's angular momentum about the Sun:

$$L = rp\sin\phi = rmv\sin\phi$$

where the mass of the planet is m. The rate at which the planet sweeps out the area can be written in terms of the angular momentum of the planet:

$$\frac{dA}{dt} = \frac{1}{2} rv \sin\phi = \frac{L}{2m}$$

The only force exerted on the planet is the gravitational force from the Sun. That force is always anti-parallel to the vector $\vec{r}$ from the Sun to the planet, and cannot result in a torque on the planet about the Sun. Thus, the angular momentum of the planet about the Sun must be conserved, and L is constant. In turn, this means that the rate at which area is swept out by the planet, which is proportional to L, is also constant. Thus, Kepler's Second Law is equivalent to saying that the angular momentum of a planet relative to the Sun is constant.

Footnotes

1. This is only exact in the limit of $dt \to 0$, when the small area from the extra piece outside of the ellipse vanishes.

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12.4: Summary

Key Takeaways

If an object is rotating with angular speed, ω, about an axis that is fixed in an inertial frame of reference, the rotational kinetic energy of that object is given by:

$$K_{rot} = \frac{1}{2} I \omega^2$$

where I is the moment of inertia of that object about the axis of rotation.

The net work done by the net torque exerted on an object about a fixed axis or rotation in an inertial frame of reference is equal to object's change in rotational kinetic energy:

$$W = \int_{\theta_1}^{\theta_2} \vec{\tau}^{net} \cdot d\vec{\theta} = \frac{1}{2} I \omega_2^2 - \frac{1}{2} I \omega_1^2$$

If a torque, $\vec{\tau}$, about a stationary axis is exerted on an object that is rotating with a constant angular velocity, $\vec{\omega}$, about that axis, then the torque does work at a rate:

$$P = \vec{\tau} \cdot \vec{\omega}$$

If an object of mass, M, is rotating about an axis through its center of mass, and the center of mass of is moving with speed, v_{CM}, relative to an inertial frame of reference, then the total kinetic energy of the object is given by:

$$K_{tot} = K_{rot} + K_{trans} = \frac{1}{2} I_{CM} \omega^2 + \frac{1}{2} M v_{CM}^2$$

where, ω, is the angular speed of the object about the center of mass, and, I_{CM}, is the moment of inertia of the object about the center of mass. The two terms in the kinetic energy come from the rotation about the center of mass (K_{rot}), and the translational motion of the center of mass (K_{trans}).

An object is said to be rolling without slipping on a surface if the point on the object that is in contact with the surface is instantaneously at rest relative to the surface. We can model an object that is rolling without slipping by superimposing rotational motion about the center of mass with translational motion of the center of mass. The angular speed, ω, and the angular acceleration, α, of the object about an axis through its center of mass are related to the speed, v_{CM}, and linear acceleration, a_{CM}, of the center of mass, respectively:

$$v_{CM} = \omega R$$

$$a_{CM} = \alpha R$$

These conditions are equivalent to stating that the object is rolling without slipping.

When an object is rolling without slipping, we can also model its motion as if it were instantaneously rotating about an axis that goes through the point of contact between the object and the ground (the instantaneous axis of rotation). The angular speed (and acceleration) about the instantaneous axis of rotation are the same as they are when the object is modeled as rotating about its (moving) center of mass.

An object can only be rolling without slipping if there is a force of static friction exerted by the surface on the object. Without this force, the object would slip along the surface.

We can define the angular momentum of a particle, $\vec{L}$, about a point in an inertial frame of reference as:

$$\vec{L} = \vec{r} \times \vec{p}$$

where, $\vec{r}$, is the vector from the point to the particle, and, $\vec{p}$, is the linear momentum of the particle. If the particle has an angular velocity, $\vec{\omega}$, relative to an axis of rotation its angular momentum about that axis can be written as:

$$\vec{L} = mr^2 \vec{\omega} = I \vec{\omega}$$

where, r, is the distance between the particle and the axis of rotation, and $I = mr^2$, can be thought of as the moment of inertia of the particle about that axis.

We can write the equivalent of Newton's Second Law for the rotational dynamics of a particle using angular momentum:

$$\frac{d\vec{L}}{dt} = \vec{\tau}^{net}$$

where, $\vec{\tau}^{net}$, is the net torque on the particle about the same point used to define angular momentum. That point must be in an inertial frame of reference.

The rate of change of the total angular momentum for a system of particles, $\vec{L} = \vec{L}_1 + \vec{L}_2 + \dots$, about a given point is given by:

$$\frac{d\vec{L}}{dt} = \vec{\tau}^{ext}$$

where, $\vec{\tau}^{ext}$, is the net external torque on the system about the point of rotation. If the net external torque of the system is zero, then the total angular momentum of the system is constant (conserved). Again, the point of rotation must be in an inertial frame of reference[1].

For a solid object, in which all of the particles must move in unison, we can define the angular momentum of the object about a stationary axis to be:

$$\vec{L} = I\vec{\omega}$$

where, $\vec{\omega}$, is the angular velocity of the object about that axis, and, I, is the object's corresponding moment of inertia about that axis.

Many of the relations that exist between linear quantities have an analogue relation between the corresponding angular quantities, as summarized in the table below:

Name	Linear	Angular	Correspondence
Displacement	s	$\vec{\theta}$	$d\vec{\theta} = \frac{1}{r^2}\vec{r} \times d\vec{s}$
Velocity	$\vec{v}$	$\vec{\omega}$	$\vec{\omega} = \frac{1}{r^2}\vec{r} \times \vec{v}$, $v_s = \vec{\omega} \times \vec{r}$[2]
Acceleration	$\vec{a}$	$\vec{\alpha}$	$\vec{\alpha} = \frac{1}{r^2}\vec{r} \times \vec{a}$, $a_s = \vec{\alpha} \times \vec{r}$[3]
Inertia	m	I	$I = \sum_i m_i r_i^2$
Momentum	$\vec{p} = m\vec{v}$	$\vec{L} = I\vec{\omega}$	$\vec{L} = \vec{r} \times \vec{p}$
Newton's Second Law	$\vec{F}^{ext} = m\vec{a}_{CM}$	$\vec{\tau}^{ext} = I\vec{\alpha}$	$\vec{F} \to \vec{\tau}$, $m \to I$, $\vec{a} \to \vec{\alpha}$
Newton's Second Law	$\frac{d\vec{p}}{dt} = \vec{F}^{ext}$	$\frac{d\vec{L}}{dt} = \vec{\tau}^{ext}$	$\vec{F} \to \vec{\tau}$, $\vec{p} \to \vec{L}$
Kinetic energy	$\frac{1}{2}mv^2$	$\frac{1}{2}\boldsymbol{I\omega^2}$	$m \to I$, $v \to \omega$
Power	$\vec{F} \cdot \vec{v}$	$\vec{\tau} \cdot \vec{\omega}$	$\vec{F} \to \vec{\tau}$, $\vec{v} \to \vec{\omega}$

Table 12.4.1

Important Equations

Rotational kinetic energy of a rotating object:

$$K_{rot} = \frac{1}{2}I\omega^2$$

Total kinetic energy:

$$K_{tot} = K_{rot} + K_{trans} = \frac{1}{2}I_{CM}\omega^2 + \frac{1}{2}Mv_{CM}^2$$

Work:

$$W = \int_{\theta_1}^{\theta_2} \vec{\tau}^{net} \cdot d\vec{\theta} = \frac{1}{2} I\omega_2^2 - \frac{1}{2} I\omega_1^2$$

Power:

$$P = \vec{\tau} \cdot \vec{\omega}$$

Angular momentum:

$$\vec{L} = \vec{r} \times \vec{p}$$
$$\vec{L} = mr^2\vec{\omega} = I\vec{\omega}$$
$$\frac{d\vec{L}}{dt} = \vec{\tau}^{net}$$
$$\frac{d\vec{L}}{dt} = \vec{\tau}^{ext}$$
$$\vec{L} = I\vec{\omega}$$

Important Definitions

Definition

Angular momentum: The rotational equivalent of linear momentum. Angular momentum must be defined relative to an axis of rotation. SI units: $[\text{kg} \cdot \text{m}^2 \cdot \text{s}^{-1}]$. Common variable(s): $\vec{L}$.

Definition

Rotational kinetic energy: The rotational equivalent of translation kinetic energy. Generally, an object can have both rotational and translational kinetic energy. SI units: $[J]$. Common variables: K_{rot}.

Footnotes

1. Technically, if the point is the center of mass, then this is valid even in an accelerating frame of reference.
2. This corresponds to the component of velocity perpendicular to $\vec{r}$.
3. This corresponds to the component of acceleration perpendicular to $\vec{r}$.

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12.5: Thinking about the material

Reflect and research

1. How can a bicycle move forward? Draw the external forces on the bicycle that are required for the wheels to turn.
2. Does conservation of angular momentum play a role in being able to remain upright on a bicyle? If yes, how?
3. How does an anti-lock braking system (ABS) provide better breaking for your car? What is the physics behind this?

To try at home

1. Describe how you can qualitatively confirm conservation of angular momentum.

Reflect and research

1. Propose an experiment to measure the critical angle of an incline, above which a given object cannot roll without slipping, and compare this to a model prediction.
2. Propose an experiment to test the conservation of angular momentum of a rotating object.
3. Propose an experiment to test whether an object with constant velocity can impart angular momentum to another object.

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12.6: Sample problems and solutions

Exercise 12.6.1

A yo-yo can be modeled as two uniform disks, of radius R_2, attached to either side of a smaller uniform disk of radius R_1, as in Figure 12.6.1. We can assume that all three disks have a mass m. A mass-less string is wrapped around the smaller disk and then the yo-yo is released. What is the acceleration of the center of mass of the yo-yo as it falls and the string unwinds?

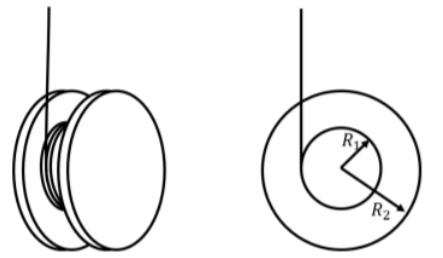

Figure 12.6.1: Left: Side view of the yo-yo. Right: Front view of the yo-yo, modeled as two disks of radius of R_2 attached to either side of a disk of radius R_1.

Answer

The forces acting on the yo-yo are

- $\vec{F}_g$, its weight, with magnitude $3mg$.
- $\vec{T}$, a force of tension from the string

The forces, where they are exerted, and our choice of coordinate system are shown in Figure 12.6.2

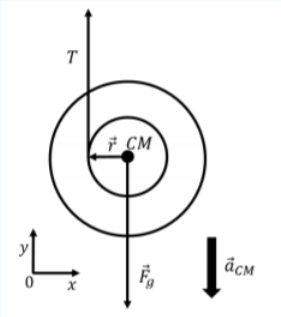

Figure 12.6.2: Free body diagram for the yo-yo.

The yo-yo can be modeled as rolling without slipping, as if it were rolling along the string that unwinds. The torque about the center of mass is provided by the tension in the string. The angular acceleration of the yo-yo, α, will be related to the linear acceleration of the center of mass, $\vec{a}_{CM}$, since this is rolling without slipping:

$$a_{CM} = \alpha R_1$$

where R_1 is the radius that is analogous to rolling motion. Since the torque from the force of gravity is zero, we can write Newton's Second Law for rotational quantities as:

$$\vec{\tau}^{ext} = I\vec{\alpha}$$

$$TR_1 = I\alpha$$

where TR_1 is the magnitude of the torque from the force of tension, since the tension is perpendicular to the vector $\vec{r}$ between the center of mass and the point where the tension is exerted. The moment of inertia of the yo-yo about its center of mass is the sum of the moments of inertia of the three disks about their axis of symmetry:

$$I = \frac{1}{2}MR_2^2 + \frac{1}{2}MR_2^2 + \frac{1}{2}MR_1^2 = \frac{1}{2}M(2R_2^2 + R_1^2$$

We can also write Newton's Second Law in the vertical direction for the yo-yo (of mass $3M$):

$$\sum F_y = -F_g + T = -3Ma_{CM}$$
$$-3MG + T = -3Ma_{CM}$$

where we a_{CM} is the magnitude of the acceleration of the center of mass (since we included the sign in the first equation).

We can eliminate the unknown force of tension from the equations by substitution. Using the equation from Newton's Second Law:

$$T = 3M(g - a_{CM})$$

and substituting this into the rotational equation:

$$TR_1 = I\alpha$$
$$3M(g - a_{CM})R_1 = I\alpha$$

We can solve for a_{CM} by using the condition for rolling without slipping $(\alpha R_1 = a_{CM})$:

$$3M(g - a_{CM})R_1 = I\frac{a_{CM}}{R_1}$$
$$\frac{I}{R_1}a_{CM} + 3MR_1 a_{CM} = 3MgR_1$$
$$a_{CM}\left(\frac{I}{R_1} + 3MR_1\right) = 3MgR_1$$
$$a_{CM} = \frac{3MgR_1}{\frac{I}{R_1} + 3MR_1}$$
$$= \frac{3MgR_1}{\frac{\frac{1}{2}M(2R_2^2 + R_1^2)}{R_1} + 3MR_1}$$
$$= \left(\frac{3R_1^2}{\frac{1}{2}(2R_2^2 + R_1^2) + 3R_1^2}\right)g$$
$$\therefore a_{CM} = \left(\frac{3R_1^2}{R_2^2 + \frac{7}{2}R_1^2}\right)g$$

Exercise 12.6.2

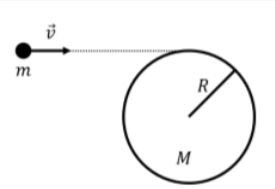

Figure 12.6.3: A projectile of mass m is about to collide with a disk that can spin about its axis of symmetry. View from above.

A projectile of mass m is fired towards a stationary disk of radius R and mass M that lies on a horizontal table, as depicted from above in Figure 12.6.3. The disk is in the horizontal plane and can rotate about a vertical axis through its center. The axle about which the disk rotates is attached to the table and cannot move. The projectile's velocity, $\vec{v}$, is horizontal and such that the projectile embeds itself at the edge of the disk. What is the angular velocity of the disk, about its center, after the projectile has embedded itself into the disk? Was the collision elastic? Was linear momentum conserved during the collision?

Answer

We consider the projectile and disk as a system, and a rotation axis that passes through the center of disk. There are no external torques exerted on the system about the rotation axis, so the angular momentum of the system must be conserved

through the collision. Before the collision, only the projectile has angular momentum about the axis of rotation, so the magnitude of the angular momentum before the collision is:

$$L = rp\sin\phi$$

where φ is the angle between the particle's momentum, $\vec{p} = m\vec{v}$, and a vector, $\vec{r}$, from the axis of rotation to the particle. We can calculate the particle's angular momentum just before the collision, so that $\vec{r}$ is the vector from the center of the circle to the point where the particle collides (with magnitude R, and perpendicular to $\vec{v}$). The initial angular momentum of the system is thus:

$$L = rp = Rmv$$

After the collision, the projectile is embedded in the disk. The resulting object has a moment of inertia given by:

$$I = I_{disk} + I_{particle} = \frac{1}{2}MR^2 + mR^2$$

After the collision, the angular momentum of the disk with the embedded projectile is given by:

$$L' = I\omega = \left(\frac{1}{2}M + m\right)R^2\omega$$

Using conservation of angular momentum, the angular velocity of the disk after the collision is:

$$\begin{aligned} L &= L' \\ Rmv &= \left(\frac{1}{2}M + m\right)R^2\omega \\ \therefore \omega &= \frac{mv}{\left(\frac{1}{2}M + m\right)R} \end{aligned}$$

We do not expect that mechanical energy is conserved during the collision, since the projectile embeds itself, which must cost energy. The mechanical energy before the collision is given by the kinetic energy of the projectile:

$$E = \frac{1}{2}mv^2$$

After the collision, the kinetic energy is the rotational kinetic energy of the disk with embedded projectile about the axis of rotation:

$$\begin{aligned} E' &= \frac{1}{2}I\omega^2 = \frac{1}{2}\left(\frac{1}{2}M + m\right)R^2\left(\frac{mv}{(\frac{1}{2}M + m)R}\right)^2 \\ &= \frac{1}{2}\frac{m^2}{(\frac{1}{2}M + m)}v^2 \end{aligned}$$

We can see that E' is less than E, by taking their ratio:

$$\begin{aligned} \frac{E'}{E} &= \frac{\frac{1}{2}\frac{m^2}{(\frac{1}{2}M+m)}v^2}{\frac{1}{2}mv^2} \\ &= \frac{m}{(\frac{1}{2}M + m)} < 1 \end{aligned}$$

and we confirm that mechanical energy is not conserved in the collision (and that energy was lost since one had to deform the projectile and disk).

Linear momentum is clearly not conserved since the final linear momentum is zero, whereas before the collision, it is $\vec{p} = m\vec{v}$. The center of mass of the disk+projectile system moves before the collision and not after. There must thus be a

net external force that is exerted on the system. That force is exerted by the table onto the axle of disk, as the disk would otherwise recoil when hit with the projectile.

Discussion

In this example, we used conservation of angular momentum to model a collision. The collision is inelastic, because the projectile embeds itself into the disk. The linear momentum is not conserved through the collision because the axle about which the disk rotates must exert a force on the disk to prevent it from recoiling.

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CHAPTER OVERVIEW

13: Simple Harmonic Motion

Learning Objectives

- Understand how to model the position, velocity, and acceleration of a mass attached to a spring.
- Understand the conditions under which a system undergoes simple harmonic motion.
- Understand how to model the motion of a pendulum when it undergoes simple harmonic motion.

In this chapter, we look at oscillating systems that undergo “simple harmonic motion”, such as the motion of a mass attached to a spring. Many systems in the physical world, such as an oscillating pendulum, can be described by the same mathematical formalism that describes the motion of a mass attached to a spring.

Prelude

What do the motion of a mass attached to a spring, a cork bobbing in the water, and a pendulum have in common?

13.1: The motion of a spring-mass system
13.2: Vertical spring-mass system
13.3: Simple Harmonic Motion
13.4: The Motion of a Pendulum
13.5: Summary
13.6: Thinking about the material
13.7: Sample problems and solutions

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13.1: The motion of a spring-mass system

As an example of simple harmonic motion, we first consider the motion of a block of mass m that can slide without friction along a horizontal surface. The mass is attached to a spring with spring constant k which is attached to a wall on the other end. We introduce a one-dimensional coordinate system to describe the position of the mass, such that the x axis is co-linear with the motion, the origin is located where the spring is at rest, and the positive direction corresponds to the spring being extended. This "spring-mass system" is illustrated in Figure 13.1.1.

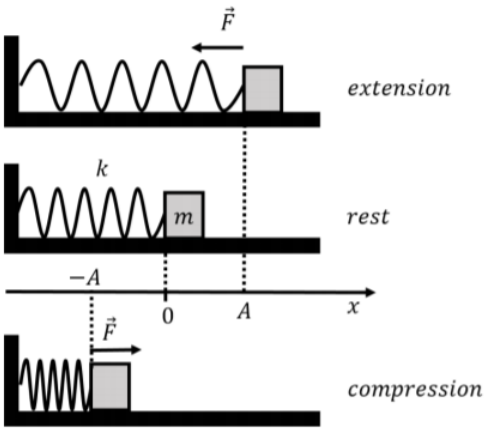

Figure 13.1.1: A horizontal spring-mass system oscillating about the origin with an amplitude A.

We assume that the force exerted by the spring on the mass is given by Hooke's Law:

$$\vec{F} = -kx\hat{x}$$

where x is the position of the mass. The only other forces exerted on the mass are its weight and the normal force from the horizontal surface, which are equal in magnitude and opposite in direction. Therefore, the net force on the mass is the force from the spring.

As we saw in Section 8.4, if the spring is compressed (or extended) by a distance A relative to the rest position, and the mass is then released, the mass will oscillate back and forth between $x = \pm A$[1], which is illustrated in Figure 13.1.1. We call A the "amplitude of the motion". When the mass is at $x = \pm A$, its speed is zero, as these points correspond to the location where the mass "turns around".

Description using energy

We can describe the motion of the mass using energy, since the mechanical energy of the mass is conserved. At any position, x, the mechanical energy, E, of the mass will have a term from the potential energy, U, associated with the spring force, and kinetic energy, K:

$$E = U + K = \frac{1}{2}kx^2 + \frac{1}{2}mv^2$$

We can find the mechanical energy, E, by evaluating the energy at one of the turning points. At these points, the kinetic energy of the mass is zero, so $E = U(x = A) = 1/2kA^2$. We can then write the expression for mechanical energy as:

$$\frac{1}{2}kx^2 + \frac{1}{2}mv^2 = \frac{1}{2}kA^2 \tag{13.1.1}$$

We can thus always know the speed, v, of the mass at any position, x, if we know the amplitude A:

$$v(x) = \sqrt{\frac{k(A^2 - x^2)}{m}}$$

? Exercise 13.1.1

If you double the amplitude of the motion of a mass attached to a spring, its maximum speed will be:

A. double.
B. $\sqrt{2}$ times greater.
C. the same.
D. halved.

Answer

Kinematics of simple harmonic motion

We can use Newton's Second Law to obtain the position, $x(t)$, velocity, $v(t)$, and acceleration, $a(t)$, of the mass as a function of time. The x component of Newton's Second Law for the mass attached to the spring can be written:

$$\sum F_x = -kx = ma$$

We can write the acceleration in Newton's Second Law more explicitly as the second derivative of the position, $x(t)$, with respect to time. If we do this, we can see that Newton's Second Law for the mass attached to the spring is a differential equation for the function $x(t)$ (we call it an "equation of motion"):

$$\begin{aligned} ma &= -kx \\ m\frac{d^2x}{dt^2} &= -kx \\ \therefore \frac{d^2x}{dt^2} &= -\frac{k}{m}x \end{aligned} \tag{13.1.2}$$

We want to find the position function, $x(t)$. *Equation 13.1.2* tells us that the second derivative of $x(t)$ with respect to time must equal the negative of the $x(t)$ function multiplied by a constant, k/m. Without having taken a course on differential equations, it might not be obvious what the function $x(t)$ could be. Several, equivalent functions can satisfy this equation. One possible choice, which we present here as a guess, is[2]:

$$x(t) = A\cos(\omega t + \phi) \tag{13.1.3}$$

where A, ω, and ϕ are constants that we need to determine. We can take the second order derivative with respect to time of the function above to verify that it indeed "solves" the differential equation:

$$\begin{aligned} x(t) &= A\cos(\omega t + \phi) \\ \frac{d}{dt}x(t) &= -A\omega\sin(\omega t + \phi) \\ \frac{d^2}{dt^2}x(t) &= \frac{d}{dt}(-A\omega\sin(\omega t + \phi)) = -A\omega^2\cos(\omega t + \phi) \\ \therefore \frac{d^2}{dt^2}x(t) &= -\omega^2 x(t) \end{aligned}$$

The last equation has exactly the same form as *Equation 13.1.2*, which we obtained from Newton's Second Law, if we define ω as:

$$\omega = \sqrt{\frac{k}{m}} \tag{13.1.4}$$

We call ω the "angular frequency" of the spring-mass system. We have found that our guess for $x(t)$ satisfies the differential equation.

Exercise 13.1.2

What is the SI unit for angular frequency?

A. Hz
B. $\mathrm{rad/s}$
C. $\mathrm{N^{1/2}m^{-1/2}kg^{-1/2}}$
D. All of the above

Answer

All of the above

Olivia's Thoughts

In Chapter3, we found, $x(t)$, from a function, $a(t)$, by using simple integration. You may be wondering why we can't do the same thing in order to find $x(t)$ for the mass-spring system. The difference is that, before, the acceleration was a function of time. Here, the acceleration is a function of x. This means that we have to use a different method to solve for $x(t)$, which is why we are making these "guesses" to solve a differential equation.

We still need to identify what the constants A and ϕ have to do with the motion of the mass. The constant A is the maximal value that $x(t)$ can take (when the cosine is equal to 1). This corresponds to the amplitude of the motion of the mass, which we already had labeled, A. The constant, ϕ, is called the "phase" and depends on when we choose $t = 0$ to be. Suppose that we define time $t = 0$ to be when the mass is at $x = A$; in that case:

$$\begin{aligned} x(t=0) &= A \\ A\cos(\omega t + \phi) &= A \\ A\cos(\omega(0) + \phi) &= A \\ \cos(\phi) &= 1 \\ \therefore \phi &= 0 \end{aligned}$$

If we define $t = 0$ to be when the mass is at $x = A$, then the phase, ϕ, is zero. In general, the value of ϕ can take any value between $-\pi$ and $+\pi$[3] and, physically, corresponds to our choice of when $t = 0$ (i.e. the position of the mass when we choose $t = 0$).

Since we have determined the position as a function of time for the mass, its velocity and acceleration as a function of time are easily found by taking the corresponding time derivatives:

$$\begin{aligned} x(t) &= A\cos(\omega t + \phi) \\ v(t) &= \frac{d}{dt}x(t) = -A\omega\sin(\omega t + \phi) \\ a(t) &= \frac{d}{dt}v(t) = -A\omega^2\cos(\omega t + \phi) \end{aligned}$$

Exercise 13.1.3

What is the value of ϕ if we choose $t = 0$ to be when the mass is at $x = 0$ and moving in the positive x direction?

A. π
B. $-\pi$
C. $\pi/2$
D. $-\pi/2$

Answer

The position of the mass is described by a sinusoidal function of time; we call this type of motion “simple harmonic motion”. The position and velocity as a function of time for a spring-mass system with $m = 1\text{kg}$, $k = 4\text{N/m}$, $A = 10\text{m}$ are shown in Figure 13.1.2 for two different choices of the phase, $\phi = 0$ and $\phi = \pi/2$.

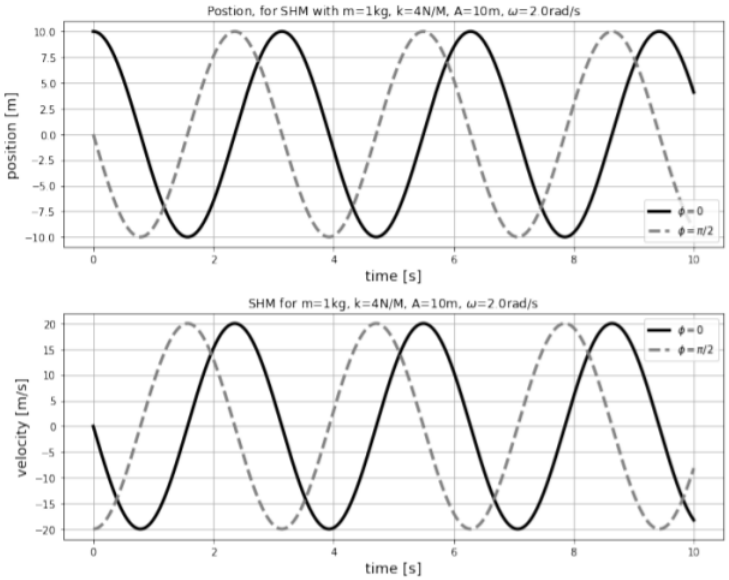

Figure 13.1.2: Position and velocity as a function of time for a mass-spring system for two different values of the phase, φ.

We can make a few observations about the position and velocity illustrated in Figure 13.1.2

- Changing the phase, ϕ, results in an horizontal shift of the functions. A positive phase results in a shift of the functions to the left.
- The highest speed corresponds to a position of $x = 0$ and the largest position, $x = \pm A$, corresponds to a speed of zero.
- $\phi = 0$ corresponds to the “initial condition” at $t = 0$, where the position of the mass is $x = A$ and its speed is $v = 0$.
- $\phi = \pi/2$ corresponds to the “initial condition” at $t = 0$, where the position of the mass is $x = 0$ and its velocity is in the negative direction, and with maximal amplitude.
- The position is always between $x = \pm A$, and the velocity is always between $v = \pm A\omega$.

The motion of the spring is clearly periodic. If the period of the motion is T, then the position of the mass at time t will be the same as its position at $t + T$. The period of the motion, T, is easily found:

$$T = \frac{2\pi}{\omega} = 2\pi\sqrt{\frac{m}{k}} \tag{13.1.5}$$

And the corresponding frequency is given by:

$$f = \frac{1}{T} = \frac{\omega}{2\pi} = \frac{1}{2\pi}\sqrt{\frac{k}{m}} \tag{13.1.6}$$

It should now be clear why ω is called the angular frequency, since it is related to the frequency of the motion.

? Exercise 13.1.4

In order to double the oscillation period of a spring-mass system, you can

A. double the ratio of the mass over the spring constant.
B. quadruple the mass.
C. halve the spring constant.
D. All of the above.

Answer

Analogy with uniform circular motion

We can make an analogy between the mathematical description of the motion of a spring-mass system and that of uniform circular motion. Consider a particle that is moving along a circle of radius A, with constant angular speed ω, as illustrated in Figure 13.1.3

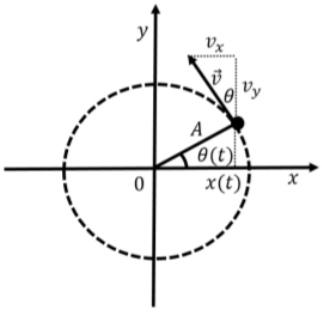

Figure 13.1.3: Uniform circular motion of a particle along a circle of radius A with constant angular speed ω.

The angular position, $\theta(t)$, of the particle is given by:

$$\theta(t) = \theta_0 + \omega t$$

if the particle was located at an angular position θ_0 at $t = 0$ ($\theta_0 = 0$ in Figure 13.1.3). The x coordinate of the particle is given by:

$$x(t) = A\cos(\theta(t)) = A\cos(\theta_0 + \omega t)$$

We can see that the x coordinate of the particle has the same functional form as the position for simple harmonic motion. The same is true for the particle's velocity. The magnitude of the particle's velocity is given by:

$$v = \omega r = \omega A$$

where $r = A$ is the radius of the circle. The x component of the particle's velocity is easily found from the figure and is given by:

$$v_x(t) = -v\sin(\theta(t)) = -\omega A\sin(\theta_0 + \omega t)$$

We can visualize simple harmonic motion as if it were the projection onto the x axis of uniform circular motion with angular speed ω about a circle with radius A. The phase ϕ corresponds to the angular position of the particle around the circle, θ_0, at time $t = 0$. When the particle crosses the y axis ($x = 0$), its velocity is in the x direction, so the x component of the velocity is maximal. When the particle crosses the x axis ($x = \pm A$), the x component of the velocity is zero.

Olivia's Thoughts

Here's a visualization of uniform circular motion projected onto the x axis:

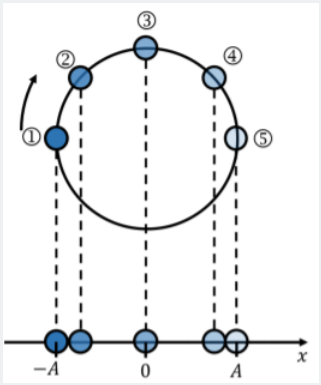

Figure 13.1.4: Projecting the motion of a ball around a circle onto the x axis.

Figure 13.1.4 shows a ball moving at a constant speed around a circle of radius A. In this diagram, I have taken snapshots of the ball's motion at regular time intervals as the ball moves from Position 1 to Position 5. Since the speed is constant, the balls are evenly spaced out around the circle. At the bottom of the figure, you can see what it would look like if we only considered the motion in the x direction (this is the projection of the motion onto the x axis). You could also think of this as what the

motion would look like if you looked up at the circle from below. As you can see, this projection looks a lot like the motion of a mass on a spring. The motion of the ball is constrained between $-A$ and $+A$ (the turning points), and the velocity of the ball, in the x direction, will be highest when $x = 0$. There are tons of videos online that show animations of this concept, just look up "SHM as a projection of circular motion" and you will get lots of different ways to visualize this.

Footnotes

1. As long as there is no friction to reduce the mechanical energy of the mass.

2. Other possible guesses that work are $A\sin(\omega t + \phi)$, and $x(t) = A\cos(\omega t) + B\sin(\omega t)$.

3. The argument to the cosine function is in radians, since the angular frequency is usually defined in radians per second. The value of ϕ is constrained to be within that range, since the cosine function is periodic with a period 2π.

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13.2: Vertical spring-mass system

Consider the vertical spring-mass system illustrated in Figure 13.2.1.

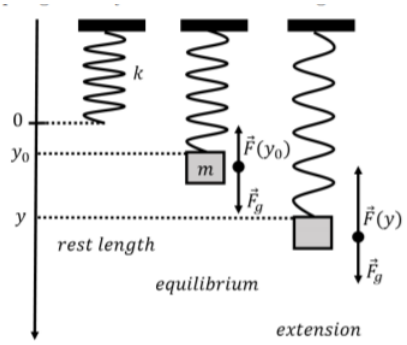

Figure 13.2.1: A vertical spring-mass system.

When no mass is attached to the spring, the spring is at rest (we assume that the spring has no mass). We choose the origin of a one-dimensional vertical coordinate system (y axis) to be located at the rest length of the spring (left panel of Figure 13.2.1). When a mass m is attached to the spring, the spring will extend and the end of the spring will move to a new equilibrium position, y_0, given by the condition that the net force on the mass m is zero. The only forces exerted on the mass are the force from the spring and its weight. The condition for the equilibrium is thus:

$$\begin{aligned}\sum F_y = F_g - F(y_0) &= 0 \\ mg - ky_0 &= 0 \\ \therefore mg &= ky_0\end{aligned}$$

Now, consider the forces on the mass at some position y when the spring is extended downwards relative to the equilibrium position (right panel of Figure 13.2.1). Newton's Second Law at that position can be written as:

$$\begin{aligned}\sum F_y = mg - ky &= ma \\ \therefore m\frac{d^2y}{dt^2} &= mg - ky\end{aligned}$$

Note that the net force on the mass will always be in the direction so as to "restore" the position of the mass back to the equilibrium position, y_0. If the mass had been moved upwards relative to y_0, the net force would be downwards.

We can substitute the equilibrium condition, $mg = ky_0$, into the equation that we obtained from Newton's Second Law:

$$\begin{aligned}m\frac{d^2y}{dt^2} &= mg - ky \\ m\frac{d^2y}{dt^2} &= ky_0 - ky \\ m\frac{d^2y}{dt^2} &= -k(y - y_0) \\ \therefore \frac{d^2y}{dt^2} &= -\frac{k}{m}(y - y_0)\end{aligned}$$

Consider a new variable, $y' = y - y_0$. This is the same as defining a new y' axis that is shifted downwards by y_0; in other words, this the same as defining a new y' axis whose origin is at y_0 (the equilibrium position) rather than at the position where the spring is at rest. Noting that the second time derivative of $y'(t)$ is the same as that for $y(t)$:

$$\frac{d^2y}{dt^2} = \frac{d^2}{dt^2}(y' + y_0) = \frac{d^2y'}{dt^2}$$

we can write the equation of motion for the mass, but using $y'(t)$ to describe its position:

$$\frac{d^2y'}{dt^2} = \frac{k}{m}y'$$

This is the same equation as that for the simple harmonic motion of a horizontal spring-mass system (*Equation 13.1.2*), but with the **origin located at the equilibrium position** instead of at the rest length of the spring. In other words, a vertical spring-mass system will undergo simple harmonic motion in the vertical direction about the equilibrium position. In general, a spring-mass system will undergo simple harmonic motion if a constant force that is co-linear with the spring force is exerted on the mass (in this case, gravity). That motion will be centered about a point of equilibrium where the net force on the mass is zero rather than where the spring is at its rest position.

? Exercise 13.2.1

How does the period of motion of a vertical spring-mass system compare to the period of a horizontal system (assuming the mass and spring constant are the same)?

A. The period of the vertical system will be larger.
B. The period of the vertical system will be smaller.
C. The period will be the same.

Answer

Two-spring-mass system

Consider a horizontal spring-mass system composed of a single mass, m, attached to two different springs with spring constants k_1 and k_2, as shown in Figure 13.2.2

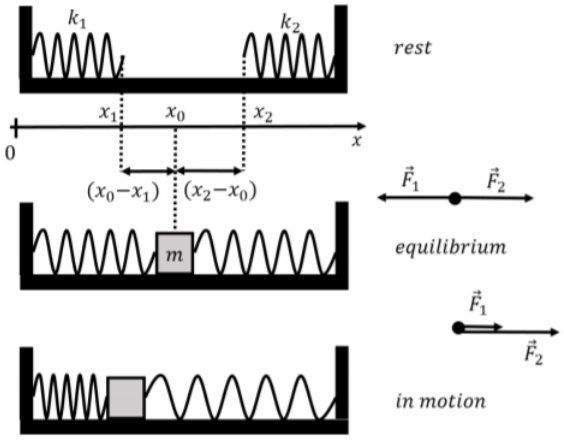

Figure 13.2.2: A mass attached to two different springs.

We introduce a horizontal coordinate system, such that the end of the spring with spring constant k_1 is at position x_1 when it is at rest, and the end of the k_2 spring is at x_2 when it is as rest, as shown in the top panel. A mass m is then attached to the two springs, and x_0 corresponds to the equilibrium position of the mass when the net force from the two springs is zero. We will assume that the length of the mass is negligible, so that the ends of both springs are also at position x_0 at equilibrium. You can see in the middle panel of Figure 13.2.2 that both springs are in extension when in the equilibrium position. It is possible to have an equilibrium where both springs are in compression, if both springs are long enough to extend past x_0 when they are at rest.

If we assume that both springs are in extension at equilibrium, as shown in the figure, then the condition for equilibrium is given by requiring that the sum of the forces on the mass is zero when the mass is located at x_0. The extension of the spring on the left is $x_0 - x_1$, and the extension of the spring on the right is $x_2 - x_0$:

$$\begin{aligned}\sum F_x = -k_1(x_0 - x_1) + k_2(x_2 - x_0) &= 0 \\ -k_1 x_0 + k_1 x_1 + k_2 x_2 - k_2 x_0 &= 0 \\ -(k_1 + k_2)x_0 + k_1 x_1 + k_2 x_2 &= 0 \\ \therefore k_1 x_1 + k_2 x_2 &= (k_1 + k_2)x_0\end{aligned}$$

Note that if the mass is displaced from x_0 in any direction, the net force on the mass will be in the direction of the equilibrium position, and will act to "restore" the position of the mass back to x_0.

When the mass is at some position x, as shown in the bottom panel (for the k_1 spring in compression and the k_2 spring in extension), Newton's Second Law for the mass is:

$$\begin{aligned}-k_1(x - x_1) + k_2(x_2 - x) &= ma \\ -k_1 x + k_1 x_1 + k_2 x_2 - k_2 x &= m\frac{d^2x}{dt^2} \\ -(k_1 + k_2)x + k_1 x_1 + k_2 x_2 &= m\frac{d^2x}{dt^2}\end{aligned}$$

Note that, mathematically, this equation is of the form $-kx + C = ma$, which is the same form of the equation that we had for the vertical spring-mass system (with $C = mg$), so we expect that this will also lead to simple harmonic motion. We can use the equilibrium condition ($k_1 x_1 + k_2 x_2 = (k_1 + k_2)x_0$) to re-write this equation:

$$\begin{aligned}-(k_1 + k_2)x + k_1 x_1 + k_2 x_2 &= m\frac{d^2x}{dt^2} \\ -(k_1 + k_2)x + (k_1 + k_2)x_0 &= m\frac{d^2x}{dt^2} \\ \therefore -(k_1 + k_2)(x - x_0) &= m\frac{d^2x}{dt^2}\end{aligned}$$

Let us define $k = k_1 + k_2$ as the "effective" spring constant from the two springs combined. We can also define a new coordinate, $x' = x - x_0$, which simply corresponds to a new x axis whose origin is located at the equilibrium position (in a way that is exactly analogous to what we did in the vertical spring-mass system). We can thus write Newton's Second Law as:

$$\begin{aligned}-(k_1 + k_2)(x - x_0) &= m\frac{d^2x}{dt^2} \\ -kx' &= m\frac{d^2x'}{dt^2} \\ \therefore \frac{d^2x'}{dt^2} &= -\frac{k}{m}x'\end{aligned}$$

and we find that the motion of the mass attached to two springs is described by the same equation of motion for simple harmonic motion as that of a mass attached to a single spring. In this case, the mass will oscillate about the equilibrium position, x_0, with a an effective spring constant $k = k_1 + k_2$. Combining the two springs in this way is thus equivalent to having a single spring, but with spring constant $k = k_1 + k_2$. The angular frequency of the oscillations is given by:

$$\omega = \sqrt{\frac{k}{m}} = \sqrt{\frac{k_1 + k_2}{m}}$$

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13.3: Simple Harmonic Motion

In the previous sections, we modeled the motion of a mass attached to a spring and found that its position, $x(t)$, was described by the following differential equation:

$$\frac{d^2x}{dt} = -\omega^2 x \tag{13.3.1}$$

A possible solution to that equation was given by:

$$x(t) = Acos(\omega t + \phi) \tag{13.3.2}$$

We then saw that the motion of a vertical spring-mass system, as well as that of a mass attached to two springs, could also be described by *Equation 13.3.1*. Any physical system that can described by *Equation 13.3.1* is said to undergo "simple harmonic motion", or to be a "simple harmonic oscillator". If we find that the physical model of a system leads to *Equation 13.3.1*, then we immediately know that the position of system can be described by *Equation 13.3.2*.

The key physical characteristic of a simple harmonic oscillator is that there is a "restoring force" whose magnitude is proportional to the displacement from the equilibrium position. A restoring force is a force that acts to place the system back in equilibrium, and is thus always in the direction that is opposite of the displacement relative to an equilibrium position. In the three systems that we considered so far, the net force on the mass was always such that it would restore the mass back to the equilibrium position, where the net force on the mass is zero.

Many systems in nature are well modeled as simple harmonic oscillators. Some examples are: the motion of a pendulum as it oscillates, the motion of a buoy bobbing up and down in the sea, the motion of electrons in a shorted capacitor, and the vibrations of atoms in a molecule.

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13.4: The Motion of a Pendulum

In this section, we show how and when the motion of a pendulum can be described as simple harmonic motion. Consider the simple pendulum that is constructed from a mass-less string of length, L, attached to a fixed point on one end and to a point mass m on the other, as illustrated in Figure 13.4.1.

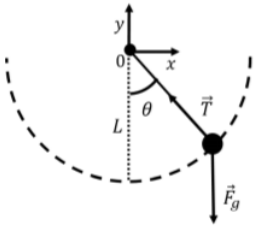

Figure 13.4.1: A simple pendulum which oscillates in a vertical plane.

The pendulum can swing in the vertical plane, and we have shown our choice of coordinate system (the z axis, not shown, is out of the page). The only two forces on the mass are the tension from the string and its weight. We can describe the position of the mass by the angle, $\theta(t)$, that the string makes with the vertical. We can model the dynamics of the simple pendulum by considering the net torque and angular acceleration about the axis of rotation that is perpendicular to the plane of the page and that goes through the point on the string that is fixed.

The force of tension cannot create a torque on the mass about the axis of rotation, as it is anti-parallel to the vector from the point of rotation to the mass. The net torque is thus the torque from the force of gravity:

$$\begin{aligned}\vec{\tau}^{net} &= \vec{\tau}_g \\ &= \vec{r}\times\vec{F}_g = (L\sin\theta\hat{x} - L\cos\theta\hat{y})\times(-mg\hat{y}) \\ &= -mgL\sin\theta\hat{z}\end{aligned}$$

where L is the magnitude of the vector, $\vec{r}$, from the axis of rotation to where the force of gravity is exerted. The net torque is equal to the angular acceleration, α, multiplied by the moment of inertia, I, of the mass:

$$\begin{aligned}\vec{\tau}^{net} &= I\vec{\alpha} \\ -mgL\sin\theta\hat{z} &= mL^2\vec{\alpha} \\ -g\sin\theta\hat{z} &= L\vec{\alpha}\end{aligned}$$

where $I = ML^2$ is the moment of inertia for a point mass a distance L away from the axis of rotation. For the position illustrated in Figure 13.4.1, the angular acceleration of the pendulum is in the negative z direction (into the page) and corresponds to a clockwise motion for the pendulum, as we would expect. The angular acceleration is the second time derivative of the angle, θ:

$$\alpha = \frac{d^2\theta}{dt^2}$$

We can thus re-write the equation that we obtained from the rotational dynamics version of Newton's Second Law as:

$$\begin{aligned}-g\sin\theta\hat{z} &= L\vec{\alpha} \\ \frac{d^2\theta}{dt^2} &= -\frac{g}{L}\sin\theta\end{aligned}$$

where we only used the magnitudes in the second equation, since all of the angular quantities are in the z direction. This equation of motion for $\theta(t)$ almost looks like the equation for simple harmonic oscillation for the angle θ (except that we have $\sin\theta$ instead of θ). However, consider the "the small angle approximation"[1] for the sine function:

$$\sin\theta \approx \theta$$

If the oscillations of the pendulum are "small", such that the small angle approximation is valid, then the equation of motion for the pendulum is:

$$\frac{d^2\theta}{dt^2} = -\frac{g}{L}\sin\theta \approx -\frac{g}{L}\theta$$

$$\therefore \frac{d^2\theta}{dt^2} = -\frac{g}{L}\theta \quad \text{(for small } \theta\text{)}$$

and the angle that the pendulum makes with the vertical is described by the equation for simple harmonic oscillation with angular frequency:

$$\omega = \sqrt{\frac{g}{L}}$$

The angle, θ, as a function of time is thus described by the function:

$$\theta(t) = \theta_{max}\cos(\omega t + \phi)$$

where θ_{max} is the maximal amplitude of the oscillations and ϕ is a phase that depends on when we choose to define $t = 0$.

? Exercise 13.4.1

Kaiden built a grandfather clock using a simple pendulum, but he found that the period was twice as large as as he wanted it to be. In order to halve the period of the pendulum, he can

A. change the mass.
B. halve the length of the string.
C. quarter the length of the string.
D. double the length of the string.
E. quadruple the length of the string.

Answer

The physical pendulum

A physical pendulum is defined as any object that is allowed to rotate in the vertical plane about some axis that goes through the object, as illustrated in Figure 13.4.2

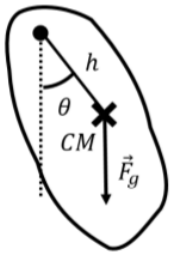

Figure 13.4.2: A physical pendulum which oscillates in a vertical plane about an axis through the object.

The only forces exerted on the pendulum are its weight (exerted at its center of mass) and a contact force exerted at the axis of rotation. The physical pendulum can be modeled in exactly the same way as the simple pendulum, except that we use the moment of inertia of the object about the axis of rotation. Only the weight results in a torque about the rotation axis, since the contact force is exerted at the rotation axis:

$$\tau^{net} = \tau_g = I\alpha$$

$$-mgh\sin\theta = I\alpha = I\frac{d^2\theta}{dt^2}$$

where h is the distance from the axis of rotation to the center of mass. In the small angle approximation, this becomes:

$$\frac{d^2\theta}{dt^2} = -\frac{mgh}{I}\theta \quad \text{(for small } \theta\text{)}$$

and we find that the physical pendulum oscillates with an angular frequency:

$$\omega = \sqrt{\frac{mgh}{I}}$$

Footnotes

1. Look up the Maclaurin/Taylor series for the sine function!

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13.5: Summary

Key Takeaways

The equation of motion for the position, $x(t)$, of the mass in a one-dimensional spring-mass system with no friction can be written:

$$\frac{d^2x}{dt^2} = -\sqrt{\frac{k}{m}}x = -\omega^2 x$$

and has a solution:

$$x(t) = A\cos(\omega t + \phi)$$

where A is the amplitude of the motion, ϕ is the phase, which depends on our choice of initial conditions (when we choose time $t = 0$), and ω:

$$\omega = \sqrt{\frac{k}{m}}$$

is the angular frequency of the motion. The mass will oscillate about an equilibrium position with a period, T, and frequency, f, given by:

$$T = \frac{2\pi}{\omega} = 2\pi\sqrt{\frac{m}{k}}$$

$$f = \frac{1}{T} = \frac{\omega}{2\pi} = \frac{1}{2\pi}\sqrt{\frac{k}{m}}$$

The velocity and acceleration of the mass are found by taking the time derivatives of the position $x(t)$:

$$\begin{aligned} x(t) &= A\cos(\omega t + \phi) \\ v(t) &= \frac{d}{dt}x(t) = -A\omega\sin(\omega t + \phi) \\ a(t) &= \frac{d^2}{dt^2}x(t) = \frac{d}{dt}(-A\omega\sin(\omega t + \phi)) = -A\omega^2\cos(\omega t + \phi) \end{aligned}$$

The total mechanical energy of the mass, at some position x, is given by:

$$E = U + K = \frac{1}{2}kx^2 + \frac{1}{2}mv^2 = \frac{1}{2}kA^2$$

and is conserved.

Any system that can be described by the equation of motion:

$$\frac{d^2x}{dt^2} = -\omega^2 x$$

is said to be a simple harmonic oscillator, and its position will be described by:

$$x(t) = A\cos(\omega t + \phi)$$

A simple harmonic oscillator will always oscillate about an equilibrium position, where the net force on the oscillator is zero. The net force on a simple harmonic oscillator is always directed towards the equilibrium position, and has a magnitude proportional to the distance of the oscillator from its equilibrium position. The force is called a restoring force. A vertical spring-mass system, and a mass attached to two springs will both undergo simple harmonic motion about their respective equilibrium position.

A simple pendulum will undergo simple harmonic oscillations, if the amplitude of the oscillations is small. The angular frequency for the oscillations of a simple pendulum only depends on the length of the pendulum:

$$\omega = \sqrt{\frac{g}{L}}$$

This is valid in the small angle approximation, where:

$$\sin\theta \approx \theta$$

A physical pendulum of mass m which oscillates about an axis through the object will also undergo simple harmonic oscillation in the small angle approximation. The angular frequency of the oscillations for a physical pendulum is given by:

$$\omega = \sqrt{\frac{mgh}{I}}$$

where h is the distance between the center of mass and the axis of rotation, and I is the moment of inertia of the object about the rotation axis.

Important Equations

Position, velocity, and acceleration for SHM:

$$\begin{aligned} x(t) &= A\cos(\omega t + \phi) \\ v(t) &= \frac{d}{dt}x(t) = -A\omega\sin(\omega t + \phi) \\ a(t) &= \frac{d^2}{dt^2}x(t) = -A\omega^2\cos(\omega t + \phi) \end{aligned}$$

Period and frequency:

$$\begin{aligned} \omega &= \sqrt{\frac{k}{m}} \\ T &= \frac{2\pi}{\omega} = 2\pi\sqrt{\frac{m}{k}} \\ f &= \frac{1}{T} = \frac{\omega}{2\pi} = \frac{1}{2\pi}\sqrt{\frac{k}{m}} \end{aligned}$$

Mechanical energy:

$$E = U + K = \frac{1}{2}kx^2 + \frac{1}{2}mv^2 = \frac{1}{2}kA^2$$

Simple pendulum (small angles):

$$\omega = \sqrt{\frac{g}{L}}$$

Physical pendulum (small angles):

$$\omega = \sqrt{\frac{mgh}{I}}$$

Important Definitions

Definition

Angular frequency: is related to a usual frequency by a factor of 2π. For an object rotating around a circle at constant speed, the angular frequency of the rotation is the same as the angular speed (the rate of change of a position angle). SI units: $[\mathrm{rad/s}]$. Common variable(s): ω.

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13.6: Thinking about the material

Reflect and research

1. What is an example of a system that is a simple harmonic oscillator (not covered in this this chapter)? What is the restoring force for that system?
2. What happens to the motion of a mass-spring system in the presence of friction? Sketch out the position as a function of time.
3. What is a “damped” harmonic oscillator?
4. What is a coupled oscillator? Find a video of a coupled oscillator online and describe the motion.
5. How do the shock absorbers on a car relate to simple harmonic motion?

To try at home

1. Compare values of θ and $\sin\theta$ to see when the small angle approximation holds. Does it matter if θ is expressed in radians?
2. Build a simple pendulum and describe the motion. Is it simple harmonic motion? Is it damped simple harmonic motion? Does the frequency depend on the length of the pendulum as expected?

To try in the lab

1. Theory lab: what is the function $x(t)$ if there is a frictional force, proportional to velocity, $-bv$, exerted on the spring mass system?
2. Propose an experiment to test whether the period of the motion of pendulum depends on the amplitude of the motion.
3. Propose an experiment to test whether a physical pendulum is well-described by simple harmonic motion.

Propose an experiment which measures the gravitational constant (G) using a torsion pendulum.

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13.7: Sample problems and solutions

Exercise 13.7.1

Ty ($m = 30\text{kg}$) is trying out a new piece of equipment at his local playground. The equipment consists of a platform that is connected to two springs. The top spring ($k_1 = 2400\text{N/m}$) connects the platform to the playground structure and the bottom spring ($k_2 = 3480\text{N/m}$) (Figure 13.7.1) connects it to the ground. When no one is standing on the platform the platform is 50cm off the ground. When Ty is standing on the platform, he oscillates up and down, and the lowest point that the platform reaches is 35cm off the ground. Show that this is simple harmonic motion and determine what Ty's maximum speed will be.

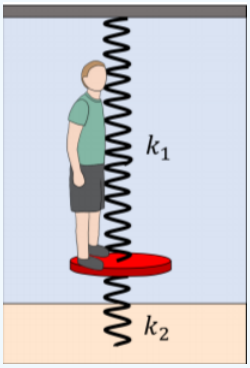

Figure 13.7.1: Playground equipment made of platform connected to two vertical springs.

Answer

First, we need to solve for the new equilibrium position of the platform, x_0, when Ty is standing on the platform. We define the x axis so that the origin is 50cm above the ground (the equilibrium position when no one is standing on the platform) and choose the positive direction to be downwards (Figure 13.7.2).

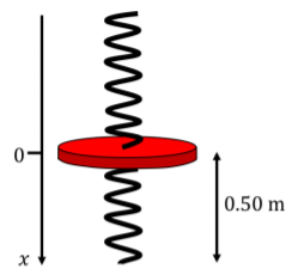

Figure 13.7.2: The platform when no one is standing on it.

Even though we do not know the mass of the platform, or the actual resting lengths of the spring, we do not need to know these, since we can model the platform with nobody on it as a single spring with spring constant $k = k_1 + k_2$ and rest position $x = 0$.

When Ty is standing on the platform, the sum of the forces is given by his weight and the force from the "effective spring":

$$\sum F = mg - (k_1 + k_2)x$$

where we noted that, when the platform moves down, both the top and bottom spring will exert a force upwards (Figure 13.7.3).

At equilibrium, the sum of the forces is equal to zero. We can use this to solve for the displacement at x_0:

$$\begin{aligned} 0 &= mg - (k_1 + k_2)x_0 \\ \therefore x_0 &= \frac{mg}{k_1 + k_2} = \frac{(30\text{kg})(9.8\text{m/s}^2)}{(2400\text{Nm}) + (3480\text{Nm})} = 0.05\text{m} \end{aligned}$$

We will confirm that this is a simple harmonic oscillator by showing that the system's motion can be described by the equation:

$$\frac{d^2x}{dt^2} = -\omega^2 x$$

For some position x below equilibrium, we can rewrite Newton's second law as:

$$\begin{aligned} ma &= mg - (k_1 + k_2)x \\ m\frac{d^2x}{dt^2} &= mg - (k_1 + k_2)x \end{aligned}$$

In order to show that this is simple harmonic motion, we need to combine the right hand side of the equation into one term. We found earlier that $mg = (k_1 + k_2)x_0$, which we can use here:

$$\begin{aligned} m\frac{d^2x}{dt^2} &= (k_1 + k_2)x_0 - (k_1 + k_2)x \\ \frac{d^2x}{dt^2} &= \frac{(k_1 + k_2)}{m}(x_0 - x) \\ \frac{d^2x}{dt^2} &= -\frac{(k_1 + k_2)}{m}(x - x_0) \end{aligned}$$

We now define an x' axis such that $x' = x - x_0$. This means that the origin of the x' axis is at the new equilibrium position:

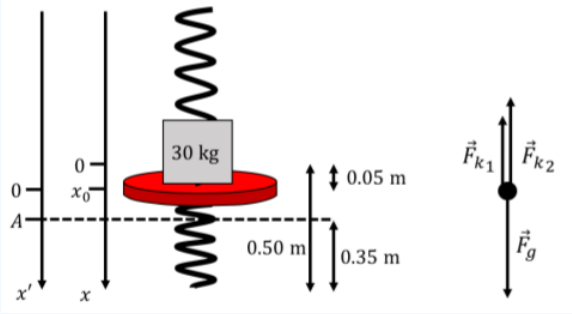

Figure 13.7.3: The forces acting on the platform and our new coordinate system.

We can now rewrite our expression using the x' axis:

$$\frac{d^2x}{dt^2} = -\frac{(k_1 + k_2)}{m}x'$$

This equation tells us that this is simple harmonic motion about the new equilibrium position, where $\omega = \sqrt{(k_1 + k_2)/m}$. We know that the lowest point that the platform reaches is 35 cm above the ground, which, on our x' axis, corresponds to $x' = 10\text{cm}$ (Figure 13.7.3). Thus, the amplitude of the oscillation is $A = 0.1\text{m}$. Because this is simple harmonic motion, we know that the position of the platform can be described by the following function:

$$x'(t) = A\cos(\omega t + \phi)$$

We set $t = 0$ to be when the platform is at its lowest point ($x' = A$). The value of ϕ is thus:

$$\begin{aligned} x'(0) &= A\cos(\omega(0) + \phi) \\ A &= A\cos(\phi) \\ 1 &= \cos(\phi) \\ \therefore \phi &= 0 \end{aligned}$$

The velocity is given by:

$$v(t) = \frac{d}{dt}x(t) = -A\omega\sin(\omega t + \phi)$$
$$= -A\omega\sin(\omega t)$$

The speed will be maximized when $\sin(\omega t) = 1 \quad$ or -1 . So, the maximum speed will be:

$$|v| = A\omega$$
$$|v| = A\sqrt{\frac{(k_1 + k_2)}{m}}$$
$$|v| = (0.1\text{m})\sqrt{\frac{(2400\text{Nm} + 3480\text{Nm})}{30\text{kg}}}$$
$$|v| = 1.4\text{m/s}$$

Exercise 13.7.2

A torsional pendulum consists of a horizontal rod suspended from a vertical wire. When the rod is rotated so that it is displaced an angle θ from equilibrium, the wire (which is now twisted) provides a restoring torque about the axis of the wire given by:

$$\tau = -\kappa\theta$$

where κ is the torsion coefficient, which depends on the stiffness of the wire. You may notice that this formula closely resembles Hooke's law.

a. You construct a torsional pendulum by attaching two small spherical masses (you can assume they are point masses, each of mass m) to the ends of a thin (mass-less) rod of length L and attaching a wire to the center of the rod (Figure 13.7.4). When you displace one of the masses by an angle θ and release it, you find that it oscillates with a period T. Find an expression for the torsion coefficient, κ, in term of T, m, and L.

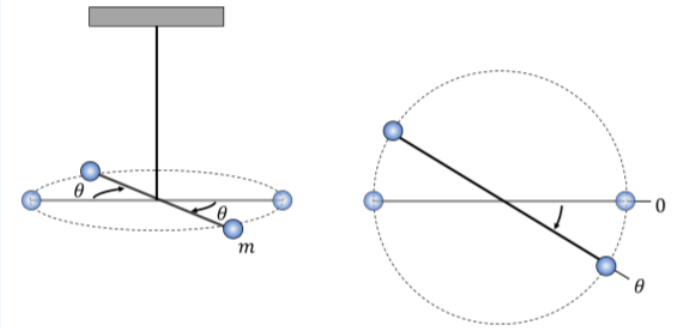

Figure 13.7.4: A torsional pendulum. The right side shows a top view.

b. You place two very large spheres, each of mass M, near each of the small spheres (as shown in Figure 13.7.5). Each of the small spheres will be acted on by a force of gravity from the **nearest** large sphere. The pendulum is at equilibrium when it is deflected an angle β from its original equilibrium position. At the new equilibrium, the displacement vectors connecting the centers of large and small spheres have a magnitude d and are essentially perpendicular to the rod. Find an expression for the universal gravitational constant G, in terms of the masses, the length of the rod, and the period measured in part a).

Fun fact! This set-up resembles an experiment performed by Henry Cavendish that was first used to determine the value for G and to test Newton's Universal Theory of Gravity.

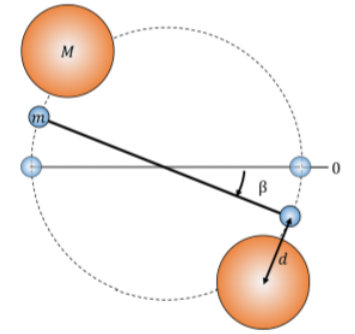

Figure 13.7.5: Two very large spheres are placed near each of the small masses on the torsional pendulum (top view). At the new equilibrium, each small mass is a distance d from the nearest large mass.

Answer

The only force that creates a torque on the masses is the restoring force from the twisting of the wire. The rotational dynamics version of Newton's Second Law relates this torque to the angular acceleration, α of the rod:

$$I\alpha = -\kappa\theta$$

where I is the moment of inertia of the rod. Rewriting α more explicitly as the second time derivative of the angle, we get:

$$I\frac{d^2\theta}{dt^2} = -\kappa\theta$$
$$\frac{d^2\theta}{dt^2} = -\frac{\kappa}{I}\theta$$

By inspection, we can see that the torsional pendulum is a simple harmonic oscillator, where $\omega = \sqrt{\kappa/I}$. The period of the motion is therefore:

$$T = \frac{2\pi}{\omega}$$
$$T = 2\pi\sqrt{\frac{I}{\kappa}}$$

We can rearrange this expression to get κ:

$$T^2 = \frac{4\pi^2 I}{\kappa}$$
$$\kappa = \frac{4\pi^2 I}{T^2}$$

The moment of inertia for one of the masses is $m(L/2)^2$, where $L/2$ is the distance from the mass to the axis of rotation. The moment of inertia for the two masses attached to the mass-less rod is:

$$I = 2m\left(\frac{L}{2}\right)^2 = \frac{mL^2}{2}$$

Putting this into our expression for κ:

$$\kappa = \frac{2\pi^2 mL^2}{T^2}$$

The two forces that provide torques for the small spheres are gravity and the force exerted by the twisting wire. Each of the small spheres will experience a force due to gravity from the nearest large sphere. At equilibrium, the force due to gravity on one of the small spheres is therefore:

$$F_g = \frac{GMm}{d^2}$$

Assuming that, at equilibrium, the force vector is perpendicular to the rod, the torque from one of the large spheres is just the force multiplied by the distance to the axis of rotation. Since there are two large spheres, each of which creates a torque on the pendulum, the total torque due to gravity is:

$$\begin{aligned}\tau_g &= 2F_g\frac{L}{2}\\ &= F_gL\\ &= \frac{GMm}{d^2}L\end{aligned}$$

(Note that τg is the torque due to gravity **at equilibrium only**). We can use Newton's second law for the pendulum to find an expression for G. At equilibrium, the net torque is equal to zero, and the angle of deflection is β:

$$\begin{aligned}\tau_{net} &= \tau_{wire} - \tau_g\\ 0 &= \tau_{wire} - \tau_g\\ \tau_g &= \tau_{wire}\\ \frac{GMm}{d^2}L &= \kappa\beta\\ \therefore G &= \frac{\kappa\beta d^2}{LMm}\end{aligned}$$

Using our expression for κ found in part a), this becomes:

$$G = \frac{2\pi^2 L\beta d^2}{MT^2}$$

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CHAPTER OVERVIEW

14: Waves

Learning Objectives

- Understand the definition of different types of waves.
- Understand how to mathematically describe traveling and standing waves.
- Understand how to model the propagation of a pulse on a rope.
- Understand how to model the energy transported by a wave.
- Understand how to model the interference of waves.
- Understand how standing waves form and how to model them

In this chapter we introduce the tools to describe waves. Waves arise in many different physical systems (the ocean, a string, electromagnetism, etc.), and can be described by a common mathematical framework.

prelude

Two waves travel down two identical strings (Figure 14.1). The frequency of the first wave is twice that of the second wave. Which wave will be faster?

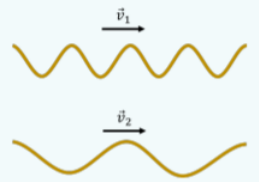

Figure 14.1*: Two waves traveling down two identical strings.*

A. The first wave.
B. The second wave.
C. The speeds will be the same.

14.1: Characteristics of a wave
14.2: Mathematical Description of a Wave
14.3: Waves on a Rope
14.4: The speed of a wave
14.5: Energy Transported by a Wave
14.6: Superposition of waves and interference
14.7: Standing waves
14.8: Summary
14.9: Thinking about the material
14.10: Sample problems and solutions

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14.1: Characteristics of a wave

Definition and types of waves

A traveling wave is a **disturbance that travels through** a medium. Consider the waves made by fans at a soccer game, as in Figure 14.1.1. The fans can be thought of as the medium through which the wave propagates. The elements of the medium may oscillate about an equilibrium position (the fans move a short distance up and down), but they do not travel with the wave (the fans do not move horizontally with the wave).

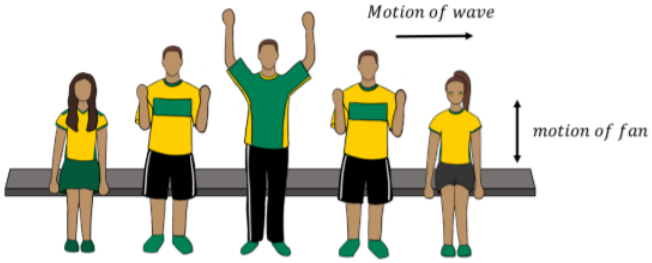

Figure 14.1.1: A transverse wave made by soccer fans moving up and down.

Consider the ripples (waves) made by a rock dropped in a pond (Figure 14.1.2). The ripples travel outwards from where the rock was dropped, but the water itself does not move outwards. The individual water molecules will move in small circles about an equilibrium position, but they do not move along with the waves.

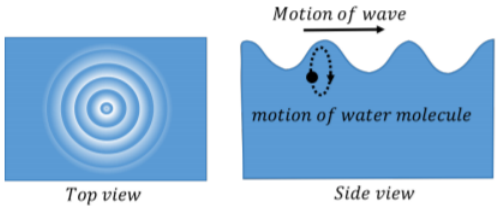

Figure 14.1.2: A transverse wave traveling through water. The left panel shows the view from above as ripples move outwards. The right panel shows the motion of an individual water molecule as the wave is viewed from the side.

We can distinguish between two classes of waves, based on the motion of the medium through which it propagates. With **transverse waves**, the elements of the medium oscillate back and forth in a direction perpendicular to the motion of the wave. For example, if you attach a horizontal rope to a wall and move the other end up and down (Figure 14.1.3), you can create a disturbance (a wave) that travels horizontally along the rope. The parts of the rope do not move horizontally; they only move up and down, about some equilibrium position.

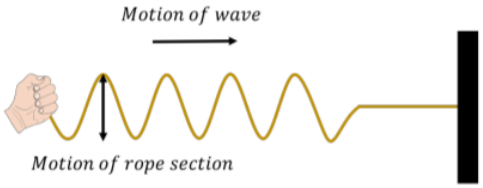

Figure 14.1.3: A transverse wave traveling through a rope. The wave is created by moving one end of the rope up and down.

With **longitudinal waves**, the elements of the medium oscillate back and forth in the same direction as the motion of the wave. If you clap your hands, you will create a pressure disturbance in the air that will propagate; this is what we call sound (a sound wave). The air molecules oscillate about an equilibrium position in the same direction as the wave propagates, but they do not move with the wave.

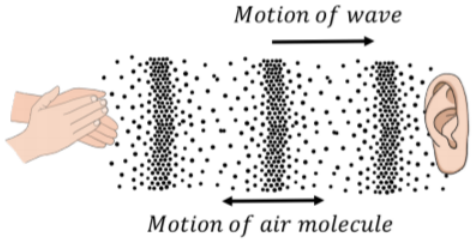

Figure 14.1.4: A longitudinal sound wave traveling through the air. The air molecules move back and forth in the same direction as the wave, but they oscillate about an equilibrium position instead of moving with the wave.

Furthermore, we can distinguish between “travelling waves”, in which a disturbance propagates through a medium, and “standing waves”, which do not transport energy through the medium (for example, a vibrating string on a violin).

? Exercise 14.1.1

Are the waves propagating through a slinky when you compress and elongate it (Figure 14.1.5) transverse or longitudinal?

Figure 14.1.5: A wave traveling through a slinky. The wave is created when you compress or elongate the slinky

A. Transverse
B. Longitudinal

Answer

Physically, a wave can only propagate through a medium if the medium can be deformed. When a particle in the medium is disturbed from its equilibrium position, it will experience a restoring force that acts to bring it back to its equilibrium position. Often, if the displacement of the particle from the equilibrium is small, the magnitude of that force is proportional to the displacement. Thus, as we will see, we can model the propagation of waves by treating the particles in the medium as simple harmonic oscillators.

A source of energy is required in order to deform the medium and generate a wave. For example, that source of energy could be a speaker creating sound waves by pushing a membrane back and forth; speakers require energy, and are often rated by the electrical power that they convert into sound waves (e.g. a 50W speaker consumes 50W of electrical power to produce sound).

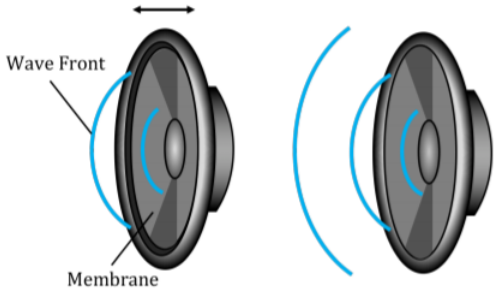

Figure 14.1.6: A speaker creating sound sound waves. The membrane vibrates back and forth which deforms the air to create sound waves that propagate through the air.

Description of a wave

In this chapter, we will mostly discuss how to describe sinusoidal waves; those for which the displacement of particles in the medium can be described by a sinusoidally-varying function of position. As we will see, more complicated waves can always be described as if they are the combination of multiple sine waves. We can use several quantities to describe a traveling wave, which are illustrated in Figure 14.1.7:

- The **wavelength**, λ, is the distance between two successive maxima (“peaks”) or minima (“troughs”) in the wave.
- The **amplitude**, A, is the maximal distance that a particle in the medium is displaced from its equilibrium position.

- The **velocity**, $\vec{v}$, is the velocity with which the disturbance propagates through the medium.
- The **period**, T, is the time it takes for two successive maxima (or minima) to pass through the same point in the medium.
- The **frequency**, f, is the inverse of the period ($f = 1/T$).

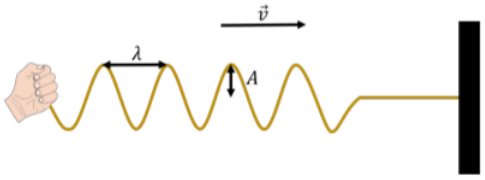

Figure 14.1.7: Wavelength, velocity, and amplitude for a transverse wave on a rope.

The wavelength, speed, and period of the wave are related, since the amount of time that it takes for two successive maxima of the wave to pass through a given point will depend on the speed of the wave and the distance between maxima, λ. Since it takes a time, T, for two maxima a distance λ apart to pass through a given point in the medium, the speed of the wave is given by:

$$v = \frac{\lambda}{T} = \lambda f \tag{14.1.1}$$

Thus, of the three quantities (speed, period/frequency, and wavelength), only two are independent, as the third quantity must depend on the value of the other two. **The speed of a wave depends on the properties of the medium through which the wave propagates and not on the mechanism that is generating the wave**. For example, the speed of sound waves depends on the pressure, density, and temperature of the air through which they propagate, and not on what is making the sound. When a mechanism generates a wave, that mechanism usually determines the frequency of the wave (e.g. frequency with which the hand in Figure 14.1.7moves up and down), the speed is determined by the medium, and the wavelength can be determined from *Equation 14.1.1*.

? Exercise 14.1.2

What can you say about the sound emitted by a cello versus that emitted by a violin?

A. The sound from the violin has a higher frequency.
B. The sound from the cello has a longer wavelength.
C. The sound from both instruments propagates at the same speed.
D. All of the above.

Answer

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14.2: Mathematical Description of a Wave

In order to describe the motion of a wave through a medium, we can describe the motion of the individual particles of the medium as the wave passes through. Specifically, we describe the position of each particle using its displacement, D, from its equilibrium position. Consider our rope example in which a sine wave is propagating through a medium (the rope) in the positive x direction, as shown in Figure 14.2.1.

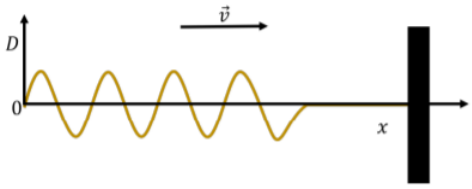

Figure 14.2.1: The displacement (D) of points at different positions (x) on a rope as a sine wave passes through.

The displacement, D, of each point at position, x, in the medium is shown on the vertical axis of Figure 14.2.2 The solid black line corresponds to a snapshot of the wave at time $t = 0$. The wave has an amplitude, $A = 5\text{m}$, a velocity, $v = 1\text{m/s}$, and a wavelength, $\lambda = 4\text{m}$. The dotted line corresponds to a snapshot of the wave one second later, at $t = 1\text{s}$, when the wave has moved to the right by a distance $vt = 1\text{m}$.

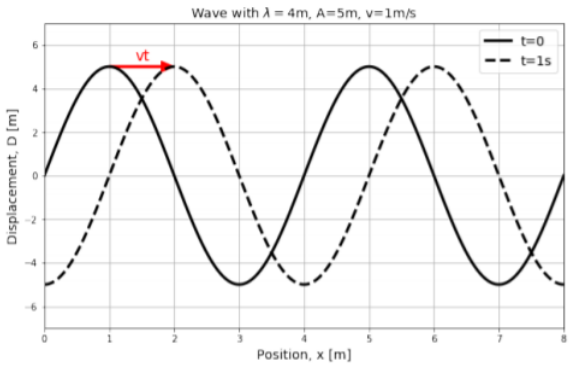

Figure 14.2.2: Displacement as a function of position for particles in a medium as a wave passes through. The dotted line shows the displacement as a function of time 1 s after the solid line, and corresponds to a wave traveling towards the right.

It is important to note that Figure 14.2.2 is not restricted to describing transverse waves, even if the illustration suggests that the particles' displacements (vertical axis) are perpendicular to the direction of propagation of the wave (horizontal). The quantity, D, that is plotted on the vertical axis corresponds to the displacement of a particle from its equilibrium position. That displacement could correspond to the longitudinal displacement of a particle in a longitudinal wave.

At time $t = 0$ (solid line), the displacement of each point in the medium, $D(x, t = 0)$, as a function of their distance from the origin, x, can be described by a sine function:

$$D(x, t = 0) = A \sin\left(\frac{2\pi}{\lambda} x\right) \tag{14.2.1}$$

This corresponds to the displacement being 0 at the origin and at any position, x, that is a multiple of the wavelength, λ.

If the wave moves with velocity v in the positive x direction, then at time t, the sine function in Figure 14.2.2 will have shifted to the right by an amount vt (dotted line). The displacement of a point located at position x at time t will be the same as the displacement of the point at position $x - vt$ at time $t = 0$. For example, in Figure 14.2.2 the displacement of the point $x = 2\text{m}$ at time $t = 1\text{s}$ is the same as the displacement of the point at position $x - vt = 1\text{m}$ at $t = 0$.

We can state this condition as:

$$D(x, t) = D(x - vt, t = 0)$$

That is, at some time t, the displacement of a point at position x is found by finding the position of the point at $x - vt$ at $t = 0$. We already have an equation to find the displacement of a point at $t = 0$. Using the above condition, we can modify *Equation 14.2.1* to write a function for the displacement of a point at position x at time t:

$$D(x,t) = A\sin\left(\frac{2\pi}{\lambda}(x - vt)\right)$$

Noting that $v/\lambda = 1/T$, we can write this as:

$$D(x,t) = A\sin\left(\frac{2\pi x}{\lambda} - \frac{2\pi t}{T}\right)$$

In the above derivation, we assumed that at time $t = 0$, the displacement at $x = 0$ was $D(x = 0, t = 0) = 0$. In general, the displacement could have any value at $x = 0$ and $t = 0$, so we can allow the wave to shift left or right by including a phase, ϕ, which can be determined from the displacement at $x = 0$ and $t = 0$:

$$D(x,t) = A\sin\left(\frac{2\pi x}{\lambda} - \frac{2\pi t}{T} + \phi\right) \tag{14.2.2}$$

where $\phi = 0$ corresponds to the displacement being zero at $x = 0$ and $t = 0$.

? Exercise 14.2.1

What is the value of the phase ϕ if the displacement of the point at $x = 0$ is $D = A/2$ at time $t = 0$?

A. $\pi/6$.
B. $\pi/4$.
C. $\pi/3$.
D. $\pi/2$.

Answer

The equation above is written in terms of the wavelength, λ, and period, T, of the wave. Often, one uses the "wave number", k, and the "angular frequency", ω, to describe the wave. These are defined as:

$$k = \frac{2\pi}{\lambda} \tag{14.2.3}$$

$$\omega = \frac{2\pi}{T} \tag{14.2.4}$$

Using the wave number and the angular frequency removes the factors of 2π in the expression for $D(x,t)$, which can now be written as:

$$D(x,t) = A\sin(kx - \omega t + \phi) \tag{14.2.5}$$

It is important to note that the wave number, k, has no relation to the spring constant that we used for springs.

Using *Equation 14.1.1*, we can also relate the wave number and angular frequency to the speed of the wave:

$$v = \frac{\lambda}{T} = \frac{\frac{2\pi}{k}}{\frac{2\pi}{\omega}} = \frac{\omega}{k}$$

The Wave Equation

In Chapter 13, we saw that any physical system whose position, x, satisfies the following equation:

$$\frac{d^2x}{dt^2} = -\omega^2 x$$

will undergo simple harmonic motion with angular frequency ω, and that $x(t)$ can be modeled as:

$$x(t) = A\cos(\omega t + \phi)$$

Similarly, any system, where the displacement of a particle as a function of position and time, $D(x,t)$, satisfies the following equation:

$$\frac{\partial^2 D}{\partial x^2} = \frac{1}{v^2}\frac{\partial^2 D}{\partial t^2} \tag{14.2.6}$$

is described by a wave that propagates with a speed v. The equation above is called the "one-dimensional wave equation" and would be obtained from modeling the dynamics of the system, just as the equation of motion for a simple harmonic oscillator can be obtained from Newton's Second Law. For the harmonic oscillator, the properties of the system (e.g. mass and spring constant) determine the angular frequency, ω. For a wave, the properties of the medium determine the speed of the wave, v.

We use partial derivatives in the wave equation instead of total derivatives because $D(x,t)$ is multivariate. A possible solution to the one-dimensional wave equation is:

$$D(x,t) = A\sin(kx - \omega t + \phi)$$

which is the function that we used in the previous section to describe a sine wave.

Furthermore, if multiple solutions to the wave equation, $D_1(x,t)$, $D_2(x,t)$, etc, exist, then any linear combination, $D(x,t)$, of the solutions will also be a solution to the wave equation:

$$D(x,t) = a_1 D_1(x,t) + a_2 D_2(x,t) + a_3 D_3(x,t) + \ldots$$

This last property is called "the superposition principle", and is the result of the wave equation being linear in D (it does not depend on D^2, for example). It is easy to check, for example, that if $D_1(x,t)$ and $D_2(x,t)$ satisfy the wave equation, so does their sum.

In three dimensions, the displacement of a particle in the medium depends on its three spatial coordinates, $D(x,y,z,t)$, and the wave equation in Cartesian coordinates is given by:

$$\frac{\partial^2 D}{\partial x^2} + \frac{\partial^2 D}{\partial y^2} + \frac{\partial^2 D}{\partial z^2} = \frac{1}{v^2}\frac{\partial^2 D}{\partial t^2}$$

There are many functions that can satisfy this equation, and the best choice will depend on the physical system being modeled and the properties of the wave that one wishes to describe.

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14.3: Waves on a Rope

In this section, we model the motion of transverse waves on a rope, as this provides insight into many properties of waves that extend to waves propagating in other media.

A pulse on a rope

We start by modeling how a single pulse propagates down a horizontal rope that is under a tension, F_T[1]. A wave is generally considered to be a regular series of alternating upwards and downwards pulses propagating down the rope. Modeling the propagation of a pulse is thus equivalent to modeling the propagation of a wave. Figure 14.3.1 shows how one can generate a pulse in a taught horizontal rope by raising (and then lowering) one end of the rope.

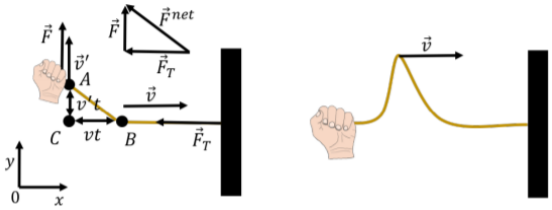

Figure 14.3.1: (Left:) Pulling upwards and then downwards on a horizontal rope causes a pulse to form and propagate. After a short period of time, a pulse is seen propagating down the rope (right).

We can model the propagation speed of the pulse by considering the speed, v, of point B that is shown in the left panel of Figure 14.3.1. Note that point B is not a particle of the rope, and is, instead, the location of the "front" of the disturbance that the pulse causes on the rope. We model the rope as being under a horizontal force of tension, $\vec{F}_T$, and the pulse is started by exerting a vertical force, $\vec{F}$, to move the end (point A) of the rope upwards with a speed, v'. Thus, by pulling upwards on the rope with a force, $\vec{F}$, at a speed v', we can start a disturbance in the rope that will propagate with speed v.

In a short amount of time, t, the point A on the rope will have moved up by a distance $v't$, whereas point B will have moved to the right by a distance vt. If t is small enough, we can consider the points A, B, and C to form the corners of a triangle. That triangle is similar to the triangle that is made by vectorially summing the applied force $\vec{F}$ and the tension $\vec{F}_T$, as shown in the top left of Figure 14.3.1. In this case, we mean the geometry term "similar", which describes two triangles which have the same angles. We can thus write:

\[\begin{aligned} \frac{F}{F_T}&=\frac{v't}{vt}=\frac{v'}{v}\

4pt] \therefore F&= F_T \frac{v'}{v}\end{aligned}

Consider the section of rope with length vt that we have raised by applying that force (we assume that the distance AB is approximately equal to the distance BC). If the rope has a mass per unit length μ, then the mass of the rope element that was raised (between points A and B) has a mass, m, given by:

$$m = \mu vt$$

The vertical component of the momentum of that section of rope, with vertical speed given by v', is thus:

$$p = mv' = \mu vtv'$$

If the vertical force, $\vec{F}$, was exerted for a length of time, t, on the mass element, it will give it a vertical impulse, Ft, equal to the change in the vertical momentum of the mass element:

\[\begin{aligned} Ft &= \Delta p \

\[4pt] Ft &= \mu vt v'\

4pt] \therefore F &= \mu v v'\end{aligned}

We can equate this expression for F with that obtained from the similar triangles to obtain an expression for the speed, v, of the pulse:

\[\begin{aligned} \mu v v' &= F_T \frac{v'}{v}\

4pt] \therefore v&= \sqrt{\frac{F_T}{\mu}}\end{aligned}

The speed of a pulse (and wave) propagating through a rope with linear mass density, μ, under a tension, F_T, is given by:

$$v = \sqrt{\frac{F_T}{\mu}} \tag{14.3.1}$$

If the tension in the rope is higher, the pulse will propagate faster. If the linear mass density of the rope is higher, then the pulse will propagate slower.

Reflection and transmission

In this section, we examine what happens when a pulse traveling down a rope arrives at the end of the rope. First, consider the case illustrated in Figure 14.3.2 where the end of the rope is fixed to a wall.

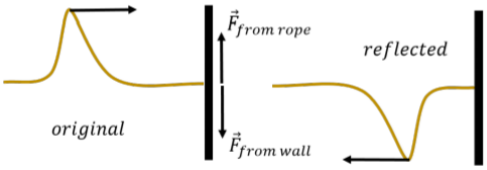

Figure 14.3.2: When the end of the rope is held fixed, the reflected pulse will be inverted.

When the pulse arrives at the wall, the rope will exert an upwards force on the wall, $\vec{F}_{from\ rope}$. By Newton's Third Law, the wall will then exert a downwards force on the rope, $\vec{F}_{from\ wall}$. The downwards force exerted on the rope will cause a downwards pulse to form, and the reflected pulse will be inverted compared to the initial pulse that arrived at the wall.

Now, consider the case when the end of the rope has a ring attached to it, so that it can slide freely up and down a post, as illustrated in Figure 14.3.3.

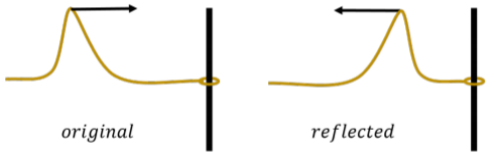

Figure 14.3.3: When the end of the rope is free, the reflected pulse will be upright.

In this case, the end of the rope will move up as the pulse arrives, which will then create a reflected pulse that is in the same orientation as the incoming pulse.

Finally, consider a pulse that propagates down a rope of mass per unit length μ_1 that is tied to a second rope with mass per unit length μ_2, which have the same tension. When the pulse arrives at the interface between the two media (the two ropes), part of the pulse will be reflected back, and part will be transmitted into the second medium (Figure 14.3.4).

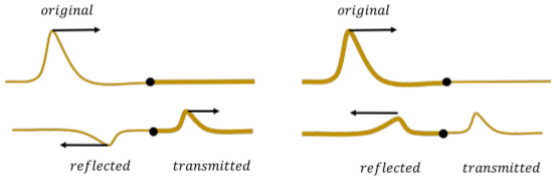

Figure 14.3.4: A pulse can be both reflected and transmitted as it changes medium. Left panel: The pulse is transmitted from a lighter rope to a heavier rope. Right panel: The pulse is transmitted from a heavier rope to a lighter rope.

By considering the boundary conditions, one can derive the coefficient of reflection, R (see *Exercise 14.10.2* for the derivation). This coefficient is the ratio of the amplitude of the reflected pulse to the amplitude of initial pulse. The ratio is found to be:

$$R = \frac{\sqrt{\mu_1} - \sqrt{\mu_2}}{\sqrt{\mu_1} + \sqrt{\mu_2}}$$

When the pulse moves from a lighter rope to a heavier rope ($\mu_1 < \mu_2$), the reflected pulse will be inverted ($R < 0$). When the pulse moves from a heavier rope to a lighter rope ($\mu_1 > \mu_2$), the reflected pulse will stay upright ($R > 0$).

When the end of the rope is fixed to a wall (as in Figure 14.3.2), this represents a limiting case in which the linear mass density of the second material approaches infinity ($\mu_2 \to \infty$):

$$R = \lim_{\mu_2 \to \infty} \frac{\sqrt{\mu_1} - \sqrt{\mu_2}}{\sqrt{\mu_1} + \sqrt{\mu_2}} = \frac{-\sqrt{\mu_2}}{\sqrt{\mu_2}} = -1$$

which means that the amplitude of the reflected pulse will have the same magnitude as the initial pulse but will be in the opposite direction. When the end of the rope is free (Figure 14.3.3), this represents another limiting case, where $\mu_2 \to 0$:

$$R = \lim_{\mu_2 \to 0} \frac{\sqrt{\mu_1} - \sqrt{\mu_2}}{\sqrt{\mu_1} + \sqrt{\mu_2}} = \frac{\sqrt{\mu_1}}{\sqrt{\mu_1}} = 1$$

which means that the amplitude of the reflected pulse will be in the same direction and have the same amplitude as the initial pulse.

? Exercise 14.3.1

A wave propagates from a light rope to a heavier rope that is attached to the light rope (as the pulse illustrated in Figure 14.3.4). What can you say about the wavelength of the wave on either side of the interface?

A. It is the same in both sections of rope.
B. The wavelength in the heavy section of rope is longer.
C. The wavelength in the light section of rope is longer.

Answer

The wave equation for a rope

In this section, we show how to use Newton's Second Law to derive the wave equation for transverse waves traveling down a rope with linear mass density, μ, under a tension, F_T. Consider a small section of the rope, with mass dm, and length dx, as a wave passes through that section of the rope, as illustrated in Figure 14.3.5

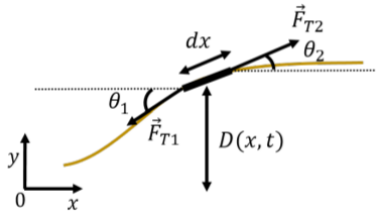

Figure 14.3.5: A small section of rope under tension as a wave passes through.

We assume that the weight of the mass element is negligible compared to the force of tension that is in the rope. Thus, the only forces exerted on the mass element are those from the tension in the rope, pulling on the mass element from each side, with forces, $\vec{F}_{T1}$ and $\vec{F}_{T2}$. In general, the forces from tension on either side of the mass element will have different directions and make different angles, θ, with the horizontal, although their magnitude is the same. Let $D(x,t)$ be the vertical displacement of the mass element located at position x. We can write the y (vertical) component of Newton's Second Law for the mass element, dm, as:

\[\begin{aligned} \sum F_y = F_{T2y} - F_{T1y} &= (dm)a_y\

\[4pt] F_T\sin\theta_2 - F_T\sin\theta_1 &= dm \frac{\partial ^{2}D}{\partial t^{2}}\

4pt] F_T(\sin\theta_2 - \sin\theta_1) &= dm \frac{\partial ^{2}D}{\partial t^{2}}\end{aligned}

where we used the fact that the force of tension has a magnitude, F_T, on either side of the mass element, and that the acceleration of the mass in the vertical direction is the second time-derivative of $D(x,t)$, since for a transverse wave, this corresponds to the y position of a particle. We now make the small angle approximation:

$$\sin\theta \approx \tan\theta = \frac{\partial D}{\partial x}$$

in which the sine of the angle is approximately equal to the tangent of the angle, which is equal to the slope of the rope. Applying this approximation to Newton's Second Law:

$$F_T\left(\frac{\partial D}{\partial x}\Big|_{right} - \frac{\partial D}{\partial x}\Big|_{left}\right) = dm\frac{\partial^2 D}{\partial t^2}$$

where we indicated that the term in parentheses is the difference in the slope of the rope between the right side and the left side of the mass element. If the rope has linear mass density, μ, then the mass of the rope element can be expressed in terms of its length, dx:

$$dm = \mu dx$$

Replacing dm in the equation gives:

\[\begin{aligned} F_T\left(\frac{\partial D}{\partial x}\Bigr|_{right} - \frac{\partial D}{\partial x}\Bigr|_{left}\right) &= \mu dx \frac{\partial ^{2}D}{\partial t^{2}}\

4pt] F_T\left(\frac{\frac{\partial D}{\partial x}\Bigr|_{right} - \frac{\partial D}{\partial x}\Bigr|_{left}}{dx}\right) &= \mu \frac{\partial ^{2}D}{\partial t^{2}}\end{aligned}

The term in parentheses is the difference in the first derivatives of $D(x,t)$ with respect to x, divided by the distance, dx, between which those derivatives are evaluated. This is precisely the definition of the second derivative with respect to x, so we can write:

\[\begin{aligned} F_T \frac{\partial ^{2}D}{\partial x^{2}} &= \mu \frac{\partial ^{2}D}{\partial t^{2}}\

\[4pt] \therefore \frac{\partial ^{2}D}{\partial x^{2}} &= \frac{\mu}{F_T} \frac{\partial ^{2}D}{\partial t^{2}}\

4pt]\end{aligned}

which is precisely the wave equation:

\[\begin{aligned} \frac{\partial ^{2}D}{\partial x^{2}} &= \frac{1}{v^2} \frac{\partial ^{2}D}{\partial t^{2}}\

4pt]\end{aligned}

with speed:

$$v = \sqrt{\frac{F_T}{\mu}}$$

as we found earlier. Thus, we find that the speed of the propagation of the wave is related to the dynamics of modeling the system, and is not related to the wave itself.

Footnotes

1. We do not use T for tension, so as to not confuse with the period of a wave.

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14.4: The speed of a wave

In the previous section we found that the speed of a transverse wave in a rope is related to the ratio of the tension in the rope to the linear mass density of the rope:

$$v = \sqrt{\frac{F_T}{\mu}}$$

The speed of a wave in any medium is usually given by a ratio, where the numerator is a measure of how easy it is to deform the medium, and the denominator is measure of the inertia of the medium. For a rope, the tension is a measure of how stiff the rope is. A higher tension makes it more difficult to disturb the rope from equilibrium and it will "snap back" faster when disturbed, so the pulse will propagate faster. The heavier the rope, the harder it will be for the disturbance to propagate as the rope has more inertia, which will slow down the pulse.

The only way that a wave can propagate through a medium is if that medium can be deformed and the particles in the medium can be displaced from their equilibrium position, much like simple harmonic oscillators. The wave will propagate faster if those oscillators have a stiff spring constant and there is a strong force trying to restore them to equilibrium. However, if those oscillators have a large inertia, even with a large restoring force, they will accelerate back to their equilibrium with a smaller acceleration.

In general, the speed of a wave is given by:

$$v = \sqrt{\frac{\text{Stiffness of medium}}{\text{Inertia of medium}}}$$

For example, the speed of longitudinal pressure waves in a solid is given by:

$$v = \sqrt{\frac{E}{\rho}}$$

where E is the "elastic (or Young's) modulus" for the material, and ρ is the density of the material. The elastic modulus of a solid is a measure of the material's resistance to being deformed when a force (or pressure) is exerted on it. The more easily it is deformed, the lower its elastic modulus will be.

For the propagation of longitudinal pressure waves through a fluid, the speed is given by:

$$v = \sqrt{\frac{B}{\rho}}$$

where B is the bulk modulus of the liquid, and ρ its density.

? Exercise 14.4.1

A wave will propagate faster through...

A. ice.
B. water.

Answer

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14.5: Energy Transported by a Wave

In this section, we examine how to model the energy that is transported by waves. Although no material moves along with a wave, mechanical energy can be transported by a wave, as evidenced by the damage caused by the waves from an earthquake.

A wave as being made of simple harmonic oscillators

Consider a wave that is propagating through a medium. We can model the motion of one of the particles in the medium as if it were the motion of a simple harmonic oscillator[1]. This is illustrated in Figure 14.5.1, which shows the displacement **as a function of time** for a point in the medium located at the origin when a wave passes through that point. The displacement of that point, at $x = 0$, if we choose $\phi = 0$, is given by:

$$D(x = 0, t) = A \sin(-\omega t)$$

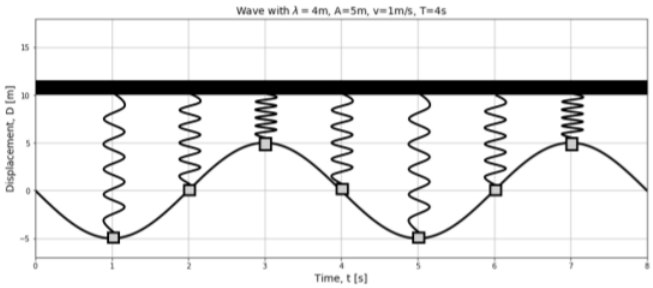

Figure 14.5.1: The displacement as a function of time for one particle in the medium (at $x = 0$) is identical to the motion of a simple harmonic oscillator.

The displacement of the particle in the medium is described by the same equation as the position of a simple harmonic oscillator, with the same angular frequency ω, as that of the wave.

We can also view a snapshot of the wave in time, and model the **different** points in the medium as different oscillators that all have different displacements. This is shown in Figure 14.5.2

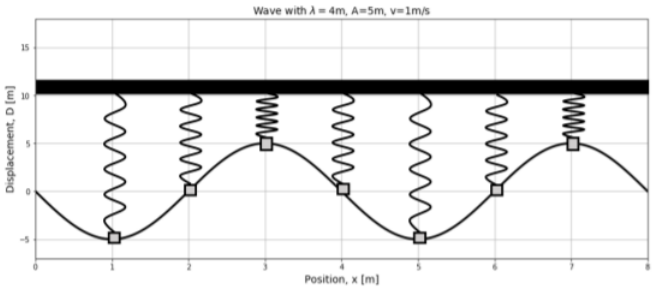

Figure 14.5.2: The displacement as a function of position for different points in a medium. Each point in the medium can be modeled as a simple harmonic oscillator.

Energy transported in a one dimensional wave

In this section, we show how to describe the energy transported by a one-dimensional wave along a rope. We model each particle in the rope through which the wave propagates as a small simple harmonic oscillator with mass m, attached to a spring with an effective spring constant, k_s [2].

Of course, there is no actual spring, but we can still determine an effective spring constant, k_s, from the angular frequency:

$$\omega = \sqrt{\frac{k_s}{m}}$$

$$\therefore k_s = \omega^2 m$$

which corresponds to the spring constant that would give the correct angular frequency for the particle of mass m.

The total mechanical energy of one oscillator, E_m, can be evaluated when the oscillator is at its maximal displacement, A, from its equilibrium, where its kinetic energy is zero:

$$E_m = \frac{1}{2}k_s A^2 = \frac{1}{2}\omega^2 m A^2$$

If the rope is infinitely long, and carries a continuous wave, it will have an infinite amount of energy, as it will correspond to an infinite number of oscillators. Instead, let us calculate how much energy, E_λ, is stored in the wave over one wavelength, λ. To do so, we need to evaluate how many effective oscillators are contained in the rope, over a distance λ, so that we can sum all of their energies together to obtain the energy stored in one wavelength:

$$E_\lambda = \sum \frac{1}{2}\omega^2 m A^2$$

where the sum is over the number of oscillators in one wavelength. Of course, the rope is not actually made of oscillators, but we can model each section of rope of length dx has being an oscillator of mass $dm = \mu dx$, where μ is the linear mass density of the rope. The sum (integral) of the energy of the oscillators over one wavelength can thus be written as:

$$E_\lambda = \int_0^\lambda \frac{1}{2}\omega^2 \mu A^2 dx = \frac{1}{2}\omega^2 \mu A^2 \lambda$$

The energy stored in one wavelength is not a very useful property of a wave, since the total energy in the wave depends on the length of the wave. We can describe the rate at which energy is transmitted by the wave (its power), since we know how long, T, it will take the wave to travel one wavelength, and we just determined how much energy is stored in one wavelength. The average power with which energy is transported by a wave is given by:

$$P = \frac{E_\lambda}{T} = \frac{\frac{1}{2}\omega^2 \mu A^2 \lambda}{T} = \frac{1}{2}\omega^2 \mu A^2 v$$

where T is the period of the wave, and $v = \lambda/T$ is the speed of the wave. The power transmitted by a wave on a rope is thus given by:

$$P = \frac{1}{2}\omega^2 \mu A^2 v \tag{14.5.1}$$

We can see that the power transmitted by a wave goes as the amplitude, A, of the wave squared. It thus takes four times more energy to double the amplitude of waves that are sent down a rope.

Energy transported in a spherical, three-dimensional, wave

In this section, we show how to model the rate at which energy is transported in spherical three-dimensional waves, such as the sound waves that are generated when you clap your hands. A spherical sound wave is a pressure disturbance in the air that propagates spherically outwards from a point of emission. We can think of thin spherical shells containing air that expand and contract about their equilibrium position as the wave moves through the shells. The motion of each shell is similar to that of a simple harmonic oscillator of mass dm, where dm is the mass of air in the oscillating shell.

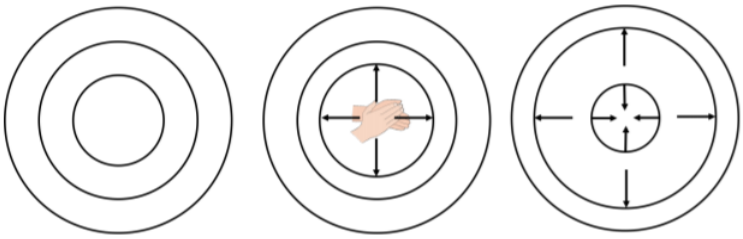

Figure 14.5.3: Left: We divide the air into thin spherical shells. Here we represent three shells (the black circles). Center: When you clap, the innermost shell is given energy and expands. Right: Energy is transferred to the next shell, which expands as the first shell contracts. This is how the wave propagates outwards. When the shells are closer together, the air molecules are closer together and exert a pressure that tries to expand the shell.

Consider a shell at a radial position, r, from the source, with thickness dr, and mass dm:

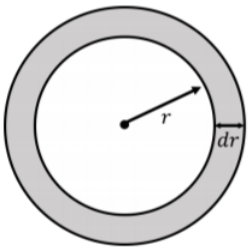

Figure 14.5.4: A spherical shell at radical position r with thickness dr.

If the medium has a density, ρ, then the mass of the shell is given by:

$$dm = \rho dV = \rho 4\pi r^2 dr$$

where $dV = 4\pi r^2 dr$ is the volume of the shell. Again, if we model each shell as a simple harmonic oscillator with mass dm, then the energy, dE, stored in that oscillating shell is given by:

$$dE = \frac{1}{2}k_s A^2 = \frac{1}{2}\omega^2 dm A^2 = \frac{1}{2}\omega^2 A^2 \rho 4\pi r^2 dr = 2\pi\rho\omega^2 A^2 r^2 dr$$

where ω is the angular frequency of the wave, and A is the amplitude of the wave. We expressed the effective spring constant, k_s, in terms of the angular frequency of the simple harmonic oscillator and its mass, as we did in the previous section. It now makes less sense to determine the energy that is stored in one wavelength of the wave because the energy, dE, stored in one shell depends on the location, r, of that shell. This was not the case for a one-dimensional wave, where the energy stored in one oscillator did not depend on the position of that oscillator.

The rate at which energy is transported by the wave is given by:

$$P = \frac{dE}{dt}$$

We can use the Chain Rule to change this into a derivative over r:

$$P = \frac{dE}{dr}\frac{dr}{dt} = \frac{dE}{dr}v$$

where $\frac{dr}{dt} = v$ is the speed of the wave (the rate of change of the radius of a shell). The power transmitted by the spherical wave is thus given by:

$$P = \frac{dE}{dr}v = 2\pi\rho\omega^2 A^2 r^2 v$$

where the power appears to depends on how far you are from the source (r).

Suppose that you have a 50W speaker emitting sound; each radial shell emanating from the speaker must transport energy at a rate of 50W. This is simply a statement that the energy radiated by the speaker has to move from one shell to the next and be conserved. Since the power transported by a shell appears to depend on the radius of the shell, if the power transmitted by each shell is the same, then the amplitude of the wave in each shell must decrease, so that the power does not actually depend on the radius of the shell. In particular, for a spherical wave, the amplitude will decrease as a function of distance from the source:

$$P = \text{constant}$$

$$\therefore A = \frac{1}{r}\sqrt{\frac{P}{2\pi\rho\omega^2 v}}$$

This is very different from the propagation of a one-dimensional wave, in which the amplitude does not change with distance. In practice, if there are energy losses due to, say, friction, then the amplitude of a one-dimensional wave would also decrease with distance from the source, but this is a different effect.

Olivia's Thoughts

Here's a slightly different way to think about why the amplitude of the wave decreases as you get further from the source. When a spherical wave travels outwards, energy is passed from one shell to the next. The outer shells are bigger than the inner shells, and so they will contain more particles. Because of conservation of energy, when the energy is transferred from one shell to the next, the total energy stays the same. In the outer shells, the energy must be shared between a greater number of particles, so each particle gets less energy, and therefore oscillates with a smaller amplitude than the particles in the previous shell did.

To remember this, imagine the shells in Figure $14.5.3$ are circles of kids standing side by side. The innermost circle has 10 kids and the outermost circle has 100 kids. If you have 100 candies, and you give them to the kids in the innermost circle, each will get 10 so they will get really hyper and start jumping around a lot. If you instead give the 100 candies to the kids in the outermost circle, each will only get one. The kids will only get a little bit hyper and jump around less.

The "intensity of a wave", I, is defined as the power per unit area that is transmitted by the wave. For a spherical wave front at radial position r, with area $4\pi r^2$, the intensity of the wave is defined as:

$$I = \frac{P}{4\pi r^2} = \frac{1}{2}\rho\omega^2 A^2 v$$

Usually, the intensity of a wave is something that you can measure, as it corresponds to the power delivered into some measuring device with a known surface area. For example, we cannot directly measure the total power that is transported by the waves from an earthquake, as we would need an instrument that could encompass the entire resulting wave. Instead, we can measure the intensity of waves from the earthquake by measuring how much power is delivered into some instrument with a known surface area. By knowing our distance from the earthquake, we could then determine the total power output of the earthquake.

The intensity is a measure of how much energy is delivered per unit area by a wave and goes down as the square of the distance from the source (since $A \propto 1/r$). If the source of the wave is an earthquake, then your house will have four times less damage than your friend's, if your house is located only twice as far from the epicenter as your friend's. You will cause four times less damage to your ears if you move only twice as far away from the stage at a rock concert.

Footnotes

1. If the medium has a linear restoring force or if the amplitude of the oscillations is small.
2. We use k_s for the spring constant, to distinguish it from k, the wave number.

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14.6: Superposition of waves and interference

In this section, we consider what happens when two (or more) different waves propagate in a medium and interfere with each other. The superposition principle states that if $D_1(x,t)$, $D_2(x,t)$, etc, are functions that satisfy the wave equation, then any linear combination of these functions, $D(x,t)$:

$$D(x,t) = a_1 D_1(x,t) + a_2 D_2(x,t) + a_3 D_3(x,t) + \ldots$$

will also satisfy the wave equation.

Suppose that you hold one end of a rope and shake it with a specific frequency, creating waves that are described by:

$$D_1(x,t) = A_1 \sin(k_1 x - \omega_1 t + \phi_1)$$

Your friend, at the other end of the rope shakes the rope with a different frequency, creating waves that propagate in the opposite direction and that are described by:

$$D_2(x,t) = A_2 \sin(k_2 x + \omega_2 t + \phi_2)$$

The superposition principle states that the net displacement at any position x at some time t can be found by summing the displacement from the two waves together:

$$D(x,t) = A_1 \sin(k_1 x - \omega_1 t + \phi_1) + A_2 \sin(k_2 x + \omega_2 t + \phi_2)$$

The superposition of waves is illustrated in Figure 14.6.1, which shows three waves, and their resulting sum in the bottom most panel.

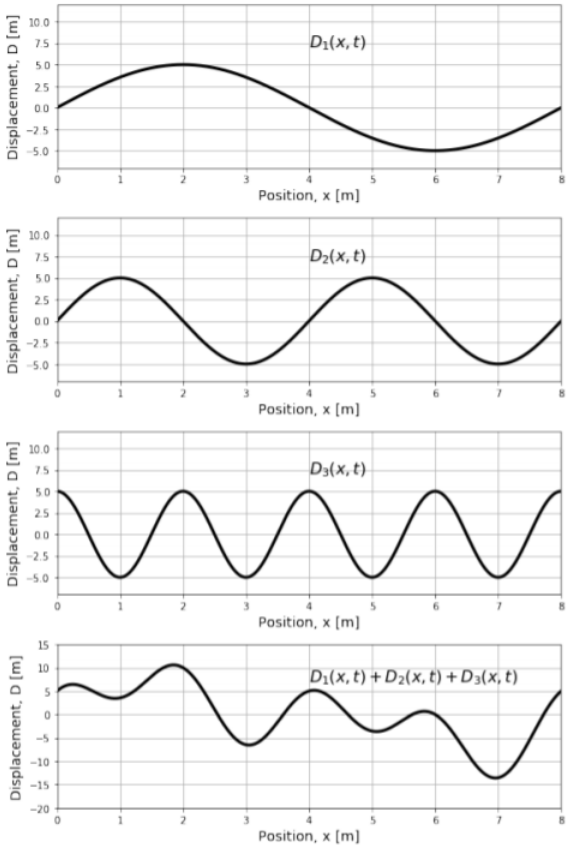

Figure 14.6.1: The superposition of three waves to create a resulting wave shown in the bottom panel. The waves are shown as the displacement as a function of position at a fixed instant in time.

The resulting wave is created by the "interference" of the three waves, and mathematically is simply a sum of the three individual waves at each position (and instant in time). The resulting wave in this example has a rather complicated shape, that is no longer described by a sine function. However, by the superposition principle, it is a valid solution to the wave equation[1].

The individual waves in the top three panels of Figure 14.6.1 all have an amplitude of 5m. The resulting wave, at some points (e.g. at $x = 2\text{m}$), has an amplitude that is larger than any of the individual waves; we say that, at those positions, the individual waves have "constructively interfered". In other locations (e.g. at $x = 6\text{m}$), the resulting wave has a smaller amplitude than the individual waves, and we say that the individual waves have "destructively interfered". The interference between waves can be observed easily on a water surface, for example by observing the constructive and destructive interference pattern of waves that originate from two pebbles being dropped at the same time a certain distance apart. Constructive interference between waves is also thought to be behind some reports of gigantic waves observed out at sea.

If two waves have the same wavelength and amplitude, it is possible for them to completely destructively interfere, resulting in no net wave. Similarly, they can also completely constructively interfere, resulting in a wave with a larger amplitude. Complete destructive and constructive interference are illustrated in the left and right panel of Figure 14.6.2, respectively.

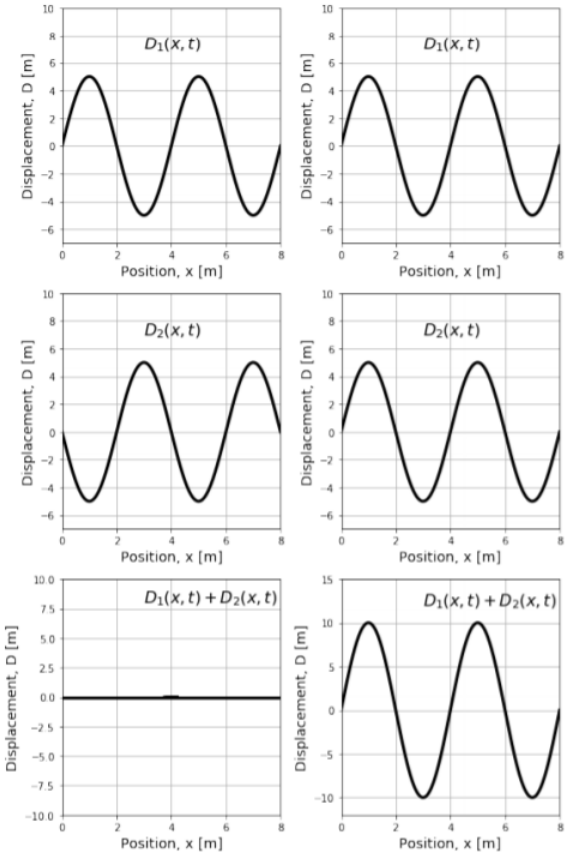

Figure 14.6.2: Destructive (left) and constructive (right) interference of waves.

Footnotes

1. Fourier's Theorem states that any periodic function can be described as the linear combination of sine (or cosine) functions. This is the reason why we focused on using a sine function to describe a wave.

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14.7: Standing waves

As we saw in the last section, when waves have the same frequency, it is possible for them to interfere completely, either destructively or constructively. Waves of the same frequency that interfere can be generated by propagating waves along a string, as the reflected waves from the end of the string will have the same frequency as, and interfere with, the original waves. In general, the resulting wave will be quite complicated, but if you "choose" the frequency (or wavelength) of the generated waves precisely, then the waves will interfere and create a "standing wave". The standing wave is named this way because it does not appear to propagate along the string. Instead, each point on the string will oscillate with an amplitude that depends on where the point is located along on the string. In contrast, for a traveling wave, all of the points oscillate with the same amplitude.

Three standing waves of different frequencies (wavelengths) are illustrated in Figure 14.7.1.

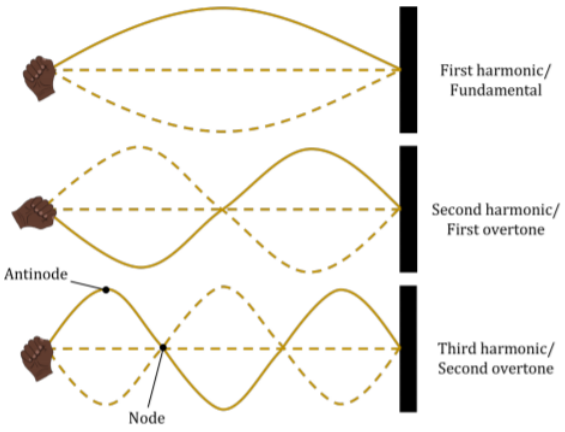

Figure 14.7.1: The first three standing waves on a string.

The solid line in each of the three panels corresponds to one particular snapshot of the standing wave at a particular instant in time. The dashed lines correspond to snapshots at different times. In particular, there is a time where the displacement of all points on the string is zero. Each point on the string vibrates with a different amplitude, which corresponds to the solid line (and the opposite dashed line). Certain points do not oscillate at all; these are called "nodes". The points at the end of the string are always nodes. Certain points vibrate with a maximal amplitude; these are called "anti-nodes".

In general, if you pluck a taught string (such as a guitar string), you will create a complicated wave, equivalent to many sine waves with different frequencies, that propagate outwards from the point where the string was plucked. Those sine waves will be reflected by the ends of the string and interfere with each other. Most of the waves will interfere in a complicated way and decay away. Those waves that have the correct frequency to create standing waves will persist on the string for a longer period of time. The string will eventually vibrate as a superposition of the fundamental frequency (the standing wave with one anti-node, also called the first harmonic), and the higher "harmonics" (those standing waves with more anti-nodes).

The wavelength of the fundamental standing wave for a string of length, L, is given by the condition:

$$\lambda = 2L$$

In general, the nth harmonic will have a wavelength of:

$$\lambda_n = \frac{2L}{n} \quad n = 1, 2, 3, \ldots, \tag{14.7.1}$$

The corresponding frequency is give by:

$$f_n = \frac{nv}{2L} \tag{14.7.2}$$

where $v = f\lambda$ is the speed of the waves on the string.

A standing wave is the result of two waves of the same frequency and amplitude traveling in opposite directions. Thus, there is no energy that is transmitted by a standing wave (e.g. through the nodes at the end of the string). Although we described standing waves for a string, these are not restricted to one dimensional waves. For example, the membrane of a drum can also support standing waves.

Exercise 14.7.1

A standing wave (composed of two travelling waves) has a maximum amplitude A. What must the amplitude A_0 of each travelling wave be?

A. $A_0 = 1/4A$
B. $A_0 = 1/2A$
C. $A_0 = A$
D. $A_0 = 2A$

Answer

In general, most objects can be characterized by a harmonic (or "resonant") frequency that corresponds to the standing waves that can exist in the object. If that object is, say, shaken, many waves will propagate through the object and cancel out, except those that have the resonant frequency. Relatively small vibrations, if at the correct frequency, can lead to large standing waves that can result in damage to the object.

Mathematical description of a standing wave

A standing wave is the result of two identical waves, traveling in opposite directions, interfering. Consider the waves described by $D_1(x,t)$ and $D_2(x,t)$ that are modeled as follows:

$$\begin{aligned} D_1(x,t) &= A\sin(kx-\omega t) \\ D_2(x,t) &= A\sin(kx+\omega t) \end{aligned}$$

These two waves are identical, but travel in opposite directions (due to the sign in front of the ωt). The superposition of these waves is given by:

$$\begin{aligned} D(x,t) &= D_1(x,t)+D_2(x,t) \\ &= A\Big(\sin(kx-\omega t)+\sin(kx+\omega t)\Big) \end{aligned}$$

We can use the following trigonometric identity to combine these into a single term:

$$\sin\theta_1+\sin\theta_2 = 2\sin\left(\frac{\theta_1+\theta_2}{2}\right)\cos\left(\frac{\theta_1-\theta_2}{2}\right)$$

The resulting wave is thus given by:

$$\begin{aligned} D(x,t) &= 2A\sin\left(\frac{kx-\omega t+kx+\omega t}{2}\right)\cos\left(\frac{kx-\omega t-kx-\omega t}{2}\right) \\ &= 2A\sin(kx)\cos(\omega t) \end{aligned}$$

If this wave describes the wave on a string of length L with both ends held fixed, and we set the origin of our coordinate system at one end of the string, then we require that the displacement at $x=0$ and $x=L$ is always zero. The first condition is always true, and the second requires that:

$$\begin{aligned} D(x=L,t) &= 0 \\ \sin(kL) &= 0 \\ \therefore kL &= n\pi \qquad n=1,2,3,\ldots \end{aligned}$$

and kL must be a multiple of 2π. In terms of the wavelength, λ, this gives:

$$\begin{aligned} \frac{2\pi}{\lambda}L &= n\pi \\ \therefore \lambda &= \frac{2L}{n} \end{aligned}$$

as we argued before, for the wavelength of the n-th harmonic. The standing wave for the n-th harmonic is thus described by

$$D(x,t) = 2A\sin\left(\frac{n\pi}{L}x\right)\cos(\omega t) \tag{14.7.3}$$

A point at position x will behave like a simple harmonic oscillator and oscillate with an amplitude given by:

$$A(x) = 2A\sin\left(\frac{n\pi}{L}x\right)$$

Each point on the string will vibrate with the same angular frequency, ω, but with a different amplitude, depending on their position. For the n-th harmonic, the nodes of the standing wave are located at:

$$\begin{aligned}\sin\left(\frac{n\pi}{L}x\right) &= 0 \\ \frac{n\pi}{L}x &= m\pi \qquad m = 0, 1, 2, \ldots \\ \therefore x &= m\frac{L}{n}\end{aligned}$$

Thus, for example, the second node ($m = 2$) of the third harmonic ($n = 3$), is located at $x = 2L/3$, as can be seen in the bottom panel of Figure 14.7.1. The anti-nodes are located at:

$$\begin{aligned}\frac{n\pi}{L}x &= m\frac{\pi}{2} \qquad m = 1, 3, 5, 7, \ldots \\ \therefore x &= m\frac{L}{2n}\end{aligned}$$

where, for example, the first anti-node of the first harmonic is located at $x = L/2$, as can be seen in the top panel of Figure 14.7.1.

? Exercise 14.7.2

A standing wave on a string (fixed at both ends) has a fundamental frequency f. If you quadruple the tension in the string, how can you change the length of the string so that the fundamental frequency remains the same?

A. half the length.
B. double the length.
C. triple the length.
D. quadruple the length.

Answer

Olivia's Thoughts

Olivia Let's take another look at the equation for a standing wave. In this section, we saw that the equation for a standing wave is given by:

$$D(x,t) = 2A\sin(kx)\cos(\omega t)$$

We can rearrange this equation to get:

$$D(x,t) = \underbrace{2A\cos(\omega t)}_{\text{amplitude}}\sin(kx)$$

This looks like the equation for a stationary wave (the displacement is a function of x) with an amplitude $2A\cos(\omega t)$. We know that $\cos(\omega t)$ will give a value that ranges between -1 and 1, so we can just think of $\cos(\omega t)$ as a scaling term that modifies the amplitude of the wave.

When we look at a standing wave, this is exactly what we see - a wave whose amplitude is always changing but that does not travel one way or the other. Figure 14.7.2 shows a few snapshots of what the wave looks like at different times.

Figure 14.7.2: A standing wave as a stationary wave whose amplitude changes over time.

We can see from the equation that the maximum amplitude will be $2A$. This makes sense when we remember that the standing wave is made of two traveling waves of amplitude A. As these waves move, there will be moments when they completely constructively interfere, which is when the amplitude of the standing wave is maximized. When they completely destructively interfere, the amplitude is zero.

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14.8: Summary

Key Takeaways

A traveling wave is the propagation of a disturbance with a speed, v, through a medium. Particles in the medium oscillate back and forth, about an equilibrium position, as a wave passes through the medium, but they are not carried with the wave. Only energy is transmitted by a wave.

In a transverse wave, the particles in the medium oscillate in a direction that is perpendicular to the velocity of the wave. In a longitudinal wave, the particles of the medium oscillate in a direction that is co-linear with the velocity of the wave.

A sine wave is described by it frequency, f, its wavelength, λ, its amplitude, A, and its speed, v. We can also use the period of the wave, T, in lieu of the frequency. The frequency and wavelength of a wave are related to each other by the speed of the wave:

$$v = \lambda f$$

Mathematically, a one-dimensional traveling sine wave moving in the positive x direction can be described by:

$$D(x,t) = A\sin(kx - \omega t + \phi)$$

where $D(x,t)$ is the displacement of the particle in the medium at position x at time t. ϕ is the phase of the wave and depends on our choice of when $t = 0$. k is the wave number of the wave, and ω its angular frequency. These are related to the wavelength and frequency, respectively:

$$k = \frac{2\pi}{\lambda}$$

$$\omega = 2\pi f = \frac{2\pi}{T}$$

If a dynamical model (e.g. Newton's Second Law) of a system/medium leads to an equation with the following form:

$$\frac{\partial^2 D}{\partial x^2} = \frac{1}{v^2}\frac{\partial^2 D}{\partial t^2}$$

then waves with a speed of v can propagate through the system/medium.

The speed of a wave on a rope of linear mass density, μ, under a tension, F_T, is given by:

$$v = \sqrt{\frac{F_T}{\mu}}$$

Generally, the speed of a wave in a medium depends on the elasticity of the medium when it is deformed and the inertia of the particles in the medium. In order for a wave to propagate through a medium, the particles in the medium must be able to be displaced from their equilibrium position.

A pulse traveling through a rope will get reflected at the end of the rope and travel back in the opposite direction. If the end of the rope is fixed, the reflected pulse will be inverted. If the end of the rope can move, the reflected pulse will be in the same orientation as the incoming pulse.

A one-dimensional wave in a rope of linear mass density, μ, will transfer energy at an average rate:

$$P = \frac{1}{2}\omega^2 \mu A^2 v$$

A three dimensional spherical wave through a medium with density ρ will transfer energy at an average rate:

$$P = 2\pi\rho\omega^2 r^2 v$$

at a distance r from the source of the wave. The amplitude of a spherical wave will decrease as the distance away from the source increases:

$$A = \frac{1}{r}\sqrt{\frac{P}{2\pi\rho\omega^2 v}}$$

The intensity of a spherical wave is defined as the power per unit area transferred by the wave, and is given by:

$$I = \frac{P}{4\pi r^2} = \frac{1}{2}\rho\omega^2 A^2 v$$

The superposition principle states that if $D_1(x,t)$, $D_2(x,t)$, ..., are functions that satisfy the wave equation, then any linear combination of these functions, $D(x,t)$:

$$D(x,t) = a_1 D_1(x,t) + a_2 D_2(x,t) + a_3 D_3(x,t) + \ldots$$

will also satisfy the wave equation.

Different waves can interfere constructively or destructively in a medium, and the resulting wave is given by the sum of the functions describing the interfering waves.

Standing waves are formed when waves of the same frequency and amplitude traveling in opposite directions interfere. For standing waves on a string, the displacement of a particle on the string is given by:

$$D(x,t) = 2A\sin\left(\frac{n\pi}{L}x\right)\cos(\omega t)$$

where n is the number of the harmonic and L is the length of the string. In particular, a particle at position x will move up and down as a simple harmonic oscillator with amplitude:

$$A(x) = 2A\sin\left(\frac{n\pi}{L}x\right)$$

The condition for a standing wave to exist on a string is that the length of the string must be equal to a multiple of half of the wavelength of the standing wave:

$$L = n\frac{\lambda}{2} \qquad n = 1, 2, 3, \ldots$$
$$\lambda = \frac{2L}{n}$$
$$f = \frac{nv}{2L}$$

Important Equations

Traveling 1d waves:

$$D(x,t) = A\sin(kx - \omega t + \phi)$$
$$k = \frac{2\pi}{\lambda}$$
$$\omega = 2\pi f = \frac{2\pi}{T}$$
$$v = \lambda f$$

Wave equation:

$$\frac{\partial^2 D}{\partial x^2} = \frac{1}{v^2}\frac{\partial^2 D}{\partial t^2}$$

Wave velocity:

$$v = \sqrt{\frac{F_T}{\mu}} \quad v = \sqrt{\frac{E}{\rho}} \quad v = \sqrt{\frac{B}{\rho}}$$

Power (1d wave in a rope):

$$P = \frac{1}{2}\omega^2 \mu A^2 v$$

Spherical waves:

$$P = 2\pi\rho\omega^2 r^2 v$$

$$A = \frac{1}{r}\sqrt{\frac{P}{2\pi\rho\omega^2 v}}$$

$$I = \frac{P}{4\pi r^2} = \frac{1}{2}\rho\omega^2 A^2 v$$

Standing waves:

$$D(x,t) = 2A\sin\left(\frac{n\pi}{L}x\right)\cos(\omega t)$$

$$A(x) = 2A\sin\left(\frac{n\pi}{L}x\right)$$

Standing waves on a string (both ends fixed):

$$L = n\frac{\lambda}{2} \qquad n = 1, 2, 3, \ldots$$

$$\lambda = \frac{2L}{n}$$

$$f = \frac{nv}{2L}$$

Important Definitions

Definition

Wavelength: The distance between two successive maxima ("peaks") or minima (troughs) in a wave. SI units: $[\mathrm{m}]$. Common variable(s): λ.

Definition

Amplitude: The maximal distance that a particle in a medium is displaced from its equilibrium position when a wave passes by. SI units: $[\mathrm{m}]$. Common variable(s): A.

Definition

Frequency: The number of complete oscillations in one second of a particle in a medium as a wave passes by. SI units: $[\mathrm{s}^{-1}]$. Common variable(s): f.

Definition

Bulk modulus: A measurement of an object or substance's resistance to compression. SI units: $[\mathrm{Pa}]$. Common variable(s): B.

Definition

Volume mass density: The mass per unit volume of an object. SI units: $[\mathrm{kg}\cdot\mathrm{m}^{-3}]$. Common variable(s): ρ.

Definition

Intensity: The power per unit area transmitted by a wave. SI units: $[\mathrm{W} \cdot \mathrm{m}^{-2}]$. Common variable(s): I.

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14.9: Thinking about the material

Reflect and research

1. Look up a video of the Tacoma Narrows bridge failing, and explain what happened.
2. Why do airlines ask you to turn off your electronic devices during take-off?
3. Is it true that there is no sound in space?
4. What type of wave was first observed in 2015?

To try at home

1. Confirm that the reflected pulse from a rope on a string is inverted when the end of the rope is fixed.
2. Think of different ways you could create a standing wave at home and try one of them out. How many harmonics can you create? How can you modify your set-up to create more harmonics?

To try in the lab

1. Propose an experiment to verify the relation $v = \lambda f$.
2. Propose an experiment which uses diffraction to measure small distances.
3. Propose an experiment to determine the chemical composition of the sun using a CD.
4. Design a device which acts as a echolocator and test its effectiveness in different scenarios.
5. Propose an experiment to observe triboluminescent x-rays produced by ripping scotch tape off of a surface.
6. Investigate and model refraction.
7. Investigate and model the doppler effect.
8. Investigate and model how standing waves behave on a stretched string, tube, or 2D medium.
9. Investigate and model audible beats.
10. Investigate and model double-slit interference.

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14.10: Sample problems and solutions

Exercise 14.10.1

A clarinet can be modeled as an air column that is open at one end and closed at the other end, as in Figure 14.10.1.

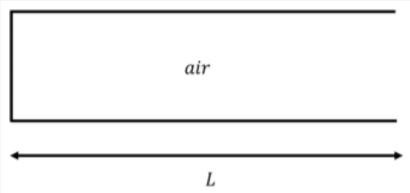

Figure 14.10.1: A clarinet (of length L) modeled as an air column that is closed at one end.

a. Draw the first three harmonics for a clarinet (draw the maximum displacement of the air molecules as a function of distance in the clarinet).
b. Find an expression for the wavelength of the n^{th} harmonic for a clarinet of length L.
c. If a clarinet is 60cm long, what is the lowest frequency note it can produce?

Answer

a. The first three harmonics are are shown in Figure 14.10.2

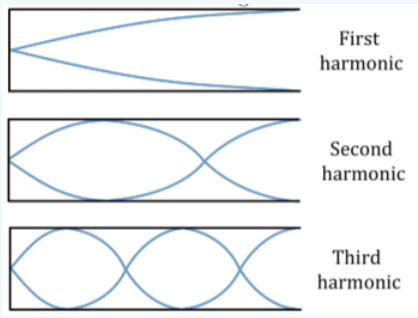

Figure 14.10.2: The first three harmonics for a clarinet. There is a node at the fixed end and an anti-node at the free end.

b. The equation for a standing wave is:

$$D(x,t) = 2A\sin(kx)cos(\omega t)$$

We let the fixed end be at $x = 0$. At the fixed end, the displacement is equal to zero. At the free end ($x = L$) the displacement is maximized. The first condition is always true. The second condition will be met when:

$$\sin(kL) = 1$$
$$\therefore kL = \pi/2, 3\pi/2, \ldots$$

This condition can be expressed as:

$$kL = \frac{(2n-1)\pi}{2}$$
$$\frac{2\pi L}{\lambda} = \frac{(2n-1)\pi}{2}$$
$$\therefore \lambda = \frac{4L}{2n-1}$$

where, in the second line, we used $k = 2\pi/\lambda$. We can check that this formula works for the first three harmonics:

$$n=1: \quad \lambda = \frac{4L}{2(1)-1}$$

$$L = \frac{1}{4}\lambda$$

$$n=2: \quad \lambda = \frac{4L}{2(2)-1}$$

$$L = \frac{3}{4}\lambda$$

$$n=3: \quad \lambda = \frac{4L}{2(3)-1}$$

$$L = \frac{5}{4}\lambda$$

Referring back to our diagram (Figure 14.10.2), we can see that our formula holds true for the first three harmonics (i.e. for the first harmonic, the length of the clarinet is equal to $1/4$ of a wavelength, etc.)

c. We found that the wavelength for the n^{th} wavelength is given by:

$$\lambda = \frac{4L}{2n-1}$$

Writing λ in terms of the velocity, v, and frequency, f, gives:

$$\frac{v}{f} = \frac{4L}{2n-1}$$

$$\therefore f = \frac{v(2n-1)}{4L}$$

From this formula, we can see that, if we want to find the lowest frequency, we want $n=1$. The length of the clarinet is 0.6m, and v is the speed of sound in air which is 343m/s at room temperature. Using these values, the lowest frequency is:

$$f = \frac{(343\text{m/s})(2(1)-1)}{4(0.6\text{m})}$$

$$f = 143\text{Hz}$$

Discussion: This frequency is close to the D_3 note, which has a frequency of 144Hz, so this answer makes sense. However, the value we found differs from the true value. Why might this be?

? Exercise 14.10.2

A pulse propagates down a rope of mass per unit length μ_1 that is tied to a second rope with a mass per unit length μ_2 (Figure 14.10.3). The tensions in the ropes are equal in magnitude.

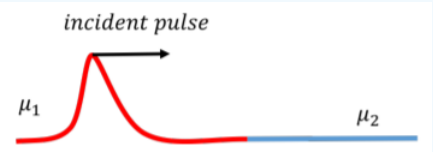

Figure 14.10.3: *An incident pulse propagates through a rope connected to a another rope with a different linear mass density. When it reaches the boundary, part of the pulse will be reflected and part will be transmitted.*

a. Write the displacements of the incident pulse, the reflected pulse, and the transmitted pulse in the form $D(x,t) = D(a(t \pm x/v))$, where a is some constant that you need to determine, and the choice of $+$ or $-$ depends on the direction that the pulse is traveling in.

b. The reflection coefficient, R, is the ratio of the amplitude of the reflected pulse to the amplitude of the incident pulse. Using the boundary conditions, show that the reflection coefficient is given by:

$$R = \frac{\sqrt{\mu_1} - \sqrt{\mu_2}}{\sqrt{\mu_1} + \sqrt{\mu_2}}$$

Note: The boundary is the interface between the two ropes. By “using the boundary conditions”, we mean that you should think about what must be true at the boundary for this problem to make sense. Boundary conditions are often more obvious than you think!

Answer

a. We let the incident pulse move in the positive x direction (Figure 14.10.4), and set $x = 0$ to be where the ropes connect.

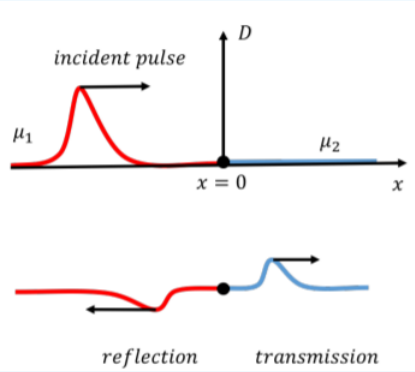

Figure 14.10.4: An incident pulse propagates through a rope connected to a another rope with a different linear mass density. When it reaches the boundary, part of the pulse is reflected and part is transmitted. Whether the reflected pulse is inverted or upright will depend on the reflection coefficient.

The incident pulse (denoted by i) is a traveling wave, moving in one dimension in the positive x direction. The incident pulse can thus be described by the function:

$$D_I(x,t) = A_I \cos(k_1 x - \omega t)$$

We will use the formulas $k = 2\pi/\lambda$ and $\omega = 2\pi f$ to rewrite this equation in the form $D = (a(t \pm x/v))$. The frequency, f, of the wave will be the same in both ropes. The velocity of the wave, and therefore its wavelength, depends on the mass density of the rope. Since the incident wave travels through the first rope (μ_1), its velocity will be v_1 and its wavelength will be λ_1. The incident wave can thus be described by:

$$\begin{aligned} D_I &= A_I \cos\left(\frac{2\pi}{\lambda_1}x - 2\pi f t\right) \\ &= A_I \cos\left(2\pi\left(\frac{1}{\lambda_1}x - f t\right)\right) \\ &= A_I \cos\left(2\pi f\left(\frac{x}{v_1} - t\right)\right) \\ &= A_I \cos\left(-2\pi f\left(t - \frac{x}{v_1}\right)\right) \\ D_I &= A_I \cos\left(2\pi f\left(t - \frac{x}{v_1}\right)\right) \end{aligned}$$

where we used $v = f\lambda$, and noted that $\cos(-x) = \cos(x)$.
The transmitted wave (denoted by the subscript T) will also travel in the positive x direction, but its speed will be v_2, since it travels through the second rope:

$$D_T = A_T \cos\left(2\pi f\left(t - \frac{x}{v_2}\right)\right)$$

The reflected wave (denoted by R) will travel in the $-x$ direction and at the same speed as the incident pulse.

$$D_R = A_R \cos\left(2\pi f\left(t + \frac{x}{v_1}\right)\right)$$

b. We will consider the boundary conditions at the interface between the two ropes. One boundary condition is that the rope must be continuous. As a result, the vertical displacement on the $-x$ side of the boundary must be the same as the vertical displacement on the $+x$ side of the boundary at every instant:

$$D_{-x} = D_{+x} \quad \text{at } x = 0$$

The amplitude on the $+x$ side is equal to the amplitude of the transmitted pulse. For the $-x$ side of the boundary, we have to take into account that the incident and reflected pulses will superimpose (when the front of the incident pulse reaches the boundary, it will be reflected and interfere with the end of the incident pulse). This boundary condition can thus be expressed as:

$$A_I + A_R = A_T$$

The slope of the rope must also be continuous at the boundary. Since the incident and reflected pulses superimpose, and the principle of superposition states that the net displacement is the sum of the displacement of these two waves, we can write:

$$\frac{\partial}{\partial x}(D_I + D_R)\Big|_{x=0} = \frac{\partial}{\partial x} D_T\Big|_{x=0}$$

$$\frac{\partial}{\partial x} D_I\Big|_{x=0} + \frac{\partial}{\partial x} D_R\Big|_{x=0} = \frac{\partial}{\partial x} D_T\Big|_{x=0}$$

Using our equations for the incident, transmitted, and reflected pulses found in part a), and taking the appropriate partial derivatives, this equation becomes:

$$(A_I/v_1)\sin\left(2\pi f\left(t - \frac{x}{v_1}\right)\right)\Big|_{x=0} + (-A_R/v_1)\sin\left(2\pi f\left(t + \frac{x}{v_1}\right)\right)\Big|_{x=0} = (A_T/v_2)\sin\left(2\pi f\left(t - \frac{x}{v_2}\right)\right)\Big|_{x=0}$$

Evaluating at $x = 0$ gives:

$$(A_I/v_1)\sin(2\pi f t) + (-A_R/v_1)\sin(2\pi f t) = (A_T/v_2)\sin(2\pi f t)$$

$$\frac{A_I}{v_1} - \frac{A_R}{v_1} = \frac{A_T}{v_2}$$

Using our first condition, $A_I + A_R = A_T$, we get:

$$\frac{A_I}{v_1} - \frac{A_R}{v_1} = \frac{A_I}{v_2} + \frac{A_R}{v_2}$$

Now, we can rearrange to find the reflection coefficient, $R = A_R/A_I$:

$$A_I\left(\frac{v_2 - v_1}{v_1 v_2}\right) = A_R\left(\frac{v_2 + v_1}{v_1 v_2}\right)$$

$$R = \frac{v_2 - v_1}{v_2 + v_1}$$

Since the velocities in the first and second rope are $v_1 = \sqrt{F_T/\mu_1}$ and $v_2 = \sqrt{F_T/\mu_2}$, respectively, the reflection coefficient can be written as:

$$R = \frac{\sqrt{\frac{F_T}{\mu_2}} - \sqrt{\frac{F_T}{\mu_1}}}{\sqrt{\frac{F_T}{\mu_2}} + \sqrt{\frac{F_T}{\mu_1}}}$$

$$= \frac{\sqrt{F_T}}{\sqrt{F_T}} \cdot \frac{\frac{1}{\sqrt{\mu_2}} - \frac{1}{\sqrt{\mu_1}}}{\frac{1}{\sqrt{\mu_2}} + \frac{1}{\sqrt{\mu_1}}}$$

$$\therefore R = \frac{\sqrt{\mu_1} - \sqrt{\mu_2}}{\sqrt{\mu_1} + \sqrt{\mu_2}}$$

as desired.

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CHAPTER OVERVIEW

15: Fluid Mechanics

Learning Objectives

- Understand the concept of pressure, and how pressure is modelled in a fluid.
- Understand how to model the pressure gradient due to gravity.
- Understand Pascal's Principle and how to model hydraulic lifts and pressure sensing devices.
- Understand how a pressure gradient leads to a force of buoyancy.
- Understand the difference between laminar and turbulent flow.
- Understand the equation of continuity, and the concepts of mass and volumetric flow.
- Understand how to apply Bernoulli's Principle to model the speed and pressure within a flowing fluid.
- Understand how to model the resistance to flow in a pipe using the viscosity of a fluid.

In this chapter, we introduce the tools required to model the dynamics of fluids. This will allow us to model how objects can float, how water flows through a pipe, and how airplane wings create lift. We will start by introducing the concept of pressure and modeling static fluids (hydrostatics) before developing models for fluids that flow (hydrodynamics). Fluids are generally defined as the phase of matter in which atoms (or molecules) are only loosely bound to each other, such as in gases or liquids. Most of the formalism that we develop will apply to any fluid (gas, liquid, plasma), although we will often restrict ourselves to modeling the most simple situations (e.g. laminar flow of an incompressible liquid).

prelude

You are sailing, and the wind is blowing from the north. You want to travel upwind (north). In what direction should you point your boat/sail?

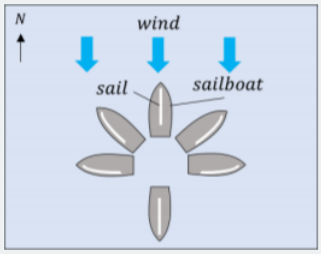

Figure 15.1*: Possible directions you can point your sailboat.*

A. North
B. South
C. Point either East or West
D. Alternate between North-east and North-west
E. You cannot go upwind

15.1: Pressure
15.2: Buoyancy
15.3: Hydrodynamics
15.4: Summary
15.5: Thinking about the material
15.6: Sample problems and solutions

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15.1: Pressure

The pressure exerted by a force, $\vec{F}$, over a surface with area, A, is a scalar quantity, P, defined as:

$$P = \frac{F_\perp}{A}$$

where $F_\perp$ is the component of the force perpendicular to the surface. The SI unit for pressure is the Pascal (Pa). Pressure is related to the area, A, over which a force is exerted, and can be thought of as a measure of how concentrated that force is. For example, a force of 10 N exerted through a needle (a small area) will result in a much larger pressure than if that force was exerted by a flat hand (a larger area).

When a force is exerted on a fluid, it creates pressure that we model as being **everywhere in the fluid**. For each element in the fluid, the pressure from the surrounding fluid exerts an inwards force on the element from all directions (see Figure 15.1.1). In reaction, the element exerts an outwards force in all directions, and these forces act on neighboring elements.

This is somewhat analogous to the tension that exists everywhere in a rope, where each element of the rope experiences forces from the neighboring elements in rope that try to "pull it apart". Pressure can be thought of as a "negative" tension, in that the material under pressure is experiencing forces trying to collapse the element onto itself, rather than trying to pull it apart. To create a tension in a rope, one would exert an outwards force on the rope (in order to stretch it), so that the rope exerts an inwards force in reaction. In order to create pressure in a fluid, one must exert an inwards force on the fluid, which then exerts an outwards force in reaction.

If we consider a small cubic volume of fluid, as depicted in the center of Figure 15.1.1, that element of fluid will experience inwards forces in all directions from the pressure in the surrounding fluid, as illustrated by the arrows. If the forces from the pressure result in no net force on the fluid element, then we say that the fluid is in hydrostatic equilibrium, and the element of fluid will be at rest in an inertial frame of reference.

Figure 15.1.1: A small element inside of a fluid with pressure will experience no net force from the pressure in the fluid, since the force associated with the pressure in the fluid is exerted in all directions.

Consider, instead, an element of fluid that at the edge of a container for the fluid (e.g. a cup of water), as depicted in Figure 15.1.2

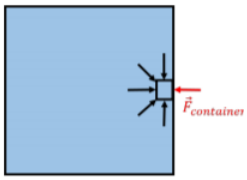

Figure 15.1.2: At the edge of a container, a small element of fluid will exert an outwards force on the container, and the container will exert an inwards force on the element of fluid.

In this case, there is no fluid on the right-hand side of the fluid element to exert a force towards the left. If the fluid element is in equilibrium, it must then be the container that exerts that force, $\vec{F}$ container, on the fluid. By Newton's Third Law, the element of fluid exerts an outwards force on the container. This is true at all points on the surface of container, which will all experience an outwards force from the pressure of the fluid. If the pressure is constant over a surface, the magnitude of the outwards force on the surface will be equal to the pressure of the fluid multiplied by the area of that surface.

If you place an empty sealed tin can under water, the water will exert a pressure on all of the surfaces of the tin can that leads to a net inwards force on all surfaces of the tin can. If the water pressure is high enough, the tin can will get crushed. If, on the other hand, the tin can is allowed to fill with water, it will not get crushed, as the water inside the tin can will have the same pressure as the water outside the tin can and will exert an equal net outwards force on all surfaces of the tin can. The net force on each surface of the can will be zero, and the tin can will not get crushed, no matter how high the water pressure is.

In general, if there is an interface with fluid on either side of it a different pressures, it is the **difference in pressure** on either side of the interface that determines the net force exerted on the interface, rather than the absolute pressure.

Exercise 15.1.1

You place a tin can on a table, and use a pump to create a vacuum inside of the can. You observe that the tin can gets crushed. Which explanation is correct?

A. By sucking the air out of the can, you also suck in on the walls of the can.
B. You lower the pressure inside the can so that the air outside the can exerts a larger inwards force on the can than the outwards force from the air inside the can.
C. You lower the pressure inside the can so that the air inside the can exerts a pulling force on the walls of the can.
D. All of the above are all valid ways to model this.

Answer

The effect of gravity

When discussing Figure 15.1.1, we argued that the fluid exerts an equal force, from all directions, on the fluid element, so that the net force on the fluid element is zero. This is not quite correct in the presence of gravity, where the fluid element will have a weight. Thus, if the fluid element is to be in equilibrium, the upwards force (and pressure) from the fluid below must be higher than that from the fluid above the fluid element.

Figure 15.1.3 shows an element of fluid that has a height h and a surface area A in the horizontal plane. The pressure, P_2, in the fluid below the fluid element must be higher than the pressure, P_1, above the fluid element, if the fluid element is in equilibrium.

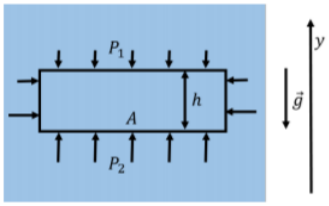

Figure 15.1.3: In the presence of gravity, the pressure below an element of fluid must be larger if the fluid element is to remain in equilibrium.

The element of fluid has a total mass, m, given by:

$$m = \rho V = \rho A h$$

where, $V = Ah$, is the volume of the fluid, and, ρ, its density.

The net (horizontal) force exerted by the external fluid on the fluid element is zero along the vertical surfaces. Let P_1 be the pressure in the fluid above the fluid element, and P_2 be the pressure below the fluid element. If we choose a y axis that is positive upwards and the fluid element does not accelerate in the vertical direction, then the y component of Newton's Second Law, written for the fluid element, is:

$$\sum F_y = F_2 - F_1 - mg = 0$$
$$P_2 A - P_1 A - mg = 0$$
$$P_2 A - P_1 A - \rho A h g = 0$$
$$\therefore P_2 - P_1 = \rho g h$$

where we used the fact that the force resulting from a pressure is given by the pressure multiplied by the area over which it is exerted. We thus find that the difference in pressure due to gravity in a fluid between two positions, y_2 and y_1, is given by:

$$P(y_2) - P(y_1) = -\rho g (y_2 - y_1) \tag{15.1.1}$$

where the y axis is defined to increase in the upwards direction. Since the pressure in the fluid depends on the location in the fluid, we say that there is a "pressure gradient" in the fluid.

? Exercise 15.1.2

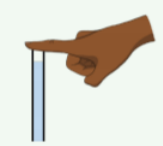

Figure 15.1.4: Holding water in a vertical straw.

You use your finger to block off the top end of a straw and then remove the straw from a glass of water. What is the most correct description of why the water stays in the straw (Figure 15.1.4) before you release your finger?

A. The straw cannot have vacuum inside of it; unless the finger is removed to let air in to replace the water, the water will remain in the straw.
B. There is a small amount of vacuum above the water that sucks the water upwards and prevents it from dropping.
C. The pressure of the air in the straw below the water is higher than the pressure of the air in the straw above the water.
D. The pressure of the air in the straw below the water is lower than the pressure of the air in the straw above the water.

Answer

We have assumed that the density of the fluid, ρ, is constant, and that the fluid cannot be compressed. This is a very good approximation for a liquid such as water, but not for a gas, whose density will depend on its pressure. If the fluid were a gas (e.g. a column of air in our atmosphere), both the density and the pressure will change as a function of height. We can easily take this into account in our model, if we consider the fluid element to have a very small height, dy, instead of the finite height, h, as in the derivation above. A fluid element with an infinitesimal height, dy, is illustrated in Figure 15.1.5.

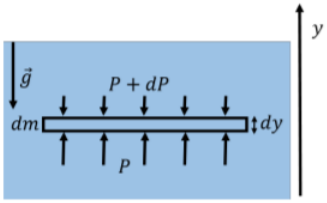

Figure 15.1.5: Pressure gradient from gravity on an infinitesimal fluid element.

In the very small height, dy, the density of the fluid, ρ, can be taken to be constant, and the infinitesimal element of fluid will have a mass dm:

$$dm = \rho A dy$$

We can model the pressure exerted by the fluid above the fluid element as $P + dP$, and the pressure exerted by the fluid below as P, where dP is a small (negative) change in pressure[1]. The y component of Newton's Second Law written for the infinitesimal fluid element is thus:

$$\begin{aligned} \sum F_y = PA - (P + dP)A - dmg &= 0 \\ PA - PA - dPA - \rho A dy g &= 0 \\ \therefore -dP - \rho g dy &= 0 \end{aligned}$$

We can thus determine how pressure changes with height, y:

$$\frac{dP}{dy} = -\rho g \tag{15.1.2}$$

This tells us that the rate of change of pressure with increasing y is negative; in other words, the pressure decreases as the elevation increases, as we had already concluded. We can integrate the equation to obtain the change in pressure in going from y_1 to y_2:

$$dP = -\rho g dy$$

$$\int_{P_1}^{P_2} dp = -\int_{y_1}^{y_2} \rho g dy$$

$$\therefore P_2 - P_1 = -\int_{y_1}^{y_2} \rho g dy$$

If the density, ρ, is constant, then this leads to *Equation 15.1.1*. Note that, thus far, we have only modeled how pressure in a fluid changes with height, but we have not determined the absolute pressure in a fluid.

Example 15.1.1

If we assume that the density of air is proportional to its pressure, how does the density of air change with altitude?

Solution

We know that the rate of change of pressure with altitude (position y, where positive y is defined to be upwards) is given by:

$$\frac{dP}{dy} = -\rho g$$

Since we can assume that the density is proportional to the pressure, we can introduce an arbitrary constant, a, and state that:

$$\rho = aP$$

$$\therefore \frac{dP}{dy} = \frac{d}{dy}\frac{1}{a}\rho = \frac{1}{a}\frac{d\rho}{dy}$$

where the constant a can be evaluated if we know the pressure and density at some point. We can thus write that the rate of change of the density with position y is given by:

$$\frac{1}{a}\frac{d\rho}{dy} = -\rho g$$

$$\therefore \frac{d\rho}{dy} = -ag\rho$$

This is a separable differential equation for ρ, allowing us to separate the variables and integrate from, say, an altitude of $y = 0$, where the density is ρ_0, to an altitude y, where the density is ρ:

$$\frac{d\rho}{\rho} = -agdy$$

$$\int_{\rho_0}^{\rho} \frac{d\rho}{\rho} = -\int_0^y agdy$$

$$\ln(\rho) - \ln(\rho_0) = -agy$$

$$\ln\left(\frac{\rho}{\rho_0}\right) = -agy$$

We can take the exponential on each side of the equation to get rid of the logarithm:

$$\frac{\rho}{\rho_0} = e^{-agy}$$

$$\therefore \rho(y) = \rho_0 e^{-agy}$$

We thus find that the density of the air decreases exponentially with altitude. This is why it is more difficult to breathe at high altitude. Since we assumed that the density of the air is proportional to its pressure, the air pressure will also decrease exponentially with increasing altitude:

$$P(y) = P_0 e^{-agy}$$

where P_0 is the pressure at an altitude of $y = 0$. If we know P_0 and ρ_0, then the constant a is given by:

$$a = \frac{\rho_0}{P_0}$$

Discussion

If we applied this model to the Earth's atmosphere, our model would only provide qualitative agreement, as the density of the air also depends on its temperature and other factors. Nonetheless, it is interesting that, based on the simple requirement that an element of air be in hydrostatic equilibrium, we are able to obtain a reasonable description of how pressure and density change with altitude in the Earth's atmosphere.

Pascal's Principle

Pascal's Principle states that **if an external pressure is exerted on a fluid, the pressure everywhere in the fluid increases by that amount**. For example, if a fluid is contained in a piston with a cross-section area, A, and a force, F, is exerted on the piston (Figure 15.1.6), then the pressure everywhere in the fluid increase by F/A.

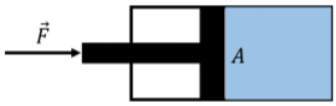

Figure 15.1.6: A force exerted on the piston will increase the pressure everywhere in the fluid.

If we wish to determine the absolute pressure in the water at some depth, h, in the ocean, we need to include the fact that the Earth's atmosphere exerts a net downwards force on the surface of the ocean in addition to the fact that the pressure changes with depth due to gravity. The pressure from the air in the Earth's atmosphere is called "atmospheric pressure", and depends on a variety of conditions, such as the weather. The average pressure from the atmosphere is $P_0 = 1.013 \times 10^5$ Pa. If the atmospheric pressure is P_0 at the surface of the ocean, then the pressure at some depth, h, is given by:

$$P(h) = P_0 + \rho g h$$

where ρ is the density of water. As a consequence, the pressure at any depth, h, in a fluid is the same everywhere at that depth in the fluid.

? Exercise 15.1.3

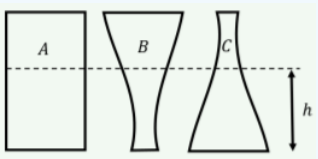

Figure 15.1.7: Three glasses with different shapes.

You fill the three glasses in Figure 15.1.7 such that the liquid reaches a height h above the bottom of the glass. What can you say about the pressure of the liquid at the bottom of each glass?

1. It is highest for glass A.
2. It is highest for glass B.
3. It is highest for glass C.
4. It is the same for all glasses.
5. It is only the same for all glasses if we can neglect atmospheric pressure.

Answer

Example 15.1.2

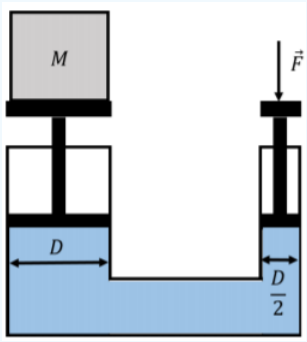

Figure 15.1.8: A force exerted on the piston of a hydraulic lift in order to life a mass M.

A hydraulic lift exploits Pascal's principle in order to use a small force to exert a large force. The hydraulic lift in Figure 15.1.8 shows a lift that is constructed by having a fluid between two vertical movable pistons. The pistons are cylindrical and the diameter of their cross-sections are D and $D/2$. A mass, M, is placed on the piston with the larger diameter. What is the magnitude of the force, $\vec{F}$, that must be applied on the smaller piston in order to lift the mass, M?

Solution

If a force $\vec{F}$ is applied to the small piston, then the pressure in the fluid will increase by:

$$\Delta P = \frac{F}{A} = \frac{F}{\pi \frac{D^2}{4}} = \frac{4F}{\pi D^2}$$

This will result in a net upwards force, $\vec{F}'$, on the large piston, with a magnitude:

$$F' = \Delta P A' = \Delta \pi D^2 = \frac{4F}{\pi D^2} \pi D^2 = 4F$$

Thus the force on the large piston will be four times that exerted on the small piston. One only needs to exert a force with a magnitude of $Mg/4$ in order to lift the mass, M.

Measuring pressure

In this section, we describe how one can design instruments to measure pressure. The most straightforward device is a manometer, which is constructed using a U-shaped tube filled with a fluid of known density, ρ, as shown in Figure 15.1.9.

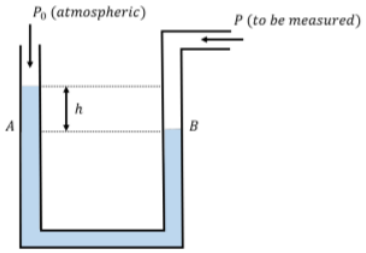

Figure 15.1.9: A manometer can measure the difference between a pressure P and atmospheric pressure, P_0. That difference is called "gauge pressure".

A manometer can be used to measure a pressure P relative to atmospheric pressure, P_0. One end of the tube is open to atmospheric pressure, and the other is connected to the fluid (e.g. a gas) for which we want to measure the pressure. If the pressure being measured is larger than atmospheric pressure, the fluid in the manometer will experience a greater downwards force on the side of the pressure to be measured than on the side open to atmospheric pressure, as shown in Figure 15.1.9. There will be a difference, h, in the level of the fluid on each side of the tube, which is directly proportional to the difference in pressure between the two sides of the tube.

Consider the point in the fluid at location B in Figure 15.1.9, where the pressure is $P_B = P$, the pressure to be measured. The point in the fluid at location A, which is at the same height in the fluid, must have the same pressure as point B. We can write the pressure at point A, P_A, as the sum of the atmospheric pressure and the pressure from the column of water of height, h:

$$P_A = P_0 + \rho g h$$

Since this must also be equal to the pressure at point B, we can find the difference between the pressure we want to measure and atmospheric pressure:

$$\begin{aligned} P_A &= P_B \\ P_0 + \rho g h &= P \\ \therefore P - P_0 &= \rho g h \end{aligned}$$

The difference between a pressure and the atmospheric pressure is called "gauge pressure", and is all that we can measure if we do not know the absolute value of the atmospheric pressure. Using a manometer, the gauge pressure is given by $\rho g h$, whereas the "absolute pressure", P, is given by adding the atmospheric pressure to the gauge pressure, $P = P_0 + \rho g h$. Most pressure measuring devices ("pressure gauges"), measure pressure relative to atmospheric pressure, using a similar mechanism.

The atmospheric pressure at a location on Earth varies based on the weather. A barometer is an instrument designed to measure the atmospheric pressure. A simple barometer can be built from a manometer, with one end closed, as illustrated in Figure 15.1.10

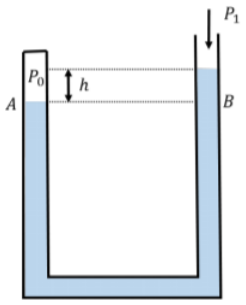

Figure 15.1.10: A barometer constructed from a mamometer to measure relative changes in atmospheric pressure.

One end of the manometer is sealed on a day where the atmospheric pressure is, say, P_0, while the other end of the tube is left open. The height difference, h, between the fluid in either side of the tube is a measure of how different the current atmospheric pressure, P_1, is relative to the pressure, P_0, when the manometer was sealed. In Figure 15.1.10 the barometer is shown on a day where the atmospheric pressure is lower than on the day the manometer was sealed. The difference in pressure is given by:

$$P_1 = P_0 + \rho g h$$

if we define h to be positive when the side with the pressure P_0 is higher (so h is negative in Figure 15.1.10 and P_1 is less than P_0).

We can also measure the absolute atmospheric pressure if we evacuate the air out of the sealed end of the tube, so that $P_0 = 0$. When doing so, the difference in height between the fluid on either side of the manometer is a measure of the absolute atmospheric pressure.

Example 15.1.3

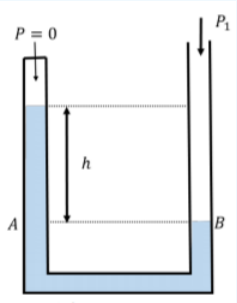

Figure 15.1.11: A barometer constructed from a mamometer to measure absolute atmospheric pressure.

Using a manometer filled with water ($\rho = 1 \times 10^3 \text{kg/m}^3$), you construct a barometer to measure the absolute atmospheric pressure by evacuating the air from one side of the manometer, as shown in Figure 15.1.11. What is the difference in height, h, when the atmospheric pressure is "nominal", $P_1 = 1.013 \times 10^5 \text{Pa}$?

Solution

The pressure, P_1, on the open side of the manometer is given by:

$$P_B = P_A$$
$$P_1 = P_0 + \rho g h = \rho g h$$

if the sealed side of the manometer has a pressure, $P_0 = 0$, above the fluid. If $P_1 = 1.013 \times 10^5 \text{ Pa}$, we can find the height, h:

$$h = \frac{P_1}{\rho g} = \frac{(1.013 \times 10^5 \text{Pa})}{(1000 \text{kg/m}^3)(9.8 \text{m/s}^2)} = 10.3 \text{m}$$

Discussion

The difference in height is about 10 m when the atmospheric pressure is nominal. This means that the manometer needs to be at least this tall to measure absolute atmospheric pressure, which is not practical to construct! If, instead, one uses a liquid with a higher density than that of water, then this height can be reduced substantially. Traditionally, barometers have been built using mercury, which has a density of ($\rho_{Hg} = 13.6 \times 10^3 \text{kg/m}^3$), so that the height difference at nominal atmospheric pressure is 760 mm. This is a much easier instrument to build (apart from the safety concerns of using mercury). For this reason, an often-used unit of pressure is "mm of mercury", which corresponds to the height difference in a manometer that is built using mercury.

? Exercise 15.1.4

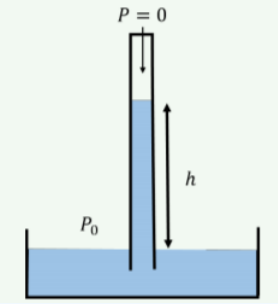

Figure 15.1.12: A Torricelli barometer.

You build a Torricelli barometer, as illustrated in Figure 15.1.12 to measure the absolute atmospheric pressure. The sealed vertical tube has a space at the top that is evacuated (a pressure of zero), so that atmospheric pressure on the container of liquid forces the liquid up the tube to a height, h, which is proportional to atmospheric pressure. If you use olive oil as the liquid, what can you say about the height, h, for nominal atmospheric pressure?

1. It is greater than 10.3 m.
2. It is equal to 10.3 m.
3. It is less than 10.3 m.
4. Not enough information to tell.

Answer

Footnotes

1. We placed the dP on the top part of the fluid, even though the pressure is higher on the bottom part of the fluid, because the y axis increases upwards. We are really interested in the change in pressure, dP, that corresponds to a change in height, dy, along the positive y direction.

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15.2: Buoyancy

In this section, we examine how the pressure gradient in a fluid leads to a force of buoyancy on an object that is immersed in the fluid.

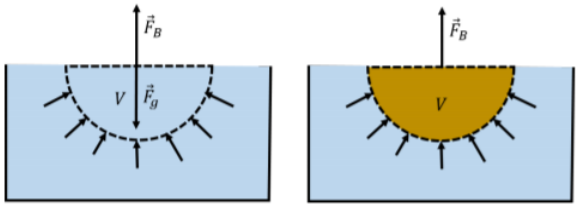

Figure 15.2.1: (Left:) The weight of a fluid element, $\vec{F}_g$, is supported by the net upwards force from the pressure, $\vec{F}_B$, of the fluid below it. (Right:) If the fluid element is removed and replaced with an object, there will still be the same net upwards force, $\vec{F}_B$, from the pressure of the fluid, which is now exerted on the object.

In the left panel of Figure 15.2.1, we show a hemi-spherical element of fluid with a volume V. The weight of the element of fluid, $\vec{F}_g$, is supported by the net upwards force, $\vec{F}_B$, exerted by the pressure of the fluid surrounding the fluid element. The mass, M, of the element of fluid is given by:

$$M = \rho V$$

where ρ is the density of the fluid. The net force from the pressure, F_B, must thus have the same magnitude as the weight:

$$F_B + Mg = \rho V g$$

Now, suppose that the fluid element is "displaced" and replaced by the hull of a boat, as shown in the right panel of Figure 15.2.1. The net upwards force from the pressure of the fluid must remain the same, F_B, but that force is now exerted on the hull of the boat. We call that force the force of "buoyancy", which is the reason that a boat can float and the reason that you feel lighter when walking in a swimming pool than on land.

Thus, if an object displaces a volume, V, of a fluid with density ρ, when immersed in the fluid, that object will experience an upwards force of buoyancy, $\vec{F}_B$, with magnitude:

$$F_B = \rho V g \tag{15.2.1}$$

This "principle" was originally discovered by Archimedes, who stated that the force of buoyancy is equal to the weight of the displaced fluid. Note that we drew the fluid element at the surface of the fluid, but this is not required, and a force of buoyancy will be present if the object is completely immersed in the liquid. If you refer back to Figure 15.2.1, you will recall that the net upwards force on an element of fluid must be equal to its weight, even if the fluid element is completely immersed.

? Exercise 15.2.1

Does the force of buoyancy on a fully submerged object increase with the depth at which the object is submerged (ignoring any change from the varying value of $\vec{g}$)?

A. Yes, because the force of buoyancy comes from the pressure in the fluid, which increases with depth.
B. No, because the force of buoyancy comes from the difference in pressure above and below the object, which does not increase with depth.

Answer

? Exercise 15.2.2

You observe that if you pour olive oil slowly into your glass of water, the oil floats above the water. What can you conclude?

A. The mass of a given volume of oil is less than the mass of the same volume of water.
B. The mass of a given volume of oil is more than the mass of the same volume of water.

Answer

Example 15.2.1

You measure the weight of an object by suspending it with a spring scale. When you measure the weight of the object in air, you find that it has a weight W_a. When you measure the weight of the object when it is completely submerged in water, you find that it has a weight W_w. What is the density of the object?

Solution

Given the weight of the object in air, we can easily determine its mass:

$$M = \frac{W_a}{g}$$

However, since we do not know its volume, V, we cannot directly determine its density. When the object is submerged in water, the measured weight will be the actual weight of the object (as measured in air) minus the magnitude of the force of buoyancy exerted by the water:

$$W_w = W_a - \rho_w g V$$
$$\therefore V = \frac{W_w - W_a}{\rho_w g}$$

where ρ_w is the density of water. Given the volume, we can now determine the object's density, ρ:

$$\rho = \frac{M}{V} = \frac{W_a \rho_w g}{g(W_w - W_a)} = \rho_w \frac{W_a}{W_w - W_a}$$

Discussion

By using Archimedes' Principle, we were able to determine the volume, and thus the density of the object, by comparing measurements of its weight in air and in water. This is similar to the method that Archimedes came up with to determine if a crown owned by a general was made of real gold or if some of the gold had been replaced with an equal weight of silver. Archimedes supposedly went to the baths to ponder how to determine if the crown was made of gold and had his Eureka moment when we he noticed the water level in the bath went up as he went into the bath. He realized that denser gold would displace less water than silver for an equal weight.

olivia's thoughts

Whether or not an object will float depends on its density. Let's consider an object that is placed in water. The only forces acting on the object are its weight and the force of buoyancy. We want to know when the net force will be zero. I'm going to write out Newton's Second Law for the object, but writing the mass of the object in terms of its density and volume.

$$F_g = F_B$$
$$m_O g = F_B$$
$$\rho_O V_O g = \rho_W V_W g$$

where O refers to the object and W refers to the water. Cancelling out the g's, we can write this as

$$\frac{\rho_O}{\rho_W} = \frac{V_W}{V_0}$$

Consider a solid cube that has the same density as water. In this case, $\rho_0/\rho_W = 1$, and so $V_W/V_0 = 1$. This means that, in order for the cube to float, a volume of water that is equal to the volume of the cube must be displaced. So, the entire cube must be submerged. If you placed this cube 5 m deep in the water, it would stay at this depth.

Now consider a cube whose density is half that of water. We find that $\rho_0/\rho_W = 0.5$, so we must have $V_W/V_0 = 0.5$. In order for the cube to float, only half of it needs to be submerged. If you placed the cube 5 m deep in the water, it would rise to the surface and stop when half of it was above water (after bobbing for a bit).

Finally, what about a cube whose density is 1.5 times the density of water? In this case, one and a half cubes worth of water would have to be displaced in order for the cube to float. Even when the entire cube is submerged, not enough volume has been displaced in order for it to float, so the cube will sink.

Objects like pool noodles or life jackets allow us to float because they have low densities. They have very little mass (they don't add much to the weight) in a relatively large volume (they can displace water to add to the buoyant force). An object with a density less than water will float with some fraction of the object being submerged.

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15.3: Hydrodynamics

In the previous sections we developed “hydrostatic” models for fluids when those fluids are at rest (in some inertial reference frame). In this section, we develop “hydrodynamic” models to discuss what happens when fluids flow. We will restrict our models to fluids that flow in a “laminar” fashion, rather than a “turbulent” fashion.

Laminar flow is the flow of a fluid when each particle in the fluid follows a path that can be represented by a line (a “streamline”). Turbulent flow is the flow of a fluid where particles can follow rather complex paths, usually involving “Eddy currents” (little whirlpools). The two types of flow are illustrated in Figure 15.3.1.

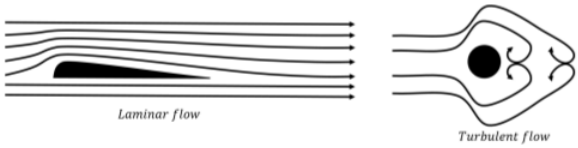

Figure 15.3.1: Laminar (left) and turbulent (right) flow of a fluid around an object.

Continuity of flow

Consider the laminar flow of a fluid through a pipe whose cross-sectional area narrows from A_1 to A_2 in the direction of flow, as illustrated in Figure 15.3.2

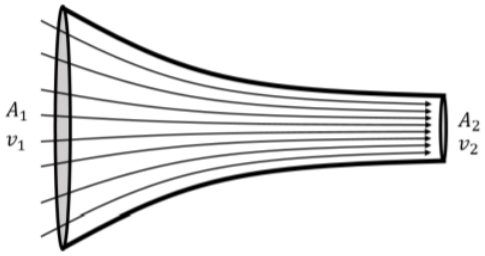

Figure 15.3.2: Laminar flow of a fluid in a narrowing pipe.

The particles that make up the fluid have a speed v_1 at the wide end of the pipe and speed v_2 at the narrow end. The **equation of continuity** is based on the premise that the fluid that enters the pipe must exit the pipe, as there is nowhere else for the fluid to go. That is, if during a period of time, Δt, a mass, Δm, of fluid enters the wide end of the pipe, then during that same period of time, the same mass of fluid must exit the narrow end of the pipe.

During a period of time, Δt, the fluid at the wide end of the pipe will travel a distance $l_1 = v_1 \, \Delta \, t$. Thus, a volume of fluid, ΔV_1, will enter the wide end of the pipe:

$$\Delta V_1 = A_1 l_1 = A_1 v_1 \Delta t$$

Similarly, during that period of time, a volume ΔV_2 will exit the narrow end of the pipe:

$$\Delta V_2 = A_2 l_2 = A_2 v_2 \Delta t$$

If the fluid is compressible, its density can change. Let ρ_1 be the density of the fluid at the wide end of the pipe and ρ_2 be the density of the fluid at the narrow end. The mass of fluid, Δm, entering the wide end of the pipe is given by:

$$\Delta m = \rho_1 \Delta V_1 = \rho_1 A_1 v_1 \Delta t$$

The mass of fluid exiting the narrow end of the pipe is given by:

$$\Delta m = \rho_2 \Delta V_2 = \rho_2 A_2 v_2 \Delta t$$

The mass of fluid entering the wide end of the pipe must equal the mass exiting the narrow end of the pipe:

$$\rho_1 A_1 v_1 \Delta t = \rho_2 A_2 v_2 \Delta t$$

Leading to the equation of continuity:

$$\rho_1 A_1 v_1 = \rho_2 A_2 v_2 \tag{15.3.1}$$

The quantity ρAv has dimensions of mass per time, and corresponds to the mass of fluid passing through a cross section A per unit time.

If the fluid is incompressible, as are most liquids, then the density is the same on both sides of the pipe, and the equation simplifies to:

$$A_1 v_1 = A_2 v_2 \qquad \text{(Incompressible fluid)} \tag{15.3.2}$$

For a liquid, we can define the "volumetric flow", Q, as:

$$Q = Av$$

where A is the cross-sectional area of the surface through which a fluid with speed, v, flows[1]. Q has the dimension of volume per time, and corresponds to the volume of fluid passing through the cross section A per unit time. For an incompressible fluid, the equation of continuity is thus equivalent to stating that the volumetric flow, Q, of the fluid is a constant.

? Exercise 15.3.1

When water flows out of your faucet, you observe that the stream of water gets narrower as the water moves down, as shown in Figure 15.3.3 Why is this?

Figure 15.3.3: Water flowing out of a faucet.

A. The atmospheric pressure increases as the waters moves downwards, so the stream of water is more and more compressed.
B. As the water accelerates due to gravity, the cross-sectional area of the flowing water must reduce in order to preserve a constant flow rate.

Answer

✓ Example 15.3.1

Your garden hose has a diameter of $D = 2\ \text{cm}$. How fast must water flow out of the hose if you are to fill a $5\ \text{l}$ bucket in one minute?

Solution

We need the volume flow rate from the hose to be $Q = 5\ \text{l/min}$. We can convert this to SI units:

$$Q = (5\text{l/min})\left(\frac{1}{1000}\text{m}^3/\text{l}\right)\left(\frac{1}{60}\text{min/s}\right) = \frac{5}{6\times 10^4}\text{m}^3/\text{s} = 8.3\times 10^{-5}\text{m}^3/\text{s}$$

Since we know the area of the hose, we can determine the speed of the water to achieve the given flow rate:

$$Q = Av = \pi\left(\frac{D}{2}\right)^2 v$$

$$\therefore v = \frac{Q}{\pi\left(\frac{D}{2}\right)^2} = \frac{(8.3\times 10^{-5}\text{m}^3/\text{s})}{\pi(0.01\text{m})^2} = 0.265\text{m/s}$$

Bernoulli's Principle

In this section, we examine how the pressure and speed of a fluid change as a the fluid flows. We will restrict ourselves to discussing the **laminar** flow of an **incompressible** fluid with no friction. Bernoulli was the first to quantitatively describe the flow

of incompressible fluids, and we will show in this section how to derive “Bernoulli’s Principle”.

Consider the laminar flow of an incompressible fluid through a pipe that changes height, from y_1 to y_2, as well as cross-sectional area, from A_1 to A_2, as shown in Figure 15.3.4 The figure shows an element of fluid, in blue, as it moves through the pipe. The top panel corresponds to the location of the fluid element at time $t = 0$, whereas the bottom panel shows the location of the element of fluid at time $t = \Delta t$.

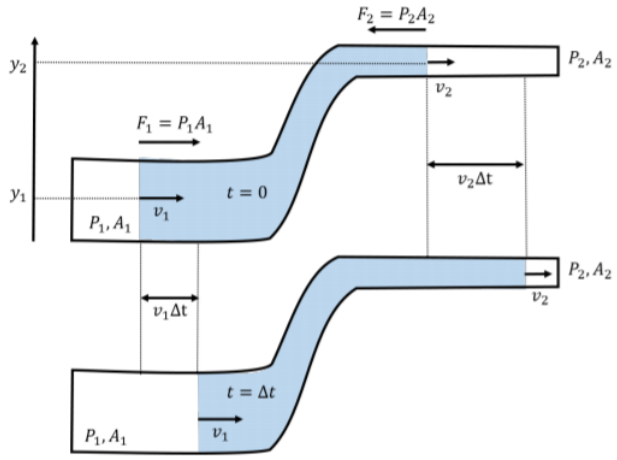

Figure 15.3.4: Laminar flow of an incompressible fluid through a pipe that changes cross-sectional area and height in the direction of flow. An element of fluid, in blue, is shown at time $t = 0$ (top panel), and then, at a later time, $t = \Delta t$ (bottom panel).

To model how the fluid moves through this pipe, we can use energy and the Work-Energy Theorem. We start by considering the amount of work done on the element of fluid as it moves from the position in the top panel to the position in the bottom panel.

The fluid that is to the left of the element of fluid exerts a pressure, P_1, on the fluid element that leads to a net force, $\vec{F}_1$, towards the right. Similarly, the fluid to the right of the element of fluid exerts a net force $\vec{F}_2$ in the opposite direction, due to the pressure P_2 on that side of the fluid element.

In a period of time, Δt, the left part of the fluid element will move a distance $l_1 = v_1 \, \Delta t$, while the right part of the fluid element will move a distance $l_2 = v_2 \, \Delta t$. We can calculate the work done by each force, defining positive work to be in the direction of motion:

$$W_1 = F_1 l_1 = (P_1 A_1)(v_1 \Delta t)$$
$$W_2 = -F_2 l_2 = -(P_2 A_2)(v_2 \Delta t)$$

Gravity will also do (negative) work on the fluid as it changes height. In a period of time, Δt, a mass of fluid, Δm, will move from position $y = y_1$ to position $y = y_2$. The mass of fluid that changes height is given by the part of the fluid that moves a distance, l_1, on the right side of the pipe:

$$\Delta m = V_1 \rho = A_1 l_1 \rho = A_1 v_1 \Delta t \rho$$

Because of the equation of continuity, this is also equal to the mass of fluid that moves a distance, l_2, on the left side of the pipe:

$$\Delta m = V_2 \rho = A_2 l_2 \rho = A_2 v_2 \Delta t \rho$$

since $A_1 v_1 = A_2 v_2$.

The force of gravity will thus do negative work on that mass element:

$$W_g = -\Delta m g (y_2 - y_1) = -(A_1 v_1 \Delta t \rho) g (y_2 - y_1)$$

The net work done on the element of fluid over the time Δt is thus:

$$W^{net} = W_1 + W_2 + W_g = P_1 A_1 v_1 \Delta t - P_2 A_2 v_2 \Delta t - A_1 v_1 \Delta t \rho g (y_2 - y_1)$$

Note that, because of the equation of continuity, $A_1 v_1 = A_2 v_2$, we can factor out a $A_1 v_1$ from each term:

$$W^{net} = A_1 v_1 \Delta t (P_1 - P_2 - \rho g (y_2 - y_1))$$

The net work done on the fluid must equal the change in kinetic energy, ΔK, of the mass element, Δm, from one end of the pipe to the other:

$$\begin{aligned}\Delta K &= \frac{1}{2}\Delta m v_2^2 - \frac{1}{2}\Delta m v_1^2 \\ &= \frac{1}{2}(A_1 v_1 \Delta t \rho)(v_2^2 - v_1^2)\end{aligned}$$

Using the Work-Energy Theorem, we have:

$$\begin{aligned}W^{net} &= \Delta K \\ A_1 v_1 \Delta t \left(P_1 - P_2 - \rho g(y_2 - y_1)\right) &= \frac{1}{2}(A_1 v_1 \Delta t \rho)(v_2^2 - v_1^2) \\ P_1 - P_2 - \rho g(y_2 - y_1) &= \frac{1}{2}\rho v_2^2 - \frac{1}{2}\rho v_1^2\end{aligned}$$

We can re-arrange this so that all the quantities for each side of the pipe are on the same side of the equation:

$$P_1 + \frac{1}{2}\rho v_1^2 + \rho g y_1 = P_2 + \frac{1}{2}\rho v_2^2 + \rho g y_2$$

Since the locations 1 and 2 that we chose are arbitrary, we can state that, for laminar incompressible flow, the following quantity evaluated at any position is a constant:

$$P + \frac{1}{2}\rho v^2 + \rho g y = \text{constant} \tag{15.3.3}$$

This statement is what we call Bernoulli's Equation, and is equivalent to conservation of energy for the fluid. If the fluid is not flowing $(v_1 = v_2 = 0)$, then this is equivalent to the statement of hydrostatic equilibrium that we derived in *Equation 15.1.1*:

$$\begin{aligned}P_1 + \rho g y_1 &= P_2 + \rho g y_2 \\ \therefore P_2 - P_1 &= -\rho g(y_2 - y_1)\end{aligned}$$

If the flow of the fluid is at constant height $(y_2 = y_1)$, then Bernoulli's equation can be written as:

$$P_1 + \frac{1}{2}\rho v_1^2 = P_2 + \frac{1}{2}\rho v_2^2$$

If a fluid is flowing at constant height such that $v_2 > v_1$ (as in Figure 15.3.2), then $P_2 < P_1$; that is, the **pressure in the fluid is lower if the fluid is flowing faster**. Note that P is the pressure inside the fluid and is not related to the force that would be exerted by the fluid if it were to collide with an object. It makes sense that the fluid has a lower pressure where it is moving faster, because the net force exerted on the fluid is related to the difference in pressure on either side of the fluid. The fluid will accelerate in the direction where pressure decreases, thus it will be moving faster when it is in a region of low pressure.

Bernoulli's principle can be used to describe many phenomena. For example, an airplane wing (technically, an "airfoil") creates lift because the pressure of the air above the wing is lower than the pressure above the wing. This is illustrated in Figure 15.3.5, which shows that the laminar flow of the air creates a low pressure area above the wing. As the stream lines of air encounter the wing, those that are above the wing get compressed together, which leads to a faster speed of the air above the wing (equation of continuity). The resulting difference in air pressure above and below the wing results in a net upwards force on the wing.

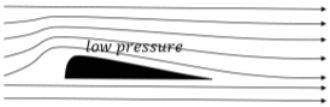

Figure 15.3.5: Laminar flow of air around a airfoil. The curvature of the asymmetric airfoil forces the streamlines above the airfoil together, increasing the speed of the air due to the continuity equation, and resulting in a low pressure area.

Bernoulli's principle also describes why the roof can be lifted off of a house in high winds (Figure 15.3.6, left panel). It is not the force of the wind against the roof that blows the roof off of a house; it is the difference in air pressure in the house (normal) and the pressure above the roof (low, due to the flowing wind), that results in a net upwards force on the roof. Bernoulli's principle is also used to construct atomizers which allow liquid in a bottle to be sprayed (Figure 15.3.6, right panel). For example, perfume bottles often have a bulb connected to a tube/spout. When you squeeze the bulb, it causes the air in the tube to flow quickly, creating a low

pressure in the vertical segment of the spout. The liquid is forced up by the pressure in the bottle; once the liquid arrives in the fast flowing air, it is sprayed out along with the air.

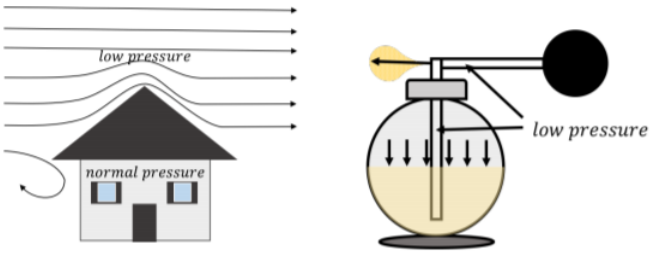

Figure 15.3.6: (Left:) the wind flowing above a roof creates a low pressure zone above the roof. (Right:) air flowing above a vertical spout in the atomizer creates a low pressure zone; the air pressure in the bottle forces the liquid up the spout.

? Exercise 15.3.2

When a high speed train is traveling at constant speed, is there a net force on the windows from air pressure?

A. No, since the windows are stationary relative to the train, there is no net force on them from air pressure.
B. Yes, there is a net outwards force on the windows from air pressure.
C. Yes, there is a net inwards force on the windows from air pressure.

Answer

The following examples illustrate how to apply Bernoulli's principle.

✔ Example 15.3.2

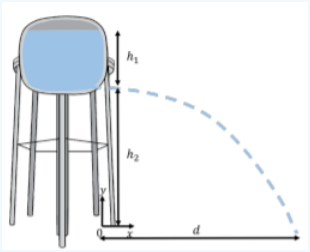

Figure 15.3.7: Water leaking out of a horizontal hole in a water tank.

A water tower is constructed so that the bottom of the water tank is a height h_2 above the ground, as illustrated in Figure 15.3.7. The water in the tank is at a height h_1 from the bottom of the tank. A leak from a hole is found at the base of the tank (the water flows horizontally out of the hole). What is the horizontal distance, d, from the bottom of the tower to where the water from the leak hits the ground? Assume that the water level in the tank is constant and that atmospheric pressure does not change appreciably over the height of the tower.

Solution

The pressure in the water tank leads to the water exiting the bottom of the tank with a horizontal velocity of magnitude, v. That water then undergoes projectile motion on its way to the ground. We can first determine the speed of the water exiting the tank and then use the kinematics for projectile motion to model the distance, d.

We model the flow of the water using a two-dimensional coordinate system with a horizontal x axis (positive to the right), and a vertical y axis (positive upwards). We place the origin at the bottom of the water tower, on the ground, below the hole, as shown in Figure 15.3.7.

At the top of tank, at a height $y = h_1 + h_2$, the water has a speed of zero and is at atmospheric pressure, P_0. At the exit of the hole at the bottom of the tank, at a height $y = h_2$, the water has a speed v_2 and is also at atmospheric pressure. Using Bernoulli's equation at the top (1) and bottom (2) of the tank, we have:

$$P_1 + \frac{1}{2}\rho v_1^2 + \rho g y_1 = P_2 + \frac{1}{2}\rho v_2^2 + \rho g y_2$$

$$P_0 + (0) + \rho g(h_1 + h_2) = P_0 + \frac{1}{2}\rho v^2 + \rho g h_2$$

$$\therefore v_2 = \sqrt{2gh_1}$$

which is exactly the speed that any object falling a distance h_1 would have.

Using kinematics, we can find the time that it would take the water to fall a distance h_2 (where the water's velocity is horizontal as it exits the tank):

$$h_2 = \frac{1}{2}gt^2$$

$$\therefore t = \sqrt{\frac{2h_2}{g}}$$

The distance d covered by the water is thus given by:

$$d = v_2 t = \sqrt{2gh_1}\sqrt{\frac{2h_2}{g}} = \sqrt{4h_1 h_2}$$

Discussion

We find that the water coming out of the bottom of the tank, when there is a height, h_1, of water above it providing pressure, will have the same speed as that of a particle which has fallen a distance, h_1. This is because there is no net pressure difference between the top of the water tank and where the water has exited the hole, so gravity is the only force doing work on the water. Gravity will do work at the same rate on particles of water as on any other particle, so the speed of the water particles at the bottom of the tank is the same as if they had fallen a distance, h_1. Again, once the water particles are falling through the air, gravity is the only net force exerted on those particles, so they undergo projectile motion, just as any other particle would.

Example 15.3.3

You measure that water comes out of your kitchen faucet at a rate of $6\ \mathrm{l/min}$. The faucet has a diameter of $2\ \mathrm{cm}$. At what rate will water flow out of your basement faucet, which has a diameter of $1\ \mathrm{cm}$ and is located a height, $h = 3\ \mathrm{m}$, below your kitchen faucet? Assume that atmospheric pressure, P_0, does not change appreciably between your kitchen and basement.

Solution

The water flows out of the kitchen faucet at a speed, v_1, where the pressure is atmospheric. If the area of the kitchen faucet is A_1 we can determine the speed, v_1, from the given flow rate, $Q_1 = 6\mathrm{l/min} = 1\times10^{-4}\mathrm{m^3/s}$:

$$Q_1 = A_1 v_1$$

$$v_1 = \frac{Q_1}{A_1} = \frac{(1\times10^{-4}\mathrm{m^3/s})}{\pi(0.01\mathrm{cm})^2} = 0.318\mathrm{m/s}$$

The water will flow out of the basement faucet with a speed, v_2, where the pressure is also atmospheric, P_0. We can use Bernoulli's equation to relate the flow out of the basement faucet (2) to that at the kitchen faucet (1). We choose the y axis of a vertical coordinate system such that the basement is located at $y_2 = 0$ and the kitchen faucet is located at $y_1 = 3\ \mathrm{m}$:

$$
\begin{aligned}
P_1 + \frac{1}{2}\rho v_1^2 + \rho g y_1 &= P_2 + \frac{1}{2}\rho v_2^2 + \rho g y_2 \\
P_0 + \frac{1}{2}\rho v_1^2 + \rho g y_1 &= P_0 + \frac{1}{2}\rho v_2^2 \\
\frac{1}{2}v_1^2 + g y_1 &= \frac{1}{2}v_2^2 \\
\therefore v_2 &= \sqrt{v_1^2 + 2 g y_1} \\
&= \sqrt{(0.318\text{m/s})^2 + 2(9.8\text{m/s}^2)(3\text{m})} = 7.67\text{m/s}
\end{aligned}
$$

The corresponding flow rate at the basement faucet will be:

$$Q_2 = A_2 v_2 = \pi(0.005\text{m})^2(7.67\text{m/s}) = 6.03 \times 10^{-4}\text{m}^3/\text{s} = 36.171/\text{min}$$

Discussion

We find that the flow rate out of the basement faucet is six times that at the kitchen faucet. The speed of the water coming out of the basement faucet is more than 20 times the speed of the water at the kitchen faucet. Although it is true that one gets better water pressure out of a faucet that is lower in the building, this change in flow is unrealistically high, and this is a poor model for flow of water in the pipes of your house.

You can easily verify that the speed of the water in different levels of your house does not vary by a factor near 20 for a 3 m change in height (you could compare the flow rate for two faucets with the same diameter). This is because our model neglects the effect of friction as water flows in the pipes; in reality, there is much greater pressure in the pipes than that due to gravity, as well as a gradient in the pressure in your pipes, that will lead to the flow rates being similar in your kitchen and basement.

Viscosity

So far, we have assumed that fluids flow with no friction. In reality, the particles moving in a fluid exert internal friction on each other called “viscosity”. This can be modeled as the friction between different layers of fluid in a laminar flow. For example, you may notice that the water that flows in a wide river flows much faster in the middle of the river than near the river banks, where the water is almost stationary, as shown in Figure 15.3.8

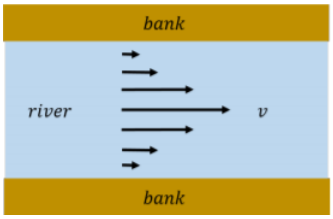

Figure 15.3.8: Water flowing in a river; the water near the banks is almost immobile due to the viscosity of the water.

One can model the banks of the river as exerting a frictional force on the layer of water that is in contact with the banks. That layer then exerts a frictional force on the next layer closer to the center of the river, and so on.

One can define a viscosity coefficient, η, based on measuring the force required to pull a plate past another plate when there is a fluid between the plates. Consider two plates that have an area, A, that are a distance l apart, and contain the fluid of interest between them, as illustrated in Figure 15.3.9

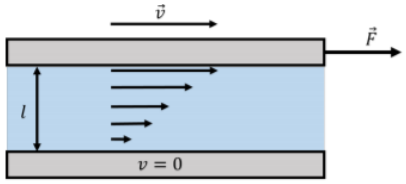

Figure 15.3.9: A fluid placed between a moving plate (top) and a fixed plate (bottom) in order to measure the viscosity of the fluid.

The viscosity of the fluid is defined based on the force that is required to pull the top plate while the bottom plate remains immobile. The layer of fluid directly below the moving plate will move with the plate at a speed, v, while the layer of fluid immediately in contact will the stationary plate will also be stationary. Moving one plate will thus lead to a gradient (a change) in the speed of the fluid as a function of the position between the two plates. The magnitude of the force, $\vec{F}$, required to move one plate with speed, v, was empirically determined to be proportional to the area of the plates, A, and the speed, v, while being inversely proportional to the distance, l, between the two plates:

$$F \propto A\frac{v}{l}$$

The constant of proportionality is defined as the viscosity, η, of the fluid:

$$F = \eta A\frac{v}{l} \tag{15.3.4}$$

If the viscosity of the fluid is zero, then no force is required to pull the plate. The more viscous the fluid, the more difficult it is to pull the top plate. You can experiment with this by comparing the force required to move a small piece of paper across the top of a puddle of water and across the top of honey.

The presence of viscosity means that any fluid that flows will lose mechanical energy due to internal friction (which will heat up the fluid). Thus, Bernoulli's equation is not correct if the fluid has viscosity, as a fluid cannot flow through a horizontal pipe without a change in pressure to overcome the losses due to friction.

Poiseuille Flow

For the flow of an incompressible viscous fluid through a pipe, one can postulate that the flow rate, Q, is proportional to the change in pressure, ΔP, across the pipe:

$$Q \propto \Delta P$$

where ΔP is taken as the positive difference between the pressure at either end of the pipe. The fluid flows from high pressure to low pressure. We can introduce a constant of proportionality, R, to be the "resistance of the pipe", so that we can write:

$$Q = \frac{\Delta P}{R}$$

where we wrote the constant of proportionality as $1/R$, so that a larger value of R corresponds to a pipe with a higher resistance to flow. That is, for a given pressure difference, as one increases the resistance of the pipe, one decreases the flow rate through that pipe. The relationship above can be used to empirically determine the resistance of a pipe.

The flow through a pipe with a given resistance will be zero if there is no pressure gradient in the fluid along the pipe. Conversely, if there is no flow of fluid in the pipe, the pressure is the same everywhere in the pipe. We can thus also view a drop in pressure in a pipe to be the result of flow of liquid through the pipe. The pressure cannot drop across a horizontal pipe if there is no flow.

When you close the tap on your kitchen faucet, the pressure inside the faucet is close to the pressure in the main water line that supplies your house. As soon as you open the tap and allow water to flow, the pressure in your faucet drops to atmospheric pressure, and the resulting pressure gradient from the main supply forces water to flow out of the faucet. If you try to plug your kitchen faucet with your thumb and stop the flow of water, you will need to exert a force large enough to overcome the pressure that exists in the main water supply. You will find that it is practically impossible to stop the flow of water with your thumb, as the pressure in the main supply needs to be high enough to overcome the resistance of the pipes and still result in a usable flow of water.

Poiseuille first developed a model for the **laminar flow of a liquid through a uniform horizontal cylindrical pipe** of length, L, with a circular cross-section with radius r. He found that the resistance of such a pipe to a fluid of viscosity, η, is given by:

$$R = \frac{8\eta L}{\pi r^4}$$

This makes some intuitive sense, as we expect more resistance (more impedance to flow), if the pipe is longer and if the fluid is more viscous (the resistance is zero if there is no viscosity). We further expect less resistance if the pipe has a larger radius. The resistance found by Poiseuille goes down as the fourth power of the radius. Thus, a pipe that is twice as wide will have a volume flow that is $2^4 = 16$ times larger because of the reduced resistance.

The laminar flow rate, Q, of a viscous fluid through a pipe of length L and radius R, when there is a pressure difference ΔP, is given by:

$$Q = \frac{\pi r^4}{8\eta L}\Delta P \tag{15.3.5}$$

This is usually referred to as “Poiseuille’s Equation”.

? Exercise 15.3.3

Does the flow rate of water out of a garden hose depend on the length of the hose?

A. No, since the volume of water entering the hose must also exit the hose, it does not matter how long the hose is.
B. Yes, the resistance of the hose depends on its length, so the pressure drop across the hose will change, and so will the flow rate.

Answer

✔ Example 15.3.4

You are modeling the flow of water for a city. Two houses are connected in parallel to the main water supply, so that water from the main supply flows into either house 1 or house 2, and the flows out of each house then join up again at the main supply. The difference in pressure, ΔP, between the entry and exit point of water is the same for each house, and each house can be modeled as having a net resistance, R_1 or R_2, to the flow of water, as illustrated in Figure 15.3.10 If you model the two houses as being the equivalent of a single “effective” house with an effective resistance R, what is the value of R in terms of R_1 and R_2?

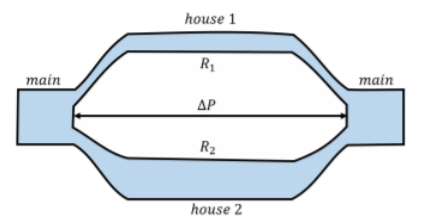

Figure 15.3.10: Flow of water being separated into two parallel paths that join up again.

Solution

The water from the main will have to flow through either house 1 or house 2. If the flow rate through the main is Q, we require that this be equal to the sum of the flow rates through each house:

$$Q = Q_1 + Q_2$$

The flow through each house is related to the pressure difference, ΔP, across each house (which is the same), as well as the resistance of that house:

$$Q_1 = \frac{\Delta P}{R_1}$$

$$Q_2 = \frac{\Delta P}{R_2}$$

The total flow rate is thus:

$$\begin{aligned} Q = Q_1 + Q_2 &= \frac{\Delta P}{R_1} + \frac{\Delta P}{R_2} \\ &= \Delta P\left(\frac{1}{R_1} + \frac{1}{R_2}\right) \end{aligned}$$

We can write this as the flow through an effective resistance, R, with a pressure difference ΔP:

$$Q = \frac{\Delta P}{R}$$

$$\therefore R = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2}}$$

Discussion

By requiring that the sum of the flows of water through the houses be the same as the flow rate through the main pipe, we were able to model the two houses as a single effective house with resistance R. You may notice that this is the same relation as the equivalent resistance for two electrical resistors combined in parallel. This is because the flow of electrical current in a resistor can be modeled using similar tools to those required for modeling the flow of a viscous fluid in a pipe.

Footnotes

1. If the velocity of the fluid is not perpendicular to the surface, then v is the component of the velocity perpendicular to the surface.

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15.4: Summary

Key Takeaways

The pressure from a force, $\vec{F}$, exerted over a surface with area, A, is a scalar quantity defined as:

$$P = \frac{F_\perp}{A}$$

where $F_\perp$ is the component of the force perpendicular to the surface.

If a force is exerted on the particles in a fluid (e.g. gravity), a pressure will exist everywhere in the fluid. If the fluid is placed in a container, that pressure leads to an external force on all surfaces of the container.

If two fluids at different pressures exist on either side of an interface/object, the net force on that interface/object from the pressures of the fluids will be proportional to the difference in pressure of the fluids on either side.

A fluid is in hydrostatic equilibrium if the sum of the forces on any fluid element is zero. In the presence of gravity, this always leads to a vertical pressure gradient

$$\frac{dP}{dy} = -\rho g$$

where ρ is the density of the fluid, g is the magnitude of the Earth's gravitational field, and the y axis is positive upwards.

If the fluid is incompressible, then the difference in pressure between two points at heights y_1 and y_2 is given by:

$$P(y_2) - P(y_1) = -\rho g(y_2 - y_1)$$

Pascal's Principle states that if an external pressure, P, is applied to one location in a fluid, then the pressure everywhere in the fluid increases by P.

If an object is immersed in a fluid, it will experience a force of buoyancy that is in the opposite direction to the gravitational field in that fluid. The magnitude of the buoyancy force is given by Archimedes' Principle:

$$F_B = \rho V g$$

where, ρ, is the density of the fluid and, V, is the volume of the fluid displaced by the object (i.e. the volume of the part of the object that is immersed in the fluid).

We can distinguish between laminar and turbulent flow of fluids. In laminar flow, individual particles in the fluid follow well-defined streamlines. In turbulent flow, individual particle follow complicated paths that usually involve Eddy currents. In general, it is much easier to model the laminar flow of fluids.

The equation of continuity states that the mass flow rate of a fluid through a closed system must be the same everywhere in the system (no fluid can appear or disappear). For laminar flow of a fluid with density, ρ, flowing at speed, v, through a pipe with cross section, A, the mass flow rate is a constant:

$$\rho A v = \text{constant}$$

A fluid is said to be incompressible if it has constant density. For a fluid of constant density, the volume flow rate, Q, must be constant everywhere in a closed system:

$$Q = Av = \text{constant}$$

Bernoulli's Principle, which is based on the conservation of mechanical energy, states that the following quantity is a constant:

$$P + \frac{1}{2}\rho v^2 + \rho g y = \text{constant}$$

for the laminar flow of a fluid with no viscosity. P is the internal pressure of the fluid, v its speed, and y the height of the fluid relative to a fixed coordinate system. In particular, Bernoulli's Principle implies that, for a constant height, the internal pressure of a fluid must decrease if its speed increases.

Viscosity, η, for the laminar flow of a fluid can be modeled as the result of the internal friction force between layers of the fluid. Because of viscosity, a fluid cannot flow in a horizontal pipe unless there is a difference in pressure across the pipe. Similarly, there will be no horizontal pressure gradient through a fluid unless the fluid is flowing. In general, the volume flow rate, Q, of an incompressible fluid through a pipe with resistance, R, is given by:

$$Q = \frac{\Delta P}{R}$$

For the laminar flow of a fluid with viscosity, η, through a horizontal cylindrical pipe of length, L, and radius, r, the flow rate is given by Poiseuille's equation:

$$Q = \frac{\pi r^4}{8\eta L}\Delta P$$

Important Equations

In the presence of gravity:

$$\frac{dP}{dy} = -\rho g$$

$$P(y_2) - P(y_1) = -\rho g(y_2 - y_1)$$

$$F_B = \rho V g$$

Equation of continuity:

$$\rho A v = \text{constant}$$

$$Q = Av = \text{constant} \quad (\text{if incompressible})$$

Bernoulli:

$$P + \frac{1}{2}\rho v^2 + \rho g y = \text{constant}$$

Viscosity:

$$Q = \frac{\Delta P}{R}$$

$$Q = \frac{\pi r^4}{8\eta L}\Delta P \quad (\text{Poiseuille})$$

Important Definitions

Definition

Pressure: A measurement of force per unit area. SI units: $[\text{Pa}]$. Common variable(s): P.

Definition

Viscosity: A measurement of a fluid's resistance to flow. SI units: $[\text{Pas}]$. Common variable(s): η.

Definition

Flow rate: Measurement of a fluid's motion, in volume per unit time. SI units: $[\text{m}^3\text{s}^{-1}]$. Common variable(s): Q.

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15.5: Thinking about the material

End of chapter activities:

Reflect and research

1. Does atmospheric pressure increase or decrease when the weather is nice? How come?
2. How does water move from the roots of a tree to the top, for a very tall tree?
3. When did Bernoulli describe the motion of fluids?
4. Where did Bernoulli come from?

To try at home

1. Place your hand in a plastic bag, and immerse your hand with the bag in water. The deeper the column of water, the better. Describe what you feel on your hand in terms of the direction of the force exerted by the water pressure.
2. If you assume that the water that comes out of your bathroom faucet is gravity-fed from a water tank, determine the height of the corresponding water tower relative to your bathroom faucet. Measure the flow rate of water from the faucet to determine the height and discuss whether it makes sense.
3. Try plugging the faucet in your bathroom tap with your thumb. Are you able to completely prevent water from coming out when the tap is open? Estimate the pressure of the water in the pipes leading to your bathroom faucet.
4. In your house/building, measure the flow rate between similar faucets at different heights, and compare with what one would expect from the model from *Example 15.3.3*.

To try in the lab

1. Propose an experiment to build a barometer and track the changes in atmospheric pressure as a function of time, and to compare your measurements to those from a weather station.
2. Propose an experiment to characterize how liquid flows in a sponge. Is there a maximum height to which a sponge can draw liquid? How is energy conserved if water is drawn upwards in a sponge?
3. Propose an experiment to measure the resistance of a pipe to the flow of water and compare with the result expected from Poiseuille's equation.
4. Propose an experiment to determiner the viscosity of maple syrup.
5. Propose an experiment to model the water flow in the sections of a cascading fountain.
6. Investigate the flow of water in a spinning bowl.
7. Investigate and model how the pressure in a balloon changes as the balloon increases in volume.
8. Investigate and model the surface tension of water.
9. Design and build a blood pressure monitor using a manometer.

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15.6: Sample problems and solutions

Exercise 15.6.1

A man and a woman, Rebecca (57 kg) and Ryan (63 kg), are on a cruise when their ship tragically sinks. They are thrust into the freezing cold ocean. They see a large wooden door floating on the surface of the water, and wonder if they could both survive if they both lay on top of the door. They estimate that the door measures about $2\text{ m} \times 1\text{ m} \times 0.12\text{ m}$. The density of salt water is $\rho_w = 1027 \text{kg}/\text{m}^3$.

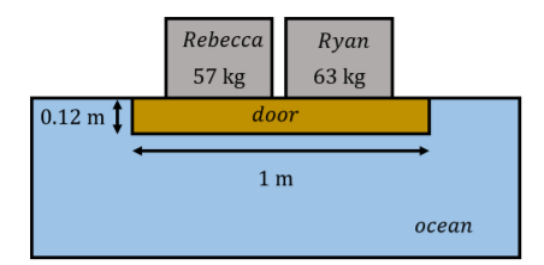

Figure 15.6.1: Rebecca and Ryan wonder if they can stay above water if they get on top of a floating door.

a. What does the density of the wood have to be in order for Rebecca and Ryan to stay above the surface of the water? (see Figure 15.6.1)

b. If the door is made of oak ($\rho_d = 750\text{ kg}/\text{m}^3$), will they survive? Can one of them survive?

Answer

a. The forces acting on the door are the force of buoyancy, the door's weight, and the weights of Rebecca and Ryan, as shown in Figure 15.6.2

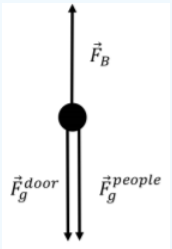

Figure 15.6.2: The forces acting on the door when Rebecca and Ryan are on top of it.

We can combine the weight of the door and the weight of the people into the total weight, F_g. We choose the y axis to be positive upwards. The sum of the forces on the door in the y direction is given by:

$$\sum F_y = F_B - F_g$$

For the door to float, the net force on the door must be greater than or equal to zero. We want to find the minimum buoyant force for them to float, so we set the net force equal to zero:

$$\begin{aligned} F_g &= F_B \\ (m_R + m_r + m_d)g &= \rho_w V_w g \\ m_R + m_r + m_d &= \rho_w V_w \end{aligned}$$

where the weight includes the mass of Rebecca (m_R), Ryan (m_r) and the door (m_d). We added the subscript W to the right side of the equation to remind ourselves that the buoyant force depends on the density and volume **of the displaced water**. We want to find the maximum density of the wood in order for Rebecca and Ryan to stay above the water's surface. This means that the maximum volume of water that can be displaced is the volume of the door, $V_w = V_d$ (so that the surface of the door is level with the surface of the water, as in Figure 15.6.1). We can rewrite the mass of the door in terms of its volume and density, and apply our condition that $V_w = V_d$:

$$m_R + m_r + \rho_d V_d = \rho_w V_d$$
$$\rho_d = \frac{\rho_w V_d - m_R - m_r}{V_d}$$

A quick calculation tells us that the volume of the door is $(2\text{ m})(1\text{ m})(0.12\text{ m}) = 0.24\text{ m}^3$. We can now calculate the desired density of the wood:

$$\rho_d = \frac{\rho_w V_d - m_R - m_r}{V_d}$$
$$\rho_d = \frac{(1027\text{kg/m}^3)(0.24\text{m}^3) - 57\text{kg} - 63\text{kg}}{0.24\text{m}^3}$$
$$\rho_d = 527\text{kg/m}^3$$

The maximum density of the wood that would allow them to both float is 527 kg/m^3. Balsa wood has a density that is about 150 kg/m^3, so would allow them to survive. However, it is unlikely that a random floating door is made of balsa wood (although one would choose lighter materials when constructing a ship).

b. No, they could not both stay on the door because the density of oak is greater than the maximum density of 527 kg/m^3. We can find the amount of mass that can be added to the door (m_A) in order for the person on it to stay above water:

$$F_g = F_B$$
$$(m_A + m_d)g = \rho_w V_w g$$
$$m_A + \rho_d V_d = \rho_w V_w$$
$$m_A + \rho_d V_d = \rho_w V_d$$
$$m_A = V_d(\rho_w - \rho_d)$$

where we again used the condition that $V_w = V_d$. We can plug in the appropriate values and solve:

$$m_A = V_d(\rho_w - \rho_d)$$
$$m_A = (0.24\text{m}^3)(1027\text{kg/m}^3 - 750\text{kg/m}^3)$$
$$m_A = 66\text{kg}$$

The door can support an additional mass of 66 kg, so either Rebecca or Ryan can survive if the other does not get on the door.

Exercise 15.6.2

A doctor prescribes an IV drip to a dehydrated patient. She asks a nurse, Rob, to administer 2 l of saline solution ($\eta = 1.0 \times 10^{-3}\text{ Pas}, \rho = 997\text{ kg/m}^3$) to the patient over 2 hours. An IV drip works by inserting a needle into a vein in a patient's arm. The needle is connected to an IV bag by a tube (Figure 15.6.3). Lily uses a needle that has a diameter of 0.60 mm and a length of 32 mm. The blood pressure in the patient's veins is 80 mmHg above atmospheric pressure. Note: $1\text{ mmHg} \approx 133\text{ Pa}$.

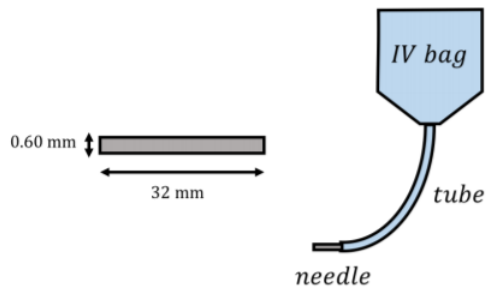

Figure 15.6.3: Left: A cylindrical IV needle. Right: The IV needle connected to an IV bag by a tube. The free end of the needle goes into the patient's vein.

a. What must the pressure be at the entrance of the needle (the side connected to the saline, not the patient)? Assume that the needle is essentially horizontal and that the diameter of the tube from the IV bag is large enough so that resistance in the vertical tube is negligible. Write your answer in Pascals above atmospheric pressure.

b. How high above the patient's arm should Lily put the IV bag?

Answer

a. Given that the pressure in the patient's veins is $80\ \text{mmHg}$ above atmospheric pressure, we want to find the pressure required at the other end of the needle so that we get the desired flow rate through the needle. We model the needle as a horizontal cylindrical pipe and assume that the saline solution exhibits laminar flow. We can therefore use Poiseuille's equation:

$$Q = \frac{\pi r^4}{8\eta L}(P_1 - P_2)$$

We let P_1 be the pressure where the needle connects to the tube. Solving for P_1 gives:

$$P_1 = Q\frac{8\eta L}{\pi r^4} + P_2$$

The pressure at the exit of the needle, P_2, is just the blood pressure ($80\ \text{mmHg} + 1\text{atm}$). The radius of the needle is $0.60\ \text{mm}/2 = 0.30\ \text{mm}$ The flow rate has to be in units of m^3/s. The flow rate in the appropriate units is thus:

$$Q = \frac{21}{2\ \text{hr}} \cdot \frac{1\ \text{hr}}{3600\ \text{s}} \cdot \frac{0.001\ \text{m}^3}{11} = 2.8 \times 10^{-7}\text{m}^3/\text{s}$$

Using our values, we can calculate P_1:

$$P_1 = (2.8 \times 10^{-7}\text{m}^3/\text{s})\frac{8(1.0 \times 10^{-3}\text{Pas})(0.032\text{m})}{\pi(3 \times 10^{-4}\text{m})^4} + 80\text{mmHg} \cdot \frac{133\text{Pa}}{1\text{mmHg}} + 1\text{atm}$$

$$P_1 = 2817\text{Pa} + 10640\text{Pa} + 1\text{atm}$$

$$\therefore P_2 = 13457\text{Pa} \quad \text{above atmospheric pressure}$$

b. We can easily determine the height of the IV bag that is required to give the desired pressure. We choose a coordinate system with a y axis that is vertical (positive upwards) with the origin at the location of the needle (Figure 15.6.4).

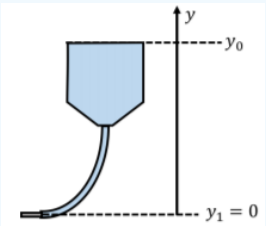

Figure 15.6.4: The needle is at height 0 and the top of the fluid in the IV bag is at y_0.

At the top of the solution in the IV bag, y_0, the solution has a speed of zero and is at atmospheric pressure, $P_0 = 1\ \text{atm}$. The velocity at the needle is 0, and the pressure is $13457\ \text{Pa} + 1\ \text{atm}$. Bernoulli's principle states:

$$P_0 + \frac{1}{2}\rho v_0^2 + \rho g y_0 = P_1 + \frac{1}{2}\rho v_1^2 + \rho g y_1$$

Using our values to solve for y_0, we get:

$$\begin{aligned} P_0 + \rho g y_0 &= P_1 \\ y_0 &= \frac{P_1 - P_0}{\rho g} \\ y_0 &= \frac{13457\ \text{Pa} + 1\ \text{atm} - 1\ \text{atm}}{(997\text{kg/m}^3)(9.8\text{m/s}^2)} \\ y_0 &= \frac{13457\ \text{Pa}}{(997\ \text{kg/m}^3)(9.8\ \text{m/s}^2)} \\ y_0 &= 1.4\ \text{m} \end{aligned}$$

Therefore, the IV bag should be placed $1.4\ \text{m}$ above the patient's arm.

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CHAPTER OVERVIEW

16: Electric Charges and Fields

Learning Objectives

- Understand the definition of an electric charge.
- Understand the difference between an insulator and a conductor.
- Understand different mechanisms for charging objects.
- Understand Coulomb's model for the electric force.
- Understand the definition of an electric field.
- Understand how to calculate the electric field from a continuous distribution of charge.
- Understand how to model an electric dipole.

In this and subsequent chapters, we start to look at the theories that describe electric and magnetic phenomena. Within the framework for dynamics that was developed by Newton, we will introduce the theories of electromagnetism which describe the electric force, the magnetic force, and how these two interact. This first chapter introduces the description of the electric force, analogously to how we introduced Newton's Universal Theory of Gravity to describe the gravitational force.

prelude

If you rub a balloon against a carpet and bring it near your head, your hair will stand up and try to touch the balloon.

A. The electric charge of the balloon is opposite of that on your hair.
B. Your hair has no net electric charge, this is an example of charge separation and induction.

16.1: Electric Charge
16.2: The Coulomb force
16.3: The Electric Field
16.4: The Electric Dipole
16.5: Summary
16.6: Thinking about the material
16.7: Sample problems and solutions

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16.1: Electric Charge

You have likely experienced or heard about electric charge in your life. For example, on a dry Winter day, you might find that after rubbing your bare feet on a polyester carpet you feel a small electric shock upon touching a metallic surface such as a doorknob. This is a manifestation of the electric charge that has built up on you being released onto the doorknob. You probably also have a notion of the existence of positive and negative charges, and that equal charges repel each other whereas opposite charges attract. In this chapter, we develop the description of how these charges can accumulate and how they exert attractive or repulsive forces on each other.

Ordinary matter is made of atoms, which are themselves made of a small positive nucleus (containing positive protons and neutral neutrons) surrounded by a "cloud" of negatively charged electrons. Within a solid object, the atoms in the object can be modeled as being effectively stationary due to inter-atomic forces that hold the atoms together. As a result, the nuclei (the positively charged part of atoms) can be considered to be fixed in space. The negative electrons, depending on the material, can often move from one atom to another. If an atom looses an electron to another atom, it becomes positive, whereas the atom that acquired the extra electron becomes negative.

We define the net charge on an atom (or an object) based on whether there are more protons (positive), more electrons (negative) or an equal amount (neutral). By default, atoms are neutral and have an equal number of protons and electrons. The reason that anything acquires a net electric charge is because it acquired an excess (or deficit) of electrons from another object. We say that "charge is conserved", since the number of electrons does not change and if one object became positively charged, a different object must have become negatively charged by the same amount, so that the total net charge (in the Universe) is zero.

When you rub your feet on the carpet, electrons are being removed from one surface (your feet) and deposited on the other (the carpet). Your feet thus acquire a net positive charge (having lost electrons). When you touch a doorknob, the little spark comes from electrons jumping from the doorknob and onto your body. The reason that the electrons leave your feet in the first place is that different materials have different "affinities" for electrons. When you rub two materials together (placing their atoms in close proximity), electrons will transfer to the material with the highest affinity for electrons. This way of creating a net charge on an object is called "charging by friction".

The "triboelectic series" is a list of materials that tend to give up or acquire electrons when they are placed in close contact with each other; some common materials from the series are shown in Figure 16.1.1. The greatest charge is generated by rubbing together materials that are the furthest apart from each other in the diagram. Rubbing silk on a piece of glass results in more charge than rubbing wool on the same piece of glass.

Figure 16.1.1: A sample of a triboelectric series of materials.The materials on the right-hand side have the greatest affinity to acquire electrons.

? Exercise 16.1.1

If you rub a glass rod with silk, which object ends up with an excess of electrons?

A. glass rod.
B. silk.
C. neither, they remain neutral.
D. both will acquire an excess of electrons.

Answer

Conductors and Insulators

We can broadly classify materials into **conductors** (such as metals), and **insulators** (such as wood), depending on how easily the electrons can move around in the material. In a conductor, electrons (rather, the outer electron(s) of an atom) are only loosely bound to their nuclei, and they can thus move around the material freely. In an insulator, the electrons are tightly bound to the nuclei of their atoms and cannot easily move around. There is a third class of materials, semi-conductors, that falls somewhere

between a conductor and an insulator. In a semi-conductor, electrons are typically bound to their atoms, but any additional electrons present in the material can move around as if they are in a conductor.

Within a conductor, such as a solid metallic sphere, charges can move around freely. If that sphere has a net charge, for example an excess of electrons, those excess electrons will migrate to the outer surface of the sphere. Electrons in the sphere repel each other and will quickly settle into a position where they are, on average, the furthest from all of the other electrons, which occurs if all of the electrons migrate to the surface. This is illustrated by showing the charges on the surface of the charged sphere in the left panel of Figure 16.1.2. If an initially neutral conducting sphere is connected to the charged sphere by a conducting wire (right panel of Figure 16.1.2), some of the electrons will "conduct" (transfer) onto the surface of the neutral sphere, so that, on average, they are further from all other electrons. This way of adding charge to the neutral sphere is called "charging by conduction", and the second sphere will remain charged if the connection between spheres is broken.

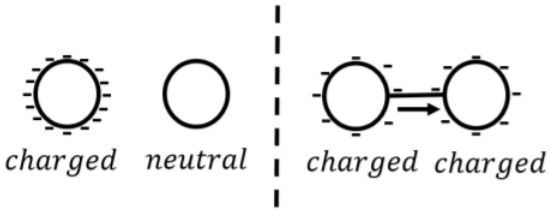

Figure 16.1.2: Charging by conduction: a neutral conducting sphere is connected to a negatively charged conducting sphere. The charges can "spread out more" if some of the charges move ("conduct") from the charge sphere onto the neutral sphere.

Electrostatic induction

Electrostatic induction allows one to "induce" a charge by using the fact that charges can move freely in a conductor. The left panel of Figure 16.1.3 shows a (neutral) rod made of a conducting material, with electrons distributed uniformly throughout its volume. In the right panel, a negatively charged sphere is brought next to the rod. Since the rod is conducting, electrons in the rod can easily move and they will thus accumulate on the end of the rod that is furthest from the negative sphere (which repels the electrons). Those electrons will leave positive charges (corresponding to the atoms that have lost their electrons) on the side closest to the sphere. The electrons in the rod will only accumulate for as long as the force from the negative sphere is less than the repulsive force from the electrons that have already accumulated. In practice, such an equilibrium is reached almost instantly. In equilibrium, we say that the rod is "polarized", or that the "charges in the rod have separated", although the rod is overall still neutral.

Note that we can model this as if it where positive charges that move inside of the rod instead of negative charges. The positive charges are attracted to the negative sphere, and thus accumulate on the end of the rod closest to the sphere, leaving a negative charge on the other end. The choice to call electrons "negative" is completely arbitrary. For convenience, we usually build models assuming that positive charges can easily move around, even if, in reality, it is almost always actually (negative) electrons that move.

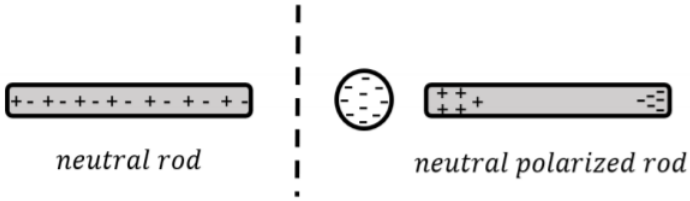

Figure 16.1.3: Electrostatic induction: when a negatively charged sphere is brought close to a neutral conducting rod, the electrons in the rod, which can move freely, accumulate on the side of the rod furthest from the sphere, leaving an excess of positive charge near the sphere.

We can create a net charge on the polarized rod if we provide a conducting path for charges to leave (or enter) the rod. The Earth can be modeled as a very large reservoir of both positive and negative charges. By connecting the rod to the Earth (we say that we connect the rod to "ground"), we provide a path for the electrons in the rod to be even further from the negatively charged sphere, and they can thus leave the rod entirely in order to go into the ground. This is illustrated in the right-hand panel of Figure 16.1.4

If we then disconnect the rod from the ground, it has now acquired an overall positive charge, as in the right hand panel. We call this "charging by induction". We can also think of this in terms of positive charges moving into the rod from the Earth; when we connect the rod to the ground, the positive charges in the Earth can move into the rod and get closer to the negatively charged

sphere. If we disconnect the rod from the ground, the rod stays positive, just as we conclude when using a model where it is the negative charges that move[1].

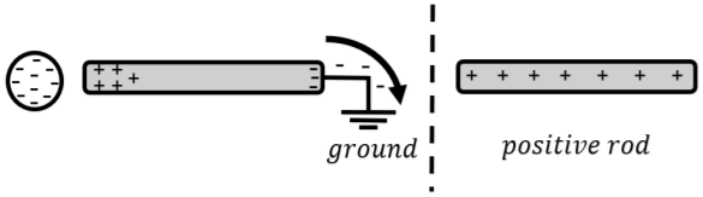

Figure 16.1.4: Charging by induction: when we connect the polarized rod to the ground, electrons can leave the rod. If we now disconnect the rod from ground, the rod is left with an overall positive charge.

Footnotes

1. Unless magnetism is involved, it is not possible to distinguish between a flow of positive charges moving in one direction or negative charges moving in the opposite direction.

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16.2: The Coulomb force

Coulomb was the first to provide a detailed quantitative description of the force between charged objects. Nowadays, we use the (derived) SI unit of "Coulomb" (C) to represent charge. The "charge" of an object corresponds to the net excess (or lack) of electrons on the object. An electron has a charge of $-e = -1.6 \times 10^{-19}\mathrm{C}$. Thus, an object with a charge of $-1\mathrm{C}$ has an excess of about -1.6×10^{19} electrons on it, which is a very large charge. If an object has an excess of electrons, it is negatively charged and we indicate this with a negative sign on the charge of the object. An object with a (positive) charge of $1\mathrm{C}$ thus has a deficit of -1.6×10^{19} electrons.

Through careful studies of the force between two charged spheres, Coulomb observed[1] that:

- The force is attractive if the objects have opposite charges and repulsive if the objects have the same charge.
- The force is inversely proportional to the squared distance between spheres.
- The force is larger if the charges involved are larger.

This leads to Coulomb's Law for the electric force (or simply "Coulomb's Law"), $\vec{F}_{12}$, exerted on a point charge Q_1 by another point charge Q_2:

$$\vec{F}_{12} = k\frac{Q_1 Q_2}{r^2}\hat{r}_{21} \tag{16.2.1}$$

where $\hat{r}_{21}$ is the unit vector from Q_2 to Q_1 and r is the distance between the two charges, as illustrated in Figure 16.2.1. $k = 8.99 \times 10^9 \mathrm{N \cdot m^2/C^2}$ is simply a proportionality constant ("Coulomb's constant") to ensure that the quantity on the right will have units of Newtons when all other quantities are in S.I. units. In some instances, it is more convenient to use the "permittivity of free space", ϵ_0, rather than Coulomb's constant, in which case Coulomb's Law has the form:

$$\vec{F}_{12} = \frac{1}{4\pi\epsilon_0}\frac{Q_1 Q_2}{r^2}\hat{r}_{21}$$

where $\epsilon_0 = \frac{1}{4\pi k} = 8.85 \times 10^{-12}\mathrm{C^2 \cdot N^{-1} \cdot m^{-2}}$ is a more fundamental constant, as we will see in later chapters.

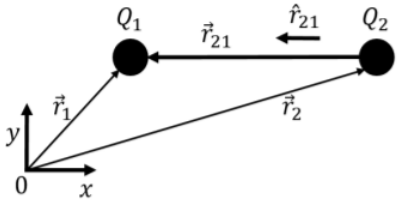

Figure 16.2.1: Vectors involved in applying Coulomb's Law.

If the two charges have positions $\vec{r}_1$ and $\vec{r}_2$, respectively, then the vector $\hat{r}_{21}$ is given by:

$$\hat{r}_{21} = \frac{\vec{r}_2 - \vec{r}_1}{||\vec{r}_2 - \vec{r}_1||}$$

Coulomb's Law is mathematically identical to the gravitational force in Newton's Universal Theory of Gravity. Rather than quantity of mass determining the strength of the gravitational force, it is the quantity of charge that determines the strength of the electric force. The only major difference is that gravity is always attractive, whereas the Coulomb force can be repulsive.

? Exercise 16.2.1

The Coulomb force is conservative.

A. True.
B. False.

Answer

The product $Q_1 Q_2$ in the numerator of Coulomb's force is positive if the two charges have the same sign (both positive or both negative) and negative if the charges have opposite signs. Again, referring to Figure 16.2.1, if the two charges are positive, the

force on Q_1 will point in the same direction as $\hat{r}_{21}$ (since all of the scalars are positive in Coulomb's Law) and thus be repulsive. If, instead, the two charges have opposite signs, the product $Q_1 Q_2$ will be negative and the force vector on Q_1 will point in the opposite direction from $\hat{r}_{21}$ and the force is attractive.

Example 16.2.1

Calculate the magnitude of the electric force between the electron and the proton in a hydrogen atom and compare this to the gravitational force between them.

Solution

We model this by assuming that the electron and proton are point charges a distance of $1\text{Å} = 1 \times 10^{-10}\text{m}$ apart (1 Angstrom is about the size of the hydrogen atom). The proton and electron have the same charge with magnitude $e = 1.6 \times 10^{-19}\text{C}$, so the (attractive) electric force between them has a magnitude of:

$$F^e = k\frac{Q_1 Q_2}{r^2} = (9 \times 10^9 \text{N} \cdot \text{m}^2/\text{C}^2)\frac{(1.6 \times 10^{-19}\text{C})(1.6 \times 10^{-19}\text{C})}{(1 \times 10^{-10}\text{m})^2} = 2.3 \times 10^{-8}\text{N}$$

which is a small number, but acting on a very small mass. In comparison, the force of gravity between an electron ($m_e = 9.1 \times 10^{-31}\text{kg}$) and a proton ($m_p = 1.7 \times 10^{-27}\text{kg}$) is given by:

$$F^g = G\frac{m_2 m_p}{r^2} = (6.7 \times 10^{-11}\text{Nm}^2/\text{kg}^2\frac{(9.1 \times 10^{-31}\text{kg})(1.7 \times 10^{-27}\text{kg})}{(1 \times 10^{-10}\text{m})^2} = 1.04 \times 10^{-47}\text{N}$$

Discussion

As we can see, the electric force between an electron and a proton is 39 orders of magnitude larger than the gravitational force! This shows that the gravitational force is extremely weak on the scale of particles and has essentially no effect in particle physics. Indeed, the best current theory of particle physics, and the most precisely tested theory in physics, the "Standard Model", does not need to include gravity in order to provide a spectacularly precise description of particles. One of the big challenges in theoretical physics is nonetheless to develop a theory that integrates the gravitational force with the other forces.

Example 16.2.2

Three charges, $Q_1 = 1\text{nC}$, $Q_2 = -2\text{nC}$, and $q = -1\text{nC}$, are held fixed at the three corners of an equilateral triangle with sides of length $a = 1\text{cm}$, with a coordinate system as shown in Figure 16.2.2 What is the electric force vector on charge q? (Note that $1\text{nC} = 1 \times 10^{-9}\text{C}$).

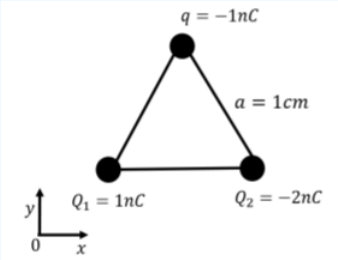

Figure 16.2.2: Three charges arranged in an equilateral triangle of side a.

Solution

The net electric force on charge q will be the vector sum of the forces from charges Q_1 and Q_2. We thus need to determine the force vectors on q from each charge using Coulomb's Law, and then add those two vectors to obtain the net force on q. The force vectors exerted on q from each charge are illustrated in Figure 16.2.3

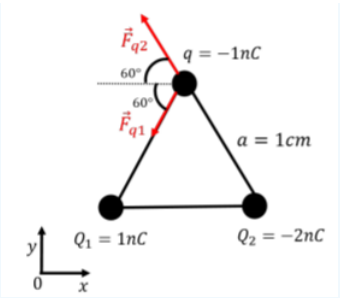

Figure 16.2.3: Force vectors on charge q.

The force from charge Q_1 has magnitude:

$$F_{q1} = \left| k\frac{Q_1 q}{a^2} \right| = (9\times10^9 \mathrm{N\cdot M^2/C^2})\frac{(1\times10^{-9}\mathrm{C})(1\times10^{-9}\mathrm{C})}{(0.01\mathrm{m})^2} = 9\times10^{-5}\mathrm{N}$$

and components:

$$\begin{aligned}\vec{F}_{q1} &= -F_{q1}\cos(60^\circ)\hat{x} - F_{q1}\sin(60^\circ)\hat{y} \\ &= -(4.5\times10^{-5}\mathrm{N})\hat{x} - (7.8\times10^{-5}\mathrm{N})\hat{y}\end{aligned}$$

Similarly, the force on q from Q_2 has magnitude:

$$F_{q2} = \left| k\frac{Q_2 q}{a^2} \right| = (9\times10^9 \mathrm{N\cdot m^2/C^2})\frac{(2\times10^{-9}\mathrm{C})(1\times10^{-9}\mathrm{C})}{(0.01\mathrm{m})^2} = 1.8\times10^{-4}\mathrm{N}$$

and components:

$$\begin{aligned}\vec{F}_{q2} &= -F_{q2}\cos(60^\circ)\hat{x} + F_{q2}\sin(60^\circ)\hat{y} \\ &= -(9.0\times10^{-5}\mathrm{N})\hat{x} + (1.6\times10^{-4}\mathrm{N})\hat{y}\end{aligned}$$

Finally, we can add the two force vectors together to obtain the net force on q:

$$\begin{aligned}\vec{F}^{net} &= \vec{F}_{q1} + \vec{F}_{q2} \\ &= -(4.5\times10^{-5}\mathrm{N})\hat{x} - (7.8\times10^{-5}\mathrm{N})\hat{y} - (9.0\times10^{-5}\mathrm{N})\hat{x} + (1.6\times10^{-4}\mathrm{N})\hat{y} \\ &= -(13.5\times10^{-5}\mathrm{N})\hat{x} + (8.2\times10^{-5}\mathrm{N})\hat{y}\end{aligned}$$

which has a magnitude of $15.8\times10^{-5}\mathrm{N}$.

Discussion

In this example, we determined the net force on a charge by making use of the superposition principle; namely, that we can treat the forces exerted on q by Q_1 and Q_2 independently, without needing to consider the fact that Q_1 and Q_2 exert forces on each other.

Footnotes

1. Others had initially observed the inverse square law for the electric force, but Coulomb was the first to formalize the theory.

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16.3: The Electric Field

We define the electric field vector, $\vec{E}$, in an analogous way as we defined the gravitational field vector, $\vec{g}$. By defining the gravitational field vector, say, at the surface of the Earth, we can easily calculate the gravitational force exerted by the Earth on any mass, m, without having to use Newton's Universal Theory of Gravity. As you recall, we can define the gravitational field, $\vec{g}(\vec{r})$, at some position, $\vec{r}$, from a point mass, M, as the gravitational force per unit mass:

$$\vec{g}(\vec{r}) = -G\frac{M}{r^2}\hat{r}$$

where $\vec{r}$ is a vector from the position of M to where we want to know the gravitational field. As a result, the force exerted on a "test mass", m, located at position $\vec{r}$ relative to mass M is given by:

$$\vec{F}^g = m\vec{g} = -G\frac{Mm}{r^2}\hat{r}$$

which, of course, is the result from Newton's Theory of Gravity. As you recall, we can define the gravitational field for any object that is not a point mass (e.g. the Earth), and use that field to find the force exerted by the Earth on any mass m, without having to re-calculate the gravitational field each time (which requires an integral or Gauss' Law).

We proceed in an analogous was to define the "Electric field", $\vec{E}(\vec{r})$, as the *electric force per unit charge*. If we have a point charge, Q, located at the origin of a coordinate system, then the electric field from that point charge, $\vec{E}(\vec{r})$, at some position, $\vec{r}$, relative to the origin is given by:

$$\vec{E}(\vec{r}) = k\frac{Q}{r^2}\hat{r} \tag{16.3.1}$$

If we place a "test charge", q, at position $\vec{r}$ in space, it will experience a force given by:

$$\vec{F}^e = q\vec{E} = k\frac{Qq}{r^2}\hat{r}$$

just as we find from Coulomb's Law.

? Exercise 16.3.1

A negative charge is placed at the origin of a coordinate system. At some point in space, the electric field from that charge

A. points towards the origin.
B. points away from the origin.

Answer

In *Example 16.2.2*, we determined the electric force on charge q, exerted by two other charges Q_1 and Q_2. If we now changed the value of q and wanted to determine the force, we can use the electric field to simplify the process considerably. That is, we can determine the value of the electric field, $\vec{E}$, from Q_1 and Q_2 at the position of q, and then simply multiply that field vector by a charge q to obtain the force on that charge, without having to add force vectors.

✓ Example 16.3.1

Two charges, \(Q_1=1\text{nC}\), and $Q_2 = -2\text{nC}$ are held fixed at two corners of an equilateral triangle with sides of length $a = 1\text{cm}$, with a coordinate system as shown in Figure 16.3.2 What is the electric field vector at the third corner of the triangle?

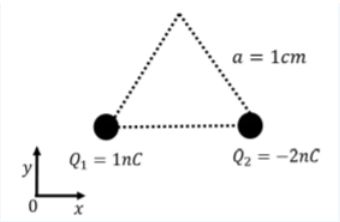

Figure 16.3.1: Two charges at the corners of an equilateral triangle of side a.

Solution

The net electric field at the third corner of the triangle will be the vector sum of the electric fields from charges Q_1 and Q_2. We thus need to determine the electric field vectors from each charge, and then add those two vectors to obtain the net electric field. The vectors are illustrated in Figure 16.3.2

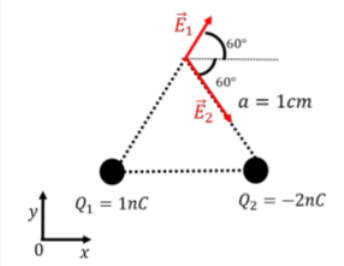

Figure 16.3.2: Electric field vectors from two charges at the corners of an equilateral triangle of side a.

The electric field from charge Q_1 has magnitude:

$$E_1 = \left| k\frac{Q_1}{a^2} \right| = (9\times 10^9 \mathrm{N\cdot m^2/C^2})\frac{(1\times 10^{-9}\mathrm{C})}{(0.01\mathrm{m})^2} = 9\times 10^4 \mathrm{N/C}$$

and components:

$$\begin{aligned}\vec{E}_1 &= E_1\cos(60^\circ)\hat{x} + E_1\sin(60^\circ)\hat{y} \\ &= (4.5\times 10^4 \mathrm{N/C})\hat{x} + (7.8\times 10^4 \mathrm{N/C})\hat{y}\end{aligned}$$

Similarly, the electric field from Q_2 has magnitude:

$$E_2 = \left| k\frac{Q_2}{a^2} \right| = (9\times 10^9 \mathrm{N\cdot m^2/C^2})\frac{(2\times 10^{-9}\mathrm{C})}{(0.01\mathrm{m})^2} = 1.8\times 10^5 \mathrm{N/C}$$

and components:

$$\begin{aligned}\vec{E}_2 &= E_2\cos(60^\circ)\hat{x} - E_2\sin(60^\circ)\hat{y} \\ &= (9.0\times 10^4 \mathrm{N/C})\hat{x} - (1.6\times 10^5 \mathrm{N/C})\hat{y}\end{aligned}$$

Finally, we can add the two force vectors together to obtain the net force on q:

$$\begin{aligned}\vec{E}^{net} &= \vec{E}_1 + \vec{E}_2 \\ &= (4.5\times 10^4 \mathrm{N/C})\hat{x} + (7.8\times 10^4 \mathrm{N/C})\hat{y} + (9.0\times 10^4 \mathrm{N/C})\hat{x} - (1.6\times 10^5 \mathrm{N/C})\hat{y} \\ &= (13.5\times 10^4 \mathrm{N/C})\hat{x} - (8.2\times 10^4 \mathrm{N/C})\hat{y}\end{aligned}$$

which has a magnitude of $15.8\times 10^4 \mathrm{N/C}$. By knowing the electric field at the empty corner of the triangle, we can now calculate the net electric force that would act on any charge placed in that location. For example, if we place a charge $q = -1\mathrm{nC}$ (as in *Example 16.2.2*), we can easily find the corresponding electric force:

$$\begin{aligned}\vec{F}_q &= q\vec{E} = (-1\text{nC})\left[(13.5\times10^4\text{N/C})\hat{x} - (8.2\times10^4\text{N/C})\hat{y}\right] \\ &= -(13.5\times10^{-5}\text{N})\hat{x} + (8.2\times10^{-5}\text{N})\hat{y}\end{aligned}$$

as we found previously. Note that the force on q is in the opposite direction of the electric field vector. This is because q is negative. The **electric field at some point in space thus points in the same direction as the force that a positive test charge would experience**.

Discussion

In this example, we determined the net electric field by making use of the superposition principle; namely, that we can treat the electric fields from Q_1 and Q_2 independently, without needing to consider the fact that Q_1 and Q_2 exert forces on each other. By knowing the electric field at some position in space, we can easily calculate the force vector on any test charge, q, placed at that position. Furthermore, the sign of the charge q will determine in which direction the force will point (parallel to $\vec{E}$ for a positive charge and anti-parallel to $\vec{E}$ for a negative charge).

? Exercise 16.3.2

The electric field inside of a conductor must be zero because...

A. If there is an electric field, electrons will move (since it is a conductor) and arrange themselves so as to create an additional field that cancels the original field
B. If there is an electric field, protons will move (since it is a conductor) and arrange themselves so as to create an additional field that cancels the original field
C. Since electrons can move freely, they move so fast that the electric field is negligible.
D. Electric fields cannot penetrate conducting materials.

Answer

Visualizing the electric field

Generally, a “field” is something that has a different value at different positions in space. The pressure in a fluid under the presence of gravity is a field: the pressure is different at different heights in the fluid. Since pressure is a scalar quantity (a number), we call it a “scalar field”. The electric field is called a “vector field”, because it is a vector that is different at each position in space. One way to visualize the electric field is to draw arrows at different positions in space; the length of the arrow is then proportional to the strength of the electric field at that position, and the direction of the arrow then represents the direction of the electric field. The electric field for a point charge is shown using this method in Figure 16.3.3

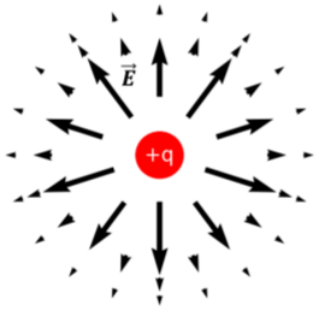

Figure 16.3.3: Electric field vectors near a point charge.

One disadvantage of visualizing a vector field with arrows is that the arrows take up space, and it can be challenging to visualize how the field changes magnitude and direction continuously through space. For this reason, one usually uses “field lines” to visualize a vector field. Field lines are continuous lines with the following properties:

- The direction of the vector field at some point in space is tangent to the field line at that point.

- Field lines have a direction to indicate the direction of the field vector along the tangent (as there are two possibilities, parallel and anti-parallel).
- The magnitude of the field is proportional to the density of field lines at that point. The more field lines near a location in space, the larger the magnitude of the field vector at that point.

An example of using field lines to represent a vector field in space is shown in Figure 16.3.4. The corresponding field vector is shown at two different positions in space (A and B). At both positions, the vector is tangent to the field line at that position in space and points in the direction of the little arrow drawn at the end of the field lines. The field vector at point A has a larger magnitude than the one at point B, since the field lines are more concentrated at point A than at point B (there are more field lines per unit area at that position in space, the field lines are closer together).

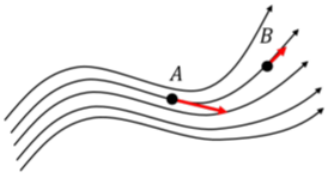

Figure 16.3.4: An example of determining a field vector from the continuous field lines.

? Exercise 16.3.3

It is possible for field lines to cross?

A. Yes.
B. No.

Answer

Because the electric field vector always points in the direction of the force that would be exerted on a positive charge, electric field lines will point out from a positive charge and into a negative charge. The electric field lines for a combination of positive and negative charges is illustrated in Figure 16.3.5.

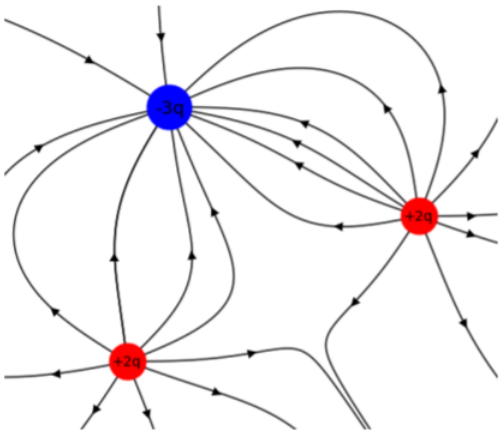

Figure 16.3.5: Field lines of two $+2q$ charges and one $-3q$ charge.

Electric field from a charge distribution

So far, we have only considered Coulomb's Law for point charges (charges that are infinitely small and can be considered to exist at a single point in space). We can use the principle of superposition to determine the electric field from a charged extended/continuous object by modeling that object as being made of many point charges. The electric field from that object is then the sum of the electric field from the point charges that make up that object.

Consider a charged wire that is bent into a semi-circle of radius R, as in Figure 16.3.6. The wire carries a net positive electric charge, $+Q$, that is uniformly distributed along the length of the wire. We wish to determine the electric field vector at the center of the circle.

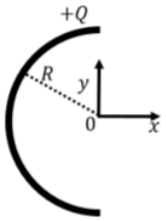

Figure 16.3.6: A charged wire bent into a semi-circle of radius R.

We start by choosing a very small section of wire and model that section of wire as a point charge with infinitesimal charge dq (as in Figure 16.3.7). A distance R from that point charge, the electric field from that point charge will have magnitude, dE, given by:

$$dE = k\frac{dq}{R^2}$$

The electric field vector, $d\vec{E}$, from the point charge dq is illustrated in Figure 16.3.7.

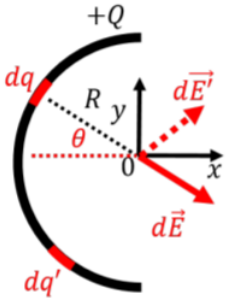

Figure 16.3.7: Infinitesimal electric fields from point charges along the bent wire.

Using the coordinate system that is shown, we define θ as the angle made by the vector from the origin to the point charge dq and the x-axis. The electric field vector from dq is then given by:

$$d\vec{E} = dE\cos\theta\hat{x} - dE\sin\theta\hat{y}$$

The total electric field at the origin will be obtained by summing the electric fields from the different dq over the entire semi-circle:

$$\begin{aligned}\vec{E} &= \int d\vec{E} = \int (dE\cos\theta\hat{x} - dE\sin\theta\hat{y}) \\ &= \left(\int dE\cos\theta\right)\hat{x} - \left(\int dE\sin\theta\right)\hat{y} \\ \therefore E_x &= \int dE\cos\theta \\ \therefore E_y &= -\int dE\sin\theta\end{aligned}$$

We are thus left with two integrals to solve for the x and y components of the electric field, respectively. Before jumping into solving the integrals, it is useful to think about the symmetry of the problem. Specifically, consider a second point charge, dq', located symmetrically about the x-axis from charge dq, as illustrated in Figure 16.3.7. The charge dq' will create a small electric field $d\vec{E}'$ as illustrated. When we add together $d\vec{E}$ and $d\vec{E}'$, the two y components will cancel, and only the x components will sum together. Similarly, for any dq that we choose, there will always be another dq' such that when we sum together their respective electric fields, the y components will cancel. Thus, by symmetry, we can argue that the net y component of the electric field, E_y, must be identically zero. We thus only need to evaluate the x component of $\vec{E}$:

$$E_x = \int dE\cos\theta = \int k\frac{dq}{R^2}\cos\theta$$

In order to solve this integral, we need to consider which variables change for different choices of the point charge dq. In this case, the distance R is the same anywhere along the semi-circle, so only θ changes with different choices of dq, as k is a constant. We

can express dq in terms of $d\theta$ and then use θ as the variable of integration (the variable that labels the different dq). $d\theta$ corresponds to a small change in the angle θ, and is the angle that is subtended by the charge dq. That is, the charge dq covers a small arc length, ds, of the semi-circle, which is related to $d\theta$ by:

$$ds = Rd\theta$$

The total charge on the wire is given by Q, and the wire has a length πR (half the circumference of a circle). Since the charge is distributed uniformly on the wire, the charge per unit length of any piece of wire must be constant. In particular, dq divided by ds must be equal to Q divided by πR:

$$\frac{dq}{ds} = \frac{Q}{\pi R}$$
$$\therefore dq = \frac{Q}{\pi R} ds = \frac{Q}{\pi} d\theta$$

where in the last equality we used the relation $ds = Rd\theta$. We now have all of the ingredients to solve the integral:

$$\begin{aligned} E_x &= \int k\frac{dq}{R^2}\cos\theta = \int_{-\pi/2}^{+\pi/2} k\frac{Q}{\pi R^2}\cos\theta d\theta \\ &= k\frac{Q}{\pi R^2}\int_{-\pi/2}^{+\pi/2}\cos\theta d\theta = k\frac{Q}{\pi R^2}\left[\sin\theta\right]_{-\pi/2}^{+\pi/2} \\ &= k\frac{2Q}{\pi R^2} \end{aligned}$$

The total electric field vector at the center of the circle is thus given by:

$$\vec{E} = k\frac{2Q}{\pi R^2}\hat{x}$$

Note that if we had not realized that we did not need to solve the integral for the y component, we would still find that it is zero:

$$E_y = -k\frac{Q}{\pi R^2}\int_{-\pi/2}^{+\pi/2}\cos\theta d\theta = -k\frac{Q}{\pi R^2}\left[-\cos\theta\right]_{-\pi/2}^{+\pi/2} = 0$$

In order to determine the electric field at some point from any continuous charge distribution, the procedure is generally the same:

1. Make a *good* diagram.
2. Choose a charge element dq.
3. Draw the electric field element, $d\vec{E}$, at the point of interest.
4. Write out the electric field element vector, $d\vec{E}$, in terms of dq and any other relevant variables.
5. Think of symmetry: will any of the component of $d\vec{E}$ sum to zero over all of the dq?
6. Write the total electric field as the sum (integral) of the electric field elements.
7. Identify which variables change as one varies the dq and choose an integration variable to express dq and everything else in terms of that variable and other constants.
8. Do the sum (integral).

Example 16.3.2

A ring of radius R carries a total charge $+Q$. Determine the electric field a distance a from the center of the ring, along the axis of symmetry of the ring.

Solution

In order to determine the electric field, we carry out the procedure outlined above, and start by drawing a good diagram, as in Figure 16.3.8, showing: our coordinate system, our choice of dq, the electric field element vector $d\vec{E}$ that corresponds to dq, and variables (r, θ) to specify the position of dq.

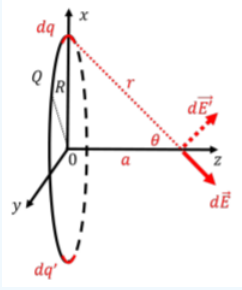

Figure 16.3.8: Determining the electric field on the axis of a ring of radius R carrying charge Q.

In this case, the figure is challenging to draw and visualize because of the three-dimensional nature of the problem. With the specific dq that we chose, the electric field element vector is given by:

$$d\vec{E} = -dE\sin\theta\hat{x} + 0\hat{y} + dE\cos\theta\hat{z}$$

where $d\vec{E}$ has magnitude:

$$dE = k\frac{dq}{r^2}$$

The x and z components of the total electric field will then be given by:

$$E_x = -\int dE\sin\theta = -\int k\frac{dq}{r^2}\sin\theta$$

$$E_z = \int dE\cos\theta = \int k\frac{dq}{r^2}\cos\theta$$

In general, if we had chosen a dq that is not along one of the axes of the coordinate system, the electric field element vector would have components in all three directions. However, if we consider the symmetry of the ring, we can note that once we sum together all of the electric field elements, only the z components will survive. Indeed, we have shown in Figure 16.3.8 that for each dq, there will be a dq' located on the opposite side of the ring that will create an electric field element that will cancel all but the z component of the field element from dq. We thus only need to consider the z components of the electric field elements when determining the total electric field:

$$\vec{E} = E_z\hat{z}$$

We now have to evaluate the integral for the z component of the electric field:

$$E_z = \int k\frac{dq}{r^2}\cos\theta$$

and determine which quantities change as we move dq around the ring. In this case, both r^2 and $\cos\theta$ are the same for all elements on the ring, and the integral is trivial:

$$E_z = k\frac{1}{r^2}\cos\theta\int dq = k\frac{Q}{r^2}\cos\theta = kQ\frac{a}{(R^2+a^2)^{\frac{3}{2}}}$$

where the integral $\int dq$ simply means "sum all of the charges dq together", which is equal to Q, the total charge on the ring. In the last equality, we replaced $\cos\theta$ with the variables a and R that are provided in the question.

Example 16.3.3

You have rubbed a glass rod with a silk cloth such that the glass rod has acquired a positive charge. The rod has a length, L, a negligible cross-section, and has acquired a total positive charge, $+Q$, that is uniformly distributed along the length of the rod. What is the electric field a distance R from the center of the rod?

Solution

In order to determine the electric field, we carry out the procedure outlined above, and start by drawing a good diagram, as in Figure 16.3.9, showing: our coordinate system, our choice of dq at a distance y above the center of the rod, the electric field element vector $d\vec{E}$ that corresponds to dq, and variables (y, r, θ) to specify the position of dq.

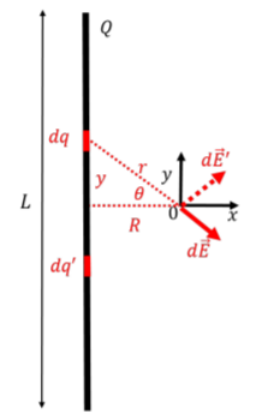

Figure 16.3.9: Determining the electric field a distance R from the center of a rod of length L carrying charge Q.

We define the origin to be located at the point where we want to determine the electric field, and the angle θ to be the angle between the horizontal and the position vector of dq. We can write the electric field element vector as:

$$d\vec{E} = dE\cos\theta\hat{x} - dE\sin\theta\hat{y}$$

where $d\vec{E}$ has magnitude:

$$dE = k\frac{dq}{r^2}$$

The x and y components of the total electric field will then be given by:

$$E_x = \int dE\cos\theta = \int k\frac{dq}{r^2}\cos\theta$$

$$E_y = -\int dE\sin\theta = -\int k\frac{dq}{r^2}\sin\theta$$

Again, before proceeding with the integrals, we consider symmetry. Specifically, if we consider a charge dq' located symmetrically about the x axis from dq (as illustrated in Figure 16.3.9), we see that the y component of the electric field element $d\vec{E}'$ that it creates will cancel the y component of $d\vec{E}$. For each choice of dq, there will exist a corresponding choice dq' which will result in the y component of the net electric field being zero. We thus only need to evaluate the x component of the total electric field:

$$\vec{E} = E_x\hat{x} = \left(\int k\frac{dq}{r^2}\cos\theta\right)\hat{x}$$

Within the integrand, both r and θ will change as we sum over the different charges dq along the rod. A straightforward option to write the integral is to use y as the integration constant, and to write dq, r, and $\cos\theta$ in terms of y. The charge dq covers an infinitesimal length of the rod, dy. Since the rod is uniformly charged, the charge per unit length must be the same over a small length dy as it is over the whole length of the rod:

$$\frac{dq}{dy} = \frac{Q}{L}$$

$$\therefore dq = \frac{Q}{L}dy$$

It is often useful to introduce a constant charge per unit length, $\lambda = \frac{Q}{L}$, so that we can write the charge dq as:

$$dq = \lambda dy$$

We can also express r^2 and $\cos\theta$ in terms of y (and R, which is constant):

$$\begin{aligned} r^2 &= y^2 + R^2 \\ \cos\theta = \frac{R}{r} &= \frac{R}{\sqrt{y^2+R^2}} \end{aligned}$$

Finally, we can combine this all into an integral that we can evaluate:

$$\begin{aligned} E_x &= \int k\frac{dq}{r^2}\cos\theta \\ &= k\int_{-L/2}^{L/2} \lambda \frac{1}{y^2+R^2}\frac{R}{\sqrt{y^2+R^2}}dy \\ &= kR\lambda \int_{-L/2}^{L/2} \frac{1}{\left(y^2+R^2\right)^{\frac{3}{2}}}dy \\ &= kR\lambda \left[\frac{y}{R^2\sqrt{y^2+R^2}}\right]_{-L/2}^{L/2} \\ \therefore E_x &= \frac{k\lambda}{R}\frac{L}{\sqrt{\left(\frac{L}{2}\right)^2+R^2}} \end{aligned}$$

If the rod were infinitely long (or very long compared to the distance R), the electric field becomes:

$$\lim_{L\to\infty} E_x = \frac{2k\lambda}{R}$$

By using the charge per unit length, λ, we were able to easily generalize our result to that expected for an infinitely long rod with uniform charge density.

Solving the integral above in terms of the integration variable y is difficult without some knowledge of integrals. For this specific integral, the easiest method to use from calculus is “trig substitution”. We show below how we can arrive at a much easier integral if we had instead chosen the angle θ as the integration variable instead of y, and we will see that this is a physical illustration of the “trig substitution method” from calculus!

We go back to step 7 in our procedure and choose θ (instead of y) as the integration variable for the integral:

$$E_x = \int k\frac{dq}{r^2}\cos\theta$$

That is, we need to express $1/r^2$ and dq in terms of θ. Referring to Figure 16.3.9, we have:

$$\begin{aligned} r &= \frac{R}{\cos\theta} \\ \therefore \frac{1}{r^2} &= \frac{\cos^2\theta}{R^2} \\ y &= R\tan\theta \\ \therefore dy &= \frac{dy}{d\theta}d\theta = \frac{R}{\cos^2\theta}d\theta \\ \therefore dq &= \lambda dy = \lambda\frac{R}{\cos^2\theta}d\theta \end{aligned}$$

The only difficulty is in determining the angle $d\theta$ subtended by dq, which was determined above by first relating dy and $d\theta$. With these substitutions, the integral becomes trivial:

$$E_x = \int k\frac{dq}{r^2}\cos\theta$$
$$= k\int_{-\theta_0}^{\theta_0} \lambda \frac{R}{\cos^2\theta}\frac{\cos^2\theta}{R^2}\cos\theta d\theta = \frac{k\lambda}{R}\int_{-\theta_0}^{\theta_0}\cos\theta d\theta = \frac{k\lambda}{R}\left[\sin\theta\right]_{-\theta_0}^{\theta_0}$$
$$= \frac{2k\lambda}{R}\sin\theta_0$$

where θ_0 is the angle subtended by half of the rod. Referring to Figure 16.3.9, we can easily see that:

$$\sin\theta_0 = \frac{L/2}{\sqrt{\left(\frac{L}{2}\right)^2 + R^2}}$$

So that the total electric field is given by:

$$E_x = \frac{2k\lambda}{R}\sin\theta_0 = \frac{k\lambda}{R}\frac{L}{\sqrt{\left(\frac{L}{2}\right)^2 + R^2}}$$

as found before. Furthermore, in the limit of an infinitely long rod, the angle θ_0 tends to $\frac{\pi}{2}$, so that the electric field becomes:

$$E_x = \lim_{\theta_0 \to \frac{\pi}{2}} \frac{2k\lambda}{R}\sin\theta_0 = \frac{2k\lambda}{R}$$

Discussion:

In this example, we saw how to apply the principle of superposition to determine the electric field near a finite and a infinite line of charge with constant charge per unit length. We showed that it was relatively straightforward to set up the integral in terms of dy, but not so easy to solve the integral. We then showed that by using θ as the integration variable, we could arrive at a much easier integral. This change of variable corresponds to a physical variable in our problem, but is also the basis for the more abstract "trig substitution" method used to solve integrals in calculus.

Example 16.3.4

Calculate the electric field a distance, a, above a infinite plane that carries uniform charge per unit area, σ.

Solution

In this case, we need to determine the field above an object that is two dimensional (a plane). In the previous examples (a ring, a line of charge), we modelled a one dimensional object (e.g. the line), as being made of many point charges (0-dimensional objects). We treated those point charges has having an infinitesimal length along the object so that we could sum them together to obtain the object (e.g. dy was the length of the charge for the rod/line of charge).

In order to model the two-dimensional object (the plane), we model it has being the sum of many one dimensional objects. We can model a plane either as a rectangle of width, W, and length, L, as shown in the left panel of Figure 16.3.10or as a disk of radius, R, as shown in the right panel. To model an infinite plane, we can then take the limit of either L and W going to infinity (rectangle), or of R going to infinity (disk). We can model the rectangle as being the sum of many lines of **finite** length, L, and infinitesimal width, dx. Similarly, we can model the disk as the sum of infinitesimally thin rings of **finite** radius, r, and thickness, dr. In both cases, we know how to model the field from a line of charge (*Example 16.3.3*) or from a ring (*Example 16.3.2*).

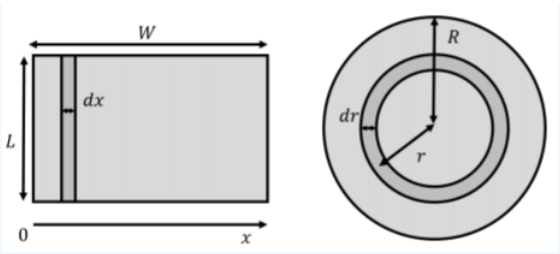

Figure 16.3.10: A two-dimensional object such as a plane modeled as a sum of infinitely thin lines (left panel) or as the sum of infinitely thin rings (right panel).

We proceed by modeling the plane as a disk made up of infinitesimal rings. Our infinitesimal charge, dq, is thus the charge on a ring of radius r and thickness dr, as illustrated in Figure 16.3.11.

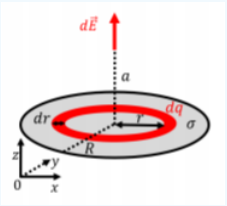

Figure 16.3.11: Modeling the field from a disk as the sum of fields from concentric thin rings.

We know from *Example 16.3.2* that the magnitude of the electric field a distance a from the center of the ring, along its axis of symmetry (the z axis in Figure 16.3.11), is given by:

$$dE = kdq\frac{a}{\left(r^2+a^2\right)^{\frac{3}{2}}}$$

By symmetry, for all of the different infinitesimal rings that make up the disk, the field will always point along the z axis. In order to determine the total field, we sum (integrate) the values of dE, over all of the rings, from a radius of $r = 0$ to a radius $r = R$. For each ring, the value of r will be different, so we need to express dq in terms of dr in order to perform the integral. We know that the plane has a uniform charge per unit area given by σ. The charge dq of an infinitesimal ring is given by:

$$dq = \sigma dA = \sigma 2\pi r dr$$

where $dA = 2\pi r dr$ is the area of the infinitesimal ring of radius r and thickness dr (think of unfolding the ring into a rectangle of height dr and length $2\pi r$, the circumference of the circle, in order to determine the area). We now have all of the ingredients in order to determine the total electric field:

$$E = \int dE = \int_0^R kdq\frac{a}{\left(r^2+a^2\right)^{\frac{3}{2}}} = 2\pi ka\sigma\int_0^R \frac{r}{\left(r^2+a^2\right)^{\frac{3}{2}}}dr$$

$$= 2\pi ka\sigma\left[\frac{-1}{\sqrt{r^2+a^2}}\right]_0^R = 2\pi k\sigma\left(1-\frac{a}{R^2+a^2}\right)$$

Finally, we can take the limit of $R \to \infty$ in order to get the electric field above an infinite plane:

$$E = \lim_{R\to\infty} 2\pi k\sigma\left(1-\frac{a}{R^2+a^2}\right) = 2\pi k\sigma = \frac{\sigma}{2\epsilon_0}$$

where we used ϵ_0 in the last equality as the result is a little cleaner without the factors of π. Note that for an infinite plane of charge, the electric field does not depend on the distance (our variable a) from the plane!

Discussion

In this example, we showed how we can model a two-dimensional charge distribution as the sum of one-dimensional charge distributions. In particular, we showed that an infinite plane of charge can be modeled as the sum of many lines charges or of many rings of charge (we chose the latter in the above). We also found that the electric field above an infinite plane of charge does not depend on the distance from the plane; that is, the electric field is constant above an infinite plane of charge.

josh's thoughts

A common source of confusion is the process of solving for the electric field produced by continuous charges. Point charges are well defined in space as being entirely contained within a single point, while continuous charges are objects which occupy 1, 2, or 3 dimensions. The electric field produced by point charges are easily modeled by $\vec{E} = \frac{kQ}{r^2}\hat{r}$, but the electric fields produced by continuous charges must usually be obtained from an integral.

When a charge is distributed, the charge on the object must be broken down into many small charges which are written as dq. From there, dq is rewritten in terms of a position variable over which it is convenient to integrate. Think of the position variable as a variable that you can use to distinguish charges, dq, located at different positions along the object.

For example, referring to Figure $16.3.12$ if I wanted to determine E at the top of a rod (left-hand panel), it would be most convenient for me to integrate over x, but if I wanted to determine E on the side of a rod, it would be most convenient to integrate over θ.

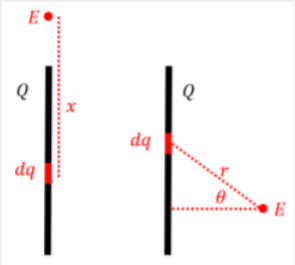

Figure 16.3.12: Calculating the electric field produced by a rod at different positions.

In order to determine the bounds of the integral, think of the range in position variable that is required in order to cover the entire object. I recommend paying close attention to *Examples 16.3.2*, *16.3.3*, and *16.3.4*, and attempting questions which require integration on the Question Library.

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16.4: The Electric Dipole

Electric dipoles are a specific combination of a positive charge $+Q$ held at a fixed distance, l, from an equal and opposite charge, $-Q$, as illustrated in Figure 16.4.1.

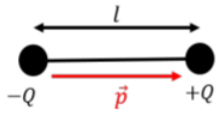

Figure 16.4.1: An electric dipole and its corresponding dipole vector, $\vec{p}$.

Dipoles can be represented by their "electric dipole vector" (or "electric dipole moment"), $\vec{p}$, defined to point in the direction **from the negative charge to the positive charge**, with magnitude:

$$p = Ql$$

Dipoles arise often in nature, for example, a water molecule can be modeled as a dipole, because the two hydrogen atoms are not symmetrically arranged around the oxygen atom. The electrons in a water molecule tend to stay closer to the oxygen atom, which acquires an excess of 2 electrons, while each proton has a deficit of 1 electron, resulting in a separation of charge (polarization), which can be modeled as a an electric dipole, as in Figure 16.4.2.

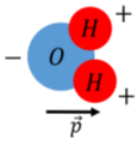

Figure 16.4.2: A water molecule can be modeled as an electric dipole.

When a dipole is immersed in a uniform electric field, as illustrated in Figure 16.4.3, the net force on the dipole is zero because the force on the positive charge will always be equal and in the opposite direction from the force on the negative charge.

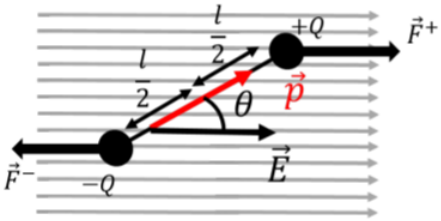

Figure 16.4.3: An electric dipole in a uniform electric field.

Although the net force on the dipole is zero, there is still a net torque about its center that will cause the dipole to rotate (unless the dipole vector is already parallel to the electric field vector). If the dipole vector makes an angle, θ, with the electric field vector (as in Figure 16.4.3), the magnitude of the net torque on the dipole about an axis perpendicular to the page and through the center of the dipole is given by:

$$\tau = \frac{l}{2}F^{+}\sin\theta + \frac{l}{2}F^{-}\sin\theta = \frac{l}{2}QE\sin\theta + \frac{l}{2}QE\sin\theta = QlE\sin\theta = pE\sin\theta$$

In Figure 16.4.3, the torque vector is into the page (the forces will make it rotate clockwise), which is the same direction as the cross product, $\vec{p}\times\vec{E}$. Note that the magnitude of the torque is also equal to the magnitude of the cross product. Thus, in general, the torque vector on a dipole, $\vec{p}$, from an electric field, $\vec{E}$, is given by:

$$\vec{\tau} = \vec{p}\times\vec{E} \tag{16.4.1}$$

In particular, note that the torque is zero when the dipole and electric field vectors are parallel. Thus, a dipole will always experience a torque that tends to align it with the electric field vector. The dipole is thus in a stable equilibrium when it is parallel to the electric field.

? Exercise 16.4.1

When an electric dipole is such that its dipole vector is anti-parallel to the electric field vector, the dipole is

A. not in equilibrium.
B. in a stable equilibrium.
C. in an unstable equilibrium.

Answer

We can also model the behavior of the dipole using energy. If a dipole is rotated away from its equilibrium orientation and then released, it will gain (rotational) kinetic energy as it tries to return to equilibrium, and will oscillate about the equilibrium position. When the dipole is held out of equilibrium, we can think of it has having potential energy. To determine the functional form of that potential energy function, we consider the work done in rotating the dipole from an angle θ_1 to an angle θ_2 (where the angle is between the dipole and the electric field vectors):

$$\begin{aligned} W &= \int_{\theta_1}^{\theta_2} \tau d\theta = \int_{\theta_1}^{\theta_2} -pE\sin\theta d\theta = -pE\int_{\theta_1}^{\theta_2} \sin\theta d\theta \\ &= pE[\cos\theta]_{\theta_1}^{\theta_2} = pE\cos\theta_2 - pE\cos\theta_1 \end{aligned}$$

where the negative sign in the torque is to indicate that the torque is in the opposite direction from increasing θ (in Figure 16.4.3, the torque is clockwise whereas the angle θ increases counter-clockwise). The net work done in going from position θ_1 to θ_2 is the negative of the change in potential energy in going from θ_1 to θ_2. Thus, we define the potential energy of an electric dipole, $\vec{p}$, in an electric field, $\vec{E}$, as:

$$U = -pE\cos\theta = -\vec{p}\cdot\vec{E} \tag{16.4.2}$$

which has a negative sign, and we also recognize that this is equivalent to the scalar product between $\vec{p}$ and $\vec{E}$. Note that the negative sign makes sense because systems experience a force/torque that will decrease their potential energy. When the angle is zero, $\cos\theta = 1$, is maximal. Since we need the position with $\theta = 0$ to have the lowest potential energy, the minus sign guarantees that all values of θ other than zero will give a potential energy that is higher (greater than $(-1)pE$. Remember that only changes in potential energy are relevant, so the minus sign should not bother you, although you should think about whether it makes sense.

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16.5: Summary

Key Takeaways

Objects can acquire a net charge if they acquire a net excess or deficit of electrons. Charges are never created, they are only transferred from one object to another. One can charge an object by friction, conduction, or induction. Materials can be classified broadly as conductors, where electrons can move freely in a material, or conductors, in which electrons remain tightly bound to the atoms in the material. If a conducting object acquires a net charge, those charges will migrate to the surface of the conductor.

Coulomb was the first to quantitatively describe the electric force exerted on a point charge, Q_1, by a second point charge, Q_2, located a distance, r, away:

$$\vec{F}_{12} = k\frac{Q_1 Q_2}{r^2}\hat{r}_{21} = \frac{1}{4\pi\epsilon_0}\frac{Q_1 Q_2}{r^2}\hat{r}_{21}$$

where $\hat{r}_{21}$ is the unit vector from Q_2 to Q_1. One can write the force using either Coulomb's constant, k, or the permitivity of free space, ϵ_0. Coulomb's force is attractive if the product $Q_1 Q_2$ is negative, and repulsive if the product is positive. Thus, charges of the same sign exert a repulsive force on each other, whereas opposite charges exert an attractive for on each other.

Mathematically, Coulomb's Law is identical to the gravitational force in Newton's Universal Theory of Gravity, which implies that it is conservative. The electric field vector at some position in space is defined to be the electric force per unit charge at that position in space. That is, at some position in space where the electric field vector is $\vec{E}$, a charge, q, will experience an electric force:

$$\vec{F} = q\vec{E}$$

much like a mass, m, will experience a gravitational force, $m\vec{g}$, in a position in space where the gravitational field is $\vec{g}$. A positive charge will experience a force in the same direction as the electric field, whereas a negative charge will experience a force in the direction opposite of the electric field. The electric field at position, $\vec{r}$, from a point charge, Q, located at the origin, is given by:

$$\vec{E} = k\frac{Q}{r^2}\hat{r}$$

One can visualize an electric field by using "field lines". The field vector at any point in space has a magnitude that is proportional to the number of field lines at that point, and a direction that is tangent to the field lines at that point.

We can model the electric field from a continuous charged object (i.e. not a point charge) by modeling the object as being made up of many point charges. Often, it is easiest to model an N-dimensional object as being the sum of objects of dimension $N-1$ and an infinitesimal length in the remaining dimension. For example, we modeled a line of charge as the sum of point charges that have an infinitesimal length, and we modeled a disk of charge as the the sum of rings that have an infinitesimal thickness. In general, the strategy to model the electric field from a continuous distribution of charge is the same:

1. Make a *good* diagram.
2. Choose a charge element dq.
3. Draw the electric field element, $d\vec{E}$, at the point of interest.
4. Write out the electric field element vector, $d\vec{E}$, in terms of dq and any other relevant variables.
5. Think of symmetry: will any of the component of $d\vec{E}$ sum to zero over all of the dq?
6. Write the total electric field as the sum (integral) of the electric field elements.
7. Identify which variables change as one varies the dq and choose an integration variable to express dq and everything else in terms of that variable and other constants.
8. Do the sum (integral).

Finally, we introduced the electric dipole, which is an object comprised of two equal and opposite charges, $+Q$ and $-Q$, held at fixed distance, l, from each other. One can model an electric dipole using its dipole vector, $\vec{p}$, defined to point in the direction from $-Q$ to $+Q$, with magnitude:

$$p = Ql$$

When a dipole is immersed in a uniform electric field, $\vec{E}$, it will experience a torque given by:

$$\vec{\tau} = \vec{p} \times \vec{E}$$

The torque will act such as to align the vector $\vec{p}$ with the electric field vector. We can define a potential energy, U, to model the energy that is stored in a dipole when it is not aligned with the electric field:

$$U = -\vec{p} \cdot \vec{E}$$

The point of lowest potential energy corresponds to the case when $\vec{p}$ and $\vec{E}$ are parallel, whereas the point of highest potential energy is when the two vectors are anti-parallel.

Important Equations

Electric field:

$$\vec{E} = k\frac{Q}{r^2}\vec{r}$$

$$\vec{E} = \int d\vec{E}$$

Electric force:

$$\vec{F} = q\vec{E}$$

Electric dipole moment:

$$p = Ql$$

Torque on a dipole:

$$\vec{\tau} = \vec{p} \times \vec{E}$$

Potential energy stored in a dipole:

$$U = -\vec{p} \cdot \vec{E}$$

Important Definitions

Definition

Charge: An object will have a charge if it has an excess or deficit of electrons. SI units: $[\mathrm{C}]$. Common variable(s): Q, q.

Definition

Electric field: The electric field is defined to be the electric force per unit charge. SI units: $[\mathrm{N/C}, \mathrm{V/m}]$. Common variable(s): $\vec{E}$.

Definition

Coulomb's constant: A fundamental physical constant which relates charge and distance to electric field. SI units: $[\mathrm{Nm}^2 C^{-2}]$. Common variable(s): k.

Definition

Electric dipole moment: A vector used to represent an electric dipole. SI units: $[\mathrm{Cm}]$. Common variable(s): $\vec{p}$.

Definition

Linear charge density: The charge per unit length of an object. SI units: $[\mathrm{C/m}]$. Common variable(s): λ.

Definition

Surface charge density: The charge per unit area of an object. SI units: $[\mathrm{Cm}^{-2}]$. Common variable(s): σ.

Definition

Volume charge density: The charge per unit volume of an object. SI units: $[\mathrm{Cm}^{-3}]$. Common variable(s): ρ.

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16.6: Thinking about the material

Reflect and research

1. Which molecule has the largest dipole moment? Why?
2. How does a laser printer exploit physical properties covered in this chapter?
3. How does a Van de Graff generator work?
4. On the 20th of May, 2019, SI base units were redefined. How does this affect Coulomb's constant?

To try at home

1. Rub your hands or feet along various household items to test their electron affinity. Which household items produce a static charge?
2. After charging your body, research the electron affinity of the surface you used to charge yourself. Knowing this, how many electrons were transferred while you charged yourself?

To try in the lab

1. Propose an experiment to measure the Coulomb's constant.
2. Propose an experiment to organize various materials based on their electron affinity.

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16.7: Sample problems and solutions

Exercise 16.7.1

Consider three charged rods of length L which are arranged to form a triangle, as shown in Figure 16.7.1. If the charge on each rod is evenly distributed, what is the net electric field at the center of the triangle?

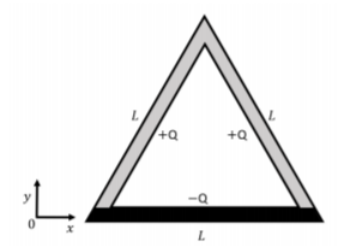

Figure 16.7.1: A triangle made up of charged rods.

Answer

We can model the object as the sum of three finite length wires of the length, L. In *Example 16.3.3*, we determined that the electric field produced by a finite wire is:

$$E = \frac{2k\lambda}{R}\sin\theta_0$$

We can determine geometrically that $\theta_0 = \frac{\pi}{6}$, as in Figure 16.7.2 The distance, R:

$$R = \frac{L}{2}\sin\theta_0$$

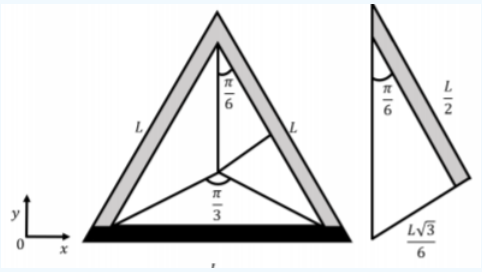

Figure 16.7.2

Thus, the field from one wire is given by:

$$E = \frac{2k\lambda}{R}\sin(\frac{\pi}{6})$$

$$E = \frac{k\lambda}{R}$$

Given that the charge Q is evenly distributed along the rod of length L, we can rewrite the charge density as $\frac{Q}{L}$, which gives:

$$E = \frac{kQ}{RL} = \frac{kQ}{\frac{L\sqrt{3}}{6}L} = \frac{6kQ}{\sqrt{3}L^2}$$

This is the magnitude of the electric field for each side of the triangle. The two positive wires will produce electric fields whose vertical components cancel. The negative wire will produce a field that points downwards. Summing together the electric field vectors:

$$\sum \vec{E} = \frac{6kQ}{\sqrt{3}L^2}\left(\cos\left(\frac{\pi}{6}\right) - \cos\left(\frac{\pi}{6}\right)\right)\hat{x} + \frac{6kQ}{\sqrt{3}L^2}\left(-1 - 2\sin\left(\frac{\pi}{6}\right)\right)\hat{y}$$

$$\sum \vec{E} = -\frac{12kQ}{\sqrt{3}L^2}\hat{y}$$

Which is the final answer.

Exercise 16.7.2

Suppose a dipole is in an electric field $\vec{E}$. Show that the dipole will experience simple harmonic motion if the angle between the dipole vector and the electric field vector is small.

Answer

The only net torque on the dipole is from the force from the electric field:

$$\tau = -pE\sin\theta$$

where we have inserted a minus sign to indicate that this is a restoring torque, in the opposite direction of increasing angle θ. The net torque is equal to the moment of inertia times the angular acceleration:

$$-pE\sin\theta = I\alpha$$

$$\therefore \alpha = -\frac{pE}{I}\sin\theta \sim -\frac{pE}{I}\theta$$

where in the last equality, we made the small angle approximation ($\sin\theta \sim \theta$). This has the form for simple harmonic motion:

$$\frac{d^2\theta}{dt^2} = -\omega^2\theta$$

$$\omega = \sqrt{\frac{pE}{I}}$$

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CHAPTER OVERVIEW

17: Gauss' Law

Learning Objectives

- Understand the concept of flux for a vector field.
- Understand how to calculate the flux of a vector field through an open and a closed surface.
- Understand how to apply Gauss' Law quantitatively to determine an electric field.
- Understand how to apply Gauss' Law qualitatively to discuss charges on a conductor.

In this chapter, we take a detailed look at Gauss' Law applied in the context of the electric field. We have already encountered Gauss' Law briefly in Section 9.2 when we examined the gravitational field. Since the electric force is mathematically identical to the gravitational force, we can apply the same tools, including Gauss' Law, to model the electric field as we do the gravitational field. Many of the results from this chapter are thus equally applicable to the gravitational force.

prelude

A neutral spherical conducting shell encloses a point charge, Q, located at the center of the shell. Due to separation of charge, the outer surface of the shell will acquire a net positive charge. What is the magnitude of that charge?

- less than Q.
- exactly Q.
- more than Q.

17.1: Flux of the Electric Field
17.2: Gauss' Law
17.3: Charges in a Conductor
17.4: Interpretation of Gauss' Law and vector calculus
17.5: Summary
17.6: Thinking about the Material
17.7: Sample problems and solutions

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17.1: Flux of the Electric Field

Gauss' Law makes use of the concept of "flux". Flux is always defined based on:

- A surface.
- A vector field (e.g. the electric field).

and can be thought of as a measure of the number of field lines from the vector field that cross the given surface. For that reason, one usually refers to the "flux of the electric field through a surface". This is illustrated in Figure 17.1.1 for a uniform horizontal electric field, and a flat surface, whose normal vector, $\vec{A}$, is shown. If the surface is perpendicular to the field (left panel), and the field vector is thus parallel to the vector, $\vec{A}$, then the flux through that surface is maximal. If the surface is parallel to the field (right panel), then no field lines cross that surface, and the flux through that surface is zero. If the surface is rotated with respect to the electric field, as in the middle panel, then the flux through the surface is between zero and the maximal value.

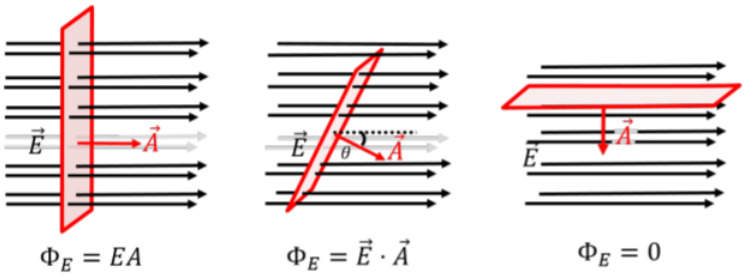

Figure 17.1.1: Flux of an electric field through a surface that makes different angles with respect to the electric field. In the leftmost panel, the surface is oriented such that the flux through it is maximal. In the rightmost panel, there are no field lines crossing the surface, so the flux through the surface is zero.

We define a vector, $\vec{A}$, associated with the surface such that the magnitude of $\vec{A}$ is equal to the area of the surface, and the direction of $\vec{A}$ is such that it is perpendicular to the surface, as illustrated in Figure 17.1.1. We define the flux, Φ_E, of the electric field, $\vec{E}$, through the surface represented by vector, $\vec{A}$, as:

$$\Phi_E = \vec{E} \cdot \vec{A} = EA\cos\theta$$

since this will have the same properties that we described above (e.g. no flux when $\vec{E}$ and $\vec{A}$ are perpendicular, flux proportional to number of field lines crossing the surface). Note that the flux is only defined up to an overall sign, as there are two possible choices for the direction of the vector $\vec{A}$, since it is only required to be perpendicular to the surface. By convention, we usually choose $\vec{A}$ so that the flux is positive.

? Exercise 17.1.1

What are the S.I. units of electric flux?

A. $\mathrm{N \cdot m/C}$
B. $\mathrm{V \cdot m}$
C. $\mathrm{V/m}$
D. The units of flux depend on the dimensions of the charged object.

Answer

The units of flux depend on the dimensions of the charged object.

✓ Example 17.1.1

A uniform electric field is given by: $\vec{E} = E\cos\theta\hat{x} + E\sin\theta\hat{y}$ throughout space. A rectangular surface is defined by the four points $(0,0,0)$, $(0,0,H)$, $(L,0,0)$, $(L,0,H)$. What is the flux of the electric field through the surface?

Solution

The surface that is defined corresponds to a rectangle in the xz plane with area $A = LH$. Since the rectangle lies in the xz plane, a vector perpendicular to the surface will be along the y direction. We choose the positive y direction, since this will give a positive number for the flux (as the electric field has a positive component in the y direction). The vector $\vec{A}$ is given by:

$$\vec{A} = A\hat{y} = LH\hat{y}$$

The flux through the surface is thus given by:

$$\begin{aligned}\Phi_E &= \vec{E}\cdot\vec{A} = (E\cos\theta\hat{x} + E\sin\theta\hat{y})\cdot(LH\hat{y}) \\ &= ELH\sin\theta\end{aligned}$$

where one should note that the angle θ, in this case, is not the angle between $\vec{E}$ and $\vec{A}$, but rather the complement of that angle.

Discussion

In this example, we calculated the flux of a uniform electric field through a rectangle of area, $A = LH$. Since we knew the components of both the electric field vector, $\vec{E}$, and the surface vector, $\vec{A}$, we used their scalar product to determine the flux through the surface. In some cases, it is easier to work with the magnitude of the vectors and the angle between them to determine the scalar product (although note that in this example, the angle between $\vec{E}$ and $\vec{A}$ is $90^\circ - \theta$).

Non-uniform fields

So far, we have considered the flux of a uniform electric field, $\vec{E}$, through a surface, S, described by a vector, $\vec{A}$. In this case, the flux, Φ_E, is given by:

$$\Phi_E = \vec{E}\cdot\vec{A}$$

However, if the electric field is not constant in magnitude and/or in direction over the entire surface, then we divide the surface, S, into many infinitesimal surfaces, dS, and sum together (integrate) the fluxes from those infinitesimal surfaces:

$$\Phi_E = \int \vec{E}\cdot d\vec{A} \tag{17.1.1}$$

where, $d\vec{A}$, is the normal vector for the infinitesimal surface, dS. This is illustrated in Figure 17.1.2, which shows, in the left panel, a surface for which the electric field changes magnitude along the surface (as the field lines are closer in the lower left part of the surface), and, in the right panel, a scenario in which the direction and magnitude of the electric field vary along the surface.

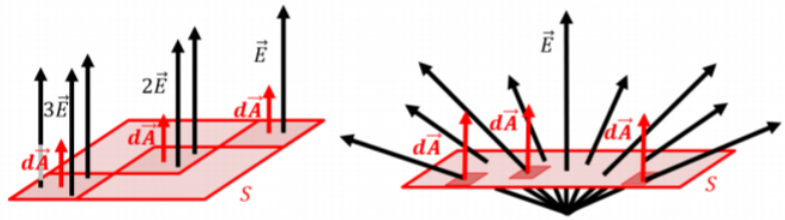

Figure 17.1.2: Examples of surfaces that need to be sub-divided in order to determine the net flux through them. The surface on the left must be subdivided because the electric field changes magnitude over the surface, whereas the one on the right needs to be subdivided because the angle between $\vec{E}$ and $d\vec{A}$ is not constant (and the magnitude of $\vec{E}$ also changes along the surface).

In order to calculate the flux through the total surface, we first calculate the flux through an infinitesimal surface, dS, over which we assume that $\vec{E}$ is constant in magnitude and direction, and then, we sum (integrate) the fluxes from all of the infinitesimal surfaces together. Remember, the flux through a surface is related to the number of field lines that cross that surface; it thus makes sense to count the lines crossing an infinitesimal surface, dS, and then adding those together over all the infinitesimal surfaces to determine the flux through the total surface, S.

Example 17.1.2

An electric field points in the z direction everywhere in space. The magnitude of the electric field depends linearly on the x position in space, so that the electric field vector is given by: $\vec{E} = (a - bx)\hat{z}$, where, a, and, b, are constants. What is the flux of the electric field through a square of side, L, that is located in the positive xy plane with one of its corners at the origin? We need to calculate the flux of the electric field through a square of side L in the xy plane. The electric field is always in the z direction, so the angle between $\vec{E}$ and $d\vec{A}$ (the normal vector for any infinitesimal area element) will remain constant.

Solution

We can calculate the flux through the square by dividing up the square into thin strips of length L in the y direction and infinitesimal width dx in the x direction, as illustrated in Figure 17.1.3. In this case, because the electric field does not change with y, the dimension of the infinitesimal area element in the y direction is finite (L). If the electric field varied both as a function of x and y, we would start with area elements that have infinitesimal dimensions in both the x and the y directions.

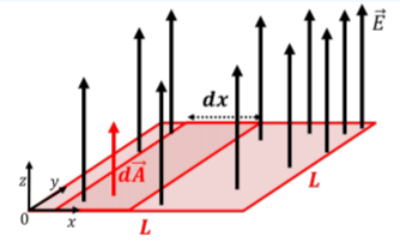

Figure 17.1.3: Dividing a square in the xy plane into thin strips of length L and width dx.

As illustrated in Figure 17.1.3, we first calculate the flux through a thin strip of area, $dA = Ldx$, located at position x along the x axis. Choosing, $d\vec{A}$, in the direction to give a positive flux, the flux through the strip that is illustrated is given by:

$$d\Phi_E = \vec{E} \cdot d\vec{A} = EdA = (ax - b)Ldx$$

where $\vec{E} \cdot d\vec{A} = EdA$, since the angle between $\vec{E}$ and $\vec{A}$ is zero. Summing together the fluxes from the strips, from $x = 0$ to $x = L$, the total flux is given by:

$$\Phi_E = \int d\Phi_E = \int_0^L (ax - b)Ldx = \frac{1}{2}aL^3 - bL^2$$

Discussion

In this example, we showed how to calculate the flux from an electric field that changes magnitude with position. We modeled a square of side, L, as being made of many thin strips of length, L, and width, dx. We then calculated the flux through each strip and added those together to obtain the total flux through the square.

Closed surfaces

One can distinguish between a “closed” surface and an “open” surface. A surface is closed if it completely defines a volume that could, for example, be filled with a liquid. A closed surface has a clear “inside” and an “outside”. For example, the surface of a sphere, of a cube, or of a cylinder are all examples of closed surfaces. A plane, a triangle, and a disk are, on the other hand, examples of “open surfaces”.

For a closed surface, one can unambiguously define the direction of the vector $\vec{A}$ (or $d\vec{A}$) as the direction that it is perpendicular to the surface and **points towards the outside**. Thus, the sign of the flux out of a closed surface is meaningful. The flux will be positive if there is a net number of field lines exiting the volume defined by the surface (since $\vec{E}$ and $\vec{A}$ will be parallel on average) and the flux will be negative if there is a net number of field lines entering the volume (as $\vec{E}$ and $\vec{A}$ will be anti-parallel on average). The flux through a closed surface is thus zero if the number of field lines that enter the surface is the same as the number of field lines that exit the surface.

When calculating the flux over a closed surface, we use a different integration symbol to show that the surface is closed:

$$\Phi_E = \oint \vec{E} \cdot d\vec{A}$$

which is the same integration symbol that we used for indicating a path integral when the initial and final points are the same (see for example Section 8.1).

? Exercise 17.1.2

Figure 17.1.4: A non-uniform electric field flowing through an irregularly shaped closed surface.

A non-uniform electric field $\vec{E}$ flows through an irregularly-shaped closed surface, as shown in Figure 17.1.4 The flux through the surface is

A. positive.
B. zero.
C. negative.

Answer

✓ Example 17.1.3

A negative electric charge, $-Q$, is located at the origin of a coordinate system. Calculate the flux of the electric field through a spherical surface of radius, R, that is centerd at the origin.

Solution

Figure 17.1.5 shows the spherical surface of radius, R, centerd on the origin where the charge $-Q$ is located.

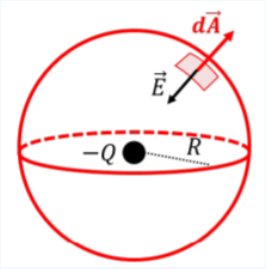

Figure 17.1.5: Calculating the flux through a spherical surface.

At all points along the surface, the electric field has the same magnitude:

$$E = \frac{1}{4\pi\epsilon_0}\frac{Q}{R^2}$$

as given by Coulomb's law for a point charge. Although the vector, $\vec{E}$, changes direction everywhere along the surface, it always makes the same angle (-180) with the corresponding vector, $d\vec{A}$, at any particular location. Indeed, for a point charge, the electric field points in the radial direction (inwards for a negative charge) and is thus perpendicular to the spherical surface

at all points. Since the surface is closed, the vector, $d\vec{A}$, points outwards anywhere on the surface. Thus, at any point on the surface, we can evaluate the flux through an infinitesimal area element, $d\vec{A}$:

$$d\Phi_E = \vec{E} \cdot d\vec{A} = EdA\cos(-180^\circ) = -EdA$$

where the overall minus sign comes from the fact that, $\vec{E}$, and, $d\vec{A}$, are anti-parallel. The total flux through the spherical surface is obtained by summing together the fluxes through each area element:

$$\Phi_E = \oint d\Phi_E = \oint -EdA = -E\oint dA = -E(4\pi R^2)$$

where we factored, E, out of the integral, since the magnitude of the electric field is constant over the entire surface (a constant distance R from the charge). In the last equality, we recognized that, $\oint dA$, simply means "sum together all of the areas, dA, of the surface elements", which gives the total surface area of the sphere, $4\pi R^2$. The flux through the spherical surface is negative, because the charge is negative, and the field lines point towards $-Q$.

Using the value that we obtained for the magnitude of the electric field from Coulomb's Law, the total flux is given by:

$$\Phi_E = -E(4\pi R^2) = -\frac{1}{4\pi\epsilon_0}\frac{Q}{R^2}(4\pi R^2) = -\frac{Q}{\epsilon_0}$$

which, surprisingly, is independent of the radius of the spherical surface. Note that we used ϵ_0 instead of Coulomb's constant, k, since the result is cleaner without the extra factor of 4π.

Discussion

In this example, we calculated the flux of the electric field from a negative point charge through a spherical surface concentric with the charge. We found the flux to be negative, which makes sense, since the field lines go towards a negative charge, and there is thus a net number of field lines entering the spherical surface. Perhaps surprisingly, we found that the total flux through the surface does not depend on the radius of the surface! In fact, that statement is precisely Gauss' Law: the net flux out of a closed surface depends only on the amount of charge enclosed by that surface (and the constant, ϵ_0). Gauss' Law is of course more general, and applies to surfaces of any shape, as well as charges of any shape (whereas Coulomb's Law only holds for point charges).

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17.2: Gauss' Law

Gauss' Law is a relation between the net flux through a closed surface and the amount of charge, Q^{enc}, in the volume enclosed by that surface:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0} \tag{17.2.1}$$

In particular, note that Gauss' Law holds true for **any** closed surface, and the shape of that surface is not specified in Gauss' Law. That is, we **can always choose the surface to use** when calculating the flux. For obvious reasons, we often call the surface that we choose a "gaussian surface". But again, this surface is simply a mathematical tool, there is no actual property that makes a surface "gaussian"; it simply means that we chose that surface in order to apply Gauss' Law. In *Example 17.1.3* above, we confirmed that Gauss' Law is compatible with Coulomb's Law for the case of a point charge and a spherical gaussian surface.

Physically, Gauss' Law is a statement that field lines must begin or end on a charge (electric field lines originate on positive charges and terminate on negative charges). Recall, flux is a measure of the net number of lines coming out of a surface. If there is a net number of lines coming out of a closed surface (a positive flux), that surface must enclose a positive charge from where those field lines originate. Similarly, if there are the same number of field lines entering a closed surface as there are lines exiting that surface (a flux of zero), then the surface encloses no charge. Gauss' Law simply states that the number of field lines exiting a closed surface is proportional to the amount of charge enclosed by that surface.

Primarily, Gauss' Law is a useful tool to determine the magnitude of the electric field from a given charge, or charge distribution. We usually have to use symmetry to determine the direction of the electric field vector. In general, the integral for the flux is difficult to evaluate, and Gauss' Law can only be used analytically in cases with a high degree of symmetry. Specifically, the integral for the flux is easiest to evaluate if:

1. **The electric field makes a constant angle with the surface**. When this is the case, the scalar product can be written in terms of the cosine of the angle between $\vec{E}$ and $d\vec{A}$, which can be taken out of the integral if it is constant:

   $$\oint \vec{E} \cdot d\vec{A} = \oint E \cos\theta dA = \cos\theta \oint E dA$$

   Ideally, one has chosen a surface such that this angle is 0 or 180°.

2. **The electric field is constant in magnitude along the surface**. When this is the case, the integral can be simplified further by factoring out, E, and simply becomes an integral over dA (which corresponds to the total area of the surface, A):

   $$\oint \vec{E} \cdot d\vec{A} = \cos\theta \oint E dA = E \cos\theta \oint dA = EA\cos\theta$$

Ultimately, the points above should dictate the choice of gaussian surface **so that** the integral for the flux is easy to evaluate. The choice of surface will depend on the symmetry of the problem. For a point (or spherical) charge, a spherical gaussian surface allows the flux to easily be calculated (*Example 17.1.3*). For a line of charge, as we will see, a cylindrical surface results is a good choice for the gaussian surface. Broadly, the steps for applying Gauss' Law to determine the electric field are as follows:

1. Make a diagram showing the charge distribution.
2. Use symmetry arguments to determine in which way the electric field vector points.
3. Choose a gaussian surface that goes through the point for which you want to know the electric field. Ideally, the surface is such that the electric field is constant in magnitude and always makes the same angle with the surface, so that the flux integral is straightforward to evaluate.
4. Calculate the flux, $\oint \vec{E} \cdot d\vec{A}$.
5. Calculate the amount of charge located within the volume enclosed by the surface, Q^{enc}.
6. Apply Gauss' Law, $\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$.

Example 17.2.1

An insulating sphere of radius, R, contains a total charge, Q, which is uniformly distributed throughout its volume. Determine an expression for the electric field as a function of distance, r, from the center of the sphere.

Solution

Note that this is identical, mathematically, to the derivation that was done in Section 9.2 for the case of gravity.

When applying Gauss' Law, we first need to think about symmetry in order to determine the direction of the electric field vector. We also need to think about all possible regions of space in which we need to determine the electric field. In particular, for this case, we need to determine the electric field both inside ($r \leq R$) and outside ($r \geq R$) of the charged sphere.

Figure 17.2.1 shows the charged sphere of radius R. If we consider the direction of the electric field outside the sphere (where $\vec{E}_{out}$ is drawn), we realize that it can only point in the radial direction (towards or away from the center of the sphere), as this is the only choice that preserves the symmetry of the sphere. Being a sphere, the charge looks the same from all angles; thus, the electric field must also look the same from all angles, otherwise, there would be a preferred orientation for the sphere. The same argument holds for the electric field vector inside the sphere (drawn as $\vec{E}_{in}$).

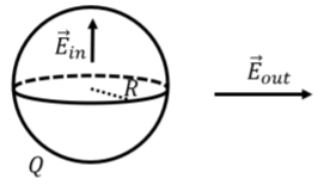

Figure 17.2.1: *For a spherical charge distribution, the electric field inside and outside must point n the radical direction, by symmetry.*

We now need to choose a gaussian surface that will make the flux integral easy to evaluate. Ideally, we can find a surface over which the electric field makes the same angle with the surface and over which the electric field is constant in magnitude. Again, based on the symmetry of the charge distribution, it is clear that a spherical surface of radius, r, will satisfy these properties.

We start by applying Gauss' Law outside the charge (with $r \geq R$) to determine the electric field, $\vec{E}_{out}$. Figure 17.2.2 shows our choice of spherical gaussian surface (labeled S) of radius, r, which is concentric with the spherical charge distribution of radius, R, and total charge, $+Q$.

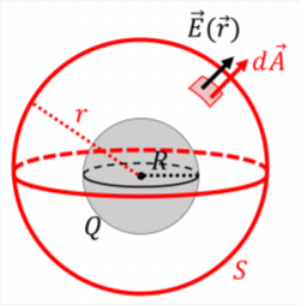

Figure 17.2.2: A spherical gaussian surface to determine the electric field outside of a sphere of radius, R, holding charge, $+Q$.

In order to apply Gauss' Law, we need to calculate:

- the net flux through the surface.
- the charge in the volume enclosed by the surface.

The net flux through the surface is found in the same way as in *Example 17.1.3*, and is given by:

$$\Phi_E = \oint \vec{E} \cdot d\vec{A} = \oint E dA = E \oint dA = E(4\pi r^2)$$

where our choice of spherical surface led to $\vec{E} \cdot d\vec{A} = EdA$, since $\vec{E}$ and $d\vec{A}$ are always parallel. Furthermore, by symmetry, the electric field must be constant in magnitude along the whole surface, or the spherical symmetry would be broken. This allowed us to factor the E out of the integral, leaving us with, $\oint dA$, which is simply the area of our gaussian spherical surface, $4\pi r^2$.

The gaussian surface with $r \geq R$ encloses the whole charged sphere, so the charge enclosed is simply the charge of the sphere, $Q^{enc} = Q$. Applying Gauss' Law allows us to determine the magnitude of the electric field:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$E(4\pi r^2) = \frac{Q}{\epsilon_0}$$

$$\therefore E = \frac{1}{4\pi\epsilon_0}\frac{Q}{r^2}$$

which is the same as the electric field a distance r from a point charge. Thus, from the outside, a spherical charge distribution leads to the same electric field as if the charge were concentrated at the center of the sphere.

Next, we determine the magnitude of the electric field inside the charged sphere. In this case, we choose a spherical gaussian surface of radius $r \leq R$, that is concentric with the sphere, as illustrated by the surface labeled, S, that is shown in Figure 17.2.3

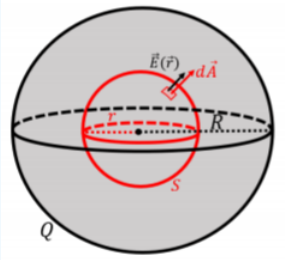

Figure 17.2.3: A spherical gaussian surface to determine the electric field inside of a sphere of radius, R, holding charge, $+Q$.

The flux integral is trivial again, since the electric field always makes the same angle with the gaussian surface, and the magnitude of the electric field is constant in magnitude along the surface:

$$\Phi_E = \oint \vec{E} \cdot d\vec{A} = \oint E dA = E \oint dA = E(4\pi r^2)$$

In this case, however, the charge in the volume enclosed by the gaussian surface is less than Q, since the whole charge is not enclosed. We are told that the charge is distributed uniformly throughout the spherical volume of radius R. We can thus define a volume charge density, ρ, (charge per unit volume) for the sphere:

$$\rho = \frac{Q}{V} = \frac{Q}{\frac{4}{3}\pi R^3}$$

The volume enclosed by the gaussian surface is $\frac{4}{3}\pi r^3$, thus, the charge, Q^{enc}, contained in that volume is given by:

$$Q^{enc} = \frac{4}{3}\pi r^3 \rho = \frac{4}{3}\pi r^3 \frac{Q}{\frac{4}{3}\pi R^3} = Q\frac{r^3}{R^3}$$

Finally, we apply Gauss' Law to find the magnitude of the electric field inside the sphere:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$E(4\pi r^2) = \frac{Q}{\epsilon_0}\frac{r^3}{R^3}$$

$$\therefore E = \frac{Q}{4\pi\epsilon_0 R^3} r$$

Note that the electric field increases linearly with radius inside of the charged sphere, and then decreases with radius squared outside of the sphere. Also, note that at the center of the sphere, the electric field has a magnitude of zero, as expected from symmetry.

Discussion

In this example, we showed how to use Gauss' Law to determine the electric field inside and outside of a uniformly charged sphere. We recognized the spherical symmetry of the charge distribution and chose to use a spherical surface in order to apply Gauss' Law. This, in turn, allowed the flux to be easily calculated. We found that outside the sphere, the electric field decreases in magnitude with radius squared, just as if the entire charge were concentrated at the center of the sphere. Inside the sphere, we found that the electric field is zero at the center, and increases linearly with radius.

? Exercise 17.2.1

A thin charged spherical shell carries a uniformly distributed charge of $+Q$.

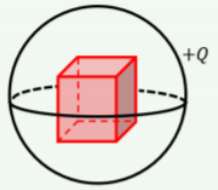

Figure 17.2.4: A charged spherical shell with a cubic device inside of it.

If we place a cube inside the shell, as shown in Figure 17.2.4, what is the total flux out of the surface of the cube?

1. $\frac{Q}{12\pi}\mathrm{Vm}$.
2. $\frac{Q}{2\pi}\mathrm{Vm}$.
3. $\frac{Q}{6}\mathrm{Vm}$.
4. $0\mathrm{Vm}$.

Answer

✓ Example 17.2.2

An infinitely long straight wire carries a uniform charge per unit length, λ. What is the electric field at a distance, R, from the wire?

Solution

We start by making a diagram of the charge distribution, as in Figure 17.2.5, so that we can use symmetry arguments to determine the direction of the electric field vector. The electric field vector could be either:

1. in the radial direction (point to/from the center of the wire).
2. such that electric field lines form concentric circles with the wire.
3. co-linear with the wire.

In all three possibilities above, you would not be able to infer that one particular direction in the plane perpendicular to the wire is preferred. All three possibilities preserver the rotational symmetry of the wire (the wire looks the same from all directions in the plane perpendicular to the wire).

The second and third options can be eliminated, because we expect the electric field lines to have at least a radial component, since we expect that a negative charge would be attracted to the wire. The electric field will thus look like that illustrated in Figure 17.2.5.

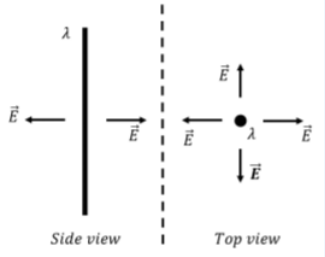

Figure 17.2.5: An infinite line of charge carrying uniform charge per unit length, λ. The left panel shows a side view and the right panel a view from above. The electric field must be in the radial direction or there would be a preferred direction.

Next, we need to choose a gaussian surface in order to apply Gauss' Law. A convenient choice is a cylinder (a "pill box") of radius, R, and length, L, as shown in Figure 17.2.6, as this goes through a point that is a distance, R, from the wire (where we are asked for the electric field). At all points on the cylindrical surface, the electric field vector is either perpendicular or parallel to the surface.

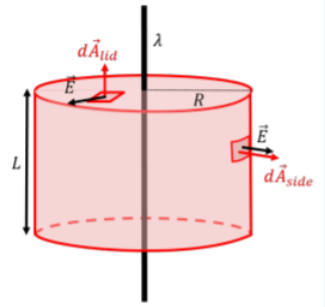

Figure 17.2.6: A cylindrical gaussian surface is used to calculate the flux from an infinite line of charge.

We can think of the cylindrical surface as being composed of three surfaces: 2 disks on either end (the lids of the pill box), and the curved surface that makes up the side of the cylinder. The flux through the entire cylindrical surface will be the sum of the fluxes through the two lids plus the flux through the side:

$$\oint \vec{E}\cdot d\vec{A} = \int_{side} \vec{E}\cdot d\vec{A} + \int_{lid} \vec{E}\cdot d\vec{A} + \int_{lid} \vec{E}\cdot d\vec{A}$$

where you should note that the closed integral ($\oint$) was separated into three normal integrals ($\int$) corresponding to the three "open" surfaces that make up the closed surface. Again, remember that the flux is proportional to the net number of field lines exiting/entering the closed surface, so it make sense to count those lines over the three open surfaces and add them together to get the total number for the closed surface.

The flux through the lids is identically zero, since the electric field is perpendicular to $d\vec{A}$ everywhere on the lids. The total flux is thus equal to the flux through the curved side surface, for which the electric field vector is always parallel to $d\vec{A}$, and for which the electric field vector is constant in magnitude:

$$\oint \vec{E}\cdot d\vec{A} = \int_{side} \vec{E}\cdot d\vec{A} = \int_{side} EdA = E\int_{side} dA = E(2\pi RL)$$

where we recognized that the side surface can be unfolded into a rectangle of height, L, and width, $2\pi R$, corresponding to the circumference of the cylinder, so that the area of the side of the cylinder is given by $A = 2\pi RL$.

Next, we determine the charge inside the volume enclosed by the surface. Since the cylinder encloses a length, L, of wire, the enclosed charge is given by:

$$Q^{enc} = \lambda L$$

where λ is the charge per unit length on the wire. Putting this altogether into Gauss' Law gives us the electric field at a distance, R, from the wire:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$E(2\pi RL) = \frac{\lambda L}{\epsilon_0}$$

$$\therefore E = \frac{\lambda}{2\pi\epsilon_0 R}$$

Note that this is the same result that we obtained in *Example 16.3.3* when we took the limit of the finite line of charge having infinite length.

Discussion

In this example, we applied Gauss' Law to determine the electric field at a distance from an infinitely long charged wire. We used symmetry to argue that the field should be radial and in the plane perpendicular to the wire, and recognized that a cylindrical gaussian surface would exploit the symmetry so that the flux can easily be calculated. We obtained the same result as we did from integrating Coulomb's Law in *Example 16.3.3*. However, using Gauss' Law was much less work than integrating Coulomb's Law.

? Exercise 17.2.2

Why is it difficult to apply Gauss' Law to a finite wire?

A. It is easy to apply Gauss' Law to a finite wire.
B. Because the flux of a finite wire is undefined.
C. Because we do not know the charge density of a finite wire.
D. Because the symmetry argument does not hold.

Answer

josh's thoughts

Gauss' Law requires us to choose a "gaussian" surface, but which surface should we choose? Generally, it is useful to choose a surface such that the flux can easily be determined, ideally without having to actually do an integral. If symmetry can be exploited such that $\vec{E}$ has a constant magnitude and direction relative to $d\vec{A}$ at every location of the gaussian surface, then $\int \vec{E} \cdot d\vec{A}$ will be equal to EA. This is why gaussian surfaces are often of the same shape as the charged object they are enclosing.

For example, if I need to enclose a cylindrical charge, it would be reasonable to enclose the charge with a cylindrical gaussian surface, as shown in Figure 17.2.7

Figure 17.2.7: A cylindrical gaussian surface to enclose a cylindrical charge.

When dealing with point charges which have no shape and are thus spherically symmetric, it makes sense to choose a spherical gaussian surface, as shown in Figure 17.2.8, since the electric field is in the radial direction for a point charge.

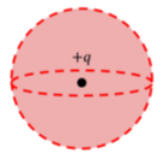

Figure 17.2.8: A spherical gaussian surface to enclose a point charge.

Finally, there are some cases of less than ideal choices for the gaussian surfaces. While never wrong, they may require rather complicated integrals to determine the flux. These cases will still provide a correct answer if the situation is modeled correctly.

Suppose that I enclose a spherical charge with a cylindrical gaussian surface, as shown in Figure 17.2.9. The electric field will be stronger near the middle of the cylinder's length than at the center of its endcaps, which means that $\vec{E}$ is not constant in $\int \vec{E} \cdot d\vec{A}$, so the integral cannot be simplified to EA. A better choice for a gaussian surface in this case would be a sphere, which exploits the symmetry of the charge distribution and provides results in a $\vec{E}$ of constant magnitude everywhere along the surface. Figures 17.2.7 and *17.2.8* give other examples of when we cannot assume Φ to be equal to EA.

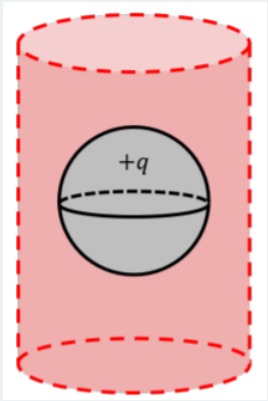

Figure 17.2.9: A cylindrical surface is not a good choice to enclose a spherical charge.

Example 17.2.3

Determine the electric field above an infinitely large plane of charge with uniform surface charge per unit area, σ.

Solution

Figure 17.2.10shows a portion of the infinite plane. The electric field vector must be perpendicular to the plane or a preferred direction could otherwise be inferred from the direction of the electric field. We can also argue that the horizontal components of the electric field will cancel everywhere above the plane, since the plane is infinite. The electric field will point away from (towards) the plane, if the charge is positive (negative).

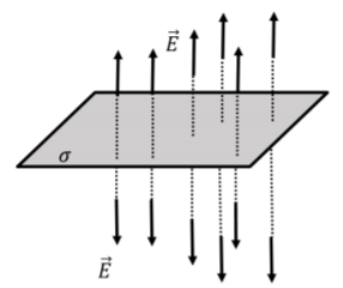

Figure 17.2.10: The electric field above an infinite plane with uniform charge per unit area, σ, must be perpendicular to the plane.

A cylindrical or box-shaped gaussian surface would both lead to the flux integral being easy to calculate, as illustrated in Figure 17.2.11 Indeed, since the electric field is perpendicular to the plane, only the parts of the surface that are parallel to the plane (the lids on the cylinder, the two horizontal planes in the box) will have a net flux through them.

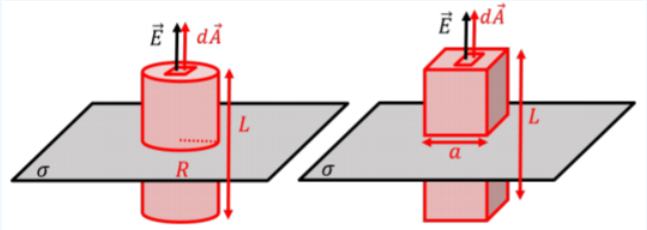

Figure 17.2.11: A cylindrical surface or a box are both good choices for a gaussian surface above a plane, since only the parts of the surface parallel to the plane will have net flux through them.

Let us choose a box (right panel of Figure 17.2.11) of length, L, with a square cross-section of side, a. We place the box such that the plane intersects the center of the box (although this is not required, since we already know that the electric field will not depend on distance from the plane). The flux through the box is simply the flux through the two horizontal planes (of area a^2):

$$\oint \vec{E} \cdot d\vec{A} = \int_{top} EdA + \int_{bottom} EdA = 2Ea^2$$

The box encloses a section of the plane with area a^2, so that the net charge enclosed by the surface is:

$$Q^{enc} = \sigma a^2$$

Applying Gauss' Law allows us to determine the magnitude of the electric field:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$2Ea^2 = \frac{\sigma a^2}{\epsilon_0}$$

$$\therefore E = \frac{\sigma}{2\epsilon_0}$$

which is the same result that we found in *Example 16.3.4*.

Discussion

In this example, we used Gauss' Law to determine the electric field above an infinite plane. We found that we had a choice of gaussian surfaces (cylinder, box) that allowed us to apply Gauss' Law. We found the same result that we had found in *Example 16.3.4* where we had integrated Coulomb's Law (twice, once for a ring of charge, then for a disk, then took the limit of the disk radius going to infinity). Again, we see that in configurations with a high degree symmetry, Gauss' Law can be very straightforward to apply.

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17.3: Charges in a Conductor

We can use Gauss' Law to understand how charges arrange themselves on a conductor. Consider (again) an infinite plane that carries a total charge per unit area, σ, similar to what we considered in *Example 17.2.3*. In this case, we explicitly consider the plane to be a conductor and to have a finite thickness. If we zoom into the plane, we can illustrate that the charges are located on the surface of the plane, as illustrated in Figure 17.3.1, where the plane is seen edge on. Thus, the **charge density at the surface is half of the total charge density** of the plane.

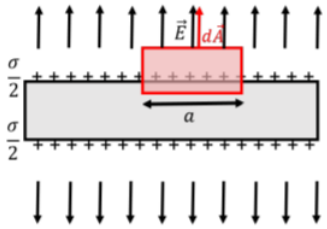

Figure 17.3.1: Cross-section of a conducting plane where the charges migrate to the surface. A box-shaped gaussian surface is also shown as seen from the side (the third dimension of the box is perpendicular to the plane of the page).

To determine the electric field near the plane, we choose a gaussian surface that is a box (as in *Example 17.2.3*), but require the lower end of the box to go through the plane, as illustrated in Figure 17.3.1. With this choice of gaussian surface, only the top surface (area a^2) will have flux through it, since the **electric field inside a conductor must be zero**[1]. The total flux is given by:

$$\oint \vec{E} \cdot d\vec{A} = \int_{top} EdA = Ea^2$$

The charge enclosed is given by:

$$Q^{enc} = \frac{\sigma}{2} a^2$$

where we used the fact that only half of the charges are inside the volume enclosed by our gaussian surface, so that the charge per unit area is half ($\frac{\sigma}{2}$) of that for the entire plane. Applying Gauss' Law, we find that the electric field is given by:

$$\begin{aligned} \oint \vec{E} \cdot d\vec{A} &= \frac{Q^{enc}}{\epsilon_0} \\ Ea^2 &= \frac{\sigma a^2}{2\epsilon_0} \\ \therefore E &= \frac{\sigma}{2\epsilon_0} \quad \text{(Field above an infinite plane)} \end{aligned}$$

as before, but the factor of 2 now came from the charge density, rather than from the fact that two of the faces of the box had non-zero flux (as was the case in *Example 17.2.3*). We can generalize this result to determine the electric field near the surface of any conductor. Very close to the surface of any object, one can consider the surface as being similar to an infinite plane. If that surface carries charge per unit area, σ, then the electric field just above the surface is given by:

$$E = \frac{\sigma}{\epsilon_0} \quad \text{(Field near a conducting surface)}$$

In this case, there is no factor of 2, because the charge density in this equation is the charge density of the conductor (not the charge density one side of the surface). In the previous equation, the charge density on the surface of the conducing plane was $\frac{\sigma}{2}$.

Consider, now, a neutral spherical conducting shell, as shown from the side in the left panel of Figure 17.3.2 When a charge, $+Q$, is placed at the center of the shell (right panel), charges inside the shell will move until the field inside the conducting material of the shell is identically zero. The negative charges will move towards the inner surface (as they are attracted to $+Q$) and positive charges will be repelled onto the outer surface, under the influence of the electric field created by $+Q$ (shown in the diagram as $\vec{E}_Q$). Eventually, the separation of charges will lead to an electric field (shown in the diagram as $\vec{E}_\sigma$) in the opposite direction. The charges will stop moving once the total electric field in the conductor is zero (when the two fields cancel exactly everywhere in the conductor).

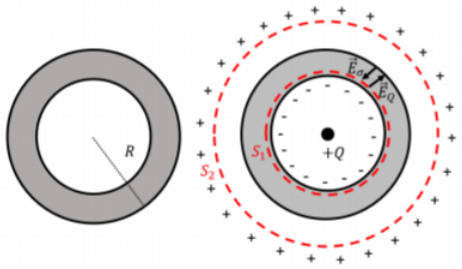

Figure 17.3.2: Left: a neutral conducting spherical shell (seen edge on). Right: A positive charge, $+Q$, placed at the center of the shell. Charges in the shell will separate in order to keep the electric field inside the conductor zero.

We can use Gauss' Law to determine the amount of charge that has accumulated on the inner surface. Consider the gaussian spherical surface, S_1, in Figure 17.3.2, that is concentric with the shell and has a radius such that the surface is just inside the shell. Since the electric field is zero inside the shell, the flux out of the gaussian surface must be zero. By Gauss' Law, the amount of charge enclosed by the surface must also be zero. Thus, a total charge, $-Q$, will have accumulated on the inner surface of the conductor (since $Q^{enc} = -Q + Q = 0$). Because one cannot just create charge from nothing, there must be an equal amount of opposite charge, $+Q$, on the outer surface of the shell. This is true of any conducting material with a cavity inside of it: if you place a charge $+Q$ in the cavity, a charge, $-Q$ will accumulated on the inner surface and a charge, $+Q$, will accumulate on the outer surface.

If we now consider the flux out of the surface, S_2, outside of the shell, the net charge enclosed will be $Q^{enc} = +Q - Q + Q = +Q$. The flux out of the spherical surface of radius, say, r, is then given by:

$$\oint \vec{E} \cdot d\vec{A} = E(4\pi r^2)$$

and the electric field, from Gauss' Law, is simply that of a point charge, $+Q$:

$$E = \frac{1}{4\pi\epsilon_0}\frac{Q}{r^2}$$

and the shell has no effect on the field in regions where there is no conducting material from the shell. Right at the surface of the shell (outer radius, R), the surface charge density is given by:

$$\sigma = \frac{Q}{4\pi r^2}$$

Above, we found the electric field at the surface of a conductor that carries charge per unit area, σ, to be:

$$E = \frac{\sigma}{\epsilon_0}$$

which is clearly the same result that we obtained using the spherical surface, S_2:

$$E = \frac{\sigma}{\epsilon_0} = \frac{1}{4\pi\epsilon_0}\frac{Q}{r^2}$$

Note that we found the electric field using Gauss' Law only in this last case, and found it to be equal to the electric field that one obtains from Coulomb's law. Thus, Gauss' Law only works if the field has an "inverse square law" dependence. If Gauss' Law does not provide the correct electric field, then the force does not depend on $1/r^2$. Gauss' Law can be used to make extremely stringent tests of whether the force goes as $1/r^2$ or deviates from this model.

Footnotes

1. Since charges can freely move in a conductor, they will move until there is no reason to move. Eventually, the charges accumulate in such a way that the net field in the conductor is zero. For a plane, this means that half of the charges will move to each side, as illustrated.

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17.4: Interpretation of Gauss' Law and vector calculus

In this section, we provide a little more theoretical background and intuition on Gauss' Law, as well as its connection to vector calculus (which is beyond the scope of this textbook, but interesting to have a feeling for). Very generally, Gauss' Law is a statement that connects a property of a vector field to the "source" of that field. We think of mass as the source for the gravitational field, and we think of charge as the source for the electric field. The property of the field that we considered in this case was its "flux out of a closed surface".

Recall that determining the flux of a field out of a closed surface is equivalent to counting the net number of field lines that exit that closed surface. Field lines must start on a positive charge and must end on a negative charge. Thus, if there is a net number of field lines exiting the surface, there must be a positive charge in the volume defined by the surface (a "source" of field lines). If there is a net number of field lines entering the surface, then the volume defined by the surface must enclose a negative charge (a "sink" of field lines). Gauss' Law is simply a statement that the number of field lines entering/exiting a closed surface is proportional to the amount of charge enclosed in that volume.

The flux out of a closed surface is tightly connected to the vector calculus concept of "divergence", which describes whether field lines are diverging (spreading out or getting closer together). When a point charge is present, field lines will emanate radially from that point charge; in other words, they will diverge. We say that the electric field has non-zero divergence if there is a source of the electric field in that position of space. The key difference between the concept of divergence and that of "flux out of a closed surface", is that divergence is a local property of the field (it is true at a point), whereas the flux out of a surface must be calculated using a finite volume and makes it challenging to define the field at a specific position. Gauss's Law defined using flux is thus not as useful for describing how the field changes at specific positions, and is usually limited to situations with a high degree of symmetry.

The divergence, $\nabla \cdot \vec{E}$, of a vector field, $\vec{E}$, at some position is defined as:

$$\nabla \cdot \vec{E} = \frac{\partial E}{\partial x} + \frac{\partial E}{\partial y} + \frac{\partial E}{\partial z}$$

and corresponds to the sum of three partial derivatives evaluated at that position in space. Gauss' Theorem (also called the Divergence Theorem) states that:

$$\int_V \nabla \cdot \vec{E} = \oint_S \vec{E} \cdot d\vec{A}$$

where the V (S) on the integral indicates whether the sum (integral) should be carried out over a volume, V, or over a closed surface, S, as we have practised in this chapter. While it is not important at this level to understand the theorem in detail, the point is that one can convert a "flux over a closed surface" into an integral of the divergence of the field. In other words, we can convert a global property (flux) to a local property (divergence). Gauss' Law in terms of divergence can be written as:

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0} \quad \text{(Local version of Gauss' Law)} \tag{17.4.1}$$

where ρ is the charge per unit volume at a specific position in space. This is the version of Gauss' Law that is usually seen in advanced textbooks and in Maxwell's unified theory of electromagnetism. This version of Gauss's Law relates a local property of the field (its divergence) to a local property of charge at that position in space (the charge per unit volume at that position in space). If we integrate both sides of the equation over volume, we recover the original formulation of Gauss' Law: the left hand side, by the Divergence Theorem, leads to flux when integrated over volume, whereas on the right hand side, the integral over volume of charge per unit volume, ρ, will give the total charge enclosed in that volume, Q^{enc}:

$$\int_V \left(\nabla \cdot \vec{E}\right) dV = \int_V \left(\frac{\rho}{\epsilon_0}\right) dV$$

$$\oint_S \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

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17.5: Summary

We can define the **flux** of a uniform and constant vector field, $\vec{E}$, through a flat surface, as:

$$\Phi_E = \vec{E} \cdot \vec{A} = EA\cos\theta$$

where, $\vec{A}$, is a vector that is perpendicular to the surface with a magnitude equal to the area of that surface, and, θ, is the angle between $\vec{A}$ and $\vec{E}$. The flux of a field through a surface is proportional to the number of field lines that cross that surface. If the surface is parallel to the field ($\vec{A}$ and $\vec{E}$ are thus perpendicular), the flux through that surface is zero (no field lines cross the surface, the scalar product is zero).

If $\vec{E}$ and $\vec{A}$ change over the surface ($\vec{E}$ and/or $\vec{A}$ change magnitude and/or direction relative to each other along the surface), then we treat the surface as being made of infinitesimal surface elements over which the two vectors are constant. We define a vector $d\vec{A}$ to be perpendicular to the surface element with an infinitesimal area, dA. The total flux is then obtained by summing the fluxes through each surface element:

$$\Phi_E = \int \vec{E} \cdot d\vec{A} = \int EdA\cos\theta$$

Note that the direction of the vector $d\vec{A}$ (or $\vec{A}$) is ambiguous, as one can choose either of two directions perpendicular to a surface. Usually, one chooses the direction of $\vec{A}$ so that the flux is positive (i.e. $\vec{A}$ has a component parallel to $\vec{E}$). However, if the surface is "closed" (that is, it defines a volume), then we always choose the direction of $d\vec{A}$ so that it points outwards from the surface (since the surface encloses a volume, one can define an "inside" and an "outside").

In the case of the electric field, Gauss' Law relates the flux of the electric field from a closed surface to the amount of charge, Q^{enc}, contained in the volume enclosed by that surface:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

Physically, Gauss' Law is a statement that field lines must begin or end on a charge (electric field lines originate on positive charges and terminate on negative charges). If there is a net number of lines coming out of a closed surface (a positive flux), that surface must enclose a positive charge from where those field lines originate. Similarly, if there are the same number of field lines entering a closed surface as there are lines exiting that surface (a flux of zero), then the surface encloses no charge. Gauss' Law states that the number of field lines exiting a closed surface is proportional to the amount of charge enclosed by that surface.

Gauss' Law is useful to determine the electric field. However, this can only be done analytically for charge distributions with a very high degree of symmetry. This is because the flux integral is not usually easy to evaluate unless:

1. **The electric field makes a constant angle with the surface**. When this is the case, the scalar product can be written in terms of the cosine of the angle between $\vec{E}$ and $d\vec{A}$, which can be taken out of the integral if it is constant:

$$\oint \vec{E} \cdot d\vec{A} = \oint E\cos\theta dA = \cos\theta \oint EdA$$

2. **The electric field is constant in magnitude along the surface**. When this is the case, the integral can be simplified further by factor out E, and simply becomes an integral over dA (which corresponds to the total area of the surface, A):

$$\oint \vec{E} \cdot d\vec{A} = \cos\theta \oint EdA = E\cos\theta \oint dA = EA\cos\theta$$

Note that Gauss' Law does not specify a closed surface over which to calculate the flux; it holds for any surface. We can thus choose a surface that will make the flux integral easy to evaluate - we call this choice a "gaussian surface" (not because it has some special property, but because we chose that surface to apply Gauss' Law). A procedure for applying Gauss' Law to determine the electric field at some point in space can be written as:

1. Make a diagram showing the charge distribution.
2. Use symmetry arguments to determine in which way the electric field vector points.

3. Choose a gaussian surface that goes through the point for which you want to know the electric field. Ideally, the surface is such that the electric field is constant in magnitude and always makes the same angle with the surface, so that the flux integral is straightforward to evaluate.
4. Calculate the flux, $\oint \vec{E} \cdot d\vec{A}$.
5. Calculate the amount of charge in the volume enclosed by the surface, Q^{enc}.
6. Apply Gauss' Law, $\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$.

We showed how Gauss' Law can be used to understand and quantify how charges arrange themselves on a conductor, in such a way that the electric field is zero everywhere in the conductor. Finally, we briefly introduced a more modern version of Gauss' Law that uses divergence instead of flux:

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0}$$

This last version has the advantage that it relates a local property of the field (divergence) to a local property of charge (charge density at some position in space).

Important Equations

Gauss' Law:

$$\Phi = \frac{Q_{enc}}{\epsilon_0}$$

$$\Phi = \int \vec{E} \cdot d\vec{A}$$

Important Definitions

Definition

Electric flux: A measure of the number of electric field lines crossing a surface. SI units: $[\mathrm{Vm}]$. Common variable(s): Φ_E.

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17.6: Thinking about the Material

Reflect and research

1. Could Gauss' law be applied to magnetism? Why or why not?
2. What else has Gauss done?
3. Are there other interaction for which Gauss' Law can be applied?
4. What are Maxwell's equations?
5. How are measurements of flux used in environmental research?
6. How does one use Gauss' Law to test the $1/r^2$ dependence of Coulomb's Law?

To try in the lab

1. Propose an experiment to measure the charge of an object using Gauss' law.
2. Propose an experiment to measure the electric field of a charged object, then compare your experimental results to the theoretical results predicted calculated by Gauss' law.
3. Simulate the surface charge distribution on the inside and outside of a conducting cubic shell which encloses a point charge.

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17.7: Sample problems and solutions

Exercise 17.7.1

Consider a charged sphere of radius, R, which has a non-uniform charge density, that varies with radius, as $\rho(r) = ar^2$.

a. What is the total charge on the sphere?
b. What is the electric field as a function of distance from the center of the sphere outside the sphere, $r > R$?
c. What is the electric field as a function of distance from the center of the sphere inside the sphere, $r \leq R$?

Answer

a. In order to determine the total charge of the sphere, we divide the sphere into infinitesimal shells of radius r, and thickness, dr. The volume, dV, of one of these infinitesimal shells is their area (given by the area of the surface of a sphere of radius r), multiplied by their thickness, dr:

$$dV = 4\pi r^2 dr$$

The charge, dQ, of one of those shells is given by the charge per unit volume, $\rho(r)$:

$$dQ = \rho(r)dV = ar^2 4\pi r^2 dr = 4a\pi r^4 dr$$

The total charge of the sphere is found by summing the charge from each shell:

$$Q = \int dQ = \int_0^R 4a\pi r^4 dr = \frac{4}{5}a\pi R^5$$

b. Outside of the sphere, we can use a spherical gaussian surface of radius r, so that the flux is given by:

$$\oint \vec{E} \cdot d\vec{A} = 4\pi r^2 E$$

The entire charge of the sphere is enclosed. Applying Gauss' Law, we can determine the electric field outside the sphere:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$4\pi r^2 E = \frac{4a\pi R^5}{5\epsilon_0}$$

$$\therefore E(r) = \frac{aR^5}{5\epsilon_0 r^2}$$

and we see that the electric field decreases as the radius squared, which makes sense, since from outside the sphere, we do not know how the charge is distributed within.

c. Inside the volume of the sphere, we still use a gaussian spherical surface of radius, r, so that the flux is given by:

$$\oint \vec{E} \cdot d\vec{A} = 4\pi r^2 E$$

However, inside the sphere, the gaussian surface only encloses the charge up to a radius of r, which we find by integration, similar to part a):

$$Q^{enc} = \int dQ = \int_0^r 4a\pi r^4 dr = \frac{4}{5}a\pi r^5$$

Applying Gauss' Law:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$4\pi r^2 E = \frac{4a\pi r^5}{5\epsilon_0}$$

$$\therefore E(r) = \frac{ar^3}{5\epsilon_0 r^2}$$

and we find that the electric field is zero at the center of the sphere and increases with radius cube inside the sphere.

Exercise 17.7.2

Consider two conducting plates which are illustrated in Figure 17.7.1. Both plates have a hollow circle of radius, R, at their center. One plate is a square on the outside and the other is a triangle on the outside, both of the outside shapes have a side length of L. A point charge of charge $+Q$ is placed at the center of the hollowed out circle of both plates.

a. What is the electric field outside of the shells?
b. What is the average linear charge density on the inner and outer surfaces of the shells?
c. Which sections of the two plates would have the largest charge density?

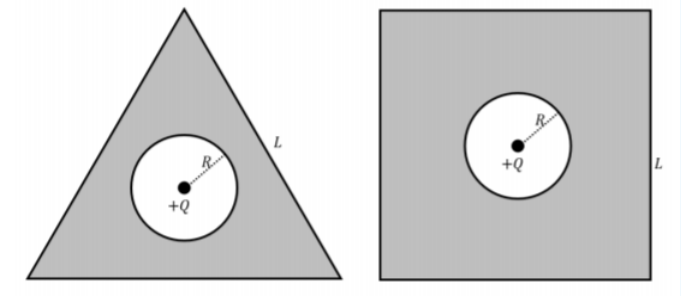

Figure 17.7.1: A triangular and square shell, both with a hollowed out circular center and a point charge.

Answer

a. The conducting shells have no net charge, so the only charge in the system is the point charge Q. If we draw a spherical gaussian surface, the only enclosed charge will be Q, and we can ignore the charges on the plates. The electric field is thus the field from a point charge:

$$E = \frac{kQ}{r^2}$$

b. Let's begin with the shell that has a triangle on the outside. We will use Gauss' law to determine the charge density of the inner and outer shells. To do this, we will draw a circle within the shell, S_1 and a triangle outside of the outer shell, S_2 as shown in Figure 17.7.2

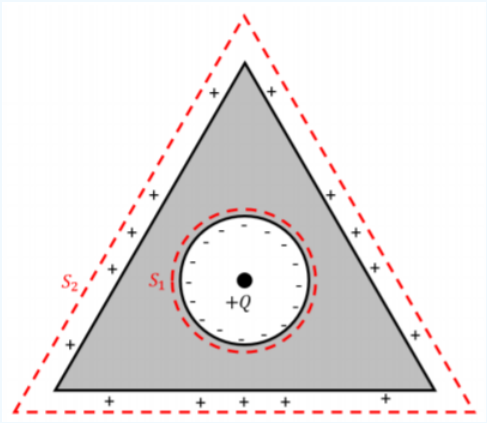

Figure 17.7.2 *A solution to the triangular conducting shell.*

When considering S_1, we know that the electric field inside of the (conducting) shell is 0, so that the flux out of S_1 will be zero. This means that the point charge on the inside of the shell will be equal and opposite to the sum of the surface charges on the inner shell. From here, we divide the net charge by the circumference of the inner shell to determine the linear charge density:

$$\lambda_{circle} = \frac{-Q}{2\pi R}$$

When considering S_2, we know that the $Q_{enc} = +Q$, which means that the total linear charge on the outer triangle will be $+Q$ such that it cancels the $-Q$ along the inner circle. The sum of charges would be $Q_{enc} = Q_{point} + Q_{triangle} - Q_{circle}$. Knowing this, we must divide the total charge on the outer surface by the sum of the length of each of the triangle's sides in order to find the average linear charge density:

$$\lambda_{circle} = \frac{Q}{3L}$$

Now, we consider the inner and outer linear charge densities of the square conducting shell. We choose two gaussian surfaces, S_1, and S_2, as shown in Figure 17.7.3

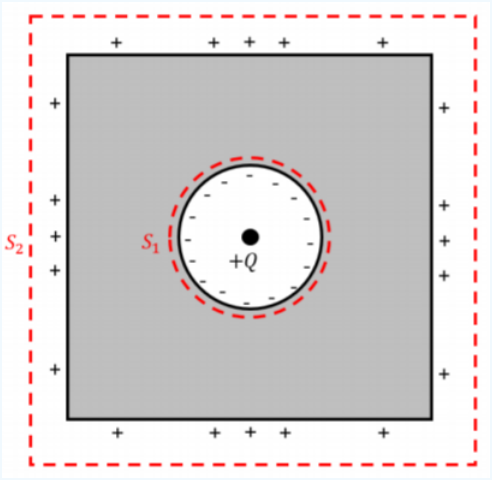

Figure 17.7.3 *A solution to the square conducting shell.*

For S_1, the circle is treated as it was while solving the triangular shell. The electric field is also 0 within the square conducting shell, so we know that the average linear charge density is $\frac{-Q}{2\pi R}$.

When considering S_2, we know that Q_{enc} is $+Q$, so we know that the total charge on the square surface of the shell will be $+Q$. This leave us with the following average linear charge density:

$$\lambda_{square} = \frac{Q}{4L}$$

c. These plates are charged by the electric field generated by the point charge held within them, which means that the linear charge density of the two plates will be highest at the points along the outer sides which are the shortest distance from the point charge. These points occur in the triangular and square plates at the point which is the closest to the charge, Q, as shown in Figure 17.7.4

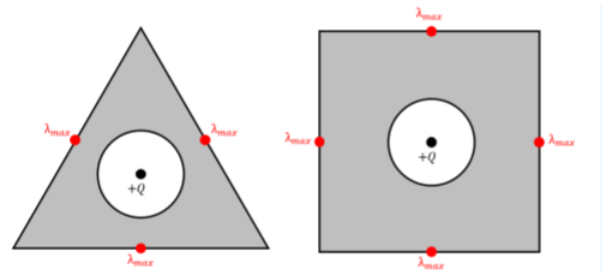

Figure 17.7.4 *A solution to the square conducting shell.*

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CHAPTER OVERVIEW

18: Electric potential

Learning Objectives

- Understand the difference between electrical potential energy and electric potential.
- Understand how to calculate stored electrostatic potential energy.
- Understand how to calculate the electric potential difference between two points near a point charge or a distribution of charges.
- Understand how to use electric potential to determine electrical potential energy.
- Understand how to determine electric potential from electric field.
- Understand how to determine electric field from electric potential.
- Understand how to model a capacitor.

In this chapter, we develop the concept of electric potential energy and electric potential. This will allow us to describe the motion of charges using energy instead of forces. We will also introduce the capacitor, a common circuit component that is used to store charge.

prelude

A proton and an electron are both accelerated by the 110 V electric potential difference from your outlet. Which particle has the highest speed?

A. The proton.
B. The electron.
C. They will have the same speed, since they were accelerated by the same potential difference.

18.1: Electric Potential Energy
18.2: Electric potential
18.3: Calculating electric potential from charge distributions
18.4: Electric field and potential at the surface of a conductor
18.5: Capacitors
18.6: Summary
18.7: Thinking about the material
18.8: Sample problems and solutions

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18.1: Electric Potential Energy

review topics

- Section 8.1 on conservative forces.
- Section 9.3 on the derivation of gravitational potential energy.

Mathematically, Coulomb's Law for the electric force is identical to Newton's Universal Theory of Gravity for the gravitational force. The electric force is thus conservative, and the work done by the electric force on a charge, q, when the charge moves from position, A, in space to some other position, B, cannot depend on the path taken. Since the work done by the electric force only depends on the location of the initial (A) and final (B) positions, we can define an electrical potential energy function, $U(\vec{r})$, that depends on position, $\vec{r}$. The work done by the electric force, $\vec{F}^E$, on a charge in going from position, A (defined by position vector, $\vec{r}_A$), to position, B (defined by position vector, $\vec{r}_B$), can be written as:

$$W = \int_A^B \vec{F}^E \cdot d\vec{r} = -\Delta U = -[U(\vec{r}_B) - U(\vec{r}_A)] \tag{18.1.1}$$

In order to determine the function, $U(\vec{r})$, we can choose a path over which the integral for work is easy to calculate. Consider the work done by the electric force from a point charge, $+Q$, exerted on a charge, $+q$, when $+q$ moves from a distance r_A to a distance r_B from the center of $+Q$, as illustrated in Figure 18.1.1.

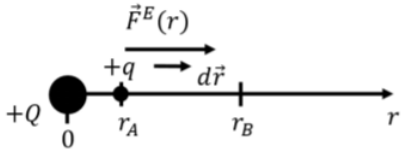

Figure 18.1.1: Calculating the work done on a charge $+q$ by the electric force exerted by charge $+Q$ when charge $+q$ moves from a distance r_A to a distance r_B from the center of charge $+Q$.

Placing $+Q$ at the origin of a coordinate system, the force exerted on charge, $+q$, when it is located at position, $\vec{r}$, is given by:

$$\vec{F}^E = k\frac{Qq}{r^2}\hat{r}$$

The work done by the electric force when $+q$ moves from A to B is given by:

$$W = \int_A^B \vec{F}^E \cdot d\vec{r} = \int_{\vec{r}_A}^{\vec{r}_B} \left(k\frac{Qq}{r^2}\hat{r}\right) \cdot d\vec{r} = kQq\int_{r_A}^{r_B} \frac{1}{r^2}dr$$
$$= kQq\left[\frac{-1}{r}\right]_{r_A}^{r_B} = -\left(\frac{kQq}{r_B} - \frac{kQq}{r_A}\right)$$

where we noted that since $\vec{F}^E$ and $d\vec{r}$ are parallel, their scalar product is simply the product of their magnitudes. By comparing with *Equation 18.1.1*, we can identify the potential energy, $U(\vec{r})$, of a charge, $+q$, located at a relative position, $\vec{r}$, from a point charge, $+Q$, as:

$$U(\vec{r}) = \frac{kQq}{r} + C \tag{18.1.2}$$

where the potential energy is only defined up to some constant, C, which cancels when we take the difference in potential energy between two positions. Note that this is very similar to the function for the gravitational potential energy of a mass, m, a distance, r, from a mass, M (see Section 9.3).

The potential energy function that we derived above remains the same if one or both of the charges change sign, as the derivation did not depend on the sign of the charges, q and Q, as changing the sign of one charge changes the direction of the force. For example, a positive charge, $+q$, near a negative charge, $-Q$, would have negative electric potential energy with the choice $C = 0$, in exact analogy with gravity.

Electrostatic potential energy

When we hold two positive charges together a distance, r, apart, we need to exert a force on the charges in order to keep the charges in place (as they repel each other). If we release the charges, they will move apart from each other, and eventually all of the stored electric potential energy is converted into kinetic energy. The energy that was originally stored in this "system" of two charges is called "electrostatic potential energy". In this section, we show how to model the energy stored in a collection of point charges.

Consider a single positive charge, q_1, located at the origin of empty space. Since there are no other charges present, it does not "cost" us any energy to place that charge there - we do not need to do any work. If we now bring in a second positive charge, q_2, and place it a distance, r_{12}, from q_1 (Figure 18.1.2), we will need to do work since q_1 exerts a force on q_2. If we define zero potential energy to be at infinity (choosing $C = 0$ for electric potential energy), the work, W_{q2}, that we must do on q_2 to bring it from infinity to a distance, r_{12}, from q_1 is given by the corresponding change in potential energy of q_2:

$$W_{q2} = \Delta U = U_{final} - U_{initial} = k\frac{q_1 q_2}{r_{12}} - 0 = k\frac{q_1 q_2}{r_{12}}$$

Note that the work is done by us (not by the electric field), so it has the same sign as the change in potential energy (we must do positive work to increase potential energy). The work that we did corresponds to the same amount of electrostatic potential energy stored in this arrangement of two charges (the only source of that stored electrostatic potential energy is the work that we did on the charge q_2).

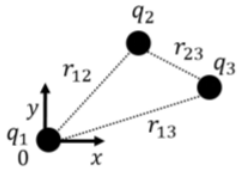

Figure 18.1.2: Three positive charges arranged together will store a certain amount of electrostatic potential energy.

Now, we bring in a third positive charge, q_3, also from infinitely far away, as illustrated in Figure 18.1.2 In order to bring in q_3, we need to do work against the forces exerted by both q_1 and q_2. Suppose that we place q_3 a distance r_{13} from q_1 and r_{23} from q_2. Then, the amount of work done by us to bring in q_3 is given by:

$$W_{q3} = k\frac{q_1 q_3}{r_{13}} + k\frac{q_2 q_3}{r_{23}}$$

and the total electrostatic energy stored in the system of three charges is given by the sum of the work done to place q_2 and the work done to place q_1:

$$E = W_{q1} + W_{q2} + W_{q3} = 0 + k\frac{q_1 q_2}{r_{12}} + k\frac{q_1 q_3}{r_{13}} + k\frac{q_2 q_3}{r_{23}}$$

If we have any number of charges (positive and negative), we can always calculate the stored electrostatic energy by proceeding in a similar fashion.

? Exercise 18.1.1

Four charges of varying magnitude are fixed in position. If the electric potential energy stored in the system were to be calculated as above, how many terms would be in the sum?

A. Four.
B. Two.
C. One.
D. Six.

Answer

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18.2: Electric potential

As you recall, we defined the **electric field**, $\vec{E}(\vec{r})$, to be the **electric force per unit charge**. By defining an electric field everywhere in space, we were able to easily determine the force on any test charge, q, whether the test charge is positive or negative (since the sign of q will change the direction of the force vector, $q\vec{E}$):

$$\vec{E}(\vec{r}) = \frac{\vec{F}^{E}(\vec{r})}{q}$$

$$\therefore \vec{F}^{E}(\vec{r}) = q\vec{E}(\vec{r})$$

Similarly, we define the **electric potential**, $V(\vec{r})$, to be the **electric potential energy per unit charge**. This allows us to define electric potential, $V(\vec{r})$, everywhere in space, and then determine the potential energy of a specific charge, q, by simply multiplying q with the electric potential at that position in space.

$$V(\vec{r}) = \frac{U(\vec{r})}{q}$$

$$\therefore U(\vec{r}) = qV(\vec{r})$$

The S.I. unit for electric potential is the "volt", (V). Electric potential, $V(\vec{r})$, is a scalar field whose value is "the electric potential" at that position in space. A positive charge, $q = 1\text{C}$, will thus have a potential energy of $U = 10\text{J}$ if it is located at a position in space where the electric potential is $V = 10\text{V}$, since $U = qV$. Similarly, a negative charge, $q = -1\text{C}$, will have negative potential energy, $U = -10\text{J}$, at the same location.

Since only differences in potential energy are physically meaningful (as change in potential energy is related to work), **only changes in electrical potential are physically meaningful** (as electric potential is related to electric potential energy). A difference in electric potential is commonly called a "voltage". One often makes a clear choice of where the electric potential is zero (typically the ground, or infinitely far away), so that the term voltage is used to describe potential, V, instead of difference in potential, ΔV; this should only be done when it is clear where the location of zero electric potential is defined.

We can describe a free-falling mass by stating that the mass moves from a region where it has high gravitational potential energy to a region of lower gravitational potential energy under the influence of the force of gravity (the force associated with a potential energy always acts in the direction to decreases potential energy). The same is true for electrical potential energy: **charges will always experience a force in a direction to decrease their electrical potential energy**. However, positive charges will experience a force driving them from regions of high electric potential to regions of low electric potential, whereas negative charges will experience a force driving them from regions of low electric potential to regions of higher electric potential. This is because, for negative charges, the change in potential energy associated with moving through space, ΔU, will be the negative of the corresponding change in electric potential, $\Delta U = q\Delta V$, since the charge, q, is negative.

? Exercise 18.2.1

Electric potential increases along the x axis. A proton and an electron are placed at rest at the origin; in which direction do the charges move when released?

A. the proton moves towards negative x, while the electron moves towards positive x.
B. the proton moves towards positive x, while the electron moves towards negative x.
C. the proton and electron move towards negative x.
D. the proton and electron move towards positive x.

Answer

If the only force exerted on a particle is the electric force, and the particle moves in space such that the electric potential changes by ΔV, we can use conservation of energy to determine the corresponding change in kinetic energy of the particle:

$$\Delta E = \Delta U + \Delta K = 0$$

$$\Delta U = q\Delta V$$

$$\therefore \Delta K = -q\Delta V \tag{18.2.1}$$

where ΔE is the change in total mechanical energy of the particle, which is zero when energy is conserved. The kinetic energy of a positive particle increases if the particle moves from a region of high potential to a region of low potential (as ΔV would be negative and q is positive), and vice versa for a negative particle. This makes sense, since a positive and negative particle feel forces in opposite directions.

In order to describe the energies of particles such as electrons, it is convenient to use a different unit of energy than the Joule, so that the quantities involved are not orders of magnitude smaller than 1. A common choice is the “electron volt”, . One electron volt corresponds to the energy acquired by a particle with a charge of e (the charge of the electron) when it is accelerated by a potential difference of 1V:

$$\Delta E = q\Delta V$$
$$1\text{eV} = (e)(1\text{V}) = 1.6 \times 10^{-19}\text{J}$$

An electron that has accelerated from rest across a region with a 150V potential difference across it will have a kinetic of $150\text{eV} = 2.4 \times 10^{-17}\text{J}$. As you can see, it is easier to describe the energy of an electron in electron volts than Joules.

? Exercise 18.2.2

A particle moves from an electric potential of -260 V to an electric potential of -600 V and loses kinetic energy. What is the charge of this particle?

A. Neutral.
B. It could have a positive or a negative charge.
C. Positive.
D. Negative.

Answer

josh's thoughts

It is often useful in physics to take previously learned concepts and compare them to new ones, in this case, gravitational potential energy and electric potential energy can be compared to help understand the physical meaning of electric potential.

Suppose that an object with a large mass, M, is sitting in space. Now place an object of a much smaller mass, m, at any distance, r, from the center of M. The gravitational potential energy of the small mass is given by the following formula:

$$U_g = \frac{GMm}{r}$$

Which is very similar to the formula for electrical potential energy:

$$U(\vec{r}) = \frac{kQq}{r}$$

Now, if we were to remove the mass m from its position, we would no longer have an object with gravitational potential energy. However, we could still describe the gravitational potential for the point, r, which would result in gravitational potential energy when any mass m is placed there. This is the gravitational equivalent to electric potential, and can be defined as:

$$V_g = \frac{U_g}{m}$$

which is also very similar to the formula for electric potential:

$$V_E = \frac{U_E}{q}$$

This comparison is illustrated in Figure 18.2.1.

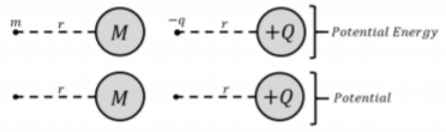

Figure 18.2.1: Gravitational potential energy and gravitational potential (left) next to its electrical analogue (right).

Example 18.2.1

A proton and an electron move from a region of space where the electric potential is 20V to a region of space where the electric potential is 10V. If the electric force is the only force exerted on the particles, what can you say about their change in speed?

Solution

The two particles move from a region of space where the electric potential is 20V to a region of space where the electric potential is 10V. The change in electric potential experienced by the particles is thus:

$$\Delta V = V_{final} - V_{initial} = (10\text{V}) - (20\text{V}) = -10\text{V}$$

and we take the opportunity to emphasize that one should be very careful with signs when using potential. The change in potential energy of the proton, with charge $q = +e$, is thus:

$$\Delta U_p = q\Delta V = (+e)(-10\text{V}) = -10\text{eV}$$

The potential energy of the proton thus decreases by 10eV (which you can easily convert to Joules). Since we are told that no other force is exerted on the particle, the total mechanical energy of the particle (kinetic plus potential energies) must be constant. Thus, if the potential energy decreased, then the kinetic energy of the proton has increased by the same amount, and **the proton's speed increases**.

The change in potential energy of the electron, with charge $q = -e$, is thus:

$$\Delta U_e = q\Delta V = (-e)(-10\text{V}) = 10\text{eV}$$

The potential energy of the electron thus increases by 10eV. Again, the mechanical energy of the electron is conserved, so that an increase in potential energy results in the same decrease in kinetic energy and **the electron's speed decreases**.

Discussion

By using the electric potential, V, we modelled the change in electric potential energy of a proton and an electron as they both moved from one region of space to another.

We found that when a **proton moves from a region of high electric potential to a region of lower electric potential, its potential energy decreases**. This is because the proton has a positive charge and a decrease in electric potential will also result in a decrease in potential energy. Since no other forces are exerted on the proton, the proton's kinetic energy must increase. Because the potential energy of the proton decreases, the proton is moving in the same direction as the electric force, and the electric force does positive work on the proton to increase its kinetic energy.

Conversely, we found that when an **electron moves from a region of high electric potential to a region of lower electric potential, its potential energy increases**. This is because it has a negative charge and a decrease in electrical potential thus results in an increase in potential energy. Since no other forces are exerted on the electron, the electron's kinetic energy must decrease, and the electron slows down. This makes sense, since the force that is exerted on an electron will be in the opposite direction from the force exerted on a proton.

Electric potential from electric field

At the beginning of Section 18.1, we determined the potential energy of a point charge, q, in the presence of another point charge, Q (Figure 18.2.1). This was done by calculating the work done by the Coulomb (electric) force exerted by charge Q on q. We can

write the same integral for the work done by the electric force on q, but using the electric field, $\vec{E}$, to write the force:

$$W = \int_A^B \vec{F}^E \cdot d\vec{r} = \int_A^B q\vec{E} \cdot d\vec{r} = q\int_A^B \vec{E} \cdot d\vec{r}$$

where we recognized that the charge, q, is constant and can come out of the integral. The integral that is left is thus the work done by the electric field, $\vec{E}$, *per unit charge*. In other words, this is the negative change in electric potential:

$$W = q\int_A^B \vec{E} \cdot d\vec{r} = -q\Delta V = -q[V(\vec{r}_B) - V(\vec{r}_A)]$$

$$\therefore \Delta V = V(\vec{r}_B) - V(\vec{r}_A) = -\int_A^B \vec{E} \cdot d\vec{r} \tag{18.2.2}$$

which allows us to easily determine the change in electric potential associated with an electric field. Note that this result is general and does not require the electric field to be that of a point charge, and can be used to determine the electric potential associated with any electric field. We can also specify a function for the potential, up to an arbitrary constant, C, (think definite versus indefinite integrals):

$$V(\vec{r}) = -\int \vec{E} \cdot d\vec{r} + C$$

The relation between electric potential and electric field is analogous to the relation between electric potential energy and electric force:

$$\Delta V = V(\vec{r}_B) - V(\vec{r}_A) = -\int_A^B \vec{E} \cdot d\vec{r}$$

$$\Delta U = U(\vec{r}_B) - U(\vec{r}_A) = -\int_A^B \vec{F}^E \cdot d\vec{r}$$

as the bottom equation is just q times the first equation. We can think of electric potential being to potential energy what electric field is to electric force. Electric potential and electric field are electric potential energy and electric force, *per unit charge*, respectively.

For a point charge, Q, located at the origin, the electric field at some position, $\vec{r}$, is given by Coulomb's Law:

$$\vec{E} = \frac{kQ}{r^2}\hat{r}$$

The potential difference between location A (at position $\vec{r}_A$) and location B (at position $\vec{r}_B$), as in Figure 18.2.1, is given by:

$$\Delta V = -\int_A^B \vec{E} \cdot d\vec{r} = -\int_{\vec{r}_A}^{\vec{r}_B} \frac{kQ}{r^2}\hat{r} \cdot d\vec{r} = -\left(\frac{kQ}{r_B} - \frac{kQ}{r_A}\right)$$

and we note that we can write a function for the electric potential, $V(\vec{r})$, at a distance r from a point charge, Q, as:

$$V(\vec{r}) = \frac{kQ}{r} + C$$

where C is an arbitrary constant. This, of course, is identical to the result that we obtained earlier, for the potential energy of a charge, q, a distance, r, from Q.

$$U(\vec{r}) = qV(\vec{r}) = \frac{kQq}{r} + C'$$

where the constant, $C' = qC$, does not have any physical impact. Often, as is the case for gravity, one chooses the constant $C = 0$. This choice corresponds to defining potential energy to be zero at infinity. Equivalently, this corresponds to choosing infinity to be at an electric potential of 0V.

? Exercise 18.2.3

What causes a positively charged particle to gain speed when it is accelerated through a potential difference?:

A. The particle accelerates because it loses potential energy as it moves from high to low potential.
B. The particle accelerates because it loses potential energy as it moves from low to high potential
C. The particle accelerates because it gains potential energy.
D. The particle accelerates because it moves towards negative charges.

Answer

✓ Example 18.2.2

What is the electric potential at the edge of a hydrogen atom (a distance of 1 from the proton), if one sets 0 V at infinity? If an electron is located at a distance of 1 from the proton, how much energy is required to remove the electron; that is, how much energy is required to ionize the hydrogen atom?

Solution

We can easily calculate the electric potential, a distance of \(1\unicode{xC5}\) from a proton, since this corresponds to the potential from a point charge (with $C = 0$):

$$V(\vec{r}) = \frac{kQ}{r} = \frac{(9 \times 10^9 \mathrm{N \cdot m^2/C^2})(1.6 \times 10^{-19} \mathrm{C})}{(1 \times 10^{-10} \mathrm{m})} = 14.4 \mathrm{V}$$

We can calculate the potential energy of the electron (relative to infinity, where the potential is 0 V, since we chose $C = 0$):

$$U = (-e)V = (-1.6 \times 10^{-19} \mathrm{C})(14.4 \mathrm{V}) = -14.4 \mathrm{eV} = -2.3 \times 10^{-18} \mathrm{J}$$

where we also expressed the potential energy in electron volts. In order to remove the electron from the hydrogen atom, we must exert a force (do work) until the electron is infinitely far from the proton. At infinity, the potential energy of the electron will be zero (by our choice of $C = 0$). When moving the electron from the hydrogen atom to an infinite distance away, we must do positive work to counter the attractive force from the proton. The work that we must do is exactly equal to the change in potential energy of the electron (and equal to the negative of the work done by the force exerted by the proton):

$$W = \Delta U = (U_{final} - U_{initial}) = (0 \mathrm{J} - -2.3 \times 10^{-18} \mathrm{J}) = 2.3 \times 10^{-18} \mathrm{J}$$

The positive work that we must do, exerting a force that is opposite to the electric force, is positive and equal to $2.3 \times 10^{-18} \mathrm{J}$, or $14.4 \mathrm{eV}$. If you look up the ionization energy of hydrogen, you will find that it is $13.6 \mathrm{eV}$, so that this very simplistic model is quite accurate (we could improve the model by adjusting the proton-electron distance so that the potential is $13.6 \mathrm{V}$).

Discussion

In this example, we determined the electrical potential energy of an electron in a hydrogen atom, and found that it is negative, when potential energy is defined to be zero at infinity. In order to remove the electron from the atom, we must do positive work in order to increase the potential energy of the electron from a negative value to zero (the potential energy at infinity). This is analogous to the work that must be done on a satellite in a gravitationally bound orbit for it to reach escape velocity.

✓ Example 18.2.3

Two large parallel plates are separated by a distance, L. The plates are oppositely charged and carry the same magnitude of charge per unit area, σ. What is the potential difference between the two plates? Write an expression for the electric potential in the region between the two plates. Assume that the plates are large enough that you can treat them as infinite (that is, neglect what happens near the edges).

Solution

Figure 18.2.2shows a diagram of the two parallel plates with surface charge on them.

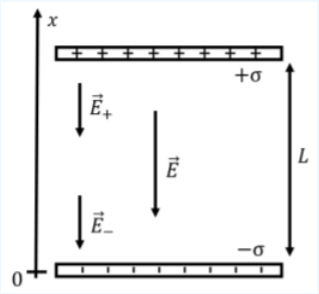

Figure 18.2.2: Two parallel plates with equal and opposite surface charge densities. In the region between the plates, the electric field is uniform.

We know from the previous chapters that the electric field from the positive plate does not depend on distance from the plate and is given by:

$$\vec{E}_+ = -\frac{\sigma}{2\epsilon_0}\hat{x}$$

if we approximate the plate as being infinitely large. This is a reasonable approximation for most points except those near the edges of the plate, which we ignore. The electric field from the negative plate will have the same magnitude and direction, so that the total electric field, $\vec{E}$, everywhere between the two parallel plates (as long as we are not near the edges) is given by:

$$\vec{E} = -\frac{\sigma}{\epsilon_0}\hat{x}$$

Note that the electric field outside the region between the two plates is zero everywhere, as the field from the positive and negative plates point in opposite directions outside the plates and thus cancel (except near the edges of the plates). For example, below the negative plate, the field from the negative plate points in the positive x direction (towards the negative plate), whereas the field from the positive plate points in the positive x direction (towards the positive plate).

We can now determine the potential difference between the two plates, since we know the electric field in that region. Using the coordinate system that is shown, we calculate the potential difference between the positive plate located at $x = L$ and the negative plate located at $x = 0$:

$$\Delta V \ = V(L) - V(0) = -\int_0^L \vec{E}\cdot d\vec{x} = -\int_0^L \frac{-\sigma}{\epsilon_0}\hat{x}\cdot d\vec{x} = \frac{\sigma}{\epsilon_0}\int_0^L dx = \frac{\sigma}{\epsilon_0}L$$

where we recognized that $\hat{x}$ and $d\vec{x}$ are parallel. It is very easy to get the wrong sign when calculating potential differences, so be careful!

Since the potential difference, $\Delta V = V(L) - V(0)$, is positive, the plate at $x = L$ is at a higher electric potential than the plate at $x = 0$. This makes sense, as a positive charge at rest would move from the positive plate to the negative plate, thus decreasing its potential energy, which corresponds to moving from a region of high electric potential to a region of low electric potential. Conversely, a negative charge at rest would move from the negative plate to the positive plate, decreasing its potential energy, but moving from a region of low electric potential to a region of high electric potential.

In general, if the electric field is constant, the change in potential between two points separated by a distance, L, along an axis that is anti-parallel with the field (in this example, the field points in the negative x direction) is given by:

$$\Delta V = -\int_0^L \vec{E}\cdot d\vec{x} = E\int_0^L dx = EL$$

Note that we can only calculate the difference in electric potential between plates, not the actual value of the potential, V. If we want to define a specific value of electric potential, we need to choose a location where we define 0V to be. By convention, when possible, one chooses the negative plate to be the location of 0V. In order to determine the electric potential anywhere between the two plates, we can calculate the potential difference between the plate at $x = 0$ (the one at 0V) and some position between the plates along the x axis ($x < L$):

$$\Delta V = V(x) - V(0) = -\int_0^x E\hat{x} \cdot d\vec{x} = Ex = \frac{\sigma}{\epsilon_0} x$$

$$\therefore V(x) = V(0) + Ex = Ex = \frac{\sigma}{\epsilon_0} x$$

where we find that the electric potential increases **linearly** between its value at the negative plate (0V) and its value at the positive plate (EL). Of course, we could have chosen any value of the electric potential for the negative plate, which is equivalent to choosing the value of the arbitrary constant, C.

In general, we can write the electric potential in a region of constant electric field, $\vec{E} = -E\hat{x}$, as:

$$V(x) = Ex + C$$

This scenario is very similar to the gravitational force near the surface of the Earth, where the gravitational field is (almost) constant. If you choose to define zero gravitational potential energy at the surface of the Earth, then, as you move up a distance h from the ground, your gravitational potential energy increases linearly with h ($U(h) = mgh$). In our case, we defined zero electrical potential energy to correspond to the location of the negative plate (the negative plate is thus like the surface of the Earth, with a constant electric field pointing towards it). As a positive charge moves a distance h away from the negative plate, it gains electric potential energy, $U(h) = qV(h) = qEh$, linearly with distance from the plate. If we release that positive charge, it will "fall" back onto the negative plate. The main difference with gravity, is that we can also have negative charges, which under gravity, would be similar to "negative masses" (it's not a thing), which would "fall upwards" (towards the positive plate).

Discussion

In this example, we examined the electric field between two parallel plates with opposite charges on them, and saw that the field is constant and uniform between the plates and zero outside (except for a small region near the edge of the plates where the assumption of infinitely large plates breaks down). We found that the electric potential decreases linearly as a function of distance from one of the plates. Because the electric field is constant between the two plates, the electric force on a charge can be treated in a similar way as the gravitational force on a mass near the surface of the Earth. The resulting electric potential is linear in the distance from the negative plate, just as mgh is linear in h, the distance to the surface of the Earth. Parallel plates are often used to accelerate charges, so they are useful to understand.

? Exercise 18.2.4

If we defined a gravitional potential, $V(h)$, for particles a small distance, h, from the surface of the Earth, it would have the form:

A. $V(h) = mgh + C$.
B. $V(h) = gh + C$.
C. $V(h) = mg + C$.
D. $V(h) = -mgh + C$.

Answer

Electric field from electric potential

review topics

- Section 8.2 on determining force from potential energy.
- Section A2.2 on gradients.

In the previous section, we found that we could determine the electric potential (a scalar) from the electric field vector. In this section, we show how to do the reverse, and determine the electric field vector from the electric potential. Consider, first, a one-

dimensional case, where the electric field, $\vec{E}(x) = E(x)\hat{x}$, point in the x direction and depends on position, x. In this one-dimensional case, the electric potential is obtained from the negative anti-derivative of the electric field:

$$V(x) = -\int \vec{E}(x) \cdot d\vec{x} = -\int E(x) dx$$

The electric field must then be given by the negative of the derivative of the electric potential function:

$$\vec{E}(x) = -\frac{dV(x)}{dx}\hat{x}$$

Note that we can tell from the above that the electric field must have dimensions of electric potential over distance. The most common S.I. unit used to describe the electric field is $\mathrm{V/m}$ (Volts per meter).

This result is very similar to that obtained in Section 8.2, where we examined how one could use the scalar potential energy, $U(x, y, z)$, to determine the vector for the force associated with that potential energy. The same holds for the electric force, where we can determine the electric force vector, $\vec{F}$, from the electric potential energy, and similarly the electric field from the electric potential. In three dimensions, if we know the electric potential energy as a function of position, $U(\vec{r}) = U(x, y, z)$, then the electric force vector is given by:

$$\vec{F}(x, y, z) = -\nabla U = -\frac{\partial U}{\partial x}\hat{x} - \frac{\partial U}{\partial y}\hat{y} - \frac{\partial U}{\partial z}\hat{z}$$

Similarly, but using force per unit charge (i.e. electric field) and potential energy per unit charge (i.e. electric potential), we find:

$$\vec{E}(x, y, z) = -\nabla V = -\frac{\partial V}{\partial x}\hat{x} - \frac{\partial V}{\partial y}\hat{y} - \frac{\partial V}{\partial z}\hat{z}$$

where, as you recall, ∇V, is called the gradient of the scalar field, $V(x, y, z)$. The gradient is a vector that points in the direction of maximal increase of the value of $V(x, y, z)$. For a positive charge, this corresponds to the direction of maximal increase in potential energy. A positive charge will experience a force in the opposite direction (in the direction where the potential energy decreases the fastest), and the electric field is thus in the opposite direction from the gradient of the electric potential.

Equipotential surfaces

We can visualize electric potential in several ways, since it is a scalar field (it has a single value that can differ everywhere in space). Figure 18.2.3 shows the electric potential near a positive charge, $+Q$, where one has chosen $0\mathrm{V}$ to be located at infinity. The right panel shows the electric potential as a "surface plot", where the vertical direction is the value of the electric potential. The left panel shows a "heat map" of the electric potential, where the color corresponds to the value of the electric potential.

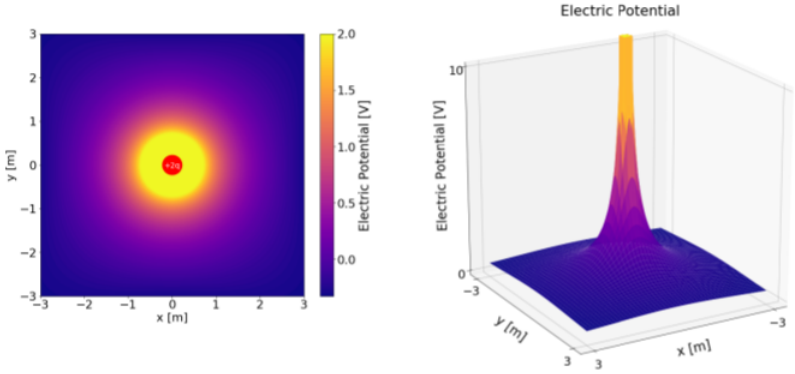

Figure 18.2.3: Electric potential heat map (left) and surface plot (right) near a single positive charge.

The most common way to visualize the electric potential is to draw "contour lines", similar to how one draws contour lines on a geographical map. On a geographical map, contours correspond to lines of constant altitude, which are also lines of constant gravitational potential energy. Similarly, we can draw lines of constant electric potential to visualize the electric potential. Lines of constant potential are called "equipotential lines". In general, in three dimensions, regions of constant electric potential can be surfaces or volumes, called "equipotential surfaces/volumes". In *Example 18.2.3* (with the parallel plates) each of the plates forms an equipotential surface (e.g. the electric potential was fixed to $0\mathrm{V}$ everywhere on the negative plate).

Recall that, at some point in space, the electric field vector always points in the opposite direction of the gradient of the electric potential. Namely, the electric field points in the direction in which the electric potential decreases the fastest. That direction must be perpendicular to the direction in which the electric potential does not change; in other words, the **electric field vector is always perpendicular to equipotential lines/surfaces**. More intuitively, one can think about a charge moving along an equipotential. By definition, the electric potential energy of the charge does not change if its moves along an equipotential. As a result, the electric force/field cannot do any work on the charge, and must thus be perpendicular to the path of the charge (which we chose to be an equipotential).

Conducting materials are always equipotential surfaces (or volumes) if charges are not moving inside the conductor. The electric field inside a conductor is always zero (in electrostatics, when charges are not moving), and thus, a charge moving through a conductor experiences no electric force and its electrical potential energy will be constant; in other words, the entire conductor is an equipotential. Similarly, because the electric field must always be perpendicular to an equipotential, electric field lines are always perpendicular to the surface of a conductor (in electrostatics).

In order to draw equipotential lines, one can start by drawing electric field lines, and then draw (closed) contour lines that are everywhere perpendicular to the electric field lines. This is illustrated in Figure $18.2.4$

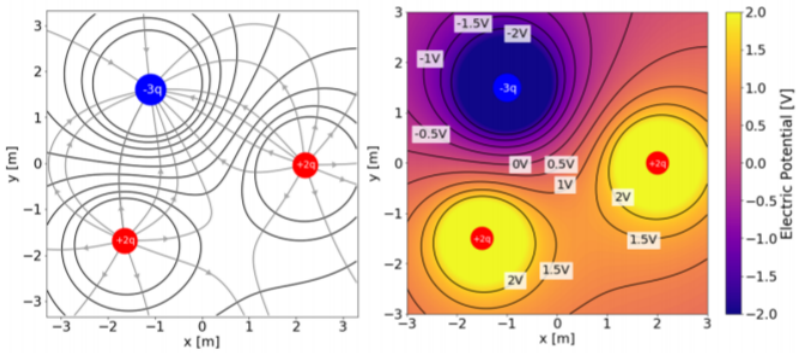

Figure 18.2.4: The electric field and equipotential lines caused by two $+2q$ charges and one $-3q$ charge (left) and its corresponding electric potential heatmap (right).

In general, it is preferable to draw equipotential lines that are separated by equal increments in electric potential (just as on a geographical map, the contour lines correspond to constant increments in altitude). This requires knowing a functional form for the electric potential. For example, the equipotential lines for a point charge located at the origin consist in concentric circles centerd at the origin (in three dimensions, this results in concentric spherical equipotential surfaces). If we define 0V to be at infinity, the electric potential is given by:

$$V(r) = \frac{kQ}{r}$$

In order to draw equipotential lines every, say, 10V, the radii of the corresponding equipotential circles, for $V = 10\text{V}$, $V = 20\text{V}$, $V = 30\text{V}$, etc., are given by:

$$r = \frac{kQ}{V}$$

$$r_{10V} = \frac{kQ}{(10\text{V})} \quad r_{20V} = \frac{kQ}{(20\text{V})} \quad r_{30V} = \frac{kQ}{(30\text{V})} \quad \dots$$

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18.3: Calculating electric potential from charge distributions

In this section, we give two examples of determining the electric potential for different charge distributions. We have two methods that we can use to calculate the electric potential from a distribution of charges:

1. Model the charge distribution as the sum of infinitesimal point charges, dq, and add together the electric potentials, dV, from all charges, dq. This requires that one choose 0V to be located at infinity, so that the dV are all relative to the same point.
2. Calculate the electric field (either as a integral or from Gauss' Law), and use:

$$\Delta V = V(\vec{r}_B) - V(\vec{r}_A) = -\int_A^B \vec{E}\cdot d\vec{r}$$

The first method is similar to how we calculated the electric field for distributed charges in chapter 16, but with the simplification that we only need to sum scalars instead of vectors. The second method was already introduced in this chapter.

Example 18.3.1

A ring of radius R carries a total charge $+Q$. Determine the electric potential a distance a from the center of the ring, along the axis of symmetry of the ring. Assume that zero electric potential is defined at infinity.

Solution

Figure 18.3.1shows a diagram of the ring, and our choice of infinitesimal charge, dq.

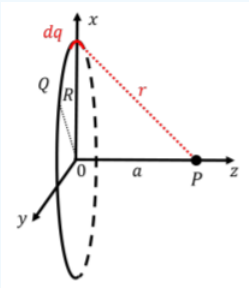

Figure 18.3.1: Determining the electric potential on the axis of a ring of radius R carrying charge Q.

In order to calculate the electric potential at point, P, with 0V defined to be at infinity, we first calculate the infinitesimal potential at P from the infinitesimal point charge, dq:

$$dV = k\frac{dq}{r}$$

The total electric potential is then the sum (integral) of these potentials:

$$V = \int dV = \int k\frac{dq}{r} = \frac{k}{r}\int dq = k\frac{Q}{r} = k\frac{Q}{\sqrt{a^2+R^2}}$$

where we recognized that k and r are the same for each dq, so that they could factor out of the integral. $\int dq = Q$ is then just the sum of the infinitesimal charges, which must add to the charge of the ring.

Discussion

In this example, we determined the electric potential, relative to infinity, a distance a from the center of a charge ring, along its axis of symmetry. We modeled the ring as being made of many infinitesimal point charges, and summed together the infinitesimal electric potentials from those charges relative to infinity. This was much simpler than determining the electric field, since electric potential is a scalar and we do not need to consider how the components from different dq along the ring will cancel.

Example 18.3.2

A long, thin, straight wire carries uniform charge per unit length, λ. The electric potential difference between points located at distances $r_B = 2\text{cm}$ and $r_A = 1\text{cm}$ from the wire is found to be $V(r_B) - V(r_A) = -100\text{V}$. What is the linear charge density on wire, λ?

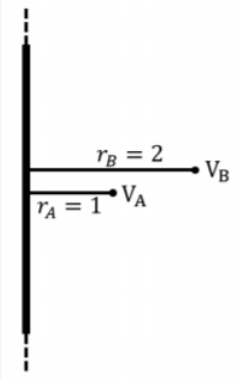

Figure 18.3.2: A long thin wire with measurements of electric potential at varying points.

Solution

In this case, we can use Gauss' Law to determine the electric field at a certain distance from the wire. From that, we can calculate the electric potential difference between any two points near the wire, and thus the charge density on the wire.

By using a cylindrical surface of length, L, and radius, r, we can use Gauss' Law to determine the field at a distance, r, from the wire:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon_0}$$

$$2\pi r L E = \frac{\lambda L}{\epsilon_0}$$

$$\therefore \vec{E}(r) = \frac{\lambda}{2\pi\epsilon_0 r}\hat{r}$$

Using the electric field, we can calculate the potential difference between two points that are at distances, r_A and r_B, from the wire:

$$\begin{aligned}
\Delta V &= V(r_B) - V(r_A) = -\int_{r_A}^{r_B} \vec{E} \cdot d\vec{r} \\
&= -\int_{r_A}^{r_B} \left(\frac{\lambda}{2\pi\epsilon_0 r}\hat{r}\right) \cdot d\vec{r} = -\frac{\lambda}{2\pi\epsilon_0}\int_{r_A}^{r_B} \frac{1}{r}\hat{r} \cdot d\vec{r} = -\frac{\lambda}{2\pi\epsilon_0}\int_{r_A}^{r_B} \frac{1}{r} dr \\
&= -\frac{\lambda}{2\pi\epsilon_0}[|\ln(r)|]_{r_A}^{r_B} = -\frac{\lambda}{2\pi\epsilon_0}\ln\left(\frac{r_B}{r_A}\right) \\
\therefore \Delta V &= \frac{\lambda}{2\pi\epsilon_0}\ln\left(\frac{r_A}{r_B}\right)
\end{aligned}$$

where, in the second last line, we removed the absolute value from the logarithm, since $r_A < r_B$, and in the last line, we removed the minus sign by inverting the argument of the logarithm. Since we know the potential difference, ΔV, for two points located at distances $r_B = 2\text{cm}$ and $r_A = 1\text{cm}$, we can determine the charge density on the wire:

$$\Delta V = V(r_B) - V(r_A) = -100\text{V}$$

$$\Delta V = \frac{\lambda}{2\pi\epsilon_0}\ln\left(\frac{r_A}{r_B}\right)$$

$$\therefore \lambda = \frac{2\pi\epsilon_0\Delta V}{\ln\left(\frac{r_A}{r_B}\right)} = \frac{2\pi(8.85\times10^{-12}\text{C}^2\cdot\text{N}^{-1}\cdot\text{m}^{-2})(-100\text{V})}{\ln\left(\frac{1}{2}\right)} = 8.02\times10^{-9}\text{C/m}$$

where, again, one needs to be very careful with the signs! Note that it also makes sense that the potential difference, $\Delta V = V(r_B) - V(r_A)$, is negative, since r_A is closer to the positively charged wire. A positive charge at rest would move away from the positively charged wire, from r_A to r_B, from high potential to low potential.

Discussion

In this example, we showed how to determine the electric potential near an infinitely long charged wire by using the electric field that we determined from Gauss' Law. By knowing the potential difference between two points near the wire, we were then able to infer the charge density on the wire.

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18.4: Electric field and potential at the surface of a conductor

If we consider a conducting sphere of radius, R, with charge, $+Q$, the electric field at the surface of the sphere is given by:

$$E = k\frac{Q}{R^2}$$

as we found in the Chapter 17. If we define electric potential to be zero at infinity, then the electric potential at the surface of the sphere is given by:

$$V = k\frac{Q}{R}$$

In particular, the electric field at the surface of the sphere is related to the electric potential at its surface by:

$$E = \frac{V}{R}$$

Thus, if two spheres are at the same electric potential, the one with the smaller radius will have a stronger electric field at its surface.

Because a conducting sphere is symmetric, the charges will distribute themselves symmetrically around the whole outer surface of the sphere. The charge per unit area, σ, at the surface of the sphere is thus given by:

$$\sigma = \frac{Q}{4\pi R^2}$$

The charge density can be related to the electric field at the surface of the sphere:

$$E = k\frac{Q}{R^2} = k\frac{4\pi R^2\sigma}{R^2} = 4\pi\sigma k = \frac{\sigma}{\epsilon_0}$$

where in the last equality, we used k with ϵ_0 and confirmed the general result from Section 17.3, where we determined the electric field near a conductor with surface charge, σ.

Consider a sphere of radius, R_1, that carries total charge, $+Q$. A neutral second, smaller, conducting sphere, of radius R_2 is then connected to the first sphere, using a conducting wire, as in Figure 18.4.1.

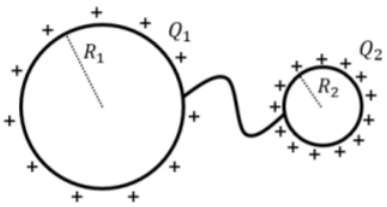

Figure 18.4.1: Two conducting spheres are connected by a conducting wire. The charge Q that was originally on the larger sphere distributes itself onto the two spheres.

Because the charges on the large sphere can move around freely, some of them will move to the smaller sphere. Very quickly, the charges will stop moving and the spheres of radius, R_1 and R_2, will end up carrying charges, Q_1 and Q_2, respectively (we assume that the wire is small enough that negligible amounts of charge are distributed on the wire). Since the two conducting spheres are connected by a conductor, they form an equipotential, and are thus at the same voltage, V, relative to infinity. Since the two spheres are at the same electric potential, the electric field at the surface of each sphere are related:

$$E_1 = \frac{V}{R_1}$$
$$E_2 = \frac{V}{R_2}$$
$$\therefore \frac{E_2}{E_1} = \frac{R_1}{R_2}$$
$$\therefore E_2 = E_1 \frac{R_1}{R_2}$$

and the electric field at the surface of the smaller sphere, E_2, is stronger since $R_2 < R_1$. We can also compare the surface charge densities on the two spheres:

$$E_1 = \frac{\sigma_1}{\epsilon_0}$$
$$E_2 = \frac{\sigma_2}{\epsilon_0}$$
$$\therefore \frac{\sigma_2}{\sigma_1} = \frac{E_2}{E_1} = \frac{R_1}{R_2}$$
$$\therefore \sigma_2 = \sigma_1 \frac{R_1}{R_2}$$

and we find that the charge density is higher on the smaller sphere. Thus, there are more charges per unit area on the smaller sphere than the bigger sphere.

We can generalize this model to describe charges on any charged conducting object. If charges are deposited on a conducting object that is not a sphere, as in Figure $18.4.2$ they will not distribute themselves uniformly. Instead, there will be a higher charge density (charges per unit area), near parts of the object that have a small radius of curvature (sharp points on the object in particular), just as the charge density was higher on the smaller sphere described above. As a consequence of the higher concentration of charges near the "pointier" parts of the object, the electric field at the surface will be the strongest in those regions (as it is stronger at the surface of the smaller sphere described above).

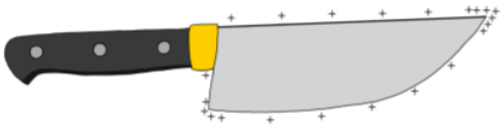

Figure 18.4.2: On an uneven conductor, charges will accumulate on the sharper points, where the radius of curvature is smallest.

In air, if the electric field exceeds a magnitude of approximately $3 \times 10^6 \mathrm{V/m}$, the air is said to "electrically breakdown". The strong electric field can remove electron from atoms in the air, ionizing the air in a chain reaction and making it conductive. Thus, if the electric field at a point on the surface of a conductor is very strong, the air near that point will break down, and charges will leave the conductor, through the air, to find a location with lower electric potential energy (usually the ground). Electric breakdown is what we experience as a spark (or lightning, on a larger scale), and is usually a discrete (and potentially dramatic) event. Corona discharge is another mechanism whereby the strong electric field can make the air conductive, but in this case charges leak into the air more gradually, unlike in the case of electrical break down. Charges leaking into air through Corona discharge will emit a faint blueish light (the "Corona") as well as an audible hissing sound.

Objects that are designed to hold a high electric potential (for example the electrodes on high voltage lines) are usually made very carefully so that they have a very smooth surface and no sharp edges. This reduces the risk of breakdown or corona discharge at the surface which would result in a loss of charge.

Contrary to popular belief, lightning rods are not designed to attract lightening. Instead, lightning rods are designed to be conductors with a very sharp point, so that corona discharge can occur at their tip. This allows charges to slowly leak off from the Earth into the cloud through Corona discharge, thereby reducing the potential difference between the cloud and Earth so that a lightning strike (electrical breakdown) does not occur. When a lightning strike does occur, it will hit the lightning rod, since the electric field at the top of the rod is high and that is the most likely point for the air to break down; but, that is not the goal of the lightning rod!

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18.5: Capacitors

Capacitors are common electronic devices that are used to store electric charge for a variety of applications. A capacitor is usually constructed with two conducting plates (called “terminals” or “electrodes”) separated by either air or an insulating material.

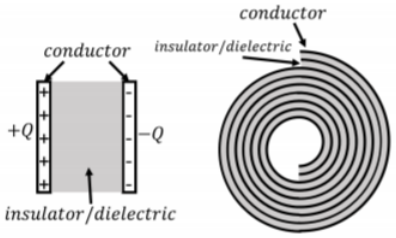

Figure 18.5.1: Two examples of capacitors. The left panel shows a “parallel plate” capacitor, and the right panel shows a cylindrically shaped capacitor obtained by “rolling up” a parallel plate capacitor.

Figure 18.5.1 shows two examples of capacitors. The left panel shows a “parallel plate” capacitor, consisting of two conducting plates separated by air or an insulator. The plates are conducting in order for one to be able to easily add and remove charge to the plates. The plates always hold equal and opposite charges. The right panel shows a more practical implementation of a capacitor that could be used in a circuit, which is simply made by “rolling up” a parallel plate capacitor (with an insulator instead of air separating the plates so that they do not touch).

Capacitance

As long as the quantities of charge involved are not too large, it has been observed that the amount of charge, Q, that can be stored on a capacitor[1], is linearly proportional to the potential difference, ΔV, between the two plates:

$$Q \propto \Delta V$$

$$Q = C\Delta V \tag{18.5.1}$$

The constant of proportionality, C, between charge and potential difference across the capacitor (usually called voltage across the capacitor) is called “capacitance”, and has S.I. units of “Farads”, F. The capacitance of a particular capacitor is a measure of how much charge it can hold at given voltage and depends on the geometry of the capacitor as well as the material between the terminals. If too much charge is placed on a capacitor, the material between the two plates will break down, and a spark will usually damage the capacitor as well as discharge it.

We can easily calculate the capacitance of a parallel plate capacitor. We model the capacitor as being made of two conducting plates, each with area, A, separated by a distance, L, and holding charge with magnitude, Q. The surface charge density on one of the plates, σ, is just given by:

$$\sigma = \frac{Q}{A}$$

In *Example 18.2.3*, we found an expression for the potential difference between two parallel plates:

$$\Delta V = \frac{\sigma}{\epsilon_0} L = \left(\frac{L}{A\epsilon_0}\right) Q$$

Comparing with, $Q = C\Delta V$, the capacitance of the parallel plate capacitor is found to be:

$$C = \epsilon_0 \frac{A}{L}$$

It makes sense that the capacitance, the amount of charge that can be stored at a given voltage, increases if the plates have a larger area (more space for charges), and decreases if the plates are further apart (smaller electric field).

Capacitors are used in many touch screens. For example, these might be made of glass (an insulator), with a thin metal coating that one touches to interact with the screen (one of the plates). As you touch the metal plate, you effectively change the capacitance of the screen, which can be sensed and modeled to determine the location of your finger(s). Modern touch screen have many capacitors built directly into the screen, and function based on this principle.

? Exercise 18.5.1

A capacitor holds 0.2C of charge when it has a potential difference of 500V between its plates. If the same capacitor holds 0.15C of charge, what is the potential difference between its plates?

A. 375 V.
B. 500V.
C. 75 V.
D. 150 V.

Answer

Dielectric materials

In practice, capacitors always have an insulating material between the two plates. The material is chosen to have a higher breakdown voltage than air, so that more charges can be stored before a breakdown occurs. It has also been experimentally observed that the capacitance increases with certain materials, so called "dielectric materials". A dielectric material has a "dielectric constant", K, defined to be the amount by which the capacitance increases:

$$C = KC_0$$

where C is the capacitance with the material in place, and C_0 is the capacitance when there is vacuum between the plates (the dielectric constant of air is very close to 1). Often, rather than the dielectric constant, one uses the "permittivity", ϵ, of a material:

$$\epsilon = K\epsilon_0$$

based on the permittivity of free space, ϵ_0. The capacitance of a parallel plate capacitor, with a material that has permittivity, ϵ, is thus given by:

$$C = K\epsilon_0 \frac{A}{L} = \epsilon \frac{A}{L}$$

Dielectrics materials are made of molecules that can be polarized (as water), namely molecules that have a non-zero electric dipole moment. When the dielectric material is placed between the plates, the dipoles inside the material align themselves with the electric field from the plates. This leads to a second electric field, from the dipoles, in the opposite direction of the field from the plates, thus reducing the total electric field between the plates. This, in turn, allows more charges to be held on the plate for a given voltage. This is illustrated in Figure 18.5.2

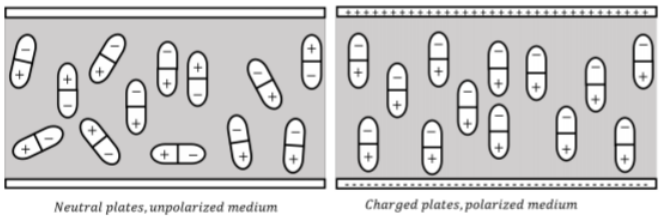

Figure 18.5.2: A dielectric material is placed between the two plates of a capacitor. The electric dipoles in the dielectric have random orientations when the plates are neutral (left panel). When the plates are charged (right panel), the dipoles align themselves with the field from the plates, allowing more charge to be on the plates at a given potential difference.

Note that, in a dielectric material with permittivity, ϵ, Gauss' Law is modified to read:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon}$$

where the permittivity of free space, ϵ_0, is simply replaced with the permittivity of the material, ϵ.

Energy stored in a capacitor

The charges stored on a capacitor have electrical potential energy: if one were to place a conductor between the plates, charges would immediately conduct from one plate to the other and gain kinetic energy. We can model the amount of energy stored on the capacitor by considering how much work it takes to place the charges on the capacitor.

Imagine that both plates on the capacitor start with a charge of magnitude, q. We then remove an infinitesimal negative charge, with magnitude dq, from the positive plate and place it on the negative plate. This required work, since we had to pull this negative charge away from the positive plate. If the potential difference across the plates is ΔV, then we had to do an amount of work given by:

$$dW = \Delta V dq$$

since the charge dq has now gained potential energy, $\Delta V dq$. The potential difference is however dependent on the (constant) capacitance of the capacitor, and the amount of charge, q, already stored on the plates:

$$q = C\Delta V$$
$$\therefore \Delta V = \frac{q}{C}$$

In order to determine the work required to transfer a total amount of charge, Q, we sum the work in transferring each infinitesimal charge, dq:

$$W = \int dW = \int_0^Q \Delta V dq = \int_0^Q \frac{q}{C} dq = \frac{1}{2}\frac{Q^2}{C}$$

Thus, the total potential energy that is stored on a capacitor is given by:

$$U = \frac{1}{2}\frac{Q^2}{C} = \frac{1}{2}Q(\Delta V)^2 = \frac{1}{2}Q\Delta V$$

where we made use of $Q = C\Delta V$ to show the formula with different choices of variables. In either case, the amount of energy that is stored increases with the amount of charge, the capacitance, and the voltage across the capacitor. Capacitors are useful because this energy can be released quickly, as in the bright flash of light required for flash photography.

Footnotes

1. This is the amount of charge on one of the plates. As a whole, the capacitor is neutral.

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18.6: Summary

Key Takeaways

The electric force is conservative, so we can define a potential energy function, $\boldsymbol{U(\vec{r})}$. The potential energy function for a point charge, $\boldsymbol{q}$, at position, $\boldsymbol{\vec{r}}$, relative to a point charge, $\boldsymbol{Q}$, is given by:

$$U(\vec{r}) = \frac{kQ}{r}q + C \tag{18.6.1}$$

where, $\boldsymbol{C}$, is an arbitrary constant, since only difference in potential energy are physically meaningful (as they correspond to work). Note that the sign of the electrical potential energy will depend on the relative sign of $\boldsymbol{q}$ and $\boldsymbol{Q}$.

If a collection of charges are held together, the total electrical potential energy that is stored is called “electrostatic potential energy”.

In a similar way as the electric field, $\vec{E}(\vec{r})$, corresponds to electric force per unit charge, “electric potential”, $V(\vec{r})$, corresponds to electric potential energy per unit charge. The electric potential at a position, $\vec{r}$, relative to a point charge, Q, is given by:

$$V(\vec{r}) = \frac{U(\vec{r})}{q} = \frac{kQ}{r} + C'$$

and also depends on an arbitrary constant, C', since only differences in electric potential will lead to differences in potential energy. The value of the electric potential, V, at some position in space, $\vec{r}$, allows us to determine the electric potential energy, U, at that position for any charge, q:

$$U = qV$$

This is analogous to determining the force on a charge q when we know the electric field at some point in space:

$$\vec{F} = q\vec{E}$$

Differences in electric potential are called “voltages”, and the S.I. unit of potential is called the “volt” (V). In S.I. units, the electric field is often expressed in units of volts per meter (V/m).

When a particle with charge, q, changes position such that the corresponding change in electric potential is ΔV, the particle’s potential energy will change by:

$$\Delta U = q\Delta V$$

In particular, a negative charge will experience a decrease in potential energy when the electric potential increases, whereas a positive charge will experience an increase in potential energy when the electric potential increases. This reflects the fact that the electric force associated with the electric potential will act in opposite directions on a positive and a negative charge.

In order to describe the energies of particles interacting with electric forces, it is more convenient to use the “electron volt” instead of the Joule. An electron volt is defined as the energy that is gained by a charge with a magnitude e (the magnitude of the charge of the electron) when accelerated through a potential difference of $\Delta V = 1\text{V}$:

$$1\text{eV} = (e)(1\text{V}) = 1.6 \times 10^{-19}\text{J}$$

The electric potential function can be determined in two different ways:

1. By modelling the charge distribution as the sum of infinitesimal point charges, dq, and adding together the electric potentials, dV, from all charges, dq. This requires that one choose 0V to be located at infinity, so that the dV are all relative to the same point.
2. By calculating the electric field (either as a integral or from Gauss’ Law), and using:

$$\Delta V \ = V(\vec{r}_B) - V(\vec{r}_A) = -\int_A^B \vec{E} \cdot d\vec{r}$$

It is worth noting that one needs to be very careful with the signs when using the above integral. In particular note that one takes the negative of the integral, from A to B, to determine the potential at B minus the potential at A.

Similarly, one can determine the value of the electric field, $\vec{E}(\vec{r}) = \vec{E}(x, y, z)$, from the electric potential, $V(\vec{r}) = V(x, y, z)$:

$$\vec{E}(x, y, z) = -\nabla V = -\frac{\partial V}{\partial x}\hat{x} - \frac{\partial V}{\partial y}\hat{y} - \frac{\partial V}{\partial z}\hat{z}$$

where, ∇V, is the gradient of the electric potential.

The electric potential can be visualized in a number of ways. The most common is to draw contours of constant electric potential, akin to the contours on geographical maps that are used to show regions of constant altitude (i.e. constant gravitational potential energy).

Regions of constant electric potential are called “equipotentials”, and can be lines, surfaces or volumes. Equipotentials are always perpendicular to the electric field. In electrostatics (when charges are not moving), the electric field in a conductor must be zero, so that a conductor always forms an equipotential, and the electric field at the surface of a conductor is always perpendicular to the surface.

When charges are placed on a conductor, they will spread out along the outer surface of the conductor. The surface density of charges will be the highest where the conductor has the smallest radius of curvature (e.g. at a sharp point). Consequently, the electric field at the surface of a charged conductor is highest near sharp points.

Capacitors are devices that are used to store charge. They are usually made using two conducting plates (“terminals” or “electrodes”) that hold equal and opposite charge, Q, at a fixed potential difference, ΔV , between the electrodes. The amount of charge that is stored on the capacitor is observed to be proportional to the potential difference between the electrodes:

$$Q = C\Delta V$$

where the constant of proportionality, C, is called the “capacitance” of the capacitor. The S.I. unit of capacitance is the “Farad” (F). The capacitance of a capacitor depends on its geometry (e.g. its size) and the materials that it is placed between the electrodes.

Usually, one places a dielectric material between the two electrodes in order to increase the capacitance, and to reduce the risk of breakdown. If that material has a “dielectric constant”, K, then the capacitance is given by:

$$C = KC_0$$

where, C_0, corresponds to the capacitance if there were vacuum between the electrodes. The dielectric constant of air is very close to 1, so that a capacitor in air is very similar to a capacitor in vacuum. A dielectric material is one that is made of molecules that can be polarized under the presence of an electric field; that is, the molecules have an electric dipole moment. When the molecules in a material are polarized, this reduces the total electric field in the material, which increases the capacitance of the capacitor. Inside a dielectric material, we can define the “permittivity”, ϵ, as:

$$\epsilon = K\epsilon_0$$

where ϵ_0 is the permittivity of free space. Within a dielectric material, Gauss’ Law is modified to:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q^{enc}}{\epsilon}$$

Since charges are held at a fixed potential difference on a capacitor, capacitors are a way of storing electric potential energy. The amount of electric potential energy stored in a capacitor with capacitance, C, when the capacitor has a potential difference, ΔV, across its electrodes, is given by:

$$U = \frac{1}{2}\frac{Q^2}{C} = \frac{1}{2}C(\Delta V)^2 = \frac{1}{2}Q\Delta V$$

Important Equations

Electric potential energy from a point charge

$$U(r) = \frac{kQq}{r} + C$$

Electric potential

$$V = \frac{U}{q}$$

Electric potential:

$$\Delta V = V(\vec{r}_B) - V(\vec{r}_A)$$

$$\Delta V = -\int_A^B \vec{E} \cdot d\vec{r}$$

Electric field:

$$\vec{E} = -\nabla V = -\frac{\partial V}{\partial x}\hat{x} - \frac{\partial V}{\partial y}\hat{y} - \frac{\partial V}{\partial z}\hat{z}$$

Electric potential from a point charge

$$V(r) = \frac{kQ}{r} + C$$

Electric potential between two parallel plates

$$\Delta V = EL$$

Charge stored in a capacitor:

$$Q = C\Delta V$$

Energy stored in a capacitor

$$U = \frac{1}{2}\frac{Q^2}{C} = \frac{1}{2}C(\Delta V)^2 = \frac{1}{2}Q\Delta V$$

Important Definitions

Definition

Electric Potential: Electric potential energy per unit charge. SI units: $[\mathrm{V}]$. Common variable(s): V, often appearing as ΔV (potential difference).

Definition

Capacitance: How much charge a capacitor can hold given the potential difference between the terminals of the capacitor. SI units: $[\mathrm{F}]$. Common variable(s): C.

Definition

Dielectric constant: A constant which is defined as the (dimensionless) ratio of the dielectric permittivity of a substance and the dielectric permittivity of a vacuum. Common variable(s): K.

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18.7: Thinking about the material

Reflect and research

1. Explain how the capacitance can increase when a dielectric material is used.
2. Explain how a corona ring works.
3. Which shapes of electrodes are most common? Why?

To try at home

1. Try to release a static discharge from your finger to some metal object. Measure the distance between your finger and the metal object at the time of the discharge. Knowing the breakdown voltage of air, what was the potential difference between your finger and the metal object just before the discharge?

To try in the lab

1. Propose an experiment to measure the point at which various substances experience electric breakdown.
2. Propose an experiment to measure the vacuum permittivity constant (ϵ_0).)

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18.8: Sample problems and solutions

Exercise 18.8.1

A long cylinder of radius, R, carries a uniform charge per unit volume density, ρ. If the electric potential at the surface of the cylinder is $V_S = 100\text{V}$, then what is the electric potential inside and outside of the cylinder as a function of r, the distance from the center of the cylinder?

Answer

To determine the electric potential inside and outside of the cylinder, we can use the electric field, which we must first determine. We will do this using Gauss' Law. We will use a gaussian surface that is a cylinder of radius, r, and length, L. In both regions, the flux of the electric field will be given by:

$$\int EdA = E2\pi rL$$

since the electric field points in the radial direction, away from the center of the cylinder. Outside of the cylinder ($r > R$), the total charge enclosed is the total charge on a length, L, of the cylinder, which has a volume, $\pi R^2 L$:

$$Q^{enc} = \rho\pi R^2 L$$

Thus, applying Gauss' Law outside the cylinder, gives the electric field for $r > R$:

$$\int EdA = \frac{Q_{enc}}{\epsilon_0}$$

$$E2\pi rL = \frac{\rho\pi R^2 L}{\epsilon_0}$$

$$\therefore E(r) = \frac{\rho R^2}{2\epsilon_0 r} \quad (r \geq R)$$

Inside the cylinder, the enclosed charge is that enclosed by a cylinder of radius, r, and length, L:

$$Q^{enc} = \rho\pi r^2 L$$

Applying Gauss' Law, the electric field inside the cylinder is given by:

$$\int EdA = \frac{Q_{enc}}{\epsilon_0}$$

$$E2\pi rL = \frac{\rho\pi r^2 L}{\epsilon_0}$$

$$\therefore E(r) = \frac{\rho r}{2\epsilon_0} \quad (r < R)$$

Given the electric field everywhere in space, we can now determine the electric potential. We will begin by calculating the electric potential anywhere in the cylinder, $V(r)$, using the potential difference between that point and the surface of the cylinder:

$$\begin{aligned}\Delta V &= V_S - V(r) = -\int_r^R \vec{E}\cdot d\vec{r} \\ &= -\int_r^R \frac{\rho r}{2\epsilon_0}\hat{r}\cdot d\vec{r} \\ &= -\int_r^R \frac{\rho r}{2\epsilon_0} dr \\ &= -\frac{\rho(R^2-r^2)}{4\epsilon_0} \\ \therefore V(r) &= V_S + \frac{\rho(R^2-r^2)}{4\epsilon_0} \\ &= 100\text{V} + \frac{\rho(R^2-r^2)}{4\epsilon_0}\end{aligned}$$

Thus, everywhere inside the cylinder, the electric potential is larger than 100V, since $R^2 - r^2 > 0$. This makes sense, as the electric field points away from the center, and positive charges will decrease their potential energy by moving further from the center.

We proceed in the same way to determine the difference in potential between a point at a distance, $r > R$, and the potential, V_S, at the surface of the cylinder:

$$\begin{aligned}\Delta V &= V(r) - V_S = -\int_R^r \vec{E}\cdot d\vec{r} \\ &= -\int_R^r \frac{\rho R^2}{2\epsilon_0 r}\hat{r}\cdot d\vec{r} \\ &= -\frac{\rho R^2}{2\epsilon_0}\int_R^r \frac{1}{r} dr \\ &= -\frac{\rho R^2}{2\epsilon_0}\ln\left(\frac{R}{r}\right) \\ \therefore V(r) &= V_S - \frac{\rho R^2}{2\epsilon_0}\ln\left(\frac{R}{r}\right) \\ &= 100\text{V} - \frac{\rho R^2}{2\epsilon_0}\ln\left(\frac{R}{r}\right)\end{aligned}$$

We find that, outside the cylinder, the electric potential decreases from 100V as one moves away from the cylinder, as expected.

? Exercise 18.8.2

A capacitor is constructed by placing a concentric conducting cylindrical shell of negligible thickness and inner radius, R_B, around a solid conducting cylinder of radius, R_A, as illustrated in Figure 18.8.1. What is the capacitance of this capacitor, where the solid cylinder and the cylindrical shell form the two electrodes?

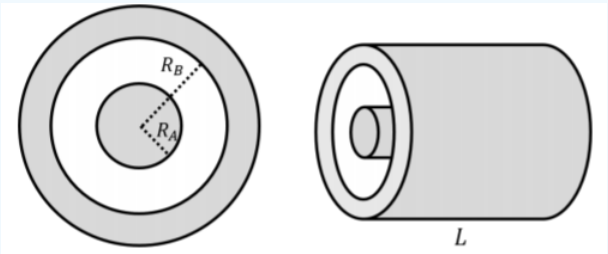

Figure 18.8.1: A capacitor constructed from concentric cylinders.

Answer

We will determine the capacitance by relating the potential difference between the electrodes to the charge stored on the electrodes. By using Gauss' Law, we can determine the electric field between the electrodes based on the charge on those electrodes, and from there, we can determine the potential difference. We will ignore the fact that the cylinder has a finite length and that Gauss' Law will not hold near the edges of the cylinder, where the electric field is no longer exactly in the radial direction.

We assume that each electrode carries an equal and opposite charge per unit length, λ. In order to determine the electric field in the region $R_A < r < R_B$, we consider a gaussian surface that is a cylinder of radius, r, and length, L, as illustrated in Figure 18.8.2 which will enclose a charge $Q^{enc} = \lambda L$ from the inner cylinder.

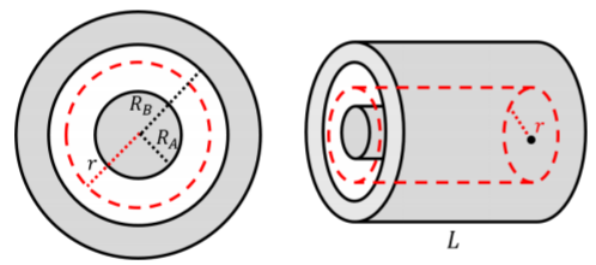

Figure 18.8.2 *Solving for E between two cylinders using Gauss' Law.*

Applying Gauss' Law:

$$\int EdA = \frac{Q_{enc}}{\epsilon_0}$$

$$E2\pi rL = \frac{\lambda L}{\epsilon_0}$$

$$\therefore E(r) = \frac{\lambda}{2\pi\epsilon_0 r}$$

and the electric field points in the radial direction (outwards if the inner electrode is positive). We can find the potential difference between the two electrodes using the electric field:

$$\Delta V = V(R_B) - V(R_A) = -\int_{R_A}^{R_B} \vec{E}\cdot d\vec{r}$$

$$= -\int_{R_A}^{R_B} \frac{\lambda}{2\pi\epsilon_0 r} dr$$

$$= -\frac{\lambda}{2\pi\epsilon_0}\ln\left(\frac{R_B}{R_A}\right)$$

where we should note that the minus sign is ambiguous, as the actual sign of the potential difference will depend on the sign of, λ, the charge on the inner cylinder. If the charge on the inner cylinder is positive, the potential difference is negative, indicating that the outer cylinder is at a lower potential than the inner one (which makes sense, as the electric field would point outwards between the two cylinders).

We can determine the capacitance between the electrodes, by taking the absolute value of the potential difference above, and using the fact that the charge, Q, on a length, L, of one electrode is given by $Q = \lambda L$:

$$Q = C\Delta V$$

$$\lambda L = C\frac{\lambda}{2\pi\epsilon_0}\ln\left(\frac{R_B}{R_A}\right)$$

$$\therefore C = \frac{2\pi\epsilon_0}{L\ln\left(\frac{R_B}{R_A}\right)}$$

We note that the capacitance does not depend on the (arbitrary) charge per unit length, λ that we placed on the inner cylinder in order to model the capacitor. The capacitance only depends on the geometry of the capacitor, and the material that is used between the plates.

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CHAPTER OVERVIEW

19: Electric Current

Learning Objectives

- Understand the differences in modelling conductors when charges are stationary or moving.
- Understand how to define current and current density.
- Understand the differences between resistance, resistivity, and conductivity.
- Understand Ohm's Law.
- Understand how to model how power is dissipated in a resistor.
- Understand how to model alternating current.
- Understand some elements of electrical safety.

In this chapter, we introduce tools to model electric current, namely, the motion of charges inside a conductor. We will show how we can connect the microscopic motion of electrons to macroscopic quantities, such as current and voltage, that can be measured in the laboratory. We will also introduce the notion of resistance, as well as the resistor, a common component in electric circuits.

prelude

Why is it safe to touch the $300000\ \mathrm{V}$ terminal of a Van de Graaf generator, and not the $12\ \mathrm{V}$ terminal of a car battery?

A. The Van de Graaf generator cannot sustain a large current.
B. The Van de Graaf generator produces alternating current.
C. The car battery produces $12\ \mathrm{V}$ of alternating voltage.

19.1: Current
19.2: Microscopic model of current
19.3: Ohm's Law
19.4: Resistors
19.5: Alternating voltages and currents
19.6: Electrical safety
19.7: Summary
19.8: Thinking about the material
19.9: Sample problems and solutions

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19.1: Current

In the preceding chapters, we examined “electrostatic” systems; those for which charges are not in motion. In electrostatic systems, the electric field inside of a conductor is zero (by definition, or charges would be moving, since they are free to move in a conductor). We argued that if charges are deposited onto a conductor, they would quickly arrange themselves into a static configuration (on the surface of the conductor).

Instead, we can build systems were charges move in a conductor. If we apply a fixed potential difference across a conductor, this will result in an electric field inside the conductor and the charges within will move as a result. In general, this requires that there be some sort of circuit formed, whereby charges enter one end of the conductor and exit the other. The most simple circuit that one can construct is to connect the two terminals of a battery to the ends of a conductor, as illustrated in Figure 19.1.1.

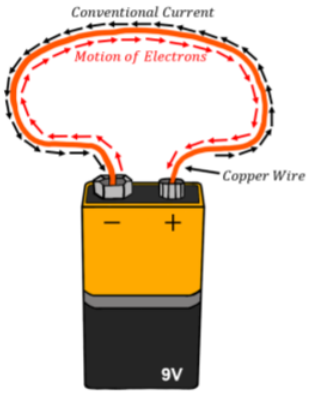

Figure 19.1.1: A simple circuit is created by connecting the terminals of a battery to a conducting material such as a copper wire. Note that while electrons flow from the negative to the positive terminal of the battery, conventional current is defined as if it were positive charges moving in the opposite direction.

A battery (as we will see in more detail in Section 20) is a device that provides a source of charges and a fixed potential difference. For example, a 9V battery has two terminals with a constant voltage of 9V between them.

“Electric current” is defined to be the rate at which charges cross a given plane (usually a plane perpendicular to some conductor through which we want to define the current). We define current, I, as the total amount of charge, ΔQ, that flows through any cross-section of the conductor during an amount of time, Δt:

$$I = \frac{\Delta Q}{\delta t} = \frac{dQ}{dt} \tag{19.1.1}$$

where we take a derivative if the rate at which charges flow is not constant in time. The S.I. unit of current is the Ampère (A). Current is defined to be positive in the direction in which positive charges flow. In almost all cases, it is negative electrons that flow through a material; the current is defined to be in the opposite direction from which the actual electrons are flowing, as illustrated in Figure 19.1.1. To distinguish that the current is in the direction opposite to that of the flowing electrons, one sometimes uses the term “conventional current” to indicate that the current is referring to a flow of positive charges.

Note that the definition of electric current is very similar to the “flow rate”, Q, that we defined as the volume flow of a liquid across a given cross-section (Section 15.3). As we continue to develop our description of current, you will notice that there are many similarities between describing the flow of an incompressible fluid and describing the flow of charges in a conductor.

We think of current as a macroscopic quantity, something that we can easily measure in the lab. Current is a measure of the average rate at which charges are moving through the conductor, and not a measure of what is going on at a microscopic level. In order to model the motion of charges at the microscopic level, we introduce the “current density”, $\vec{j}$:

$$\vec{j} = \frac{I}{A}\hat{E} \tag{19.1.2}$$

where, I, is the current that flows through a surface with cross-sectional area, A, and $\hat{E}$ is a unit vector in the direction of the electric field at the point where we are determining the current density. The current density allows us to develop a microscopic description of the current, since it is the electric current per unit area and points in the direction of the electric field at some position. Given the current density, $\vec{j}$, one can always determine the current through a surface with area, A, and normal vector, $\hat{n}$:

$$I = A(\vec{j} \cdot \hat{n})$$

If the current density changes over the surface, one must take an integral instead:

$$I = \int \vec{j} \cdot d\vec{A}$$

where $d\vec{A}$, is a surface element with area, A, and direction given by the normal to the surface at that point. The overall sign of the current will be determined by the direction of the flow of positive charges.

Example 19.1.1

Electric current flows through a conductor with a narrowing cross section, as illustrated in Figure 19.1.2 If the cross-sectional area the conductor is A_1 at one end, and A_2, at the other end, what is the ratio of the current densities, j_1/j_2, at the two ends of the conductor?

Solution

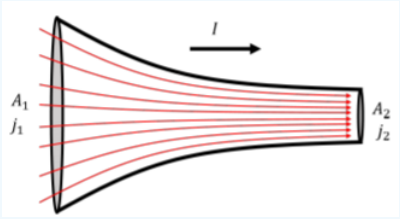

Figure 19.1.2: Current flows through a conductor with a cross-section that decreases from A_1 to A_2.

This situation is very similar to the flow of an incompressible fluid. In this case, the number of charges entering the conductor must be equal to the number of charges exiting the conductor during a given amount of time. That is, the total current, I, must be the same at both ends, since there is no place in the conductor for charges to accumulate. Since the current must be the same on both ends, we can relate the current densities at each end:

$$j = \frac{I}{A}$$

$$\therefore I = j_1 A_1 = j_2 A_2$$

$$\therefore \frac{j_2}{j_1} = \frac{A_1}{A_2}$$

and we find that the current density at the exit of the conductor must be higher than at the entrance. This is similar to the continuity equation in the Fluid Mechanics chapter (Section 15.3), where the current density plays a role analogous to the velocity in the fluids case.

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19.2: Microscopic model of current

Consider a cylindrical conductor of cross-sectional area, A, and length, L, as shown in Figure 19.2.1. A potential difference, ΔV, is applied across the length of the conductor, so that there is an electric field, $\vec{E}$, everywhere within the conductor. If the conductor were made of empty space, electrons would enter one end of the conductor, accelerate through the potential difference, and arrive at the other end with a high speed, having gained $e\Delta V$ of kinetic energy. In reality, the conductor is made of matter, and electrons do not accelerate continuously through the whole length of the conductor. Instead, they can only accelerate over a short distance before colliding with an atom in the material (rather, a tightly bound electron in the material), and losing their kinetic energy to the material, before accelerating again. The motion of electrons flowing in a conductor is illustrated in Figure 19.2.1 and shows electrons moving with a wide range of velocities following the collisions, and only an average motion in the direction anti-parallel to the electric field.

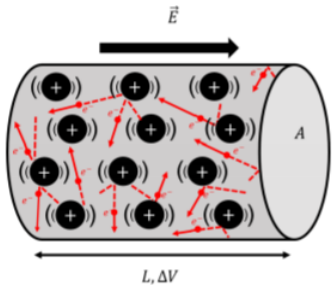

Figure 19.2.1: Electrons moving inside a conductor only "drift" on average in the direction antiparallel to the electric field. In reality, they constantly collide with atoms in the material, transferring their kinetic energy into thermal energy of the conductor.

Thus, when the electrons arrive at the positive side of the conductor, they have not gained any kinetic energy. Instead, they have lost that kinetic energy to atoms of the conducting material through collisions; those atoms then vibrate which we can measure as an increase in temperature of the material. When current flows through a conductor, that conductor will heat up; this is how the heating elements in your toaster work!

We model the motion of electrons as charges "drifting" through the conductor with a velocity, $\vec{v}_d$, the "drift velocity", as illustrated in Figure 19.2.2 In reality, of course, the electrons are only moving on average with the drift velocity, and their instantaneous speed is generally much larger than the drift velocity and can be in any direction, as illustrated in Figure 19.2.1.

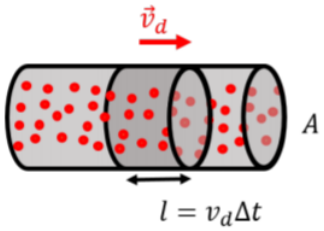

Figure 19.2.2: A section of electrons of length l drifting through a conductor of cross-sectional area, A.

In a conducting material, each atom will generally have one "free" electron that is loosely bound and able to easily move through the material. The number of free electrons available for conduction per unit volume, n, will depend properties of the material (its density, how many electrons per atom are available, etc). Consider, then, the motion of the conduction electrons present in a section of length, l, of a conductor, as illustrated in Figure 19.2.2 The amount of charge, ΔQ, contained in a section of the conductor with length, l, is given by:

$$\Delta Q = -enAl$$

where Al is the volume of that section of the conductor, and, e, is the magnitude of the charge of the electron. The negative sign is to indicate that the charges are negative (they are electrons). That charge will take an amount of time, Δt, to flow through a given plane of the conductor, so that we can relate the length of the section, l, to the drift speed and Δt:

$$l = v_d \Delta t$$

Thus, the current that flows through a cross-section of the conductor is given by:

$$I = \frac{\Delta Q}{\delta t} = \frac{-enAl}{\Delta t} = -enAv_d$$

$$\therefore I = -enAv_d \tag{19.2.1}$$

which allows us to connect a macroscopic quantity, current, to the microscopic description of charges moving. Note that the negative sign reflects the fact that the current (of positive charges) is in the opposite direction from the drift velocity of the (negative) electrons. The current density is directly related to the microscopic quantities, since it does not depend on the (macroscopic) cross-sectional area, A, of the conductor:

$$\vec{j} = \frac{I}{A}\hat{E} = -en\vec{v}_d$$

$$\therefore \vec{j} = -en\vec{v}_d \tag{19.2.2}$$

where, again, the negative sign indicates that the current density is in the opposite direction from the actual drift velocity of the electrons, which itself is anti-parallel to the electric field.

Example 19.2.2

A current of 1A is measured in a copper wire with a diameter of 1mm. What is the drift velocity of the electrons? Assume that each atom of copper provides one "free electron" for conduction.

Solution

In order to determine the drift velocity of electrons, we need to know the density of free electrons in copper. To do this, we need to determine how many copper atoms there are per unit volume. The density of copper is $\rho = 8.92 \times 10^3 \text{kg/m}^3$ and the atomic mass unit of copper is 63.5amu(1mole of copper weighs 63.5g). The number of copper atoms per unit volume is thus:

$$n = \frac{(6.022 \times 10^{23}\text{mole}^{-1})(8.92 \times 10^3 \text{kg/m}^3)}{(63.5 \times 10^{-3}\text{kg/mole})} = 8.46 \times 10^{28}\text{m}^{-3}$$

Since each copper atom contributes one free electron, this is the same as the density of free electrons. From this, we easily obtain the drift velocity, from the current:

$$\begin{aligned} v_d &= \frac{j}{en} = \frac{I}{Aen} = \frac{(1\text{A})}{\pi(0.0005\text{m})^2(1.6 \times 10^{-19}\text{C})(8.46 \times 10^{28}\text{m}^{-3})} \\ &= 9.4 \times 10^{-5}\text{m/s} \sim 0.1\text{mm/s} \end{aligned}$$

The drift velocity is thus very slow, less than one millimetre per second. Note that a 1mm diameter copper wire would not actually be able to sustain such a high current density without damage.

josh's thoughts

There are a few types of velocities which can be easily confused when discussing current: Fermi velocity, drift velocity, and the velocity at which a circuit is "completed".

Understanding the Fermi velocity requires quantum mechanics and is beyond the scope of this textbook. However, the Fermi velocity is representative of the actual velocity of electrons in a conducting material, mostly due to their thermal energy. In a good conductor, these speed are roughly 1/200 the speed of light.

While electrons do move at their Fermi velocity in a conductor, they do not move in a uniform path through the conductor towards the end of the circuit. Most of an electron's movement in a wire is chaotic, but in a DC circuit, the electrons have a drift velocity through the conductor. This drift velocity is defined as the net velocity of electrons in a conductor, and is caused by the applied electric field which has a small amount of influence on the direction of the quickly moving electron's motion. The drift velocity of electrons is very slow, often having a magnitude as small as tens of microns per second.

When comparing drift velocity to Fermi speed, imagine yourself standing inside of a large horizontal cylinder, which will represent the conductor in this analogy. The interior of this cylinder is lined with cannons that shoot rubber balls in all

directions, which will be the electrons moving at their Fermi velocity. Now, imagine that you are attempting to move these high-speed rubber balls from one end of the cylinder to the other by blowing a hair dryer in that direction, which is the electric field inducing a drift velocity.

Now that we understand the quantum chaos that occurs in a conductor, you may be thinking to yourself, "why does the light bulb turn on so quickly after I flick the light switch?". This is a reasonable thought, because we have only covered the motion of single particles in a conductor. When an electron moves very slightly (at its drift velocity), it will push other electrons in the conductor forward, causing a chain reaction of electrons pushing one another forward. This movement causes electrons to flow through the circuit, much like how water flows through a pipe. The velocity at which a light bulb turns on after the flicking of a switch is theoretically the speed of light, but short delays caused by irregularities in the way electrons bump into one another causes the velocity to be roughly 50 to 99 percent of the speed of light.

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19.3: Ohm's Law

In the previous section, we developed a microscopic model of charges moving in a conductor, but did not describe how this motion is affected by the electric field in the conductor (or equivalently, the potential difference across the conductor). "Ohm's Law" states that the current density, $\vec{j}$, at some position in the conductor is proportional to the electric field, $\vec{E}$, at that same position in the conductor:

$$\vec{j} \propto \vec{E}$$
$$\vec{j} = \sigma \vec{E} \tag{19.3.1}$$

where we have introduced the "conductivity", σ, as the constant of proportionality. Conductivity is a property of the material from which the conductor is made, and is a measure of how large a current density (and by extension, current) there will be in material given a certain electric field. Materials with a high conductivity are said to be good conductors, as a large current will result from a small electric field. Gold and copper are examples of materials with a high conductivity.

? Exercise 19.3.1

What is the conductivity of an ideal insulator?

A. 0.
B. Roughly 1.
C. Infinite.

Answer

Resistivity

For convenience, one often describes how well a material conducts charges using the "resistivity", ρ, which is simply defined as the inverse of conductivity:

$$\rho = \frac{1}{\sigma}$$

Materials with a high resistivity are poor conductors; they tend to "resist" the formation of a current when an electric field is applied. Insulators have high resistivity.

The resistivity of most (but not all) materials has been observed to increase linearly with the temperature of the material. One can picture that, as atoms in the material vibrate more, it is more difficult for electrons to conduct through the material as they will interact with more atoms. The resistivity, ρ, at a certain temperature, T, is usually modeled as follows:

$$\rho(T) = \rho_0 \left[1 + \alpha(T - T_0)\right]$$

where, ρ_0, is a "reference resistivity" measured at a "reference temperature", T_0 (usually $\SI{20}{\degree C}$). α is the "temperature coefficient" of the material. The temperature dependence of the resistivity is illustrated in Figure 19.3.1.

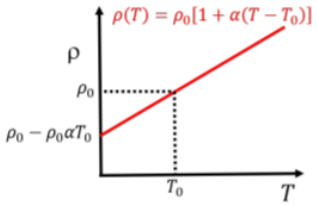

Figure 19.3.1: A linear model of resistivity can be used for most conductors over a large range of temperature.

This "linear model" (since resistivity increases linearly with temperature) is empirically found to be valid for many materials over a large range of temperatures, although it is not expected to hold at extreme temperatures (either very low or very high). Furthermore, for semi-conducting materials (such as silicon and germanium), resistivity is found to decrease as a function of temperature.

? Exercise 19.3.2

What is the slope of the resistivity vs temperature as shown in Figure 19.3.1?

A. α.
B. $\rho_0 \alpha T$.
C. $\rho_0 T$.
D. $\rho_0 \alpha$.

Answer

Table 19.3.1 shows a list of common materials and their conductivity, resistivity, and temperature coefficients (defined at a reference temperature $T_0 = 20^\circ\mathrm{C}$).

Material	**Resistivity** $[\Omega \cdot m]$	**Temperature coefficient** $[^\circ\mathrm{C}^{-1}]$	**Free electron density** $[\mathrm{m}^{-3}]$
Silver	1.59×10^{-8}	0.0038	5.86×10^{28}
Copper	1.68×10^{-8}	0.0040	8.46×10^{28}
Gold	2.44×10^{-8}	0.0034	5.90×10^{28}
Aluminum	2.74×10^{-8}	0.0039	18.1×10^{28}
Iron	9.70×10^{-8}	0.0050	17.0×10^{28}
Silicon	$0.1 - 1000$	-0.0750	0
Rubber	$(1 - 100) \times 10^{13}$	0	0
Quartz	7.5×10^{17}	0	0

Table 19.3.1*: Resistivity, free electron density and temperature coefficients of common materials. All properties are listed for a reference temperature of* $20^\circ\mathrm{C}$.

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19.4: Resistors

A conductor with current going through it (or current that could go through it) is generally called a “resistor”, to emphasize that charges will experience resistance as they travel through the conductor (as they collide with atoms in the resistor). In this section, we describe resistors, how to combine them, and how to model the heat that is generated when charges collide with the atoms in the resistor.

Resistance

Consider a resistor, with length, L, and cross-sectional area, A, made out of a material with resistivity, ρ, as illustrated in Figure 19.4.1.

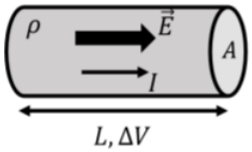

Figure 19.4.1: A simple resistor of length, L, cross-sectional area, A, made from a materials with resistivity, ρ. A potential difference, ΔV, is applied across the resistor, leading to an electric field and current in the resistor.

A potential difference, ΔV, is applied across the length of the resistor, resulting in an electric field, $\vec{E}$, within its volume. To good approximation, one can model the two ends of the conductor as parallel plates, so that the magnitude of the electric field throughout the conductor is constant in magnitude and direction and has strength given by:

$$E = \frac{\Delta V}{L}$$

Combining this with Ohm’s Law, we have:

$$j = \sigma E$$
$$\therefore j = \sigma \frac{\Delta V}{L}$$

Since the current density is a microscopic quantity, we can replace it with the current, I, a macroscopic quantity, for the conductor of cross-sectional area, A, to find:

$$j = \frac{I}{A}$$
$$\therefore I = jA = \sigma \frac{\Delta V}{L} A$$

This last equation is often written by isolating the potential difference:

$$\Delta V = \rho \frac{L}{A} I \tag{19.4.1}$$

where we replaced the inverse of the conductivity with the resistivity. This last equation is the equivalent of Ohm’s Law, but written for a (macroscopic) resistor of length, L, cross-sectional area, A, and made of a material with resistivity, ρ. Written in this way, Ohm’s Law is a statement that the **current through a resistor is proportional to the voltage applied across it**. The constant of proportionality, R, is called the “resistance”:

$$\Delta V = RI \tag{19.4.2}$$

This last equation is often called “Ohm’s Law”, even if, technically, Ohm’s Law is the relation between current density and electric field. A resistor is a macroscopic object whose “resistance” can be characterized by a single value, R, its resistance. The resistance of a resistor can be determined from its macroscopic properties (length and cross-sectional area) and from the material from which it is made (with a given resistivity):

$$R = \rho \frac{L}{A} \tag{19.4.3}$$

The (derived) S.I. unit of resistance is the “Ohm”, (Ω).

? Exercise 19.4.1

What are the SI units of conductivity?

A. $\frac{\Omega}{\mathrm{C}}$
B. $\frac{1}{\Omega \mathrm{m}}$
C. $\frac{\mathrm{N}^2 \Omega}{\mathrm{C}}$
D. $\frac{\mathrm{C}}{\mathrm{s}}$

Answer

The model to describe the resistance of a conductor to the flow of electric current under a fixed potential difference, ΔV, is identical to the model that we derived in Section 15.3 to describe the Poiseuille flow, Q, of an viscous incompressible fluid in a pipe with resistance, R, under a pressure difference, ΔP:

$$\Delta P = RQ$$

Thus, one can think of electric current by analogy to the incompressible flow of a viscous fluid through a pipe. If the pipe is longer, it opposes more resistance to the flow of liquid, just as a longer resistor has a larger resistance to current. A pipe with a larger cross-sectional area has less resistance to the flow of liquid, just as a resistor with a larger cross sectional area, A, has a lower resistance.

Combining resistors

Resistors are the most common component in circuits, and we show below how to model the equivalent resistance of two resistor that are combined in "parallel" or in "series".

Figure 19.4.2 shows two resistors, R_1 and R_2, connected in "series", to form an an effective resistor with resistance, R_{eff}. A potential difference, ΔV, is applied across the combination of resistors.

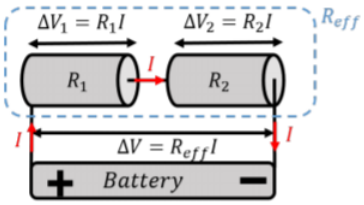

Figure 19.4.2: When two resistors are connected in series, the same current flows through each resistor.

By analogy with fluid mechanics, the charges that enter resistor, R_1, must exit the resistor at the same rate, and then cross the second resistor, R_2. In other words, what comes into R_1 must come back out of R_2, since there is no place for the charges to go. This is the electrical equivalent of "continuity" in fluid mechanics. **When resistors are combined in series, both resistors will have the same current, I, through them**.

Ohm's Law (the macroscopic version), must also be true for each resistor:

$$\Delta V_1 = R_1 I$$
$$\Delta V_2 = R_2 I$$

where, ΔV_1 and ΔV_2, are the potential differences across each resistor. ΔV_1 and ΔV_2 must sum to ΔV:

$$\Delta V_1 + \Delta V_2 = \Delta V$$

since the potential energy (per unit charge) that is lost in each resistor must equal to the total potential energy (per unit charge) that is made available by the battery. Combining this last equation with Ohm's Law for each resistor, we can model the series combination of resistor as having an "effective resistance", R_{eff}, given by:

$$\Delta V = \Delta V_1 + \Delta V_2 = R_1 I + R_2 I = (R_1 + R_2) I = R_{eff} I$$

$$R_{eff} = R_1 + R_2 \quad \text{(Series resistors)} \tag{19.4.4}$$

It makes sense that the equivalent resistance if found by summing the two resistors, when these are in series. If the two resistors are made of the same material and have the same cross-sectional area, combining them in series is equivalent to fabricating a longer resistor with the two lengths added together. The result is easily extended to any number of resistors:

$$R_{eff} = R_1 + R_2 + R_2 + \ldots$$

Figure 19.4.3 shows two resistors, with resistances R_1 and R_2, combined in parallel to form an effective resistor with resistance, R_{eff}. A potential difference, ΔV, is applied across the combination of resistors. **When resistors are combined in parallel, both resistors have the same potential difference across them**.

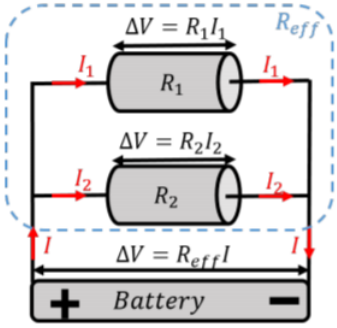

Figure 19.4.3: When two resistors are connected in parallel, the same voltage is applied across each resistor.

Applying Ohm's Law to each resistor, we find that they each have difference currents going through them:

$$I_1 = \frac{\Delta V}{R_1}$$

$$I_2 = \frac{\Delta V}{R_2}$$

The total current, I, that enters the combination of resistors, must also exit the combination of resistor (continuity), so that the total current, I, is the sum of the current through each resistor:

$$I = I_1 + I_2$$

Combining this with Ohm's Law, we find:

$$I = I_1 + I_2 = \frac{\Delta V}{R_1} + \frac{\Delta V}{R_2} = \left(\frac{1}{R_1} + \frac{1}{R_2}\right)\Delta V$$

$$\therefore \Delta V = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2}} I$$

Thus, the effective resistance, R_{eff}, of two resistors connected in parallel is given by:

$$R_{eff} = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2}} = \frac{R_1 R_2}{R_1 + R_2} \quad \text{(Parallel resistors)} \tag{19.4.5}$$

where the two forms that are given are equivalent. The effective resistance of two resistors in parallel is smaller than the resistance of either resistor. This makes sense, because combining resistors in parallel is analogous to fabricating a single resistance with a larger cross-sectional area, allowing for "more space" for the charges to flow. Again, this result is easily extended for more than two resistors:

$$R_{eff} = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} + \ldots}$$

Example 19.4.1

A $R_2 = 2\Omega$ resistor is placed in parallel with a $R_3 = 3\Omega$ resistor and the combination is placed in series with a $R_1 = 1\Omega$ resistor, as shown in Figure 19.4.4 What is the effective resistance of this combination?

Solution

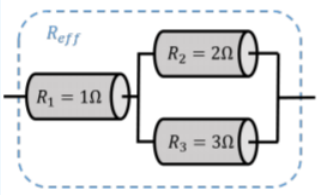

Figure 19.4.4: A combination of three resistors.

In order to determine the effective resistance of the combination, we can first combine the parallel resistors R_2 and R_3 into an effective resistor, R', which we can then combine in series with the resistor R_1, to obtain the effective resistance of the three resistors. First, combining the parallel resistors, R_2 and R_3, we find:

$$R' = \frac{R_2 R_3}{R_2 + R_3} = \frac{6}{5}\Omega$$

We can then combine this in series with R_1, to obtain the total effective resistance of the combination of three resistors:

$$R_{eff} = R_1 + R' = \frac{11}{5}\Omega$$

Discussion

In this example, we showed how to determine the effective resistance of a combination of series and parallel resistors. We can determine the effective resistance of complex combinations of resistors in the same manner, by first combining subsets of resistors and then including those with other resistors.

Electrical power dissipated in resistors

As we discussed in Section 19.2, charges that move through a resistor do not gain kinetic energy. Instead, the electric potential energy available from the voltage applied across the resistor is converted into heat, as a result of charges colliding with atoms in the material. The net potential energy, ΔU, available to a single charge, q, is given by:

$$\Delta U = q\Delta V$$

If there are many charges going through the resistor, the rate, P, at which they will dissipate energy in the resistor is given by:

$$P = \frac{d}{dt}\Delta U = \frac{d}{dt} q\Delta V = I\Delta V$$
$$\therefore P = I\Delta V$$

where we recognized that $dq/dt = I$, is the definition of current. P corresponds to the rate at which energy is dissipated in the resistor, and has dimensions of power. Combining this with Ohm's Law, the power that is dissipated in a resistor can be written in different ways:

$$P = I\Delta V = \frac{(\Delta V)^2}{R} = I^2 R \tag{19.4.6}$$

Example 19.4.2

A hair-dryer is rated as consuming 1500W when connected to an outlet with a 120V potential difference. What is the resistance of the hair-dryer, and how much current goes through it when it is running?

Solution

Since the power of the hair-dryer and the potential difference across it are known, we can easily determine its resistance:

$$P = \frac{(\Delta V)^2}{R}$$

$$\therefore R = \frac{(\Delta V)^2}{P} = \frac{(120\text{V})^2}{(1500\text{W})} = 9.6\Omega$$

Similarly, we can determine the current through the hair dryer:

$$P = I\Delta V$$

$$\therefore I = \frac{P}{\Delta V} = \frac{(1500\text{W})}{(120\text{V})} = 12.5\text{A}$$

Discussion

Most household appliances are rated by the electrical power that they consume. This rating assumes that the appliance will be connected to a fixed potential difference (120V in North America), so it is straightforward to determine the current that they will draw. This is important, because the current that is drawn by the appliance has to go through the wiring in the house, and if the current is too large, the wiring (which has resistance) will heat up ($P = I^2R$) which could result in an electrical fire. Circuits in a house have safety devices (fuses or breakers) that are designed to interrupt the circuit if the current is too large.

One can rate a power supply, such as a battery, by the amount of power that it can deliver. Power supplies are usually designed to supply a fixed potential difference; for example, a 9V battery supplies a constant voltage of 9V. If a small resistor is connected across the terminals of the battery, a large current, I, will flow through the resistor. In principle, the current through the resistor will be given by Ohm's Law, $I = \Delta V/R$. However, by reducing the resistance, the current will increase, and the power dissipated by the resistor, $P = I\Delta V$, would increase indefinitely. Obviously, this is not possible, as it requires the battery to supply energy at the same ever increasing rate. In practice, as the resistance is decreased, the current through the resistor will only increase until $I\Delta V$ is equal to the maximal power that can be dissipated by the battery. As the resistance across the battery is further decreased, the voltage across the battery will start to decrease as well, so that the power dissipated in the resistor, ΔVI, does not exceed the power that the battery could possibly supply.

Superconductors

Superconductors are materials that, under certain conditions, have zero resistivity. A resistor made from a superconducting material will thus have zero resistance. It is beyond the scope of this textbook to describe how superconductivity arises in materials, however, it is worth knowing that these exist. Typically, superconductivity arises in materials when they are cooled to temperatures close to absolute zero, although some materials exhibit superconductivity at much higher temperatures ($\sim 140^\circ\text{K}$ or $\sim -130^\circ\text{C}$). Superconducting materials are often used when one needs a large electric current, such as in a powerful electro-magnet. By having no resistance, a large current can be sustained without dissipating any power.

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19.5: Alternating voltages and currents

So far, we have modeled how current propagates through a resistor under a constant potential difference, ΔV. This is called "direct current" (DC) as the charges move in a constant direction through the resistor. Batteries supply fixed voltages, and circuits with batteries will almost always have DC current. The voltage that is supplied between two of the sockets in a household electrical outlet is "alternating", and leads to "alternating current" (AC), where charges move back and forth, with no net displacement.

The potential difference across a household outlet varies sinusoidally:

$$\Delta V(t) = \Delta V_0 \sin(\omega t)$$

where ΔV_0 is the maximal amplitude of the voltage (120V in North America, 220V in Europe), and $\omega = 2\pi f$, is the angular frequency of the voltage ($f = 60\text{Hz}$ in North America, $f = 50\text{Hz}$ in Europe). When a resistor with resistance, R, is connected to an AC voltage, the resulting current, given by Ohm's Law, is also alternating:

$$I(t) = \frac{\Delta V(t)}{R} = \frac{\Delta V_0}{R}\sin(\omega t) = I_0 \sin(\omega t)$$

On average, the alternating current through a resistor is zero. However, this does not mean that zero energy is dissipated, since the electrons in the resistor will still collide with atoms as they oscillate back and forth. We can define the average power, $\bar{P}$, that is dissipated in the resistor as the power that is dissipated over one oscillation cycle (with period, T). To obtain the latter, we calculate the total energy, E, dissipated in the resistor over one cycle so that the power is simply given by E/T. We divide the interval of time, T, into infinitesimally small intervals, dt, so that the infinitesimal energy, dE, dissipated in an infinitesimal time, dt, is given by:

$$dE = P(t)dt$$

The total energy dissipated in one period is then given by:

$$E = \int dE = \int_0^T P(t)dt$$

so that the power dissipated in one cycle is given by:

$$\bar{P} = \frac{E}{T} = \frac{1}{T}\int_0^T P(t)dt$$

The instantaneous power, $P(t)$, can be described in terms of the instantaneous current, $P(t) = I^2(t)R$, so that the average power can be written as:

$$\bar{P} = \frac{1}{T}\int_0^T P(t)dt = \frac{1}{T}\int_0^T I(t)^2 R dt = RI_0^2\frac{1}{T}\int_0^T \sin^2(\omega t)dt = \frac{1}{2}RI_0^2$$

where we used the fact that $T = \frac{2\pi}{\omega}$ to evaluate the integral. In order to make the formula similar to the DC equivalent (without the additional factor of $1/2$), we can define the "root mean square" current, I_{rms}, as an average current, from which we can calculate the average power that is dissipated in a resistor:

$$I_{rms} = \frac{I_0}{\sqrt{2}}$$
$$\therefore \bar{P} = I_{rms}^2 R$$

Similarly, one can define the "root mean square" voltage, ΔV_{rms}, so that the average power dissipated with alternating current can be written in the same form as for the DC case:

$$V_{rms} = \frac{\Delta V_0}{\sqrt{2}}$$
$$\therefore \bar{P} = I_{rms}^2 R = \frac{\Delta V_{rms}^2}{R} = I_{rms}\Delta V_{rms}$$

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19.6: Electrical safety

The models that we have developed to describe current can inform us on ways to avoid being injured by electricity in our common lives. The two main hazards associated with electricity are fire and electrocution. Typically, an electrical fire is the result of a large current going through a resistor, as the power dissipated in a resistor is proportional the square of the current through that resistor. If you connect an appliance that draws a large current to your outlets, the wires in your house (i.e. resistors) could heat up enough to cause a fire (e.g. by heating up insulation that is close by). This danger is primarily mitigated by using “fuses” or “circuit breakers” that will interrupt the circuit if the current is too large. A fuse is a simple device with a thin wire (high resistance) that will melt and break if too much current goes through it (which is designed to happen long before the wires in your house start to overheat). A circuit breaker is a resettable switch that opens under a large current. Modern houses do not use fuses any more, since they have to be replaced every time they are “blown”.

Electrocution is a form of injury that is the result of a current crossing the body; we can think of the body as a resistor connected between the terminals of a battery. Injuries can be caused simply by burns (tissue destroyed), or by muscles contracting involuntarily due to the current. For example, one’s muscles may contract in such a way that the person cannot let go of the source of current. If a current of more than about 80mA passes through the mid section of a person, enough current could go through the heart so that it starts to beat very irregularly (“ventricular fibrillation”) which can lead to death since blood stops flowing normally. A very large current can cause the heart to simply stop beating, which could sometimes be less dangerous than ventricular fibrillation (if for a short period of time, and of course, the burns will be more severe from a larger current). A “defibrillator” is designed to provide such a high current that the heart stops briefly, with the hope that when it starts back, the beats will be regular. This can be used in cases of ventricular fibrillation (caused by electrocution or other). One often hears that “it’s current that kills”, which is a statement that being electrocuted by a certain voltage is not a good measure of the resulting injury, since the current will depend on the resistance of the person’s body.

The amount of current that will go through a person will depend on the resistance of the person’s body. Internal tissues and organs are typically quite conductive and have low resistance. The outer layer of the skin, on the other hand, has a high resistance when dry and helps to limit the current that can go through the body. The resistance of dry skin is usually considerably above $1 \times 10^4 \Omega$, while it can be much less than $1 \times 10^3 \Omega$ when wet. With wet skin, a potential difference of 120V (as in a North American outlet) can easily lead to a current above 100mA, which could easily be fatal. Note that being barefoot and in contact with the ground is usually a low resistance connection, since there is often a thin layer of sweat on your feet.

In North America, electrical outlets have a minimum of two sockets: a “live” socket (with an oscillating voltage, usually a black wire[1]), and a “neutral” socket which is connected to the ground and relative to which the oscillating voltage has an amplitude of 120V (usually a white wire). One can obviously be electrocuted by simultaneously touching the wires in both sockets, and usually simply by touching the wire in the live socket, since one’s feet are usually connected to ground. Electrocution by directly touching the socket is fairly uncommon, since most people know not to do that (right?!). Usually, one is electrocuted by an appliance with faulty wiring; perhaps the insulation on the live wire is worn out and you touch the wire by mistake, or the wiring in the appliance is faulty, causing the casing of the appliance to be live. In order to mitigate the risk of electrocution from an appliance with faulty wiring, most outlets will have a third socket, the “dedicated ground”. The dedicated ground wire is connected to the ground inside the socket, and to the casing of the appliance, as illustrated in Figure 19.6.1. Thus, if the live wire were to be in contact with the casing of the appliance, the dedicated ground provides a low resistance path for current to take that is in parallel with your body (so that most current will go through the low resistance path).

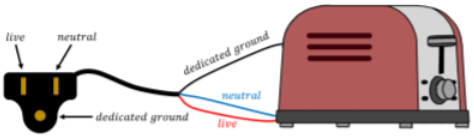

Figure 19.6.1: When an appliance has three prongs on its electrical cable, the middle prong grounds the case to the dedicated ground as a safety measure. Note that the live wire is not necessarily the right-hand side socket on an outlet!

Footnotes

1. Never trust the coloring of wires, always test them!

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19.7: Summary

Key Takeaways

Electric current, I, is defined as the rate at which charges cross some plane (for example a plane perpendicular to a wire) per unit time. That is, if an amount of charge, ΔQ, enters a wire during an amount of time, Δt, the current, I, in that wire is defined to be:

$$I = \frac{\Delta Q}{\Delta t} = \frac{dQ}{dt}$$

where a derivative is taken if the rate at which charges are moving is not constant with time.

Electric current is a macroscopic quantity that can be measured. Conventional current is defined to be positive in the direction in which positive charges flow. In most situations, it is electrons that move inside a conductor, so the current is defined to be positive in the *opposite direction* of the actual motion of the (negative) electrons.

The current density, $\vec{j}$, is defined to be the current per unit area at some point in a conductor, and is a vector in the direction of the electric field, $\hat{E}$, at that point:

$$\vec{j} = \frac{I}{A}\hat{E}$$

The current density can be related to the microscopic motion of charges within the conductor. If the current density, $\vec{j}$, is known, the corresponding current, I, that crosses a surface with area, A, and normal vector, $\hat{n}$, is given by:

$$I = A\vec{j}\cdot\hat{n} = \int \vec{j}\cdot d\vec{A}$$

where the integral must be taken if the current density is not constant over the surface.

A conducting material through which current is flowing is called a resistor. When a potential difference is applied across a resistor, the resulting electric field will drive the flow of electrons through the resistor. The electrons will flow with an average "drift velocity", $\vec{v}_d$, which is much lower than the actual (Fermi) speed of the electrons in the material. Inside the resistor, electrons are constantly accelerated before they collide with atoms in the material losing their kinetic energy, and then accelerating again. Thus, the potential energy that is available to the electrons is "used" to heat the resistor, and the electrons, on average, drift quite slowly through the resistor.

The current density in a resistor can be related to the drift velocity of the electrons and the "density of free electrons" in the material, n:

$$\vec{j} = -en\vec{v}_d$$

where, e, is the magnitude of the charge of the electrons and the minus sign indicates that the current density is in the opposite direction of the velocity of the (negative) electrons.

Ohm's Law states that the current density, $\vec{j}$, at some point in the conductor is proportional to the electric field, $\vec{E}$, at that point:

$$\vec{j} = \sigma\vec{E} = \frac{1}{\rho}\vec{E}$$

where the constant of proportionality, σ, is called the "conductivity" of the material (and is a property of the material through which current is flowing). The resistivity, ρ, is a material property that is simply the inverse of the conductivity. Both of these properties are a measure of how large a current (or current density) will exist in a material given a certain electric field. For example, the conductivity of an insulating material is close to zero (and its resistivity close to infinity).

For most materials, resistivity usually increases linearly with temperature:

$$\rho(T) = \rho_0[1 + \alpha(T - T_0)]$$

where ρ_0 is the resistivity as measured at some reference temperature, T_0 (usually 10°C), and α, is the "temperature coefficient" for that material. Note that this model of resistivity does not hold for extreme temperatures (very cold or very hot), and for some materials, resistivity decreases with temperature (α is negative).

If we apply Ohm's Law to a resistor of length, L, cross-sectional area, A, made of a material with resistivity, ρ, we find that the potential difference applied across the resistor, ΔV, is proportional to the current flowing through the resistor:

$$\Delta V = \rho \frac{L}{A} I$$

The constant of proportionality depends on the material with which the resistor is made (through the resistivity) and on the dimensions of the resistor (through the length and cross-sectional area). The constant of proportionality is called the "resistance" of the resistor, R:

$$R = \rho \frac{L}{A}$$

Ohm's Law is often written for a resistor as the relationship between the current through the resistor, I, and the potential difference across the resistor, ΔV:

$$\Delta V = RI$$

although, technically, Ohm's Law is the relation between current density and electric field.

Resistors can be combined in series, in which case, the effective resistance of the combination is found by adding the resistances of the individual resistors:

$$R_{eff} = R_1 + R_2 + R_2 + \ldots \quad \text{(Series resistors)}$$

When combined in parallel, the inverse of the effective resistance is given by the inverse of the sum of the inverse of the resistances of the individual resistors:

$$R_{eff} = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} + \ldots} \quad \text{(Parallel resistors)}$$

As charges move through a resistor of resistance, R, under a potential difference, ΔV, and current, I, they transfer their kinetic energy into heating up the resistor. The rate at which they transfer the energy, also called the "power dissipated in the resistor", is given by:

$$P = I\Delta V = \frac{(\Delta V)^2}{R} = I^2 R$$

where the various combinations can be obtained by applying the macroscopic version of Ohm's Law.

The electrical outlets in our daily lives provide an "alternating" voltage, $\Delta V(t)$, which oscillates sinusoidally:

$$\Delta V(t) = \Delta V_0 \sin(\omega t)$$

with a maximum amplitude, ΔV_0, and an angular frequency, $\omega = 2\pi f$. When this potential difference is applied across a resistor, an alternating current is formed, in which the electrons move back and forth, with no net displacement:

$$I(t) = \frac{\Delta V_0}{R} = I_0 \sin(\omega t)$$

Even though there is not net displacement, the electrons will still transfer energy into the resistor in the form of heat. The average rate at which power is dissipated in the resistor is given by:

$$\bar{P} = \frac{1}{2} R I_0^2$$

We introduce the "root mean square" current (voltage), I_{rms} (ΔV_{rms}), as an average effective current (voltage):

$$I_{rms} = \frac{1}{\sqrt{2}} I_0$$

$$\Delta V_{rms} = \frac{1}{\sqrt{2}} \Delta V_0$$

such that the power can be expressed using a similar formula as in the direct current case, using the root mean square values:

$$\bar{P} = I_{rms}^2 R = I_{rms}\Delta V_{rms} = \frac{(\Delta V_{Rms})^2}{R}$$

There are two main types of hazards associated with the use of electricity: fire and electrocution. Electrical fires can arise when a large current goes through a wire, since this will dissipate a large amount of heat into the wire (which could set fire to its insulation or other nearby flammable items). Electrocution occurs when a current traverses the human body. If a current above $\sim 80\text{mA}$ crosses the upper body, this can result in ventricular fibrillation, whereby the heart beats very irregularly. Of course, one can also be burned by a large current. The amount of current through the body is what will ultimately determine the severity of injuries, and is why one often hears that "it's current that kills". A large voltage may not lead to a large current if the resistance of the person is large or if the power supply cannot provide a large current at that large voltage.

Important Equations

Current:

$$I = \frac{\Delta Q}{\Delta t} = \frac{dQ}{dt}$$

Current density:

$$\vec{j} = \frac{I}{a}\hat{E}$$

$$I = \int \vec{j} \cdot d\vec{A}$$

Microscopic model of current:

$$\vec{j} = -en\vec{v}_d$$

Ohm's Law:

$$\vec{j} = \sigma \vec{E}$$

Resistivity:

$$\rho = \frac{1}{\sigma}$$

$$\rho(T) = \rho_0[1 + \alpha(T - T_0)]$$

Resistance:

$$R = \rho\frac{L}{A}$$

Ohm's Law (macroscopic):

$$\Delta V = RI$$

Combining resistors:

$$R_{eff} = R_1 + R_2 + R_2 + \ldots \quad \text{(Series)}$$

$$R_{eff} = \frac{1}{\frac{1}{R_1} + \frac{1}{R_2} + \frac{1}{R_3} + \ldots} \quad \text{(Parallel)}$$

Power dissipated in a resistor:

$$P = I\Delta V = \frac{(\Delta V)^2}{R} = I^2 R$$

RMS voltage and current:

$$I_{rms} = \frac{1}{\sqrt{2}} I_0$$

$$\Delta V_{rms} = \frac{1}{\sqrt{2}} \Delta V_0$$

Important Definitions

Definition

Current: The rate at which charges flow across a given surface. SI units: $[\mathrm{A}]$. Common variable(s): I.

Definition

Current density: A measure of current per unit area, in the direction of the local electric field. SI units: $[\mathrm{Am}^{-1}]$. Common variable(s): $\vec{j}$.

Definition

Resistance: A measure of a specific object's opposition to the flow of charge. SI units: $[\Omega]$. Common variable(s): R.

Definition

Resistivity: A measure of a material's opposition to the flow of charge. SI units: $[\Omega\mathrm{m}]$. Common variable(s): ρ.

Definition

Conductivity: The inverse of resistivity. SI units: $[\Omega^{-1}\mathrm{m}^{-1}]$. Common variable(s): σ.

Definition

Drift velocity: The average velocity of an electron drifting in a conductor under the influence of an electric field. SI units: $[\mathrm{ms}^{-1}]$. Common variable(s): $\vec{v}_d$.

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19.8: Thinking about the material

Reflect and research

1. Describe how superconductivity arises in certain materials (hint: research “Cooper pairs”).
2. What are some example of superconducting materials, and at what temperature do they become superconducting?
3. Is there a limit to how much current a conductor can carry?
4. Does an AC current have a drift velocity? Why or why not?

To try at home

1. Use Ohm’s law and the electrical information on an appliance to determine the current produced drawn by your appliance (e.g. a hair dryer).
2. What is the current produced by your phone’s battery? What is the total power stored in your phone’s battery? Check the technical information of your phone.

To try in the lab

1. Propose an experiment to create an AC circuit and measure its current.
2. Propose an experiment to measure the temperature coefficient (α) and resistivity of a wire.

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19.9: Sample problems and solutions

Exercise 19.9.1

A cylindrical wire as a current density that increases with radius as $j(r) = ar$, where r, is the radial distance from the center of the wire, and a, is a constant. If the wire has a radius of $R = 1.5\text{cm}$, what is the total current in the wire?

Answer

To determine the current through the entire cross section of the wire, we first divide the cross-section of the wire into infinitesimally small concentric rings of radius, r, and width, dr. The cross-sectional area of one ring is given by:

$$dA = 2\pi r dr$$

so that the current through one ring is given by:

$$dI = j(r)dA = 2\pi a r^2 dr$$

The current through the whole wire is then found by summing the currents through each ring:

$$I = \int dI = \int_0^R 2\pi a r^2 dr = \frac{2}{3}\pi a R^3$$

Exercise 19.9.2

A resistor is measured to have a resistance of $R_1 = 103.4\Omega$ at a temperature of $T_1 = 30^\circ\text{C}$, and a resistance of $R_2 = 106.8\Omega$ at a temperature of $T_1 = 40^\circ\text{C}$. Using the values in *Table 19.3.1*, determine the material from which the resistor is made.

Answer

To determine the material of the resistor, we can find the temperature coefficient, α, since we are given measurements of resistance, R_1 and R_2, at two different temperatures, T_1, and T_2, respectively. The reference temperature is set to be $T_0 = 20^\circ\text{Celsius}$, so that we can compare with *Table 19.3.1*.

We know that the resistance will vary with temperature, since the resistivity is temperature-dependent. The temperature dependence of resistivity is given by:

$$\rho(T) = \rho_0[1 + \alpha(T - T_0)]$$

If the resistor has length, L, and cross-sectional area, A, it will have resistance, R, given by:

$$R(T) = \rho(T)\frac{L}{A} = \frac{\rho_0 L}{A}[1 + \alpha(T - T_0)] = R_0[1 + \alpha(T - T_0)]$$

where R_0 is the resistance at the reference temperature, T_0. Since we are given the resistance at two different temperatures, we can determine both α and R_0, for a choice of $T_0 = 20^\circ\text{C}$:

$$
\begin{aligned}
R_1 &= R_0[1+\alpha(T_1-T_0)] \\
R_2 &= R_0[1+\alpha(T_2-T_0)] \\
\therefore \frac{R_1}{R_2} &= \frac{1+\alpha(T_1-T_0)}{1+\alpha(T_2-T_0)} \\
R_1[1+\alpha(T_2-T_0)] &= R_2[1+\alpha(T_1-T_0)] \\
\alpha\left(R_1(T_2-T_0)-R_2(T_2-T_0)\right) &= R_2-R_1 \\
\therefore \alpha &= \frac{R_2-R_1}{R_1(T_2-T_0)-R_2(T_1-T_0)} \\
&= \frac{(106.8\Omega)-(103.4\Omega)}{(103.4\Omega)((40^\circ\text{Celsius})-(20^\circ\text{Celsius}))-(106.8\Omega)((30^\circ\text{Celsius})-(20^\circ\text{Celsius}))} \\
&= 0.0034
\end{aligned}
$$

Referring to *Table 19.3.1*, the material could likely be gold.

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CHAPTER OVERVIEW

20: Electric Circuits

Learning Objectives

- Understand how a battery works.
- Understand Kirchhoff rules and how to apply them.
- Understand how to model a circuit with resistors and/or capacitors.
- Understand how an ammeter and voltmeter function, and how to model them.

In this chapter, we develop the tools to model electric circuits. This will allow us to determine the current and voltages across different components, such as resistors and capacitors, within a circuit. We will also discuss how a battery can provide a current at a fixed potential difference, and how one can construct devices to measure current and voltages.

prelude

If two outlets in your house are connected to the same circuit, are the outlets connected in series or in parallel?

A. series
B. parallel

20.1: Batteries and Simple Circuits
20.2: Kirchhoff's rules
20.3: Applying Kirchhoff's rule to model circuits
20.4: Measuring current and voltage
20.5: Modeling circuits with capacitors
20.6: Summary
20.7: Thinking about the Material
20.8: Sample problems and solutions

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20.1: Batteries and Simple Circuits

A battery is an electric component that provides a constant electric potential difference (a fixed voltage) across its terminals. Luigi Galvani was the first to realize that certain combination of metals placed into contact with each other can lead to an electric potential difference (or rather, they can make the legs of a dead frog twitch, which we now understand to be from the potential difference due to the metals). Effectively, Galvani created the first “electrochemical cell”. Alessandro Volta then combined several of these cells together to form the “voltaic pile”, which is what we would now call a battery (a battery, technically, is a combination of several cells, a battery of cells, although one often uses the term battery even if only a single electric cell is involved).

The Electrochemical Cell

An electric cell can be constructed from metals that have different affinities to be dissolved in acid. A simple cell, similar to that originally made by Volta, can be made using zinc and carbon as the “electrodes” (Volta used silver instead of carbon) and a solution of dilute sulfuric acid (the liquid is called the “electrolyte”), as illustrated in Figure 20.1.1. Before the cell is constructed, the electrodes and the electrolyte are all electrically neutral.

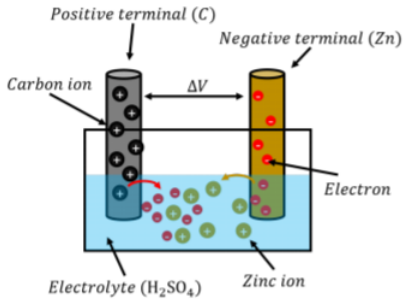

Figure 20.1.1: A simple electric cell, where zinc ions dissolve in sulfuric acid leaving electrons on the metal.

Once the zinc is immersed in the electrolyte, the zinc atoms tend to dissolve into the electrolyte in the form of zinc ions (doubly charged, Zn^{2+}). This leaves an excess of electrons on the zinc electrode, resulting in a net negative electric charge. Similarly, the positively charged zinc ions attract electrons from the carbon electrode into the solution, leaving the carbon electrode positively charged. Very quickly, an equilibrium is reached, since at some point, the negative charge of the zinc electrode will electrically attract positive zinc ions, preventing any more zinc ions from dissolving into the solution. Similarly, as the carbon electrode builds a positive charge, that charge will eventually prevent electrons from “jumping” into the solution. At this point, there will be a fixed electric potential difference between the two electrodes (terminals) of the battery.

If the two electrodes are connected together through a resistor, the electrons will leave the zinc electrode, cross the resistor, and end up on the positive carbon electrode. This will leave space for more electrons on the zinc electrode, so more zinc ions will dissolve into the solution. Thus, a circuit is formed, where electron travel up the zinc electrode, through the resistor and back down the carbon electrode. At the same time, more and more zinc ions dissolve into the electrolyte, until the zinc electrode is completely dissolved. In practice, the zinc ions travel through the solution and plate onto the carbon electrode (the electrons do not quite “jump” into the electrolyte, rather, it is the zinc ions that move in the electrolyte). Since the charge on the electrodes is continuously replenished, the potential difference between the electrodes remains constant even as current is flowing.

The electric cell will stop working once the zinc electrode has completely dissolved (this is what happens when your battery is dead). Note that there is also a maximum current that the cell can supply, which depends on the rate at which the zinc can dissolve into the electrolyte and plate onto the carbon electrode. If the electrodes of the cell are connected with a very low resistance resistor, the resulting current will be too large for the potential difference to be maintained. Most electric cells work in similar ways, although the chemical reactions can be much more complex. Sometimes, the chemical reaction is reversible; one could use a different battery to apply a negative voltage to the carbon electrode to reverse the reaction and plate the zinc back onto the zinc electrode, thus “recharging the battery” (and converting electric energy back into stored chemical potential energy).

The ideal battery in a circuit

As we proceed, we will use the term “battery” loosely to refer to a device (such as an electric cell or collection of cells) that can provide a fixed potential difference between two terminals (or electrodes). Figure 20.1.2 shows the circuit diagram for a battery, consisting in two (or four) vertical bars, with the larger bar indicating the positive terminal of the battery.

Figure 20.1.2: Circuit diagram symbols that can be used for a battery.

Figure 20.1.3 shows the circuit diagram symbols that are used for a resistor (different symbols are used in North American and in Europe).

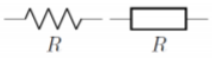

Figure 20.1.3: Circuit diagram symbols for a resistor, using the North American convention (left), and the European convention (right).

Figure 20.1.4 shows a circuit diagram for a very simple circuit consisting of a single 9V battery connected to a 2Ω resistor. When drawing a circuit diagram (or making a real circuit), one connects the various components together (e.g. batteries and resistors) with **segments of wire that have zero resistance**, even if, in practice, wires always have some resistance. However, since the wires are connected in series with resistors (or other components that have a resistance), one can always include the resistance of the wires by adding it to the resistance of the other components. For example, in Figure 20.1.4, if the wires have a total resistance of 1Ω, we could simply model the circuit as if the resistor had a resistance of 3Ω instead of 2Ω. In practice, this is usually accounted for when a circuit diagram is made (i.e. any resistors include the resistance of the wires connected to it).

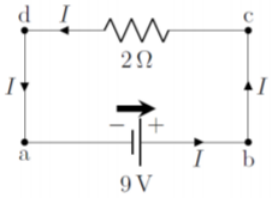

Figure 20.1.4: A simple circuit, showing a 9 V battery and a 2Ω resistor. For ease in analyzing circuits, we suggest drawing a "battery arrow" above batteries that goes from the negative to the positive terminal.

The circuit in Figure 20.1.4 is simple to analyze. In this case, whichever charges exit one terminal of the battery, must pass through the resistor and then enter the other terminal of the battery. We **always use conventional current** to analyze a circuit. Thus, we model the circuit as if positive charges exit the positive terminal of the battery, go through the resistor, and then enter the negative terminal of the battery.

We recommend that you always draw a "battery arrow" for each battery in a circuit diagram to indicate the direction in which the electric potential increases and in which direction the conventional current would exit the battery if a simple resistor were connected across the battery. In complex circuits, the current may not necessarily flow in the same direction as the battery arrow, and the battery arrow makes it easier to analyze those circuits. We also indicate the current that is flowing in any wire of the circuit by drawing an arrow in the direction of current on that wire (labeled I in Figure 20.1.4).

It is helpful to think of the value of the electric potential along different parts of a circuit, as illustrated in Figure 20.1.5 for the same circuit as in Figure 20.1.4

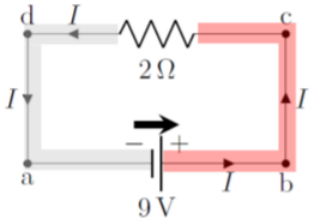

Figure 20.1.4 showing the two regions over which the electric potential is constant.

Since the wires have no resistance, the electric potential is constant along a wire. In other words, because the wire has no resistance, the charges/current cannot dissipate any power in the wire ($P = I^2R$), and the charges do not "loose" any potential energy (and the potential thus cannot change). The only place where the charges can dissipate energy is inside the resistor. Once the charges have crossed the resistor, the electric potential in the wire is again constant until they reach the other terminal of the battery. Thus, in this simple circuit, the electric potential difference across the resistor is the same as the potential difference across the

terminals of the battery. This is shown by the colored areas in Figure 20.1.5. If we choose 0V to be defined at the negative terminal of the battery, then the potential is 9V everywhere in the red area (to the right of the resistor), and 0V everywhere in the grey area (to the left of the resistor).

We can apply Ohm's Law (the macroscopic version) to the resistor and determine the current in the circuit, since we know the potential difference across the resistor:

$$\Delta V = RI$$
$$\therefore I = \frac{\Delta V}{R} = \frac{(9\text{V})}{(2\Omega)} = 4.5\text{A}$$

It is helpful to think of circuits in terms of energy. Charges move along the circuit and their potential energy changes as they go through components, while it remains constant as they move through a wire. If a positive charges enters the negative terminal of a battery and exits the positive terminal, its potential energy will have increased. If that charge then enters a resistor, its potential energy will decrease as it moves through the resistor, since the charge will "use" its potential energy to heat up the resistor. Batteries provide the energy to "push" the charges through the resistors in the circuit by converting chemical potential energy into the electrical potential energy of the charges.

It is also useful to make the analogy with fluid dynamics; one can think of the battery as a pump that is continuously pushing a viscous incompressible fluid through a pipe with a narrow section, as illustrated in Figure 20.1.6. The wide section of the pipe is akin to the wires with no resistance, and the narrow section is akin to the resistor. The pressure difference generated by the pump is analogous to the voltage produced by the battery, and the flow rate of the liquid is analogous to the electric current. The pressure in the pipe does not drop in the wide section, if there is no resistance. The entire pressure drop of the fluid is across the narrow section, just as the voltage only drops across the resistor.

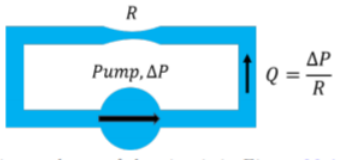

Figure 20.1.4, where a pump plays the role of the battery, and a narrow pipe that of a resistor.

Example 20.1.1

Two resistors, of 2Ω and 4Ω, respectively, are connected in series to a 12V battery. What is the current through each of the resistors, and what is the voltage across each resistor?

Solution

We start by making a circuit diagram, as in Figure 20.1.7, showing the resistors, the current, I, the battery and the battery arrow. Note that since this is a closed circuit with only one path, the current through the battery, I, is the same as the current through the two resistors.

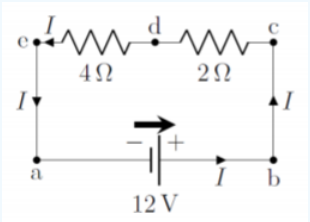

Figure 20.1.7: Two resistors connected in series with a battery.

If we choose the potential on the negative side of the battery to be 0V, then points a and e on the diagram are at a potential of 0V, since potential cannot change in a wire with no resistance. Similarly, the points at b and c are at a potential of 12V (relative to points a and e). At point d, between the two resistors, the potential will be between 0V and 12V, since the potential will "drop" as the current goes through the 2Ω resistor.

The easiest way to determine the current through this simple circuit is to combine the two resistors into a single effective resistor with resistance:

$$R_{eff} = (2\Omega) + (4\Omega) = 6\Omega$$

so that the circuit can be simplified to that shown in Figure 20.1.8:

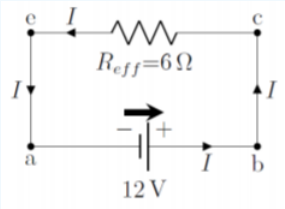

Figure 20.1.7 have been combined in series to simplify the circuit.

The potential difference across the effective resistor is the same as that across the battery (between points e and c), so that Ohm's Law can be applied to the effective resistor to determine the current that traverses it:

$$\Delta V = R_{eff} I$$

$$\therefore I = \frac{\Delta V}{R_{eff}} = \frac{(12\text{V})}{(6\Omega)} = 2\text{A}$$

This current is the same that traverses each individual resistor, since it is the same as the current that goes through the battery. Referring back to the full circuit (Figure 20.1.7), we can now use Ohm's Law to calculate the voltage drop across each resistor, since we know the current through each resistor. The voltage across the 2Ω resistor is given by:

$$\Delta V_{2\Omega} = RI = (2\Omega)(2\text{A}) = 4\text{V}$$

and the voltage across the 4Ω resistor is given by:

$$\Delta V_{4\Omega} = RI = (4\Omega)(2\text{A}) = 8\text{V}$$

Note that the sum of these two voltages is equal to the voltage increase across the battery, by conservation of energy. Consider the electric potential at different points in Figure 20.1.7 as you move clockwise around the loop starting at point a. If the electric potential is defined to be 0V at the negative end of the battery (points a and e), the potential at point d (between the resistors) is the potential at point e plus the potential difference across the 4Ω resistor:

$$V_d = V_e + \Delta V_{4\Omega} = (0\text{V}) + (\Delta V_{4\Omega}) = 8\text{V}$$

If we then add the potential difference across the 2Ω resistor to the potential at point d, we find that the potential at point c is $V_c = V_d + \Delta V_{2\Omega} = 12\text{V}$, as expected, since this corresponds to the potential at the positive terminal of the battery.

Discussion

In this example, we showed how one can model a circuit by combining resistors together into effective resistors to simplify the circuit. We also showed how the potential differences across different components in a circuit must add up to zero (the voltage drops across the resistors must sum to the voltage increase across the battery).

? Exercise 20.1.1

What is the voltage across the combination of a 3 V battery connected in series with a 6 V battery, where the negative terminal of the 6 V battery faces the positive terminal of the 3 V battery?

A. 9 V.
B. 6 V.
C. 3 V.
D. 0 V.

Answer

The real battery in a circuit

So far, we have modeled batteries as "ideal" devices that provide a fixed potential difference. In reality, this neglects the fact that the materials that make the battery will themselves have a resistance. For example, if electrons want to leave the zinc rod in the electric cell illustrated in Figure 20.1.1, they will loose some energy as they pass through the zinc. Thus, when modeling a real battery in a circuit, it is important to include its "internal resistance", as a resistor in series with the potential difference. This is illustrated in Figure 20.1.9, which shows the two terminals of a real battery, an ideal battery (with a fixed potential difference, ΔV_{ideal}), and its internal resistance, r (which can be drawn on either side of the battery).

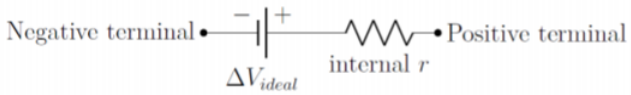

Figure 20.1.9: Model of a real battery, showing an ideal battery in series with a resistor to model the internal resistance of the battery.

It is important to note that the potential difference across the terminals of the real battery is only equal to the potential difference across the ideal battery **if there is no current flowing through the battery**. If there is a current, I, flowing through the internal resistance, the electric potential will decrease by an amount Ir across the internal resistance, and the voltage across the real terminals will be $\Delta V_{ideal} - Ir$.

Example 20.1.2

When no resistance is connected across a real battery, the potential difference across its terminals is measured to be 6V. When a $R = 2\Omega$ resistor is connected across the battery, a current of 2A is measured through the resistor. What is the internal resistance, r, of the battery, and what is the voltage across its terminals when the $R = 2\Omega$ resistor is connected?

Solution

The real battery can be modeled as an ideal battery with potential difference, ΔV_{ideal}, in series with an internal resistance, r. While we do not know the value of the internal resistance, we are told that the potential difference across the terminals of the real battery is 6V **when no current flows through it**. Since no current flows through the internal resistance, the voltage does not drop across the internal resistance, and the voltage across the terminals of the real battery (e.g. Figure 20.1.9) must thus be equal to the voltage across the terminals of the ideal battery, so that $\Delta V_{ideal} = 6\text{V}$.

With this information, we can make a circuit diagram for the case when the 2Ω resistor is connected across the terminals of the real battery, as in Figure 20.1.10

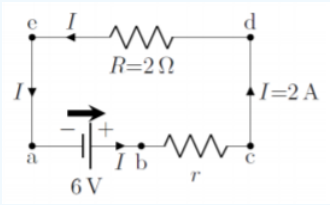

Figure 20.1.10: A circuit showing a real battery (with internal resistance r) in series with a resistor.

The terminals of the real battery are located at points a and c of the diagram, whereas the terminals of the ideal battery corresponds to points a and b. When no current flows through the internal resistor, r, there is no voltage drop across that resistor and the potential at b will be equal to the potential at c, as we argued above.

The circuit in Figure 20.1.10 is now identical to that analyzed in *Example 20.1.1*, and can be treated the same way. We can combine the 2Ω resistor with the internal resistance, r, in series to obtain an effective resistor, $R_{eff} = r + R$. The voltage drop across the effective resistor will be the same as the potential difference across the ideal battery, and we can make use of Ohm's Law to find the internal resistance, r:

$$\Delta V_{ideal} = R_{eff} I = (r + R) I$$

$$\therefore r = \frac{\Delta V_{ideal}}{I} - R = \frac{(6\text{V})}{(2\text{A})} - (2\Omega) = 1\Omega$$

Now that we know the internal resistance, we can determine the voltage drop across the internal resistor, using Ohm's Law:

$$\Delta V_r = rI = (1\Omega)(2\text{A}) = 2\text{V}$$

The voltage drop across the real terminals of the battery (between points a and c), is thus given by:

$$\Delta V_{real} = \Delta V_{ideal} - \Delta V_r = (6\text{V}) - (2\text{V}) = 4\text{V}$$

Again, you can verify that the voltage drops across the two resistors will sum to the total voltage drop across the terminals of the ideal battery.

Discussion

Modeling real batteries is not so different from modeling ideal batteries, since one only needs to include an internal resistance into the circuit. The key difference with a real battery is that the voltage across its real terminals depends on what is connected to the battery. In the example above, the battery has a voltage of 6V across its (real) terminals when nothing is connected, but the voltage drops to 4V when a 2Ω resistor is connected.

? Exercise 20.1.2

Suppose that you would like to measure the ideal voltage of a real battery by connecting a measurement device (a voltmeter) across its terminals. In order to get the most accurate reading, should you choose a voltmeter with a high resistance, or a voltmeter with a low resistance?

A. High resistance.
B. Low resistance.
C. It doesn't matter if the voltmeter has a high or low resistance.

Answer

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20.2: Kirchhoff's rules

Kirchhoff's rules correspond to concepts that we have already covered, but allow us to easily model more complex circuits, for instance, those where there is more than one path for the current to take. Kirchhoff's rules refer to "junctions" and "loops". Junctions and loops depend only on the shape of the circuit, and not on the components in the circuit. Figure $20.2.1$ shows a circuit with no components in order to illustrate what is meant by a junction and a loop.

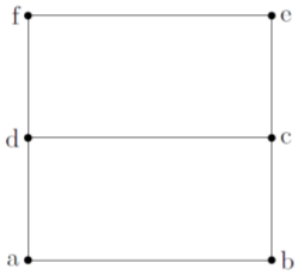

Figure 20.2.1: A circuit that has 3 loops and 2 junctions.

The locations at points d and c are considered "junctions", because there are more than 2 segments of wire connected to that point. The points at locations a, b, e and f only have two segments of wire connected to them. The circuit in Figure $20.2.1$ thus has 2 junctions.

A loop is a closed path that one can trace around the circuit without passing over the same segment of wire twice. The circuit in Figure $20.2.1$ has 3 such loops, which we can identify using the letters at the various nodes of the circuit:

1. $abcda$
2. $abcefda$
3. $dcefd$

Note that it does not matter where one starts on the loop, only that one can identify how many different loops are present in the circuit.

? Exercise 20.2.1

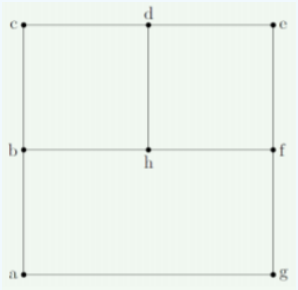

Figure 20.2.2: Circuit layout.

How many loops and junctions does the circuit in Figure $20.2.2$ have?

A. The circuit has five loops and four junctions
B. The circuit has three loops and eight junctions
C. The circuit has seven loops and four junctions.
D. The circuit has four loops and four junctions.

Answer

Junction Rule

The junction rule states that: **The current entering a junction must be equal to the current exiting a junction.**

This is in fact a simple statement about conservation of charge. If charges are flowing into a junction (from one or more segments of wire in that junction), then the same amount of charges must flow back out of the junction (through one or more different

segments of wire).

Consider the junction illustrated in Figure 20.2.3, comprised of 5 segments of wire, each carrying a different current. As shown, currents I_1 and I_4 flow into the junction, whereas currents I_2, I_3 and I_5 all flow out of the junction.

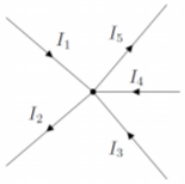

Figure 20.2.3: A junction with 5 segments and 5 currents.

The junction rule states that the current entering the junction must equal the current coming out of the junction. This allows us to relate the currents to each other in an equation:

$$\text{incoming currents} = \text{outgoing currents}$$

$$I_1 + I_4 = I_2 + I_3 + I_4$$

Loop Rule

The loop rule states that: **The net voltage drop across a loop must be zero.**

This is a statement about conservation of energy, that we already noted in *Example 20.1.1*. Once you have identified a specific loop, if you trace a closed path around the loop, the electric potential must be the same at the end of the path as at the beginning of the path (since it is literally the same point in space). This means that if there is a voltage drop along the path (e.g. due to one or more resistors), then there must be equivalent voltage increases somewhere else on the path (e.g. due to one or more batteries). If this were not the case, it would be possible to have a path where charges could gain a net amount of energy by going around that path, which they could keep doing indefinitely and create an infinite amount of energy; instead, if charges gain potential energy in a battery, they must then loose exactly the same amount of energy inside one or more resistors along the path.

Figure 20.2.4 shows a loop (which could be part of a larger circuit) to which we can apply the loop rule. The loop contains two batteries, facing in opposite directions (which would not normally be a good use of batteries), as illustrated by the battery arrows.

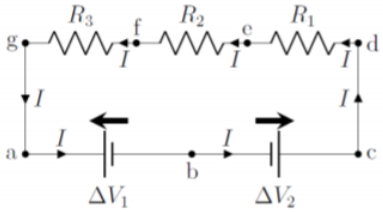

Figure 20.2.4: A loop with 2 batteries and 3 resistors.

The procedure for applying the loop rule is as follows:

1. Identify the loop, including starting position and direction.
2. Start at the beginning of the loop, and trace around the loop.
3. Each time a battery is encountered, **add the battery voltage if you are tracing the loop in the same direction as the corresponding battery arrow**, subtract the voltage otherwise.
4. Each time a resistor is encountered, **subtract the voltage across that resistor (RI, from Ohm's Law) if tracing the loop in the same direction as the current**, add the the voltage otherwise.
5. Once you have traced back to the starting point, the resulting sum must be zero.

To illustrate the procedure, we trace out the loop $abcedfga$ in Figure 20.2.4 We thus start at point a and trace the loop in the counter-clockwise direction.

- Between points a and b we encounter a battery, and we are tracing in the **opposite direction of that battery's arrow**, so we subtract the voltage from that battery: $-\Delta V_1$.
- Between points b and c, we encounter a battery, and we are tracing in the **same direction as that battery's arrow**, so we add the voltage from that battery: $+\Delta V_2$.

- Nothing happens to the potential along the wire from c to d.
- Between points d and e, we encounter a resistor, and we are tracing in the **same direction as the current through that resistor**, so subtract the voltage across that resistor: $-R_1 I$).
- Similarly, we subtract the voltages across resistors R_2 and R_3, as we are tracing in the **same direction as the current through those resistors**: $-IR_2 - IR_3$.
- We are back at the beginning of the loop, so the terms must sum to zero.

We can now use the loop rule, which states that the sum of the above voltages must be zero:

$$-\Delta V_1 + \Delta V_2 - R_1 I - R_2 I - R_3 I = 0 \quad (\text{loop abcdefga})$$

This equation then gives us a relation between the various quantities (current, resistors, battery voltages) in the circuit which can be used to model the circuit.

? Exercise 20.2.2

Suppose that the equation describing loop $abcdefga$ (Figure $20.2.4$) was obtained from a different starting position and the loop was traced in the opposite direction. Would this produce a different equation?

A. Yes, the equation would be incorrect if the loop is traced in the direction opposite to the flow of current.
B. Yes, the equation must start from the point a because the creator of the circuit assumes the person calculating current and voltage will begin at point a.
C. Yes, there is no incorrect starting point, but choosing to trace the circuit in the direction opposite to the flow of current would produce an incorrect equation.
D. No, there is no incorrect direction or starting point.

Answer

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20.3: Applying Kirchhoff's rule to model circuits

In this section, we show how to model a circuit using Kirchhoff's rules. In general, one can consider a circuit to be fully modeled if one can determine the current in each segment of the circuit. We will show how one can apply the same procedure to model any circuit that contains batteries and resistors. The procedure is as follows:

1. Make a good diagram of the circuit.
2. Simplify any resistors that can easily be combined into effective resistors (in series or in parallel).
3. Make a new diagram with the effective resistors, showing battery arrows, and labeling all of the nodes so that loops can easily be described.
4. Make a **guess** for the directions of the current in each segment.
5. Write the junction rule equations.
6. Write the loop equations.
7. This will lead to N independent equations that one can solve for the N different currents in the circuit.
8. Once you have determined all of the currents, if some of them are negative numbers, switch the direction of those currents in the diagram (they will be negative if you guessed the direction incorrectly).

We will illustrate the procedure on the circuit shown in Figure 20.3.1, for which we would like to know the current through each resistor and each battery. The circuit contains 5 resistors (R_1-R_5), 2 real batteries (with ideal voltages ΔV_1 and ΔV_2), and 2 additional resistors to model the internal resistances of the real batteries (r_1, r_2)

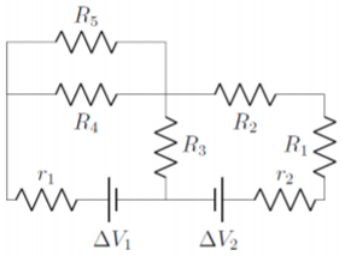

Figure 20.3.1: A circuit that can be simplified and then solved with Kirchoff's rules.

? Exercise 20.3.1

How many different currents are in the circuit shown in Figure 20.3.1?

A. 3
B. 4
C. 5
D. 6

Answer

Simplifying the resistors (step 2): In this circuit, resistors r_2, R_1 and R_2 are in series, so that they can be combined into an effective resistor, R_6:

$$R_6 = r_2 + R_1 + R_2$$

With this simplification, we obtain the circuit illustrated in Figure 20.3.2

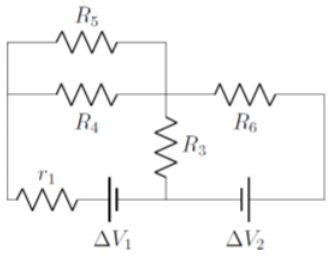

Figure 20.3.1 have been combined into the effective resistor, R_6, to simplify the circuit.

Next, we note that resistors R_4 and R_5 are in parallel and can be easily combined into a resistor, R_7:

$$R_7 = \frac{R_4 R_5}{R_4 + R_5}$$

which leads to the circuit illustrated in Figure 20.3.3

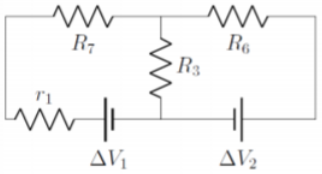

Figure 20.3.2 have been combined into the effective resistor, R_7, to simplify the circuit.

Finally, we note that r_1 and R_7 are in series and can be combined into an effective resistor, R_8:

$$R_8 = r_1 + R_7 = r_1 + \frac{R_4 R_5}{R_4 + R_5}$$

leading to the simplified circuit illustrated in Figure 20.3.2, which we have labeled with nodes and battery labels.

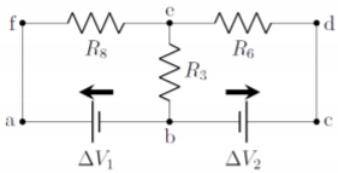

Figure 20.3.3: have been combined into the effective resistor, R_8, to simplify the circuit.

Guessing the directions of the currents (step 4): Before we can write the equations from Kirchhoff's rules, we need to label the currents in the circuit diagram. In general, it is not always obvious in which way the currents will go, so we make a guess that we can fix later if we guessed wrong.

In order to guess the current directions, choose one point on the circuit and move along a segment. Label the current in that segment and continue moving through the circuit, splitting up the current when a junction is encountered. Make sure to only have one current per segment. We guess the currents as follows, referring to Figure 20.3.5

- We start at point a and move upwards to point f. We will call the current in that segment, I_1.
- Since there is no junction, the current I_1 continues through the resistor R_8 to point e.
- There is a junction at point e, so we split the current I_1 into currents I_2 (towards point d), and I_3 (downwards to point b).
- We follow current I_2 first; I_2 flows from e to d, then down to c, through the battery ΔV_2, and to point b, where there is again junction.
- We follow current I_3, which just flows down to the junction at point b, where it "meets up" with current I_2.
- Currents I_2 and I_3 both flow into the junction at point b, and the current flowing out of the junction, through the battery ΔV_1, and towards point a is, again, I_1, since this current then flows up to point f.
- All segments of wire have a labeled current, so we are done guessing currents.

You can proceed in an analogous way for any circuit. The final circuit, with currents labeled, is shown in Figure 20.3.5

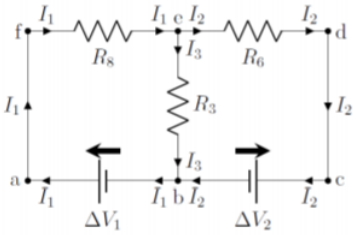

Figure 20.3.1.

We can now proceed with using Kirchhoff's rules to solve for the values of the currents in the circuit. It is useful to note that there are 3 unknown currents in this circuit; we thus hope that Kirchhoff's rules will give us 3 independent equations.

Applying the junction rule (step 5): In the circuit from Figure 20.3.5, there are two junctions (at points b and e), so we will get two equations from the junction rule. To apply the junction rule, the sum of the currents coming into the junction must be equal to the currents going out of the junction:

$$\text{incoming currents} = \text{outgoing currents}$$

$$I_2 + I_3 = I_1 \qquad \text{(junction } b\text{)}$$

$$I_1 = I_2 + I_3 \qquad \text{(junction } e\text{)}$$

Note that the two equations are not independent (in fact, they are the same). It is generally the case that if there N junctions, one will obtain less than N independent equations (usually, $N-1$ equations will be independent). In this case, the two junctions only gave us one equation.

Applying the loop rule (step 6): This circuit contains 3 different loops: $abcdefa$, $abefa$, and $bcdeb$, which will lead to 3 equations from the loop rule. We expect that these equations will not be independent, since this would lead to 4 equations and 3 unknowns when combined with the junction rule equation. Let us start with the loop $abcdefa$:

- From a to b, we trace through the battery in the **opposite direction from the battery arrow**: $-\Delta V_1$.
- From b to c, we trace through the battery in the **same direction as the battery arrow**: $+\Delta V_2$.
- From c through d and through to e we go through the resistor R_6 in the **opposite direction from the current**, I_2, in that resistor: $+I_2 R_6$.
- From e to f, we go through the go through the resistor R_8 in the **opposite direction from the current**, I_1, in that resistor: $+I_1 R_8$.
- And we are back at the starting point, so the sum of the above terms is equal to zero.

which gives the equation:

$$-\Delta V_1 + \Delta V_2 + I_2 R_6 + I_1 R_8 = 0 \quad \text{(loop abcdefa)}$$

Similarly, for the loop $abefa$, we obtain:

$$-\Delta V_1 + I_3 R_3 + I_1 R_8 = 0 \quad \text{(loop abefa)}$$

and for loop $bcdeb$:

$$\Delta V_2 + I_2 R_6 - I_3 R_3 = 0 \quad \text{(loop bcdeb)}$$

Although it appears that we have obtained 3 additional equations, only two of these are independent. For example, if you sum the second and third equations (loops $abefa$, and $bcdeb$), you simply obtain the first equation (loop $abcdefa$). In general, if there are N different loops, one will obtain less than N independent equations (usually $N-1$ independent equations, as we did here).

At this point, after choosing one of the junction equations, and two of the loop equations, we have 3 independent equations that we can solve for the 3 unknown currents[1]:

$$I_1 = I_2 + I_3 \qquad \text{(junction } e\text{)}$$

$$-\Delta V_1 + \Delta V_2 + I_2 R_6 + I_1 R_8 = 0 \qquad \text{(loop abcdefa)}$$

$$-\Delta V_1 + I_3 R_3 + I_1 R_8 = 0 \qquad \text{(loop abefa)}$$

It is only a matter of some simple math to solve for the 3 unknowns from these 3 equations (which we carry out in the example below).

Example 20.3.1

Referring to the circuit in Figure 20.3.6, what is the voltage across the real terminal of the battery with ideal voltage ΔV_1 (the voltage between points a and b)? What is the current through resistor R_5?

Solution

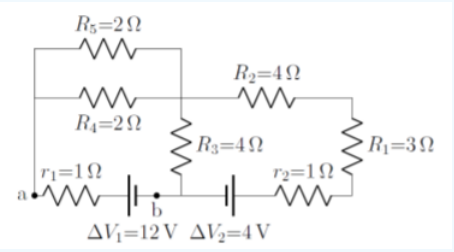

Figure 20.3.5, with values filled in.

Since this circuit is the same that we just analyzed, we know that it can be simplified into the circuit shown in Figure 20.3.7, with resistors:

$$R_6 = r_2 + R_1 + R_2 = (1\Omega) + (3\Omega) + (4\Omega) = 8\Omega$$

$$R_8 = r_1 + \frac{R_4 R_5}{R_4 + R_5} = (1\Omega) + \frac{(2\Omega)(2\Omega)}{(2\Omega) + (2\Omega)} = 2\Omega$$

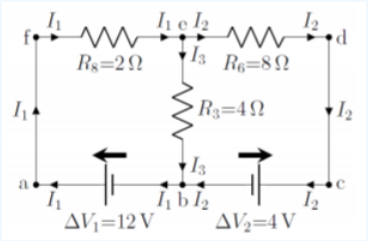

Figure 20.3.6.

From above, we know that this leads to the following three equations:

$$\begin{aligned} I_1 &= I_2 + I_3 && \text{(junction } e\text{)} \\ -\Delta V_1 + \Delta V_2 + I_2 R_6 + I_1 R_8 &= 0 && \text{(loop abcdefa)} \\ -\Delta V_1 + I_3 R_3 + I_1 R_8 &= 0 && \text{(loop abefa)} \end{aligned}$$

In order to solve these types of equations, it is usually convenient to place the battery voltages on the right hand side, and the resistor voltages on the left hand side. Although it is generally bad practice to fill numbers into the equations before solving them, it is almost always a good idea when solving the N equations for the N currents. Furthermore, in order to make the equations legible, it is also useful to not write in the units (which is very bad practice in general!). Thus, filling in the values for the resistors and the battery voltages, moving the voltages to the right hand side, we obtain the following system of equations:

$$\begin{aligned} I_1 - I_2 - I_3 &= 0 && \text{(junction } e\text{)} \\ 2I_1 + 8I_2 &= 8 && \text{(loop abcdefa)} \\ 2I_1 + 4I_3 &= 12 && \text{(loop abefa)} \end{aligned}$$

Subtracting the second equation from the third equation (to eliminate I_1):

$$\begin{aligned} 4I_3 - 8I_2 &= 4 \\ \therefore I_3 &= 1 + 2I_2 \end{aligned}$$

Substituting this into the junction equation:

$$\begin{aligned} I_1 - I_2 - I_3 &= 0 \\ I_1 - I_2 - 1 - 2I_2 &= 0 \\ \therefore I_2 &= \frac{1}{3}(I_1 - 1) \end{aligned}$$

Finally, substituting this into the equation from loop $abcdefa$, allows us to determine I_1 and the other two currents:

$$\begin{aligned} 2I_1 + 8I_2 &= 8 \\ 2I_1 + 8\left(\frac{1}{3}(I_1 - 1)\right) &= 8 \\ \therefore I_1 &= \frac{16}{7} = 2.29\text{A} \\ \therefore I_2 &= \frac{1}{3}(I_1 - 1) = 0.43\text{A} \\ \therefore I_3 &= 1 + 2I_2 = 1.86\text{A} \end{aligned}$$

In this case, the currents are all positive, so the diagram in Figure 20.3.7 is correct and we do not need to reverse the direction of any of the currents.

We can now determine the potential difference across the real terminals of the battery ΔV_1. The current through the battery is $I_1 = 2.29\text{A}$, which cause a voltage drop, ΔV_{r1}, across its internal resistance, r_1 of:

$$\Delta V_{r1} = I_1 r_1 = (2.29\text{A})(1\Omega) = 2.29\text{V}$$

The voltage across the real terminals of the battery is then:

$$\Delta V_{real} = \Delta V_1 - \Delta V_{r1} = (12\text{V}) - (2.29\text{V}) = 9.7\text{V}$$

The current through the resistor R_5 (Figure 20.3.6) requires a little more thought, since we calculated the current, I_1 through the effective resistor R_8, which we must now "break apart". Figure 20.3.8 shows the components of R_8.

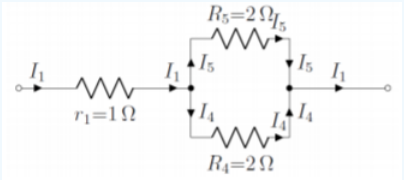

Figure 20.3.7. The current, I_1, coming from the battery goes through r_1 and then splits up.

The current I_1, that goes through the ΔV_1 battery also goes through the r_1 internal resistance of the battery. That current then splits up into currents, I_4 and I_5, to go through the resistors R_4 and R_5. Although it should be obvious that half of I_1 will go through each resistor (since these are equal), we can determine this from applying Kirchhoff's rules to the combination of resistors in Figure 20.3.8

$$\begin{aligned} I_1 &= I_4 + I_5 && \text{(junction)} \\ I_5 R_5 - I_4 R_4 &= 0 && \text{(clockwise loop)} \end{aligned}$$

From the loop equation, we have:

$$I_5 = \frac{R_4}{R_5} I_4 = I_4$$

since $R_4 = R_5 = 2\Omega$. Since $I_4 = I_5$, the junction equation gives:

$$I_5 = \frac{1}{2} I_1 = 1.15\text{A}$$

By solving for I_4 and I_5, we have now determined all of the currents through all of the segments of the original circuit in Figure 20.3.6

Discussion

In this example, we showed how one can use a simplified circuit to solve the current through the effective resistors in the simplified circuit. Once those currents are known, we showed that it is straightforward to determine the currents through individual resistors that have been combined into effective resistors.

josh's thoughts

Solving a circuit can be daunting, especially if the diagram is drawn in an unfamiliar way. While the circuits in this chapter are designed to be as easy to read as possible, many circuits are much more strange. For example, here is a circuit which you may come across:

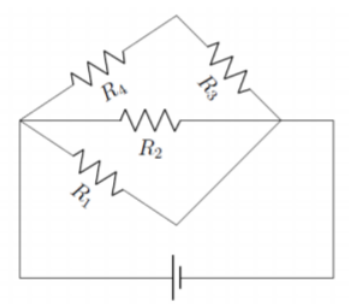

Figure 20.3.9: A weird looking circuit.

The circuit in Figure 20.3.9 May look like it is a difficult circuit to solve, but the diagram can be re-drawn to reveal the simplicity of the circuit:

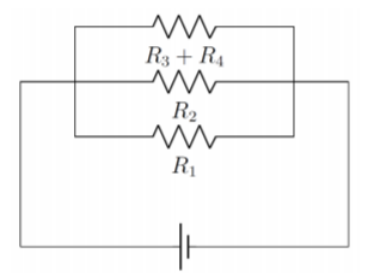

Figure 20.3.10: A much less weird looking circuit.

What used to be a strange kite shape is now just a parallel circuit, which can be further simplified by calculating the effective resistance:

$$R_{eff} = (R_1^{-1} + R_2^{-1} + (R_3 + R_4)^{-1})^{-1}$$

Which gives a series circuit with only one resistor:

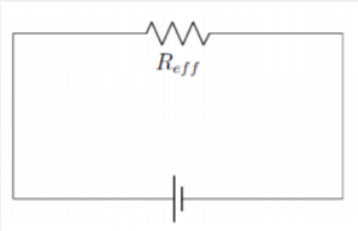

Figure 20.3.11: A simple circuit.

Circuits can be drawn in many unique or potentially confusing ways, but knowing how to read the circuit and re-draw it can help make the diagram more legible and the circuit easier to solve.

Footnotes

1. The 3 unknowns do not necessarily have to be the currents, and could be any combination of the currents, battery voltage and resistors. As long as there at most 3 unknown quantities, this circuit can be solved.

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20.4: Measuring current and voltage

In this section, we describe how one can build devices to measure current and voltage. A device that measures current is called an "ammeter" and a device that measured voltage is called a "voltmeter". Nowadays, these are usually found within the same physical device (a "multimeter"), which can also measure resistance (by measuring voltage and current, resistance can easily determined). We will limit our description to the design of simple analogue ammeters and voltmeters.

As we will see in Chapter 21, it is straightforward to build a device that can measure very small amounts of current, by running the current through a coil in a magnetic field so that the coil can deflect a needle that indicates the amount of current. Such a device is called a "galvanometer" and is usually limited to measuring very small current (of order). In this section, we describe how one can use a galvanometer in order to build ammeters to measure large currents, and voltmeters.

The ammeter

An ammeter is built by placing a galvanometer in parallel with a "shunt" resistor, R_s. The shunt resistor is a small resistor that "shunts" (deflects) the current away from the galvanometer, so that most of the current goes through the shunt resistor. This is illustrated in Figure 20.4.1, which shows the galvanometer (circle with the G inside), the internal resistance of the galvanometer, R_G, and the shunt resistor, R_S. The actual ammeter would be contained in a box and have two connectors (shown as A and B in the figure).

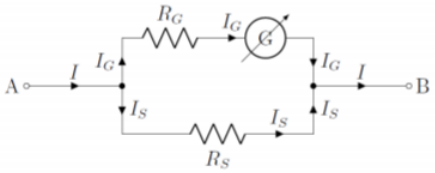

Figure 20.4.1: Constructing an ammeter from a galvanometer by plating a "shunt" resistor in parallel with the galvanometer.

By modeling the ammeter, we can determine the total current, I, that we would like to measure using the known values of the resistors and the current, I_G, measured by the galvanometer. Considering any of the two junctions, and a clockwise loop, we have:

$$I = I_G + I_S \qquad \text{(junction)}$$

$$I_G R_G - I_S R_S = 0 \qquad \text{(clockwise loop)}$$

$$\therefore I_S = \frac{R_G}{R_S} I_G$$

$$\therefore I = I_G + S = \left(1 + \frac{R_G}{R_S}\right) R_G$$

which allows us to determine the current, I, from the current, I_G, measured by the galvanometer. We also see that most of the current goes through the shunt (since R_S is chosen to be smaller than R_G). The ammeter, will have a total resistance, R_A, given by:

$$R_A = \frac{R_G R_S}{R_G + R_S}$$

In order to measure the current through a specific segment of a circuit, an ammeter must be placed in series with that segment (so that the current that we want to measure will pass through the ammeter). Figure 20.4.2 shows how to connect an ammeter (circle with the letter A) in order to measure the current through a resistor, R.

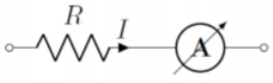

Figure 20.4.2: An ammeter is placed in series with a resistor to measure the current through the resistor.

The voltmeter

A voltmeter is constructed by placing a large resistor, R_V, in series with a galvanomenter (that has internal resistance R_G), as illustrated in Figure 20.4.3. The voltmeter is designed to measure the potential difference between the terminals of the voltmeter

(labeled A and B in the Figure).

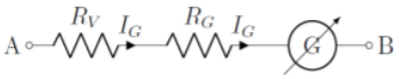

Figure 20.4.3: Constructing an voltmeter from a galvanometer by placing a resistor in series with the galvanometer.

Given the values of the resistors, and the current measured by the galvanometer, one can easily determine the potential difference between points A and B, since the current measured by the galvanometer goes directly through each resistor:

$$\Delta V = V_B - V_A = -I_G(R_V + R_G)$$

In order to measure a potential difference across a component, the voltmeter must be placed in parallel with the component. Figure $20.4.4$shows how to connect a voltmeter (circle with the letter V) in order to measure the voltage across a resistor, R.

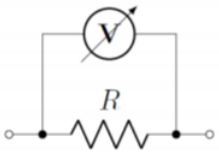

Figure 20.4.4: A voltmeter is placed in parallel with a resistor to measure the voltage across the resistor.

When using an ammeter or a voltmeter, you will notice that these usually have buttons or dials to choose the range of currents or voltages to be measured. All the dial does is change the value of the shunt or series resistor in order to maintain a given maximum current through the galvanometer. An ohmmeter, to measure resistance, is simply an ammeter with a built-in fixed potential difference (so that by measuring current across a known potential difference, the resistance of the component can be determined).

Example 20.4.1

Two resistors with a resistance of $1\text{k}\Omega$ are placed in series with a 12V battery. A voltmeter with a total resistance of $R_V = 10\text{k}\Omega$ is used to measure the voltage across one of the resistors. What reading does the voltmeter show?

Solution

Since the two resistors have the same resistance, and are in series with the battery, when no voltmeter is connected, the voltage across either resistor is easily shown to be 6V. However, by connecting the voltmeter across one of the resistors, we modify the circuit, and we should expect the voltage that is read to be different than 6V (can you tell if it will be larger or smaller?). The circuit, with the voltmeter connected is shown in Figure $20.4.5$.

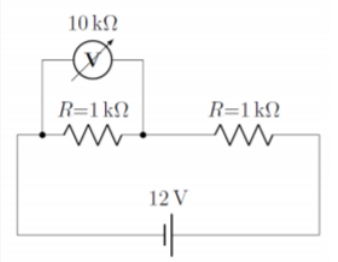

Figure 20.4.5: When using a voltmeter, the circuit is modified.

We can model this circuit quite easily by combining the voltmeter (modeled as a resistor) in parallel with one of the resistors:

$$R_{eff} = \frac{R_V R}{R_V + R} = \frac{(10\text{k}\Omega)(1\text{k}\Omega)}{(10\text{k}\Omega)+(1\text{k}\Omega)} = \frac{10}{11}\text{k}\Omega = 0.91\text{k}\Omega$$

The sum of the voltage drops across the effective resistor and the other resistor must equal the potential difference across the battery (Kirchhoff's loop rule):

$$R_{eff}I + RI = \Delta V$$

$$\therefore I = \frac{\Delta V}{R_{eff} + R} = \frac{(12\text{V})}{(0.91\text{k}\Omega) + (1\text{k}\Omega)} = 6.29 \times 10^{-3}\text{A}$$

The voltage drop across the effective resistor is the same as the reading on the voltmeter:

$$\Delta V_{voltmeter} = IR_{eff} = (6.29 \times 10^{-3}\text{A})(0.91\text{k}\Omega) = 5.7\text{V}$$

and the voltmeter reads a smaller voltage than there would be without the voltmeter.

Discussion

In this example, we saw that by using a voltmeter to measure a voltage in a circuit, we actually disturb the circuit. By placing the voltmeter in parallel with one resistor, we created an effective resistor with a resistance that is lower than the resistance of either the voltmeter or the resistor. This lowered the total resistance of the circuit, which increased the current. A larger current through the second resistor (without the voltmeter) leads to a larger voltage drop than 6V across that resistor. Thus, the voltage drop across the resistor with the voltmeter will be less than 6V, as we found, since the two voltage drops need to add to 12V.

In general, when using a voltmeter, one needs a voltmeter with a very high resistance in order to minimize the disturbance to the circuit (if the voltmeter has a high resistance, only a small amount of current will be shunted from the resistor). In practice, voltmeters have resistance that are typically of the order of $1\text{M}\Omega$.

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20.5: Modeling circuits with capacitors

review topics

- Section 18.5 on capacitors.

So far, we have modeled circuits where the current does not change with time. When a capacitor is included in a circuit, the current will change with time, as the capacitor charges or discharges. The circuit shown in Figure 20.5.1 shows an ideal battery[1] (ΔV), in series with a resistor (R), a capacitor (C, two vertical bars) and a switch (S) that is open.

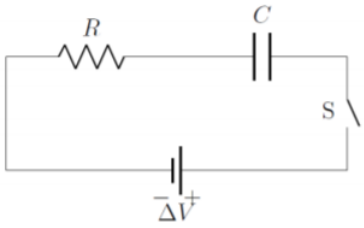

Figure 20.5.1: A simple circuit with a resistor, battery, and capacitor.

When the switch is open, current cannot flow through the circuit. If we assume that the capacitor has no charge on it, once we close the switch, current will start to flow and charges will accumulate on the capacitor. Electrons will leave the negative terminal of the battery, flow through the resistor and accumulate on the left side of the capacitor, which acquires a negative charge. This pushes electrons off of the right hand side of the capacitor, which then becomes positively charged. The electrons from the positive side of the capacitor then flow into the positive side of the battery, completing the circuit.

Eventually, the charges on the capacitor will build up to a point were they prevent any further flow of current. Once the left side of the capacitor is at the same potential as the left side of the battery, current will cease to flow. That is, eventually, the potential difference across the capacitor will be equal to that across the battery, and we can think of this as a circuit used to charge a capacitor. The current is high when the switch is first opened, but eventually goes down to zero as the capacitor charges. The current is thus time-dependent.

We can model this simple circuit (with the switch closed) using Kirchhoff's loop rule. The sum of the voltages across each component must sum to zero:

$$\Delta V - IR - \frac{Q}{C} = 0$$

where we used the fact that the charge, Q, on a capacitor is related to the potential difference, ΔV_C, across the capacitor by $Q = C\Delta V_C$. The current, I, is the rate at which charges flow through the circuit, and is thus equal to rate at which charges accumulate on the capacitor:

$$I = \frac{dQ}{dt}$$

Substituting this into the loop equation, we obtain a separable differential equation for the charge on the capacitor as a function of time, $Q(t)$:

$$\begin{aligned}
\Delta V - IR - \frac{Q}{C} &= 0 \\
\Delta V - \frac{dQ}{dt}R - \frac{Q}{C} &= 0 \\
\Delta V - \frac{Q}{C} &= \frac{dQ}{dt}R \\
C\Delta V - Q &= RC\frac{dQ}{dt} \\
\therefore \frac{dt}{RC} &= \frac{dQ}{C\Delta V - Q}
\end{aligned}$$

This is similar to differential equations that we have solved previously (in fact, it is the same equation as in *Example 6.2.3* where we looked at the effect of velocity-dependent drag). The solution to the equation, assuming that the switch is closed at $t = 0$, is given by an exponential:

$$Q(t) = C\Delta V\left(1 - e^{-\frac{t}{RC}}\right)$$

Thus, the charge on the capacitor starts at zero when the switch is closed, and grows asymptotically until it reaches a value of $Q = C\Delta V$, which corresponds to the capacitor having the same potential difference across it as the battery. The value $\tau = RC$ is called the "time constant" of the RC circuit, and corresponds to the time at which the capacitor will reach about $(1 - e^{-1}) = 63\%$ of its maximal charge. The current as a function of time is given by:

$$I(t) = \frac{dQ}{dt} = \frac{\Delta V}{R}e^{-\frac{t}{RC}}$$

and we can see that at time $t = 0$ the current is the same as if there were no capacitor present, and the current then decreases exponentially until it reaches zero.

Footnotes

1. The model still holds for a real battery, since the internal resistance of the battery can just be included into the resistance of the resistor, R.

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20.6: Summary

Key Takeaways

Batteries are usually formed from a collection of electrochemical cells. Batteries provide a constant electric potential difference across their terminals, usually sustained by a chemical reaction, as long as the current through the battery is not too large (or the chemical reactions cannot be sustained). An ideal battery has no resistance and can be modeled as a simple potential difference in a circuit. A real battery includes an internal resistance and be modeled in a circuit as an ideal battery in series with a resistor. The voltage across the terminals of a real battery is equal to the voltage across the terminals of the ideal battery only when no current flows through the internal resistance.

Circuits are modeled using circuit diagram that include components (such as batteries and resistors) and wires. Wires are always modeled as having no resistance, since their resistance can be included by placing the appropriate resistor along the wire. The electric potential is always constant along a wire with no resistance. When modeling a circuit, **one always models the direction of conventional current**; that is, current is always indicated as the direction in which positive charges flow (even if in reality, it is negative electrons that flow in the opposite direction).

Circuits should be thought of in terms of conservation of energy. Components produce a potential difference between sections of wire. Batteries correspond to an increase in potential (if going from the negative to the positive terminal), whereas resistors corresponds to a decrease in potential (if going in the same direction as current through the resistor).

Kirchhoff's rules allow us to model complex circuits:

The junction rule states that: **The current entering a junction must be equal to the current exiting a junction.** This is a statement about conservation of charge. If charges are flowing into a junction, then the same amount of charges must flow back out of the junction per unit time.

The loop rule states that: **The net voltage drop across a loop must be zero.** This is a statement about conservation of energy indicating that as the potential energy of a positive charge increases as it goes through a battery, it will decrease by the same amount if it goes through a resistor that is connected to the terminals of that battery.

In order to **apply the loop rule**, we strongly suggest using the following procedure, after having made a clear, labeled diagram showing battery arrows and currents in the circuit:

1. Identify the loop, including starting position and direction.
2. Start at the beginning of the loop, and trace around the loop.
3. Each time a battery is encountered, **add the battery voltage if you are tracing the loop in the same direction as the corresponding battery arrow**, subtract the voltage otherwise.
4. Each time a resistor is encountered, **subtract the voltage across that resistor (RI, from Ohm's Law) if tracing the loop in the same direction as the current**, add the the voltage otherwise.
5. Once you have traced back to the starting point, the resulting sum must be zero.

In general, we suggest the following procedure in order to use Kirchhoff's rules to model any circuit:

1. Make a good diagram of the circuit.
2. Simplify any resistors that can easily be combined into effective resistors (in series or in parallel).
3. Make a new diagram with the effective resistors, showing battery arrows, and labeling all of the nodes so that loops can easily be described.
4. Make a **guess** for the directions of the current in each segment.
5. Write the junction rule equations. Usually, you will get $M-1$ independent equations for M loops.
6. Write the loop equations. Usually, you will get $M-1$ independent equations for M loops.
7. This will lead to N independent equations that one can solve for the N different currents in the circuit.
8. Once you have determined all of the currents, if some of them are negative numbers, switch the direction of those currents in the diagram (they will be negative if you guessed the direction incorrectly).

Current and voltage measuring devices (ammeters and voltmeters, respectively) can be constructed from a galvanometer, which measures small currents. An ammeter is constructed by placing a small shunt resistor in parallel with the galvanometer so that most of the current passes through the shunt resistor. The resulting ammeter must be placed in series with a component in order to measure the current through that component.

A voltmeter is constructed by placing a resistor in series with the galvanometer in order to reduce the current through the galvanometer. The resulting voltmeter must be placed in parallel with a component in a circuit in order to measure the voltage across that component. Note that because voltmeters and ammeters have a non-zero resistance, they will affect the circuit once they are connected.

When a capacitor is placed in a circuit, the current in the circuit will no longer be constant in time. If an uncharged capacitor with capacitance, C, is placed in a series circuit with a battery and a resistor of resistance, R, the capacitor will charge until the voltage across the capacitor is equal to that across the battery. Once the capacitor is charged, current ceases to flow in the circuit. The charges on a capacitor accumulate with a rate that decays exponentially; it will take an infinite amount of time for the capacitor to become fully charged. It will be charged to about 63% of maximum charge after a period of time, $\tau = RC$, called the time constant of the capacitor.

Important Equations

Ohm's Law:

$$\Delta V = IR$$

Junction Rule:

$$\sum I_{in} = \sum I_{out}$$

Loop Rule:

$$\sum_{loop} \Delta V = 0$$

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20.7: Thinking about the Material

Reflect and research

1. When did Galvani and Volta experiment with electric cells?
2. What is the largest voltage that Volta obtained with his voltaic pile?
3. How does one charge a rechargeable battery? What would the circuit look like?

To try at home

1. Research circuit diagrams of appliances you have at home.

To try in the lab

1. Propose an experiment to measure the change in current of an RC circuit as a capacitor builds up and releases charge.
2. Propose an experiment to determine the RC constant for a capacitor charging circuit.
3. Propose an experiment to measure the resistance of a voltmeter and compare your results with the manufacturer's.

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20.8: Sample problems and solutions

Exercise 20.8.1

A simple RC circuit as shown in Figure 20.8.1 contains a charged capacitor of unknown capacitance, C, in series with a resistor, $R = 2\Omega$. When charged, the potential difference across the terminals of the capacitor is 9V.

At time $t = 0\text{s}$, the switch, S, is closed, allowing the capacitor to discharge through the resistor. The current is then measured to be $I = 0.05\text{A}$ at $t = 5\text{s}$ after opening the switch.

a. What is the capacitance of the capacitor?
b. What charge did the capacitor hold at $t = 2\text{s}$?

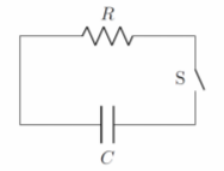

Figure 20.8.1: A simple circuit with a resistor and a capacitor.

Answer

a. In this case, the capacitor is discharging as a function of time. At time $t = 0$, the voltage across the capacitor is $\Delta V = 9\text{V}$. We can model this discharging circuit in a similar way as we modeled the charging circuit.

We start with Kirchhoff's junction rule, which leads to a differential equation for the charge stored on the capacitor, $Q(t)$, as function of time:

$$\Delta V - IR = 0$$
$$\frac{Q}{C} - IR = 0$$
$$\frac{Q}{C} - \frac{dQ}{dt}R = 0$$
$$\therefore \frac{dQ}{dt} = -\frac{1}{RC}Q$$

This differential equation is straightforward to solve, since it says that the derivative of $Q(t)$ is equal to a constant multiplied by $Q(t)$. Thus, $Q(t)$, must be an exponential function:

$$Q(t) = Q_0 e^{-\frac{t}{RC}}$$

where, Q_0, is the (unknown) charge on the capacitor at $t = 0$. You can easily verify that taking the derivative of this equation will result in the differential equation being satisfied.

The current, $I(t)$, as a function of time is given by:

$$I = \frac{dQ}{dt} = -\frac{1}{RC}Q = \frac{Q_0}{RC}e^{-\frac{t}{RC}} = I_0 e^{-\frac{t}{RC}}$$

where $I_0 = \frac{Q_0}{RC}$ is the current at $t = 0$.

We also know that the current through the resistor at $t = 0$ is given by Ohm's Law, since, at that time, the voltage, $\frac{Q_0}{C} = 9\text{V}$:

$$I_0 = \frac{Q_0}{RC} = \frac{(9\text{V})}{(2\Omega)} = 4.5\text{A}$$

We then know that the current, at time $t = 5\text{s}$, is equal to $I(5) = 0.05\text{A}$, allowing us to determine the capacitance:

$$I(5) = I_0 e^{-\frac{t}{RC}}$$

$$\ln\left(\frac{I(5)}{I_0}\right) = -\frac{t}{RC}$$

$$\therefore C = \frac{t}{R\ln\left(\frac{I_0}{I(5)}\right)} = \frac{(5\text{s})}{(2\Omega)\ln\left(\frac{(4.5\text{A})}{(0.05\text{A})}\right)} = 0.56\text{F}$$

b. To find the charge stored in the capacitor at $t = 2\text{s}$, we can use the function $Q(t)$ that we determined before:

$$Q(t = 2\text{s}) = Q_0 e^{-\frac{t}{RC}}$$

where we can determine, Q_0, now that we know the capacitance. Q_0 is the charge on the capacitor at time $t = 0$, when the voltage across the capacitor is 9V:

$$Q_0 = C\Delta V = (0.56\text{F})(9\text{V}) = 5.0\text{C}$$

At $t = 2\text{s}$, the charge on the capacitor is thus:

$$Q(t = 2\text{s}) = (5.0\text{C})e^{-\frac{(2\text{s})}{(2\Omega)(0.56\text{F})}} = 0.84\text{C}$$

Exercise 20.8.2

A voltmeter with a resistance of $R_V = 20\text{k}\Omega$ is attached to a circuit with a battery of unknown voltage and two resistors with a resistance of $R = 2.5\text{k}\Omega$ as shown in Figure 20.8.2 The voltmeter reads that the voltage drop over one of the resistors is $\Delta V_{vm} = 5.647\text{V}$. What is the voltage drop, V_R, over each resistor when the voltmeter is removed from the circuit?

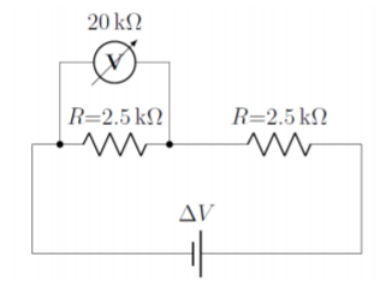

Figure 20.8.2: A circuit with a battery of unknown voltage.

Answer

In order to know the voltage across one of the resistors, we need to determine the voltage that is across the battery. Once we have determined the voltage across the battery, the voltage across one of the resistors will just be half of that across the battery, since the two resistors have the same resistance.

We can model the circuit with the voltmeter in place, since we know the voltage across the parallel combination of the voltmeter and resistor (that voltage which is readout by the voltmeter). We can combine the voltmeter and one of the resistors into a an equivalent resistor, R_{eff}:

$$R_{eff} = \frac{1}{R_V^{-1} + R^{-1}}$$

$$R_{eff} = \frac{1}{(20\text{k}\Omega)^{-1} + (2.5\text{k}\Omega)^{-1}}$$

$$R_{eff} = 2.22\text{k}\Omega$$

Now that we have the effective resistance as well as the voltage drop across that effective resistor, we can solve for current through the circuit:

$$I = \frac{\Delta V_{vm}}{R_{eff}}$$
$$I = \frac{5.647\text{V}}{2.22\text{k}\Omega}$$
$$I = 2.541\text{mA}$$

Now that we have the current through the circuit, we can determine the voltage drop across the second resistor. By adding that voltage drop to the known voltage across the effective resistor, we can determine the battery voltage:

$$\begin{aligned}\Delta V_{battery} &= I(R_{eff} + R)\\ \Delta V_{battery} &= (2.541\text{mA})(2.222\text{k}\Omega + 2.5\text{k}\Omega)\\ \Delta V_{battery} &= 12\text{V}\end{aligned}$$

Thus, with no voltmeter present, the voltage across each resistor is 6V.

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CHAPTER OVERVIEW

21: The Magnetic Force

Learning Objectives

- Understand the key characteristics of a magnetic field and what makes it different from an electric field.
- Understand how to model the magnetic force on a moving charge.
- Understand how to model the magnetic force on a wire carrying current.
- Understand how to model the torque exerted on a current-carrying loop by a magnetic field.
- Understand how to model the Hall Effect.
- Understand simple applications of the magnetic force

This chapter introduces the tools to model the magnetic force, which is something that we have all experienced with magnets. As we will see, the magnetic force acts on moving (electric) charges, and is thus fundamentally different from the electric force which acts on stationary and moving charges. In later chapters, we will develop the tools that allow us to make connections between the electric and magnetic fields.

prelude

When you go through airport security, they sometimes sample your luggage with sticky tape and place that tape into a machine to detect trace amounts of explosives. How does that machine work?

1. The machine detects trace amounts by “sniffing” the sample using similar chemical reactions as those in our olfactory system.
2. The machine vaporizes the sample and accelerates the resulting charged vapour around a circle to determine its constituents.

21.1: Magnetic fields
21.2: The magnetic force on a moving charge
21.3: The magnetic force on a current-carrying wire .
21.4: The Torque on a Current-Carrying Loop
21.5: The Hall Effect
21.6: Applications
21.7: Summary
21.8: Thinking about the Material
21.9: Sample problems and solutions

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21.1: Magnetic fields

Just as we can model the electric force on a charge by using the electric field (e.g. from another charge), we can model the force on a magnet by using a magnetic field (e.g. from another magnet). In your experience, every magnet that you have seen always has a "North" pole and a "South" pole. Most likely, you have noticed that the North pole of a magnet is attracted to the South pole of another magnet, and that the two North (or South) poles of different magnets repel each other. Thus, the magnetic force is attractive between two opposite poles, and repulsive otherwise.

The Earth itself can be modeled as a giant bar magnet, with North and South magnetic poles. The poles on a magnet are labeled North and South according to which geographic pole of the Earth they are attracted to (a magnetic compass needle has a magnetic North pole on the side that point to the Earth's North geographic pole).

? Exercise 21.1.1

Is the magnetic North pole of the Earth located closer to the Earth's geographic North pole or closer to its geographic South Pole?

A. Earth does not have a magnetic field.
B. Earth's magnetic North pole is at Earth's geographic North pole.
C. Earth's magnetic North pole is at Earth's geographic South pole.
D. Earth's magnetic North pole depends on the charge of the observer.

Answer

It may seem that the magnetic force can be described in the same way as the electric force, having two opposite sign "charges" (or poles for magnets), although this is not the case. As far as we can tell, there are no magnets that have only a North or a South pole. Every magnet must have a North *and* a South pole. This is fundamentally different from the electric force, where an object can have a net positive or negative charge. In the context of magnetism, we say that "monopoles do not exist" (an object that has only a North or a South pole would be called a monopole). This is illustrated in Figure 21.1.1, which shows what happens as one cuts a bar magnet into two pieces; rather than ending up with a North and a South piece (monopoles), we end up with two smaller bar magnets, each with their own North and South poles, and so on if we try to subdivide the magnets further.

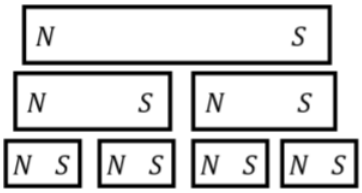

Figure 21.1.1: When a bar magnet is cut through the middle, one obtains two magnets, each with a North and South pole, rather than a North and a South magnet.

We model the magnetic force using a magnetic field vector, usually labeled, $\vec{B}$. The magnitude of the magnetic field has the S.I. units of Teslas (T). We draw magnetic field lines in much the same way that we draw electric field lines. The magnetic field lines are such that the magnetic field vector, $\vec{B}$, at some point in space is tangent to the field line at that point. The strength of the magnetic field is determined by the density of field lines at that position in space. The direction of the magnetic field, $\vec{B}$, indicates the direction of the force that is exerted on the North pole of a magnet. Magnetic field lines thus flow away from North poles and towards South poles.

The magnetic field description is similar to that of the electric field, with North magnetic poles being similar to positive electric charges, and vice versa. However, because magnetic monopoles do not exist, magnetic field lines do not end (or start) on the pole of a magnet. Rather, magnetic field lines must always form **closed loops**. Figure 21.1.2 shows the magnetic field lines for a bar magnet, highlighting that the field lines do not end at the poles, but rather continue through the magnet (and some of the lines only "close" outside of the figure). The magnetic field from a bar magnet is very similar to the electric field created by an electric dipole (and for that reason, we often use the term magnetic dipole to describe objects that create a magnetic field with the same shape).

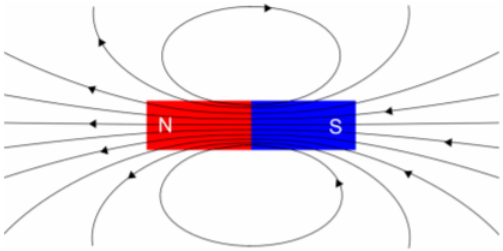

Figure 21.1.2: The magnetic field lines for a bar magnet always form closed loops as they do not end at the North or South pole of the magnet.

We will discuss how to model magnetic fields in the next chapter, but it is important to understand that magnetic fields are created by moving electric charges. The electrons in the material that forms a bar magnet are the moving charges that create the magnetic field. As we will see, the magnetic field from a charge moving around in a circle (or a circular loop of current), has exactly the same shape as that of a bar magnet, as illustrated in Figure 21.1.3. We can thus think of charge moving in a circle as a small bar magnet, or more precisely, as a magnetic dipole.

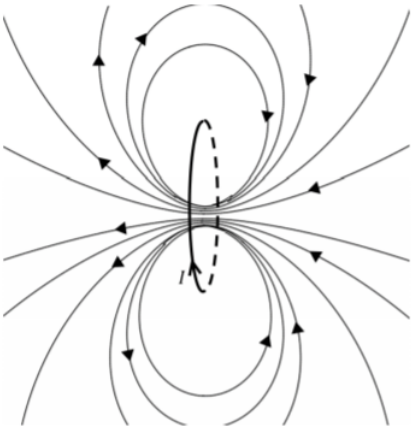

Figure 21.1.3: The magnetic field lines produced by a circular loop of current, I, are the same as those produced by a bar magnet.

In a magnet, the electrons in the material are moving in such a way that the magnetic fields that they generate are all in the same direction. Each atom is like a small magnetic dipole, and all of these are aligned. This allows us to understand why cutting a magnet does not result in two monopoles (Figure 21.1.1): when we cut the bar magnet, we end up with less material, but each piece of material still contains magnetic dipoles that are aligned with each other, each having a North and South side. Note that it is not the motion of electrons around their nuclei that results in the magnetic field, and that one requires quantum mechanics and the notion of "spin" to describe this all in detail.

Most materials will respond to magnetic fields, but the behavior is most evident in "ferromagnetic" materials, such as iron (Fe). Ferromagnetic materials can be magnetized by an external magnetic field, effectively transforming them into magnets. One can think of a material as containing many little magnetic dipoles (from the motion of the electrons), which themselves are like bar magnets. If that material is ferromagnetic, an external magnetic field can act on the little "bar magnets", orienting them all in the same way, so that the material as a whole becomes magnetic. For some ferromagnetic materials, that common orientation will remain when the external magnetic field is removed, creating a "permanent magnet". For other ferromagnetic materials, the common orientation disappears when the external field is removed; those materials are thus attracted to a magnet, but they cannot form a magnet.

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21.2: The magnetic force on a moving charge

review topics

Section A1.3 on the vector product.

When an electric charge, q, has a velocity, $\vec{v}$, relative to a magnetic field, $\vec{B}$, a magnetic force is exerted on the particle:

$$\vec{F}_B = q\vec{v} \times \vec{B} \tag{21.2.1}$$

We can make a few remarks about the magnetic force:

- The magnetic force is always perpendicular to the velocity and to the magnetic field (since it is given by their cross-product).
- The direction of the magnetic force depends on the sign of the charge.
- The magnetic force can do no work, since it is always perpendicular to the velocity (and thus to displacement).
- There is no force if the particle's velocity is in the same direction as the magnetic field vector.
- The force increases with charge, speed, and strength of the magnetic field.

Exercise 21.2.1

A proton moves East in Earth's magnetic field, which way is it deflected?

A. Away from the Earth.
B. Towards the Earth.
C. North.
D. South.

Answer

Exercise 21.2.2

An electron moves West in Earth's magnetic field, which way is it deflected?

A. Away from the Earth.
B. Towards the Earth.
C. North.
D. South.

Answer

josh's thoughts

It is very important to remember what each part of the right-hand rule for cross-products represents. To help remember what each finger represents, I say "velocity" as I extend my thumb, "field" as I extend my index finger, and "force" as I extend my middle finger. When using the right hand rule, it is also important to remember the q in the equation $\vec{F}_B = q\vec{v} \times \vec{B}$. This q could be negative, which would mean that the force acts in the opposite direction.

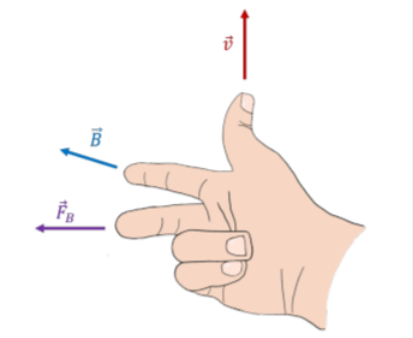

Figure 21.2.1: The way that Josh remembers the right hand rule for magnetism.

If you find yourself forgetting the right-hand rule on a test or exam, just remember that you can still find the correct answer by setting up a three-dimensional coordinate system and evaluating the cross product.

You should be somewhat bothered by the fact that the force depends on the velocity of the charge, since velocity depends on the frame of reference from which it is measured. The above equation has a strange implication: if we observe an electron moving in a magnetic field, we will see its motion be deflected by the magnetic field. If we move along with the electron, so that it has a velocity of zero in our frame of reference, we should not see the electron being deflected, since the magnetic force would be zero. Clearly, the motion of the electron cannot depend on the frame of reference from which we observe it. Thus, the only way that this equation can make sense is if the magnetic field also depends on our frame of reference. We will revisit this in a subsequent chapter, but for now, remember that this equation only makes sense if the velocity is measured in the same reference frame as that in which the magnetic field is defined.

Another bothersome issue with the magnetic force is that it appears to depend on the fact that most humans are right-handed. Indeed, the direction of the force requires one to use the right-hand rule, which appears arbitrary. This is a common occurrence in physics, as many quantities are defined using a cross-product. However, no physical quantity can ever depend on our choice of right or left hand for determining cross-products. It turns out that any physical quantity (such as the force on a particle, which will deflect the particle in a clearly identifiable direction that does not depends on human's choice of right and left), always depends on two successive applications of the right-hand rule. In this case, the direction of the magnetic field is also given by a right-hand rule applied to the moving charges that create the field (as we will see in the next chapter). The successive uses of the right hand twice "cancel"; one finds that a charge is deflected in the same direction if one had used the left hand to define the magnetic field, and then again the left-hand for the cross-product! We will revisit this issue in the next chapter.

Consider the motion of a charged particle in a region where the magnetic field is uniform (constant in magnitude and direction). If the velocity vector of the particle is perpendicular to the magnetic field, the particle will undergo uniform circular motion, as illustrated in Figure 21.2.2

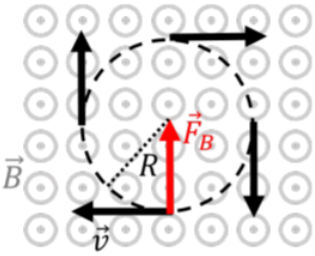

Figure 21.2.2: The motion of a charged particle in a uniform magnetic field (out of the page) is uniform circular motion.

Indeed, the force is always perpendicular to the velocity, and the force is constant in magnitude since both the speed and magnetic field remain constant. These are the only conditions required for uniform circular motion. We can easily determine the radius, R, of the circle, since the magnetic force is responsible for the centripetal acceleration:

$$F_B = m\frac{v^2}{R}$$
$$qvB = m\frac{v^2}{R}$$
$$\therefore R = \frac{mv}{qB}$$

The radius is called the “cyclotron radius”.

? Exercise 21.2.3

Is the particle illustrated in Figure 21.2.2 positively or negatively charged?

A. The particle is positively charged.
B. The particle is negatively charged.
C. Not enough information to tell.
D. The particle has no charge.

Answer

Referring to Figure 21.2.2, if the velocity of the particle is in the plane of the page (perpendicular to the magnetic field), as illustrated, the particle will undergo uniform circular motion. If the velocity of the particle has a component that is parallel to the magnetic field (for example a component coming out of the page, towards you), the particle will undergo “helical motion” (a spiral). The radius of the helix is determined by the component of the velocity, $\vec{v}_\perp$, that is perpendicular the magnetic field:

$$\therefore R = \frac{mv_\perp}{qB}$$

The charged particle would also have a component of velocity towards you that is constant, resulting in the spiral motion illustrated in Figure 21.2.3. Note that the distance between two spirals (labeled h in the figure) is called the “pitch”, and is determined by the component of velocity that is parallel to the magnetic field, $\vec{v}_\parallel$, since that component is not affected by the magnetic force.

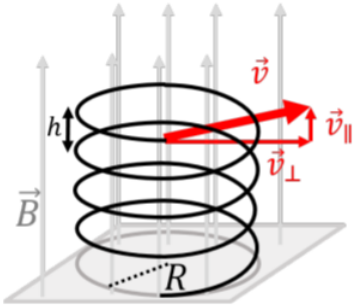

Figure 21.2.3: The helical motion of a charged particle with a component of velocity parallel to the magnetic field. The distance, h, between spirals is called the “pitch”.

✓ Example 21.2.1

A particle of unknown charge and unknown mass is observed to undergo uniform circular motion with a period, T, when traveling perpendicular to a uniform magnetic field, B. What is the ratio of the particle’s charge to its mass, q/m?

Solution

We can use the period of the motion to determine the speed of the particle in terms of the radius of the circular path:

$$v = \frac{2\pi R}{T}$$

and then use the equation for the cyclotron radius to relate this to the charge-to-mass ratio of the particle:

$$
\begin{aligned}
R &= \frac{mv}{qB} \\
&= \frac{2\pi Rm}{qBT} \\
\therefore \frac{q}{m} &= \frac{2\pi}{BT}
\end{aligned}
$$

Discussion

When a charged particle undergoes uniform circular motion in a magnetic field, the radius of the motion depends on the particle's charge-to-mass ratio. This can often be used to measure the mass of, say, an ion, if the charge of the ion is known (usually one or two units of the electron charge). A mass spectrometer makes use of this principle in order to determine the composition of a sample. The sample is vaporized and ionized, the ions are then accelerated using an electric potential difference, before they undergo uniform circular motion. Ions of different masses (and same charge) will then undergo circular motion with different radii, which allows their masses to be determined, and thus the composition of the sample to be known.

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21.3: The magnetic force on a current-carrying wire .

review topics

Section 19.2 on the microscopic model of current.

In this section, we examine the force that is exerted by a magnetic field on a wire that carries electric current. Since a current is formed by moving charges, it is natural to expect that a wire that carries current will experience a force if immersed in a magnetic field.

Consider a vertical wire with cross-sectional area, A, carrying current, I, upwards that is immersed in a uniform magnetic field, $\vec{B}$, into the page, as illustrated in Figure 21.3.1. Inside the wire, on average, electrons have a drift velocity, $\vec{v}_d$, in the downwards direction (since they move in the direction opposite to that of conventional current).

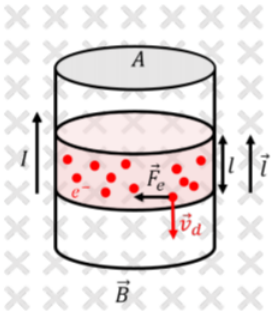

Figure 21.3.1: A section of wire carries conventional current, I, upwards while being immersed in a uniform magnetic field, $\vec{B}$, into the page. We introduce the vector, $\vec{l}$, to represent a section of wire of length l carrying current in the direction of $\vec{l}$.

A single electron (with charge $q = -e$) will experience a magnetic force, $\vec{F}_e$, given by:

$$\vec{F}_e = -e\vec{v}_d \times \vec{B}$$

as illustrated in Figure 21.3.1. A section of wire of length, l, will contain $N = nAl$ drifting electrons, where n is the density of free electrons for the wire (the number of electrons per unit volume that are available to produce a current). Thus, the magnetic force on that section of wire will be N times the force on a single electron:

$$\vec{F} = N\vec{F}_e = nAl(-e\vec{v}_d \times \vec{B}) = -nAle\vec{v}_d \times \vec{B}$$

Recall the microscopic model of current to relate the drift velocity to the conventional current in the wire:

$$I = -nAev_d$$

where the minus sign indicates that negative electrons flow in the opposite direction from the conventional current. We also introduce a vector, $\vec{l}$, with a magnitude equal to the length of the section of wire, and a direction that is parallel to the conventional current (thus anti-parallel to the electron drift velocity). The force on the section of the length, l, of the wire is thus given by:

$$\vec{F} = -nAle\vec{v}_d \times \vec{B}$$

$$\vec{F} = I\vec{l} \times \vec{B} \tag{21.3.1}$$

Exercise 21.3.1

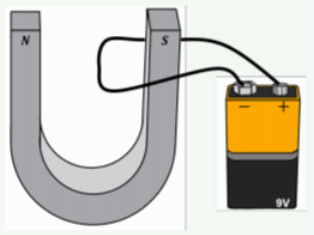

Figure 21.3.2: A current carrying wire moving through a magnetic field.

In which direction does the magnetic force point on the current-carrying wire that is placed in the magnetic field between the poles of the horseshoe magnet shown in Figure 21.3.2?

A. Up.
B. Down.
C. Into the page.
D. Out of the page.

Answer

Note that if the wire is not straight, then we can model the wire as being made of many infinitesimally short sections (Figure 21.3.3), of length dl, and sum the forces on those sections to get the total force on a section of length, L:

$$\vec{F} = \int_0^L I d\vec{l} \times \vec{B}$$

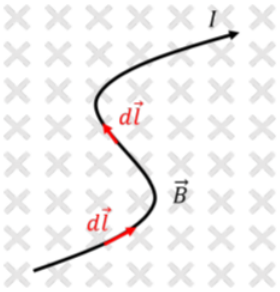

Figure 21.3.3 *The magnetic force on a curved current-carrying wire is obtained by modeling the forces exerted on infinitesimal sections of wire, each with length $d\vec{l}$, and summing together those forces to get the total force on the wire.*

Example 21.3.1

A wire carrying current, I, is bent so as to have a semi-circular section with radius, R, as shown in Figure 21.3.4 The wire is immersed in a uniform magnetic field, $\vec{B}$, that is perpendicular to the plane of the wire, as shown. Using the given coordinate system, what is the net force on the wire?

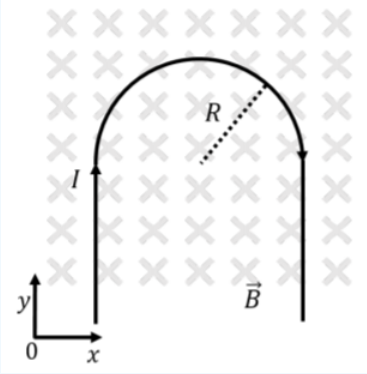

Figure 21.3.4: A current-carrying wire with a semi-circular section is immersed in a uniform magnetic field.

Solution

We can model the wire as being made of three sections: a straight section carrying current in the positive y direction, a curved section, and another straight section carrying current in the negative y direction.

Consider the first straight section, carrying current in the positive y direction. The force on that section of wire, by the right hand rule, will be towards the left (negative x direction):

$$\begin{aligned} F_S &= I\vec{l} \times \vec{B} \\ &= I(l\hat{y}) \times (-B\hat{z}) \\ &= -IlB(\hat{y} \times \hat{z}) = -IlB\hat{x} \end{aligned}$$

where, l, is the (unknown) length of that section of wire. The force exerted on the other straight section of wire will have the same magnitude, but the opposite direction (since the current, and thus the vector $\vec{l}$, is in the opposite direction). Thus, the forces from the two straight sections of the wire cancel, as illustrated in Figure 21.3.5.

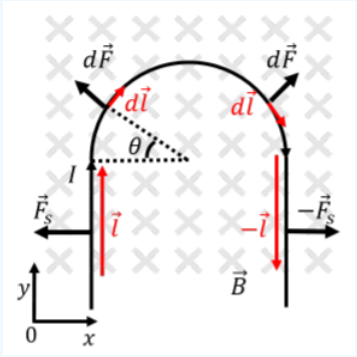

Figure 21.3.5: The magnetic force on different sections of wire.

In order to calculate the force exerted on the semi-circular section, we need to add together the forces exerted on the infinitesimal sections of the wire that make up that section. Consider the magnetic force on the two infinitesimal sections illustrated in Figure 21.3.5. The x components of the forces will cancel, whereas the y components will add. Thus, by symmetry, we anticipate that the net force on the semi-circular section will be in the positive y direction.

Consider the small force on the section of wire located at an angle, θ, as illustrated in Figure 21.3.5. We can write the vector $d\vec{l}$ as:

$$d\vec{l} = dl(\sin\theta\hat{x} + \cos\theta\hat{y})$$

Thus, the infinitesimal force on that section of wire is given by:

$$\begin{aligned} d\vec{F} &= Id\vec{l} \times \vec{B} = Idl(\sin\theta\hat{x} + \cos\theta\hat{y}) \times (-B\hat{z}) \\ &= -IBdl(\sin\theta\hat{x} \times \hat{z} + \cos\theta\hat{y} \times \hat{z}) \\ &= -IBdl(-\sin\theta\hat{y} + \cos\theta\hat{x}) \\ &= IBdl\sin\theta\hat{y} - IBdL\cos\theta\hat{x} = dF_y\hat{y} + dF_x\hat{x} \end{aligned}$$

where, in the last line, we explicitly wrote out the x and y component of the infinitesimal force vector. In order to sum together these infinitesimal forces, it is most convenient to use the angle θ to identify each segment. $d\theta$ is related to dl, since dl is the length of the circle subtended by the infinitesimal angle $d\theta$:

$$dl = Rd\theta$$

Summing together all of the y components of the infinitesimal forces:

$$F_y = \int dF_y = \int_0^\pi IBR\sin\theta d\theta = IBR\int_0^\pi \sin\theta d\theta = 2IBR$$

Note that the x components sum to zero, as we predicted from symmetry:

$$F_x = \int dF_x = -\int_0^{\pi} IBR\cos\theta d\theta = -IBR\int_0^{\pi}\cos\theta d\theta = 0$$

The net force on the wire is thus given by:

$$\vec{F} = 2IBR\hat{y}$$

Discussion

In this example we found the magnetic force on a curved section of current-carrying wire. The calculation was simplified by symmetry arguments, as we could use the right hand rule to anticipate that the force would have no component in the x direction. This is because there is as much current flowing in the positive y direction as there is in the negative y direction, so that the corresponding forces cancel. There is however a net flow of charges in the positive x direction, leading to a net force in the positive y direction. As a corollary, the net magnetic force on any closed loop of current must be zero.

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21.4: The Torque on a Current-Carrying Loop

Review topics

- Section 11.3 on torque.
- Section 16.4 on electric dipoles.

As noted in *Example 21.3.1*, the net magnetic force on any closed loop immersed in a uniform magnetic field is zero. Consider, for example, the current-carrying rectangular loop of height, h, and width, w, immersed in a uniform magnetic field, $\vec{B}$, as illustrated in Figure 21.4.1 (note that the field is not perpendicular to the plane of the loop, as it was in *Example 21.3.1*).

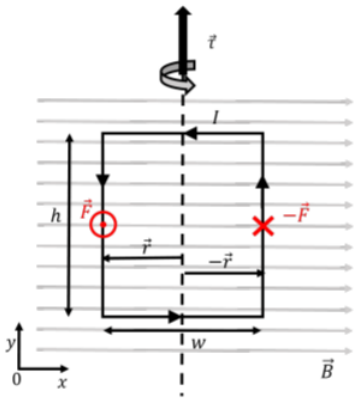

Figure 21.4.1: A rectangular loop carrying counter-clockwise current in a uniform magnetic field.

The magnetic force on the two horizontal sections of the wire are zero, since the current is co-linear with the magnetic field along those sections. In the left vertical section (with current flowing downwards), the magnetic force is out of the page (positive z direction), and is given by:

$$\vec{F} = IhB\hat{z}$$

Similarly, the force on the right vertical section (with current flowing upwards) will have the same magnitude but the opposite direction. The net force on the loop is thus zero.

However, the net torque on the loop about its vertical axis of symmetry (shown by the vertical dashed line in the figure) is not zero. The total torque is found by summing the torques from the forces exerted on the two vertical sections of wire:

$$\begin{aligned}\vec{\tau} &= \vec{r} \times \vec{F} + (-\vec{r} \times -\vec{F}) \\ &= 2\vec{r} \times F = 2\left(-\frac{w}{2}\hat{x}\right) \times IhB\hat{z} = IBwh(-\hat{x} \times \hat{z}) \\ \therefore \vec{\tau} &= IBwh(\hat{y})\end{aligned}$$

where $\vec{r}$ is the vector from the axis of rotation to the location where the force is exerted.

Magnetic Dipole Moment

Describing the torque on a loop can be difficult in three dimensions, so we introduce the "magnetic dipole moment" to simplify the description.

If a closed loop carries a current, I, the magnetic dipole moment vector, $\vec{\mu}$, is defined such that it has a magnitude:

$$\mu = IA$$

where, A, is the area enclosed by the loop. The direction of the magnetic dipole moment vector is such that it is perpendicular to the surface defined by the loop. Of the two such possible directions, the direction of the magnetic dipole moment is given by the right-hand rule for axial vectors; by curling the fingers in the direction of the current, the thumb will point in the direction of the magnetic dipole moment. This is illustrated in Figure 21.4.2

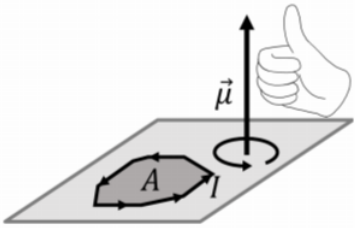

Figure 21.4.2: The right hand rule for axial vectors is used to determine the direction of the magnetic dipole moment vector for a loop carrying current, I.

In terms of the magnetic dipole moment, the torque on a loop, with magnetic dipole moment, $\vec{\mu}$, immersed in a magnetic field, $\vec{B}$, is given by:

$$\vec{\tau} = \vec{\mu} \times \vec{B} \tag{21.4.1}$$

The magnitude of the torque is given by:

$$\tau = \mu B \sin\theta$$

where θ is the angle between the magnetic dipole moment and the magnetic field vectors.

We can verify that this formula gives the correct torque for the rectangular loop in Figure 21.4.1 that we calculated above. The magnetic dipole moment of that loop is given by:

$$\vec{\mu} = IA\hat{z} = Iwh\hat{z}$$

where the direction of the vector is given by the right hand rule for axial vectors (out of the page, since the current is in the counter-clockwise direction in Figure 21.4.1). The torque on the loop is thus:

$$\vec{\tau} = \vec{\mu} \times \vec{B} = (Iwh\hat{z}) \times (B\hat{x}) = IBwh(\hat{y})$$

as we found previously.

The magnetic dipole moment can be used to describe a current-carrying loop in a magnetic field. That is, instead of drawing a loop carrying current, we can equivalently simply draw the associated magnetic dipole moment vector. This is useful because the magnetic dipole moment vector behaves in the same way as a bar magnet (with the tip of the arrow acting like a North pole). Indeed, a magnetic field will always create a torque that will try to align the magnetic dipole moment with the magnetic field, just as the needle of a compass experience a torque if it is not aligned with the magnetic field of the Earth. The torque from the magnetic field is then zero when the magnetic dipole moment is parallel to the magnetic field (as the cross-product between co-linear vectors is zero).

Figure 21.4.3 shows a way to visualize a current-carrying loop in a magnetic field using its magnetic dipole moment vector, $\vec{m}u$.

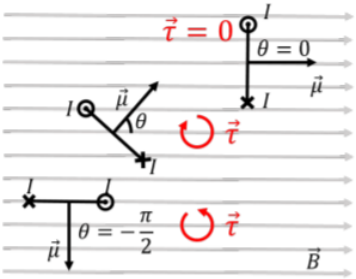

Figure 21.4.3: Three loops of current with different orientations relative to a uniform magnetic field. The loops are seen from above, and the current is shown coming in and out of the page on each loop, along with the corresponding magnetic dipole moment vector.

Three loops are shown (as lines), seen from above, and the direction of the current in each loop is shown as going in or out of the page. Equivalently, one can simply draw the magnetic dipole moment vector for each loop (perpendicular to the plane of the loop). For the top loop, the magnetic dipole moment is parallel to the magnetic field, so the magnetic field exerts no torque. For the middle loop, the magnetic dipole moment makes an angle θ with the magnetic field vector, so that the torque on that loop has a magnitude given by $\tau = \mu B \sin\theta$, and points into the page (clockwise rotation). The bottom loop makes an angle of $-\pi/2$ with

the magnetic field, which results in a torque in the counter-clockwise direction. In all cases, the torque is such that it always tries to align the magnetic dipole moment vector with the magnetic field, just as if the magnetic dipole moment were the needle of a compass.

Example 21.4.1

Determine the magnetic dipole moment of the electron orbiting a hydrogen atom, if you assume that the electron is in a circular orbit with a radius of $R = 0.5\text{Å}$.

Solution

As the electron orbits around the circle, it results in a circular loop of current, I. The current is the rate at which charge passes through a point per unit time. If the electron orbit has a period, T, then the corresponding current, I, is given by:

$$I = \frac{\Delta Q}{\Delta t} = \frac{e}{T}$$

The centripetal force on the electron is provided by the Coulomb force, F_C, exerted by the proton, which allows us to obtain the orbital speed, and thus the period of the orbit:

$$\begin{aligned} F_C &= m\frac{v^2}{R} \\ k\frac{e^2}{R^2} &= m\frac{v^2}{R} \\ \therefore v &= \sqrt{\frac{ke^2}{mR}} \\ \therefore T &= \frac{2\pi R}{v} \end{aligned}$$

The magnetic dipole moment is then given by:

$$\begin{aligned} \mu &= IA = \frac{e}{T}\pi R^2 = \frac{ev}{2\pi R}\pi R^2 \frac{1}{2} evR = \frac{1}{2}\sqrt{\frac{ke^4 R}{m}} \\ &= \frac{1}{2}\sqrt{\frac{(9\times 10^9 \text{N/C}^2\cdot\text{m}^2)(1.6\times 10^{-19}\text{C})^4(0.5\text{Å})}{(9.1\times 10^{-31}\text{kg})}} = 9\times 10^{24}\text{A}\cdot\text{m}^2 \end{aligned}$$

Discussion

In this example we calculated the orbital magnetic dipole moment of the electron in a hydrogen atom. This was a very simple model, since in reality, electrons do not orbit atoms in circular orbits, and one must use quantum mechanics to describe the motion precisely.

Potential energy for a magnetic moment in a magnetic field

A magnetic dipole moment in a magnetic field behaves in the same way as an electric dipole in an electric field. By analogy, we can then define a potential energy, U, for a magnetic dipole moment, $\vec{\mu}$ in a magnetic field, $\vec{B}$:

$$U = -\vec{\mu}\cdot\vec{B} = -\mu B\cos\theta \tag{21.4.2}$$

where θ is the angle between the magnetic moment and the magnetic field. If a magnetic dipole is not aligned with a magnetic field and it is released, it will start to rotate (gain rotational kinetic energy) until it reaches a minimum in potential energy ($\theta = 0$). The magnetic moment would oscillate back and forth about $\theta = 0$ if there are no losses. Note that the point where $\theta = \pi$, is an unstable equilibrium.

Exercise 21.4.1

When a magnetic dipole moment is paralell with a magnetic field and points in the same direction as the magnetic field, it will have...

A. ... its maximum torque and maximum potential energy.
B. ... its maximum torque and minimum potential energy.
C. ... its minimum torque and maximum potential energy.
D. ... its minimum torque and minimum potential energy.

Answer

Exercise 21.4.2

When a magnetic dipole moment is placed such that the torque from the magnetic field is maximized, it will have...

A. ... zero potential energy.
B. ... its minimum potential energy.

Answer

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21.5: The Hall Effect

Figure 21.5.1 shows a simple circuit to illustrate the Hall effect. A flat slab of metal, with width, w, is connected to a battery, so that current flows through the slab. The slab is immersed in a uniform magnetic field, $\vec{B}$, that is perpendicular to the plane of the slab.

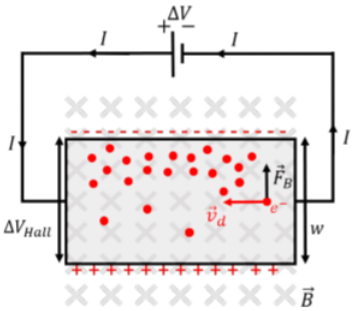

Figure 21.5.1: Illustration of the Hall effect, as electrons flow through a slab that is immersed in a magnetic field, the magnetic force pushes them to one side, creating an electric potential difference, ΔV_{Hall}, transverse to the motion of the current through the slab.

As the electrons enter the right-hand side of the slab (Figure 21.5.1) and drift towards the left, they will experience an upwards force from the magnetic field. As they move to the left through the slab, they also move upwards and "pile up" on that side of the slab. There will thus be an excess of negative charge on the top side of the slab, leading to an electric potential difference between the top and the bottom of the slab. This potential difference is called the "Hall potential", ΔV_{Hall}. An equilibrium between the magnetic force and the electric force associated with the Hall potential is quickly reached, so that the Hall potential remains constant.

If we model the slab as two parallel plates, with a potential difference, ΔV_{Hall}, between them, the electric field in the slab is constant and given by:

$$E = \frac{\Delta V_{Hall}}{w}$$

The equilibrium condition (that the electric force on an electron is equal to the magnetic force) is given by:

$$\begin{aligned} F_E &= F_B \\ eE &= ev_dB \\ \frac{\Delta V_{Hall}}{w} &= v_dB \\ \therefore \Delta V_{Hall} &= v_dwB \end{aligned}$$

If the drift velocity of electrons is known, then the Hall effect can be used to measure the strength of the magnetic field by simply measuring the Hall voltage. This is the most common way to measure the strength of a magnetic field (and the device to do so is called a Hall probe). Conversely, if the magnetic field is known, the Hall effect can be used to characterize the drift velocity of electrons and other microscopic quantities for the material from which the Hall probe is made.

The Hall effect allows us to determine that it is negative charges that flow, and not positive charges. Indeed, consider Figure 21.5.1, but replace the electrons with positive charges flowing to the right, which is equivalent as far as analysing the circuit goes. In this case, those positive charges will be deflected upwards. Thus, if positive charges flow, the top side of the Hall probe becomes positive, whereas it becomes negative if it is negative charges that flow. By measuring the sign of the Hall potential, one can show that it is electrons that flow in an electric current.

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21.6: Applications

In this section, we briefly outline a few applications of the magnetic force.

Velocity selector and mass spectrometer

In *Example 21.2.1*, we described how charged particles with different charge-to-mass ratios will undergo uniform circular motion with different radii, if they all have the same speed. This principle is used in mass spectrometers, which are devices that are able to detect trace amounts of matter in a sample. For example, when your bag gets swiped with a sticky tape at a security check at the airport, that piece of sticky tape is then analyzed by a mass spectrometer.

The tape is vaporized in a way to ionize the atoms on the tape. The ions are then accelerated through an electric potential difference and then pass through a region with a magnetic field. The ions typically execute half of a circular orbit before being detected, as illustrated in Figure 21.6.1. The charge-to-mass ratio of the ions is determined from the radius of their orbit. Usually, their charge is either one or two times the electron charge, allowing their mass to be determined.

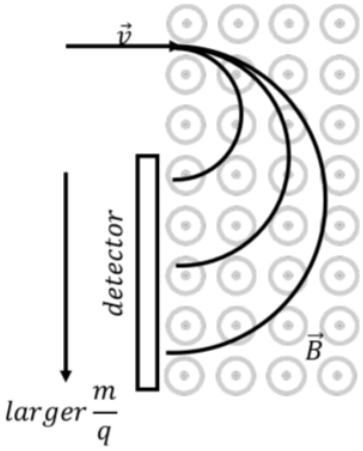

Figure 21.6.1: Illustration of how a mass spectrometer can separate ions based on their charge-to-mass ratio. A detector is placed to measure the number of ions that appear at each radius, allowing the composition of a sample to be determined.

In order for the mass spectrometer to function as designed, it is important that all of the charged particles enter the region of magnetic field with the same speed. A velocity selector is a device that combines perpendicular electric and magnetic fields in order to select only particles of a certain speed, regardless of their mass. The velocity selector is illustrated in Figure 21.6.2

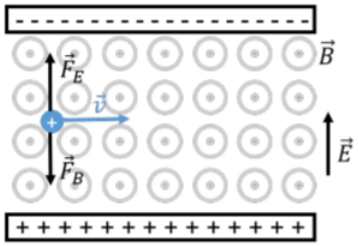

Figure 21.6.2: Illustration of a velocity selector. Only charged particles with a specific speed can make it through without colliding with one of the plates.

In a velocity selector, both an electric and a magnetic force are exerted. Figure 21.6.2 shows a positive particle moving toward the right with speed, v. The particle will experience an upwards electric force and a downwards magnetic force. If those two forces are equal, then the particle will move in a straight line. If, instead, one of the forces is larger than the other, the particle will be deflected and hit one of the charged plates. The condition for the two forces to be equal is given by:

$$F_B = F_E$$

$$qvB = qE$$

$$\therefore v = \frac{E}{B}$$

Thus, the electric and magnetic fields can be tuned so that their ratio gives the desired speed. Note that the speed selector works regardless of the sign of the charge or its mass, which makes it ideal to filter the particles entering a mass spectrometer.

Galvanometer

The galvanometer makes use of the magnetic force in order to measure electric current. In a galvanometer, a coil (many loops) is placed in a known magnetic field. As current passes through the coil, the magnetic dipole moment of the coil increases, and the magnetic field exerts a torque on the coil. The torque from the magnetic force is balanced by the restoring torque of a torsional spring (a coil spring). A needle is attached to the coil, and the deflection of the needle, proportional to the current in the coil, is then a measure of the current through the coil. A galvanometer is illustrated in Figure 21.6.3

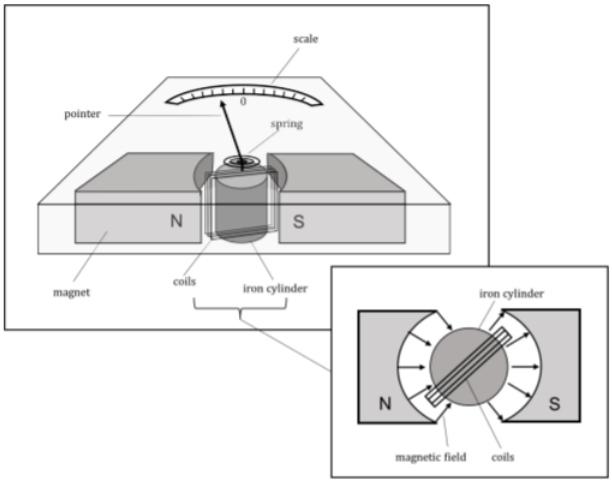

Figure 21.6.3: Illustration of a galvanometer. Current passes through the coil, and the coil rotates due to the torque from a magnetic field created by a permanent magnet. The torque from the magnetic force is balanced by a torsional spring.

Electric motor

In an electric motor, a current-carrying coil (many loops) is immersed in a fixed and uniform magnetic field. As current passes through the coil, the coil experiences a torque and rotates. Once the coil has reached a position where its magnetic dipole moment vector is parallel to the magnetic field, the direction of the current is reversed, so that the coil continues to feel a torque for another half turn, until the direction of the current is reversed again. This is illustrated in Figure 21.6.4

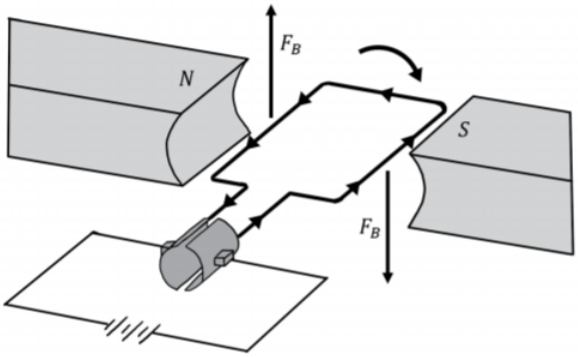

Figure 21.6.4: Illustration of a DC electric motor. Current circulates in the coil resulting in a torque from the magnetic field. Once the coil is aligned with the magnetic field, the direction of the current in the coil is inverted, so that the coil continues to feel a torque. The current is inverted using mechanical brushes that reverse the leads on the coil every half turn.

Cathode ray tube

The cathode ray tube is the main component of old televisions and monitors. In those devices, a beam of electrons is accelerated by an electric potential difference. The electrons then hit a phosphorescent screen, that emits light when the electrons collide with the screen. A magnetic field is used to deflect the electron beam to different parts of the screen and create the desired image, in a rapid

sweeping motion, fast enough that the human eye cannot detect the sweeping motion. An example of a cathode ray tube is shown in Figure 21.6.5

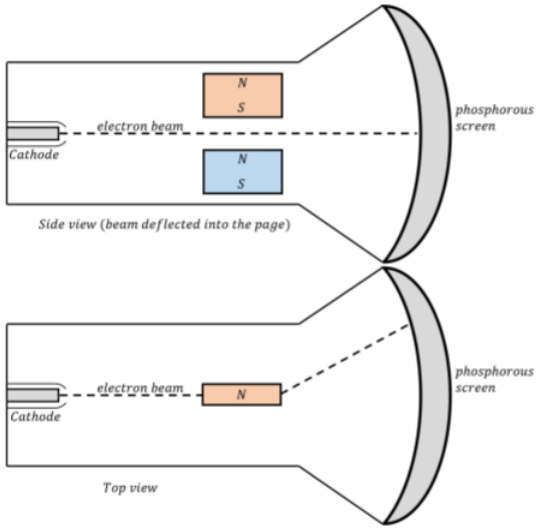

Figure 21.6.5: Illustration of a cathode ray tube from a side view (top) and a top view (bottom). A magnetic field is used to deflect a beam of electrons onto a screen. The perpendicular magnetic fields are used to sweep the beam rapidly across the whole screen to create an image.

Loudspeaker

In a loudspeaker, a coil is immersed in a non-uniform magnetic field. The coil is attached to a membrane so that the membrane moves with the coil when a magnetic force is exerted on the coil. AC current circulates in the coil, with the same frequencies as the desired sound. The coil then moves at those frequencies and the membrane then displaces the air, creating the desired sound waves.

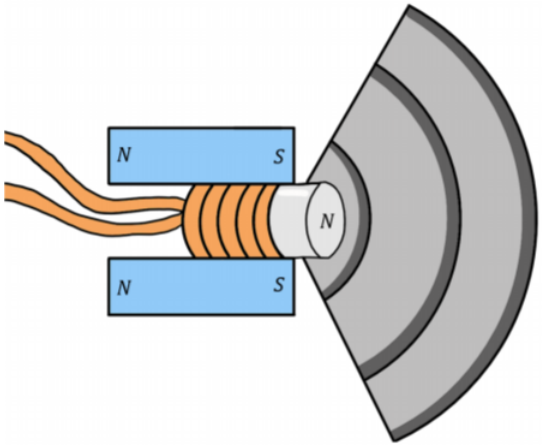

Figure 21.6.6: Illustration of a loud speaker. As current moves through the coil, the coil is pushed back and forth by the magnetic force exerted by a permanent magnet. The motion is transferred to a membrane that move the air and creates the sound wave.

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21.7: Summary

Key Takeaways

In order to describe the magnetic force, we introduced the magnetic field, $\vec{B}$. While there are some similarities with the electric field, the key difference in magnetism is that there are no “magnetic charges” (so-called monopoles), and magnets thus always have a North *and* a South pole. As a result, magnetic field lines never end and must always form closed loops. The magnetic field points in the direction of the force that would be exerted on the North pole of a magnet placed at that position.

Electric charges can feel a force from a magnetic field only if they are moving relative to the frame of reference in which the magnetic field is described. If a charge, q, has velocity, $\vec{v}$, in a magnetic field, $\vec{B}$, it will feel a magnetic force given by:

$$\vec{F}_B = q\vec{v} \times \vec{B}$$

The magnetic force can do no work, since it always acts in a direction perpendicular to the velocity (and thus to the displacement). The magnetic field acts in opposite directions for charges of opposite signs.

In a uniform magnetic field, a charged particle with charge, q, mass m, and velocity vector, $\vec{v}$, perpendicular to a magnetic field, $\vec{B}$, will undergo uniform circular motion, with a cyclotron radius, R, given by:

$$R = \frac{mv}{qB}$$

A straight wire of length, l, carrying current, I, will experience a magnetic force in a magnetic field, $\vec{B}$:

$$\vec{F}_B = I\vec{l} \times \vec{B}$$

where the vector $\vec{l}$ points in the same direction as the current.

If the wire is curved (or the magnetic field changes direction along the wire), then we can integrate the force, $d\vec{F}$, exerted on each infinitesimal section of wire with length, $d\vec{l}$. Again, the direction of $d\vec{l}$ is in the same direction as the current in the wire. The infinitesimal force on an infinitesimal section of wire, is given by:

$$d\vec{F} = Id\vec{l} \times \vec{B}$$

A closed loop of wire carrying current will experience no net force in a uniform magnetic field. However, it will experience a torque, if the loop is not “aligned” with the magnetic field (the torque is zero if the magnetic field is perpendicular to the plane of the loop). We define the magnetic dipole moment, $\vec{\mu}$ of a loop of wire carrying current, I, to be a vector with magnitude:

$$\mu = IA$$

where A is the area enclosed by the loop. The magnetic dipole moment vector is perpendicular to the plane of the loop, and points in the direction given by the right-hand rule for axial vectors applied to the current (think of the current as rotating in the loop).

The torque from a magnetic field, $\vec{B}$, exerted on a loop with a magnetic dipole moment, $\vec{\mu}$, is given by:

$$\vec{\tau} = \vec{\mu} \times \vec{B}$$

The torque is zero when the magnetic dipole moment vector is parallel to the magnetic field vector (corresponding to the loop being “aligned” with the magnetic field). One can think of the magnetic dipole moment as a small bar magnet, or the needle of a compass, that always experiences a torque to align it with a magnetic field.

We can define the potential energy of a magnetic dipole moment in a magnetic field as:

$$U = -\vec{\mu} \cdot \vec{B} = \mu B \cos\theta$$

The Hall effect can be observed when current flows through a slab that is immersed in a magnetic field that is perpendicular to the slab. As the electrons move longitudinally through the slab, they will also be pushed to one side by the magnetic force, resulting in an excess of negative charge on that side. An electric potential difference (the “Hall potential”) is then established between the two sides of the slab (in the direction perpendicular to the motion of the electrons). The Hall potential is given by:

$$\Delta V_{Hall} = v_d w B$$

where w is the width of the slab in the perpendicular direction, B is the strength of the magnetic field, and v_d is the drift velocity of electrons. The most common use of the Hall effect is to build a Hall probe to measure magnetic fields. However, Hall probes can also measure the drift velocity of electrons and other microscopic properties. The sign of the Hall potential also indicates the sign of the charges moving in the slab.

There are many applications of the magnetic force in our daily lives, including electric motors, loudspeakers, cathode ray tubes, mass spectrometers, and galvanometers.

Important Equations

Magnetic force on a moving charge:

$$\vec{F}_B = q\vec{v} \times \vec{B}$$

Magnetic force on a current-carrying wire:

$$\vec{F}_B = I\vec{l} \times \vec{B}$$

Cyclotron radius:

$$R = \frac{mv}{qB}$$

Magnetic dipole moment:

$$\mu = IA$$

Torque on a magnetic dipole:

$$\vec{\tau} = \vec{\mu} \times \vec{B}$$

Important Definitions

Definition

Magnetic field: A field used to model the magnetic force. SI units: $[\mathrm{T}]$. Common variable(s): $\vec{B}$.

Definition

Magnetic dipole moment: A property of an object which describes the torque it will experience in a magnetic field. SI units: $[\mathrm{C} \cdot \mathrm{m}^2 \cdot \mathrm{S}^{-1}]$. Common variable(s): $\vec{\mu}$.

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21.8: Thinking about the Material

Reflect and research

1. When was magnetism first discovered?
2. What is the origin of the word “magnetism”?
3. What experiments support that magnetic monopoles do not exist?
4. What did J.J. Thomson measure, and how?
5. How do debit and credit cards use magnetism?

To try at home

1. Attempt to construct a compass using household materials.

To try in the lab

1. Propose an experiment to measure the magnitude of Earth’s magnetic field.
2. Propose an experiment to construct a galvanometer and test its accuracy.

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21.9: Sample problems and solutions

Exercise 21.9.1

A cathode ray tube in a television accelerates an electron using a potential difference of $\Delta V = 500\text{V}$. The electron must be deflected upwards by a distance $h = 3\text{cm}$ using a uniform magnetic field, $\vec{B}$, before striking the phosphorescent screen, which is a distance $d = 5\text{cm}$ away. What direction and magnitude must the magnetic field have in order to steer the electron towards its destination?

Answer

First, we determine the velocity of the electron that were accelerated over a potential difference of $\Delta V = 500\text{V}$. Their kinetic energy is given by their charge times the potential difference::

$$\begin{aligned} K &= e\Delta V \\ \frac{1}{2}mv^2 &= e\Delta V \\ \therefore v &= \sqrt{\frac{2e\Delta V}{m}} = \sqrt{\frac{2(1.602\times10^{-19}\text{C})(500\text{V})}{(9.109\times10^{-31}\text{kg})}} \\ &= 1.326\times10^{7}\text{ms}^{-1} \end{aligned}$$

Now that we have the velocity, we must determine the direction of the magnetic field. We know that the electron is moving directly towards the phosphorescent screen (which we will define as $\vec{x}$) and the electron must be deflected directly upwards (which we will define as $\vec{z}$). Knowing this, we can use the right hand rule to quickly determine that the magnetic force will be acting in the $-\vec{y}$ direction.

In the region with a magnetic field, the electron will undergo uniform circular motion with a radius give by the cyclotron radius, R:

$$R = \frac{mv}{qB}$$

We thus need to determine the radius of that circle for the electron to arrive that desired location on the screen. A section of the circle about which the electron moves is illustrated in Figure 21.9.1

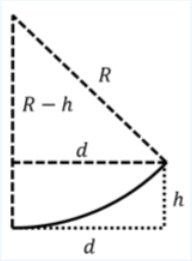

Figure 21.9.1: *Deflection of an electron noving in a uniform magnetic field.*

From geometry and Pythagoras' Theorem, we have:

$$\begin{aligned} R^2 &= (R-h)^2 + d^2 \\ R^2 &= R^2 - 2Rh + h^2 + d^2 \\ \therefore R &= \frac{h^2+d^2}{2h} = \frac{(3\text{cm})^2+(5\text{cm})^2}{2(3\text{cm})} = 5.67\text{cm} \end{aligned}$$

The strength of the magnetic field is then given by:

$$B = \frac{mv}{qR} = \frac{(9.11\times10^{-31}\text{kg})(1.326\times10^{7}\text{ms}^{-1})}{(1.6\times10^{-19}\text{C})(0.0567\text{m})} = 0.00135\text{T}$$

Exercise 21.9.2

A galvanometer has a square coil with a side length of $a = 2.5\text{cm}$ and $N = 70$ loops between two magnets which generate a radial magnetic field of $B = 8\text{mT}$. When a current runs through the coil, it generates a torque which is opposed by a spring with a torsional spring constant of $\kappa = 1.5 \times 10^{-8}\text{Nmrad}^{-1}$. If the deflection of the galvanometer's needle is 0.7, what is the current running through the coil?

Answer

First, we will determine the magnetic dipole moment of the square coil:

$$\mu = NIA$$
$$\mu = NIa^2$$

Now that we have the magnetic dipole moment, we can calculate the torque on the square coil that is produced by the magnetic field. Note that, in a galvanometer, the magnetic field is configured such that it is radial and always perpendicular to the magnetic dipole moment of the coil:

$$\tau_B = N\mu Bsin(90^\circ) = NIa^2B$$

The deflection, θ, for a given current will occur when the torque produced by the wire is equal to the torque produced by the spring. The torque produced by the spring is given by:

$$\tau_s = \kappa\theta$$

where θ is measured in radians. The above equation is the rotational equivalent of Hooke's Law. Equating the torque from the spring and from the magnetic field, we can determine the current:

$$\begin{aligned} \tau_B &= \tau_S \\ NIa^2B &= \kappa\theta \\ I &= \frac{\kappa\theta}{Na^2B} = \frac{(1.5 \times 10^{-8}\text{Nm(rad)}^{-1})(0.7\text{rad})}{70(0.025\text{m})^2(8 \times 10^{-3}\text{T})} \\ &= 30\mu\text{A} \end{aligned}$$

Exercise 21.9.3

Integrate the equation $d\vec{F} = Id\vec{l} \times \vec{B}$ over a circular path to show that the torque exerted on a circular loop of radius, R, carrying current, I, immersed in a uniform magnetic field, $\vec{B}$, has a magnitude given by $\tau = \mu B$, where $\vec{\mu}$ is the magnetic dipole moment of the loop. You may simplify the problem by modeling the loop when its magnetic moment is perpendicular to the magnetic field.

Answer

Figure 21.9.2illustrates a loop of radius, R, carrying current, I. The loop is in the $x - z$ plane, and there is a magnetic field, $\vec{B}$, in the negative x direction. By setting the loop up this way, it is easier to visualize some of the three-dimensional aspects.

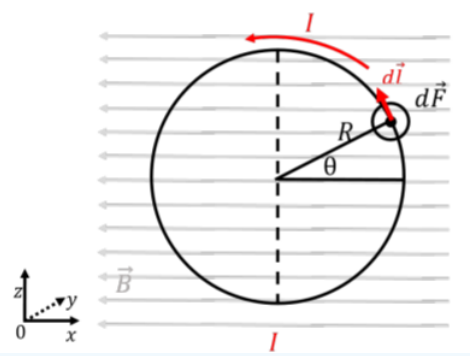

Figure 21.9.2 *A current-carrying loop in a magnetic field.*

Consider an infinitesimal section of the loop, with length, dl, located on the loop at a position labeled by the angle, θ, as illustrated. The vector, $d\vec{l}$, is given by:

$$d\vec{l} = dl(-\sin\theta\hat{x} + \cos\theta\hat{z})$$

The magnetic force on that element of the loop is given by:

$$\begin{aligned} d\vec{F} &= Id\vec{l} \times \vec{B} \\ &= Idl(-\sin\theta\hat{x} + \cos\theta\hat{z}) \times (-B\hat{x}) \\ &= -IBdl\cos\theta(\hat{z} \times \hat{x}) \\ &= -IBdl\cos\theta\hat{y} \end{aligned}$$

and the force on that element of wire is out of the page (negative y direction), as illustrated. That infinitesimal force will create an infinitesimal torque:

$$d\vec{\tau} = \vec{r} \times d\vec{F}$$

where $\vec{r}$ is the vector from the axis of rotation (through the center of the loop, parallel to the z axis) to the point where the force is exerted. The length of the vector, $\vec{r}$, is simply $r = R\cos\theta$, and the force is perpendicular to the vector $\vec{r}$. Thus, the torque on the infinitesimal element is given by:

$$\begin{aligned} d\vec{\tau} &= \vec{r} \times d\vec{F} = (R\cos\theta\hat{x}) \times (-IBdl\cos\theta\hat{y}) \\ &= -IBR\cos^2\theta dl(\hat{x} \times \hat{y}) = -IBR\cos^2\theta dl\hat{z} \end{aligned}$$

and the torque on that infinitesimal element is in the negative z direction, as anticipated from the direction of the force. Note that had we considered the loop to be oriented such that the magnetic field is not in the plane of the loop, the vector $\vec{r}$ in the torque would have a component in the y direction.

We can sum the torques on each element of the loop, from $\theta = 0$ to $\theta = 2\pi$. We can express the length, dl, using the infinitesimal angle, $d\theta$, that subtends the arc of length, dl, on the circle of radius, R:

$$dl = Rd\theta$$

The net torque is then given by:

$$\vec{\tau} = \int d\vec{\tau} = \int -IBR\cos^2\theta dl\hat{z} = (-IBR^2\hat{z})\int_0^{2\pi}\cos^2\theta d\theta = (-IBR^2\hat{z})\pi$$

The magnetic moment of the loop is:

$$\mu = IA = I\pi R^2$$

so that the torque is indeed given by $\tau = \mu B$. If we had rotated the loop so that the vector, $\vec{r}$, had a y component, then we would have found the general formula with a cross-product.

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CHAPTER OVERVIEW

22: Source of Magnetic Field

Learning Objectives

- Understand how to apply the Biot-Savart Law to determine the magnetic field from an electric current.
- Understand how to apply Amp`ere's Law.
- Understand how to model the forces that are exerted on each other by two wires carrying current.
- Understand how to model a solenoid and a toroid.

In this chapter, we develop the tools to model the magnetic field that is produced by an electric current. We will introduce the Biot-Savart Law, which is analoguous to Coulomb's Law in that it can be used to calculate the magnetic field produced by any current. We will also introduce Amp`ere's Law, which can be thought of as the analogue to Gauss' Law, allowing us to easily determine the magnetic field when there is a high degree of symmetry.

prelude

How does an electromagnet work?

A. Current is passed through a magnet, increasing its strength.
B. Current is passed through a circular coil, creating a magnetic field.

22.1: The Biot-Savart Law
22.2: Force between two current-carrying wires
22.3: Ampere's Law
22.4: Solenoids and Toroids
22.5: Summary
22.6: Thinking about the material
22.7: Sample problems and solutions

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22.1: The Biot-Savart Law

The Biot-Savart law allows us to determine the magnetic field at some position in space that is due to an electric current. More precisely, the Biot-Savart law allows us to calculate the infinitesimal magnetic field, $d\vec{B}$, that is produced by a small section of wire, $d\vec{l}$, carrying current, I, such that $d\vec{l}$ is co-linear with the wire and points in the direction of the electric current:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l}\times\hat{r}}{r^2} \tag{22.1.1}$$

where, $\vec{r}$, is the vector from the element of wire, $d\vec{l}$, to the point where we would like to determine the magnetic field, as illustrated in Figure 22.1.1. μ_0 is a constant of proportionality called the "permeability of free space", and has the value $\mu_0 = 4\pi\times10^{-7}\,\mathrm{T\cdot m/A}$.

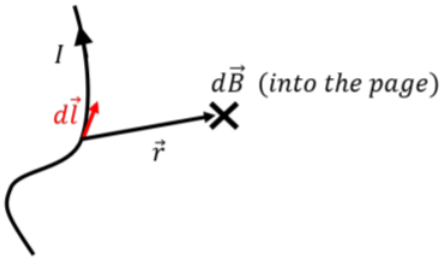

Figure 22.1.1: The infinitesimal magnetic field, $d\vec{B}$, that is created by an infinitesimal section of wire, $d\vec{l}$, carrying current I. Note that the vector, $\vec{r}$, goes from $d\vec{l}$ to the point where we wish to calculate the field.

The Biot-Savart Law has some similarities with the Coulomb Law to calculate the electric field, as the magnitude of the magnetic field decreases as the inverse of the square distance between the source and the field. However, it can only be expressed in differential form (i.e. as an infinitesimal), and it requires working in three dimensions, because of the cross product. It is usually more convenient to use the Biot-Savart Law in the form:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l}\times\vec{r}}{r^3}$$

where the unit vector $\hat{r}$ was replaced by $\vec{r}/r$.

The procedure for applying the Biot-Savart Law is as follows

HowTo: Applying the Biot-Savart Law

1. Make a really good diagram, as you will have to include some 3D aspects.
2. Choose an infinitesimal section of wire, $d\vec{l}$.
3. Determine the vector $\vec{r}$.
4. Determine the cross-product, $d\vec{l}\times\vec{r}$, which will point in the direction of the magnetic field from that infinitesimal section of wire.
5. Write out the infinitesimal vector $d\vec{B}$, and determine its components.
6. Think about symmetry! As you sum the $d\vec{B}$, will some components cancel? If yes, you do not need to do those integrals.
7. Determine the total magnetic field, component by component, by summing (integrating) the components of $d\vec{B}$ over the wire.

Magnetic field from a straight current-carrying wire

In this section, we use the Biot-Savart Law to determine the magnetic field a distance, h, from the center of a finite straight wire of length, L, carrying current, I, as illustrated in Figure 22.1.2

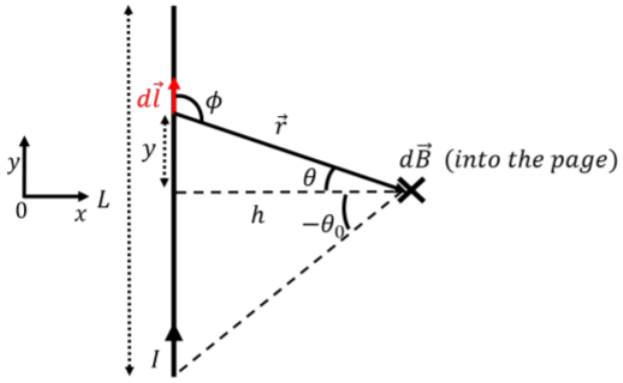

Figure 22.1.2: Setting up the model to use the Biot-Savart Law to calculate the magnetic field a distance h from the center of a current-carrying wire of length L.

We start by choosing an infinitesimal element of wire, $d\vec{l}$, a distance y above the center of the wire, as shown (we choose the origin to be located at the center of the wire). The vector $d\vec{l}$ is thus given by:

$$d\vec{l} = dl\hat{y}$$

The vector, $\vec{r}$, from $d\vec{l}$ to the point at which we would like to know the magnetic field is given by:

$$\vec{r} = r\cos\theta\hat{x} - r\sin\theta\hat{y}$$
$$r = \sqrt{h^2 + y^2} = \frac{h}{\cos\theta}$$

The cross-product between $d\vec{l}$ and $\vec{r}$ is easily found with the right-hand rule to point into the page (corresponding to the negative z direction). The magnitude of the cross-product is given by:

$$||d\vec{l} \times \vec{r}|| = dlr\sin\varphi$$

where $\varphi = \pi/2 + \theta$ is the angle between $d\vec{l}$ and $\vec{r}$, so that $\sin\varphi = \cos\theta$. The cross-product can thus be written in terms of θ as:

$$d\vec{l} \times \vec{r} = -dlr\cos\theta\hat{z}$$

Note that we can also determine the cross-product algebraically instead of using the righthand rule and the magnitude:

$$\begin{aligned} d\vec{l} \times \vec{r} &= (dl\vec{y}) \times (r\cos\theta\hat{x} - r\sin\theta\hat{y}) \\ &= dlr\cos\theta(\hat{y} \times \hat{x}) - rdl\sin\theta(\hat{y} \times \hat{y}) \\ &= -dlr\cos\theta\hat{z} \end{aligned}$$

The infinitesimal magnetic field element, $d\vec{B}$, is given by:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l} \times \vec{r}}{r^3} = -\frac{\mu_0 I}{4\pi}\frac{dl\cos\theta}{r^2}\hat{z}$$

Any segment along the wire will result in a magnetic field that is into the page (negative z direction), thus there will be no cancellations due to any symmetries. We can now proceed to perform the integral.

We can use either θ or y to label the wire elements and carry out the integration. We will choose to integrate over θ, requiring us to express dl and r in terms of θ (and constants), as those are the only quantities in $d\vec{B}$ that depend on the position of $d\vec{l}$. In order to express dl in terms of $d\theta$, we first relate θ to y, the position of the wire element:

$$y = h\tan\theta \rightarrow dl = dy = \frac{dy}{d\theta}d\theta = \frac{h}{\cos^2\theta}d\theta$$

and r is given by:

$$r = \frac{h}{\cos\theta} \rightarrow \frac{1}{r^2} = \frac{\cos^2\theta}{h^2}$$

Putting this altogether into $d\vec{B}$:

$$d\vec{B} = -\frac{\mu_0 I}{4\pi}\frac{dl\cos\theta}{r^2}\hat{z} = -\frac{\mu_0 I}{4\pi}\left(\frac{h}{\cos^2\theta}d\theta\right)\left(\frac{\cos^2\theta}{h^2}\right)\cos\theta\hat{z} = -\frac{\mu_0 I}{4\pi h}\cos\theta d\theta\hat{z} = dB_z\hat{z}$$

We define the angle, θ_0, to be the maximum amplitude of the angle θ when integrating over the wire (see Figure 22.1.2), so that we integrate θ from $-\theta_0$ to $+\theta_0$:

$$B_z = \int_{-\theta_0}^{+\theta_0} dB_z = -\frac{\mu_0 I}{4\pi h}\int_{-\theta_0}^{+\theta_0}\cos\theta d\theta = -\frac{\mu_0 I}{4\pi h}(2\sin\theta_0) = -\frac{\mu_0 I}{2\pi h}\sin\theta_0$$

Using the given dimensions:

$$\sin\theta_0 = \frac{L/2}{\sqrt{h^2 + \frac{L^2}{4}}}$$

Thus, the magnetic field, $\vec{B}$, a distance, h, from the center of a wire of length, L, carrying current, I, in the positive y direction is given by:

$$\vec{B} = -\frac{\mu_0 I}{2\pi h}\frac{L/2}{\sqrt{h^2 + \frac{L^2}{4}}} \quad \text{(finite wire)} \tag{22.1.2}$$

The magnetic field must be rotationally symmetric; that is, if the wire is vertical, the magnetic field at a distance h must look the same regardless of the angle from which we view the vertical wire (we should always see the magnetic field going into the page at the point that we use in Figure 22.1.2). Thus, the magnetic field lines must form circles around the wire, as illustrated in Figure 22.1.3. Note that the direction of the magnetic field is given by the right-hand rule for axial vectors; when you align your thumb with the current, your fingers curl in the direction of the magnetic field.

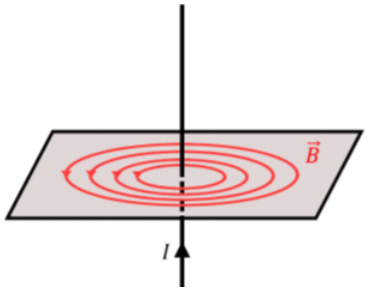

Figure 22.1.3: The magnetic field from a current-carrying wire forms concentric circles centered on the wire.

It is of particular interest to investigate the limiting case of an infinitely long wire, in the limit of $L \to \infty$, or equivalently, $\theta_0 \to \frac{\pi}{2}$. The latter is easiest to evaluate, since $\sin\theta_0 \to 1$. The magnitude of the magnetic field, $\vec{B}$, a distance, h, from an infinite wire carrying current, I, is given by:

$$B = \frac{\mu_0 I}{2\pi h} \quad \text{(infinite wire)} \tag{22.1.3}$$

One can often make the approximation that the wire is infinite in length, when the distance, h, is small compared to the length, L, of the wire.

Magnetic field from a circular current-carrying wire

In this section, we examine the magnetic field that is created by a circular current-carrying loop of wire. We can determine the shape of the magnetic field, by considering small sections as straight wires, with circular magnetic field lines around them. As we move closer to the center of the ring, those fields sum together, as illustrated in Figure 22.1.4. Note that the magnetic field from a loop of current is identical to that from a bar magnet (as a bar magnet is, of course, a collection of current loops).

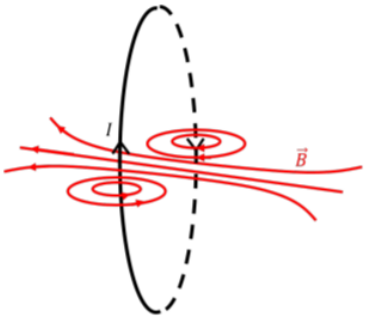

Figure 22.1.4: The magnetic field from a current-carrying loop of wire can be thought of as the sum of the fields from small straight sections of wire.

Below, we use the Biot-Savart Law to derive an expression for the magnitude of the magnetic field at a distance, h, from the center of a ring of radius, R, along its axis of symmetry, when there is a current, I, in the ring. While the mathematics are much easier than the case for the straight wire, the challenge in this case is to visualize the calculation in three dimensions! Figure 22.1.5 shows the loop of current, as well as our choice of the coordinate system (with the origin at the center of the ring). In particular, we wish to calculate the magnetic field at a distance, h, along the z axis. The x axis goes into the page.

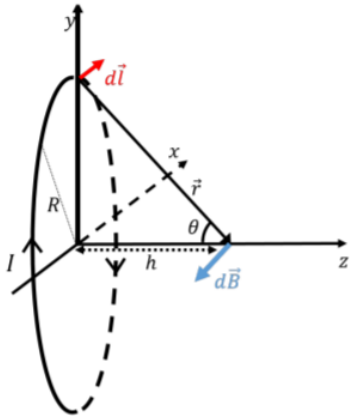

Figure 22.1.5: Diagram to apply the Biot-Savart Law in order to determine the magnetic field along the symmetry axis of a ring carrying current, I. The x axis goes into the page.

In order to apply the Biot-Savart Law, we choose an element, $d\vec{l}$, of wire at the top of the ring, as illustrated. At this position, the element, $d\vec{l}$, points in the positive x direction (into the page):

$$d\vec{l} = dl\hat{x}$$

The vector, $\vec{r}$, from the wire element to the point where we wish to determine the magnetic field is given by:

$$\vec{r} = -r\sin\theta\hat{y} + r\cos\theta\hat{z}$$

and the angle θ will be the same for all wire elements along the ring. The cross-product, $d\vec{l} \times \vec{r}$, can be evaluated algebraically:

$$\begin{aligned} d\vec{l} \times \vec{r} &= (dl\hat{x}) \times (-r\sin\theta\hat{y} + r\cos\theta\hat{z}) \\ &= -rdl\sin\theta(\hat{x} \times \hat{y}) + rdl\cos\theta(\hat{x} \times \hat{z}) \\ &= -rdl\sin\theta\hat{z} + rdl\cos\theta(-\hat{y}) \\ &= -rdl\sin\theta\hat{z} - rdl\cos\theta\hat{y} \end{aligned}$$

so that the element of magnetic field, $d\vec{B}$, corresponding to that choice of $d\vec{l}$, will lie in the $y-z$ plane, as illustrated in Figure 22.1.5. Note that the vector $d\vec{B}$ is perpendicular to the vector $\vec{r}$ (since it is the cross-product of $\vec{r}$ with another vector). The magnetic field element, $d\vec{B}$, is given by:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l}\times\vec{r}}{r^3} = \frac{\mu_0 I}{4\pi r^3}(-r dl\sin\theta\hat{z} - r dl\cos\theta\hat{y})$$
$$= \frac{\mu_0 I}{4\pi r^2}(-dl\sin\theta\hat{z} - dl\cos\theta\hat{y}) = dB_z\hat{z} + dB_y\hat{y}$$

As the wire element, $d\vec{l}$, moves around the circle, the tip of the resulting magnetic field vector element traces a circle centered on the z axis, as illustrated in Figure 22.1.6 Note that, in general, $d\vec{B}$ will also have an x component. Thus, only the z component of the magnetic field will not be canceled when we sum together the magnetic field elements that come from the different wire elements.

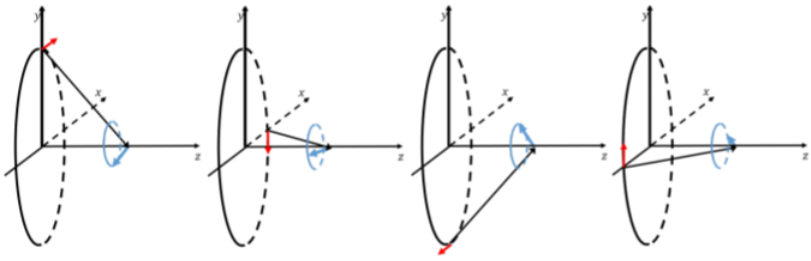

Figure 22.1.6: As the wire element, $d\vec{l}$, moves along the ring, the tip of corresponding magnetic field element vector, $d\vec{B}$, describes a circle centered on the z axis. Thus, only the (negative) z component of $d\vec{B}$ will survive when these are all added together.

The total magnetic field will be in the negative z direction, as anticipated from Figure 22.1.4 Summing together the z components of the infinitesimal magnetic fields:

$$dB_z = -\frac{\mu_0 I}{4\pi r^2}dl\sin\theta$$
$$B_z = \int dB_z = -\int \frac{\mu_0 I}{4\pi r^2}dl\sin\theta$$

Note that in this case, both r and θ are constant for all of the $d\vec{l}$, allowing us to take them out of the integral. The integral is then just a sum of the dl elements, which must add up to the circumference of the ring:

$$B_z = \int dB_z = -\frac{\mu_0 I}{4\pi r^2}\sin\theta\int_0^{2\pi R} dl = -\frac{\mu_0 I}{4\pi r^2}\sin\theta(2\pi R) = -\frac{\mu_0 I}{2r^2}R\sin\theta$$

In terms of the variables that we are given:

$$r = \sqrt{R^2+h^2}$$
$$\sin\theta = \frac{R}{r} = \frac{R}{\sqrt{R^2+h^2}}$$
$$\therefore \vec{B} = -\frac{\mu_0 I}{2}\frac{R^2}{(R^2+h^2)^{\frac{3}{2}}}\hat{z} \quad \text{(field from a loop of current)} \tag{22.1.4}$$

In this case, the math was relatively straightforward (no substitutions to evaluate the integral), however it is challenging to visualize the problem in three dimensions.

? Exercise 22.1.1

A coil is made of N loops of current-carrying wire packed closely together. What is the magnetic field at the center of the coil?

A. $\frac{\mu_0 I}{2R}$
B. $\frac{N\mu_0 I}{2R}$
C. $\frac{N\mu_0 I}{2R^2}$
D. $\frac{\mu_0 I}{R}$

Answer

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22.2: Force between two current-carrying wires

Consider two infinite parallel straight wires, a distance h apart, carrying upwards currents, I_1 and I_2, respectively, as illustrated in Figure 22.2.1.

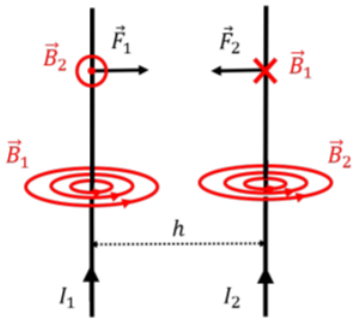

Figure 22.2.1: Two parallel current-carrying wires will exert an attractive force on each other, if their currents are in the same direction.

The first wire will create a magnetic field, $\vec{B}_1$, in the shape of circles concentric with the wire. At the position of the second wire, the magnetic field B_1 is into the page, and has a magnitude:

$$B_1 = \frac{\mu_0 I_1}{2\pi h}$$

Since the second wire carries a current, I_2, upwards, it will experience a magnetic force, $\vec{F}_2$, from the magnetic field, B_1, that is towards the left (as illustrated in Figure 22.2.1 and determined from the right-hand rule). The magnetic force, $\vec{F}_2$, exerted on a section of length, l, on the second wire has a magnitude given by:

$$F_2 = I_2 ||\vec{l} \times \vec{B}_1|| = I_2 l B_1 \frac{\mu_0 I_2 I_1 l}{2\pi h}$$

where we used the fact that the angle between $\vec{l}$ and $\vec{B}$ is 90°. We expect, from Newton's Third Law, that an equal and opposite force should be exerted on the first wire. Indeed, the second wire will create a magnetic field, $\vec{B}_2$, that is out of the page at the location of the first wire, with magnitude:

$$B_2 = \frac{\mu_0 I_2}{2\pi h}$$

This leads to a magnetic force, $\vec{F}_1$, exerted on the first wire, that points to the right (from the right-hand rule). On a section of length, l, of the first wire, the magnetic force from the magnetic field, $\vec{B}_2$, has magnitude:

$$F_1 = I_1 ||\vec{l} \times \vec{B}_2|| = I_1 l B_2 \frac{\mu_0 I_1 I_2}{2\pi h}$$

which does indeed have the same magnitude as the force exerted on the second wire. Thus, when two parallel wires carry current in the same direction, they exert equal and opposite attractive forces on each other.

? Exercise 22.2.1

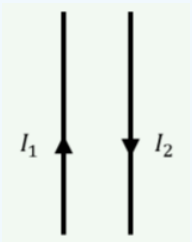

Figure 22.2.2: Two wires that carry current in opposite directions.

Two parallel wires carry current in opposite directions, as shown in Figure 22.2.2 What force do they exert on each other?

A. There will be no force, since the currents cancel.
B. There will be an attractive force between the wires.
C. There will be a repulsive force between the wires.

Answer

The attractive force between two wires used to be the basis for defining the Ampere, the S.I. (base) unit for electric current. Before 2019, the Ampere was defined to be "that constant current which, if maintained in two straight parallel conductors of infinite length, of negligible circular cross-section, and placed one meter apart in vacuum, would produce between these conductors a force equal to $2 \times 10^{-17}\text{N}$ per meter of length". Recently, the definition was updated to be based on defining the Coulomb in such a way that the elementary charge has a numerical value of $e = 1.602176634 \times 10^{-19}\text{C}$, and the Ampere corresponds to one Coulomb per second.

The force between two wires is a good system to understand how any physical quantity cannot depend on our choice of the right-hand to define cross-products. As mentioned in the previous chapter, any physical quantity, such as the direction of the force exerted on a wire, will always depend on two successive uses of the right hand. In this system, we first used the right-hand rule for axial vectors to determine the direction of the magnetic field from one of the wires. We then used the right-hand rule to determine the direction of the cross-product to determine the direction of the force on the other wire. You can verify that you get the same answer if you, instead, use your left-hand to define the direction of the magnetic field (which will be in the opposite direction), and then again for the cross-product. This also highlights that the magnetic field (and the electric field) is just a mathematical tool that we use to, ultimately, describe the motion of charges or compass needles.

? Exercise 22.2.2

When current is flowing in a straight cable, how to you expect the charges to be distributed radially through the cross-section of the cable?

A. Uniformly in radius (current density does not depend on r).
B. There will be an excess of positive charges on the outside of the cable.
C. There will be an excess of negative charges on the outside of the cable.

Answer

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22.3: Ampere's Law

Ampere's Law is similar to Gauss' Law, as it allows us to (analytically) determine the magnetic field that is produced by an electric current in configurations that have a high degree of symmetry. Ampere's Law states:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc} \tag{22.3.1}$$

where the integral on the left is a "path integral", similar to how we calculate the work done by a force over a particular path. The circle sign on the integral means that this is an integral over a "closed" path; a path where the starting and ending points are the same. I^{enc} is the net current that crosses the surface that is defined by the closed path, often called the "current enclosed" by the path. This is different from Gauss' Law, where the integral is over a closed surface (not a closed path, as it is here). In the context of Gauss' Law, we refer to "calculating the **flux** of the electric field **through** a closed surface"; in the context of Ampere's Law, we refer to "calculating the **circulation** of the magnetic field **along** a closed path".

We apply Ampere's Law in much the same way as we apply Gauss' Law.

aPPLYING Ampere's Law

1. Make a good diagram, identify symmetries.
2. Choose a closed path over which to calculate the circulation of the magnetic field (see below for how to choose the path). The path is often called an "Amperian loop" (think "Gaussian surface").
3. Evaluate the circulation integral.
4. Determine how much current is "enclosed" by the Amperian loop.
5. Apply Ampere's Law.

Similarly to Gauss' Law, we need to **choose the path** (instead of the surface) over which we will evaluate the integral. The integral will be easy to evaluate if:

1. **The angle between $\vec{B}$ and $d\vec{l}$ is constant along the path**, so that:

$$\oint \vec{B} \cdot d\vec{l} = \oint B dl \cos\theta = \cos\theta \oint B dl$$

where θ is the angle between $\vec{B}$ and $d\vec{l}$.

2. **The magnitude of $\vec{B}$ is constant along the path**, so that:

$$\cos\theta \oint B dl = B \cos\theta \oint dl$$

Choosing a path that meets these two conditions is only possible if there is a high degree of symmetry.

Consider an infinitely long straight wire, carrying current, I, out of the page, as illustrated in Figure 22.3.1. The magnetic field from the wire must look the same regardless of the angle from which we view the wire ("azimuthal symmetry"). Thus, the magnetic field must either form concentric circles around the wire (which we know is the case from the Biot-Savart Law) or it must be in the radial direction (pointing towards or away from the wire). These two possibilities are illustrated in Figure 22.3.1, and we will pretend, for now, that we do not know which is correct.

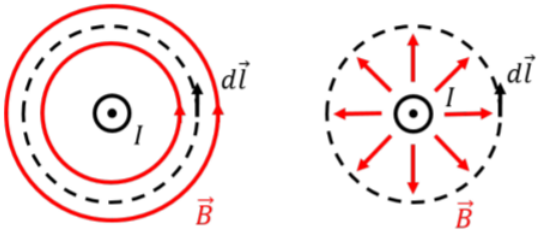

Figure 22.3.1: By symmetry, the magnetic field from an current-carrying infinite wire (illustrated with current coming out of the page), must either form concentric circles (left panel), or be in the radial direction (right panel). We know that the former (circles, left panel) is the correct choice. The dotted lines show "Amperian loops" that one can use to calculate the integral in Ampere's Law.

In order to apply Amp`ere's Law, we choose an Amperian loop (instead of a "Gaussian surface"). In the case of an infinite current-carrying wire, a circle that is concentric with the wire will meet the properties above, regardless of the two possible configurations of the magnetic field: with a circular Amperian loop, the angle between the magnetic field and the element $d\vec{l}$ is constant along the entire loop, and the magnitude of the magnetic field is constant along the loop. Our choice of loop is illustrated in Figure 22.3.2, where we have illustrated the magnetic field for the case where it forms concentric circles.

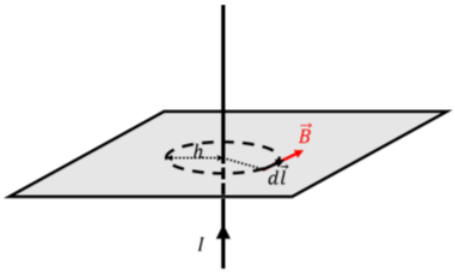

Figure 22.3.2: An Amperian loop that is a circle of radius, h, will allow us to determine the magnetic field at a distance, h, from an infinitely-long current-carrying wire.

The circulation of the magnetic field along a circular path of radius, h, is given by:

$$\oint \vec{B}\cdot d\vec{l} = \oint Bdl\cos\theta = \cos\theta \oint Bdl = B\cos\theta \oint dl = B\cos\theta(2\pi h)$$

where $\cos\theta$ is 1 if the field forms circles (correct) or 0 if the field is radial (incorrect). We can now evaluate the current that is enclosed by the Amperian loop. The current that is enclosed is given by the net current that traverses the surface defined by the Amperian loop (in this case, a circle of radius h). Since the loop encloses the entire wire, the enclosed current is simply, I. Applying Ampere's Law:

$$\oint \vec{B}\cdot d\vec{l} = \mu_0 I^{enc}$$

$$B\cos\theta(2\pi h) = \mu_0 I$$

At this point, it is clear that cos θ cannot be zero, since the right-hand side of the equation is not zero. We can thus conclude that the magnetic field must indeed make concentric circles, as we had previously determined. The magnitude of the magnetic field is given by:

$$B = \frac{\mu_0 I}{2\pi h}$$

as we found previously with the Biot-Savart Law. Again, in analogy with Gauss' Law, one needs to apply some knowledge of symmetry and argue in which direction the magnetic field should point, in order to use Ampere's Law effectively.

? Exercise 22.3.1

Ampere's law proves that the magnetic field at the center of a current-carrying loop is zero because there is no enclosed current:

A. True.

B. False

Answer

Example 22.3.1

A long solid uniform cable of radius, R, carries current, I, with a current density that is uniform through the cross-section of the cable. Determine the strength of the magnetic field as a function of, r, the distance from the center of the cable, inside and outside of the cable.

Solution

In this case, we need to determine the magnetic field both inside and outside of the cable. Figure 22.3.3 shows two circular Amperian loops that we can use to apply Ampere's Law to determine the magnetic field inside and outside of the cable.

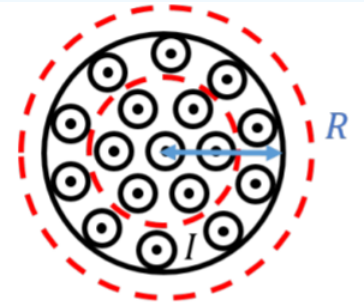

Figure 22.3.3: Two circular Amperian loops to determine the magnitude of the magnetic field inside and outside of a current-carrying cable of radius, R (with uniform current coming out of the page).

By symmetry, and following the discussion in this chapter, we know that the magnetic field must form concentric circles, both inside and outside of the cable. Outside the cable, we proceed in the same fashion as above, choosing an Amperian loop of radius, $r > R$, such that the circulation is given by:

$$\oint \vec{B} \cdot d\vec{l} = B2\pi r$$

The entire cable is enclosed by the loop, so that the enclosed current is, I. Thus, Ampere's Law gives:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc}$$

$$B(2\pi r) = \mu_0 I$$

$$\therefore B = \frac{\mu_0 I}{2\pi r} \quad (r \geq R)$$

Inside of the cable, the circulation integral around a circular path of radius, $r < R$, is the same:

$$\oint \vec{B} \cdot d\vec{l} = B2\pi r$$

However, in this case, the smaller Amperian loop does not enclose all of the current flowing through the cable. We are told that the current density, j, is uniform in the cable. We can thus determine the current per unit area (i.e. the current density) that flows through the whole cable, and use that to determine how much current flows through the surface with area πr^2 that is defined by the Amperian loop:

$$j = \frac{I}{A} = \frac{I}{\pi R^2}$$

$$\therefore I^{enc} = j(\pi r^2) = \frac{I}{\pi R^2}(\pi r^2) = I\frac{r^2}{R^2}$$

Finally, we can apply Amp`ere's Law to determine the magnitude of the magnetic field inside the cable:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc}$$

$$B(2\pi r) = \mu_0 I \frac{r^2}{R^2}$$

$$\therefore B = \frac{\mu_0 I}{2\pi R^2} r$$

and we find that the magnetic field is zero at the center of the cable (r = 0), and increases linearly up to the edge of the cable $(r = R)$.

Discussion

In this example, we used Ampere's Law to model the strength of the magnetic field inside and outside of a current-carrying cable. In order to apply Ampere's Law inside the cable, we took into account that only a fraction of the current is enclosed by the Amperian loop. This problem is analoguous to applying Gauss' Law to determine the electric field inside and outside of a uniformly charged sphere.

Interpretation of Ampere's Law and vector calculus

In this section, we discuss Amp`ere's Law in the context of vector calculus and provide a different perspective, mostly for informational purposes. The integral that appears in Ampere's Law is called the "circulation" of the vector field, $\vec{B}$:

$$\oint \vec{B} \cdot d\vec{l}$$

The circulation, as its name implies, is a measure of "how much rotation there is in the field". To visualize this, imagine that the vector field is a velocity field for points in a fluid. Regions of the fluid where there are little whirlpools (so called "eddies"), correspond to regions of the field with non-zero circulation (the sign of the integral tells us the direction of rotation, using the right-hand rule for axial vectors). Examples of field with and without circulation are shown in Figure 22.3.4 You will recognize that static electric charges create electric fields with no circulation (right panel), whereas static currents create magnetic fields with circulation.

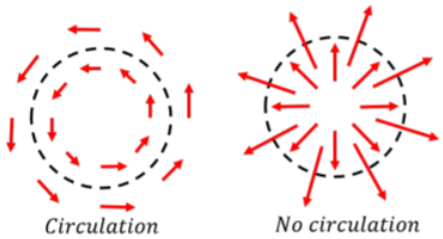

Figure 22.3.4: Examples of field with (left panel) and without (right panel) circulation, as evaluated along the closed loop shown with the dashed line.

Ampere's Law is thus a statement that an electric current will result in a field with a magnitude proportional to the current, that has some degree of rotation to it. The direction of rotation of that field corresponds to the right-hand rule for axial vectors as applied to the current (your thumb points in the direction of the current so that your fingers curl in the direction of the rotation of the associated field).

Circulation, as defined by the integral over a closed loop, is not a local property of the field, since it depends on what the field is doing as a whole over the path of the loop. Just as one can obtain a "local" version of Gauss' Law, one can also obtain a local version of Amp`ere's Law using techniques from advanced vector calculus (that are beyond the scope of this textbook).

Stokes' theorem allows one to convert the circulation integral (a path integral on a closed loop) into a integral over the (open) surface that is defined by the loop:

$$\oint_C \vec{B} d\vec{l} = \int_S (\nabla \times \vec{B}) \cdot d\vec{A}$$

where the subscript C indicates that the integral is over a one-dimensional path, whereas the subscript S indicates that the integral is over a two-dimensional surface. The term, $\nabla \times \vec{B}$, is called the "curl" of the magnetic field and is a local measure of the amount of rotation in the field. Applying Stokes' theorem to Ampere's Law yield:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc}$$

$$\int_S (\nabla \times \vec{B}) \cdot d\vec{A} = \mu_0 I^{enc}$$

Note that we can also write the current, I^{enc}, that is enclosed by the loop as the integral of the current density, $\vec{j}$, over the surface defined by the loop:

$$I^{enc} = \int_S \vec{j} \cdot d\vec{A}$$

Thus, we can write Ampere's Law with integrals over the same surface on either side of the equation, implying that the integrands must be the same:

$$\int_S (\nabla \times \vec{B}) \cdot d\vec{A} = \mu_0 \int_S \vec{j} \cdot d\vec{A}$$

$$\therefore \nabla \times \vec{B} = \mu_0 \vec{j} \tag{22.3.2}$$

This last equation now relates a local property (current density) to the magnetic field at that point, and is the usual form in which Ampere's Law is presented (the so-called "differential form", rather than the "integral form").

The curl of the magnetic field, $\nabla \times \vec{B}$, is a vector that is given by the following:

$$\nabla \times \vec{B} = \left(\frac{\partial B_z}{\partial y} - \frac{\partial B_y}{\partial z} \right) \hat{x} + \left(\frac{\partial B_x}{\partial z} - \frac{\partial B_z}{\partial x} \right) \hat{y} + \left(\frac{\partial B_y}{\partial x} - \frac{\partial B_x}{\partial y} \right) \hat{z}$$

and the name "curl" is chosen because this is a measure of the amount of rotation (curl) in the field. In differential form, Ampere's Law can read as: "a current density will create a (magnetic) field that has non-zero curl".

Since Ampere's Law in differential form is a vector equation (both sides are vectors), it really corresponds to three equations in Cartesian coordinates, one per component. For example, the x component of the equation is a "partial differential equation" for the y and z components of the magnetic field:

$$\left(\frac{\partial B_z}{\partial y} - \frac{\partial B_y}{\partial z} \right) = \mu_0 j_x$$

that is in general difficult to solve without a computer (and all three equations are required, as these are "coupled", since a given component of the magnetic field appears in two of three equations).

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22.4: Solenoids and Toroids

In order to create strong magnetic fields, the most practical method is to combine many loops of current together into a “solenoid” (a coil). Electromagnets function on this principle and are ubiquitous in our lives. Figure 22.4.1 shows the magnetic field from a single loop of current.

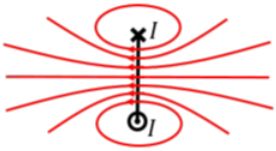

Figure 22.4.1: The magnetic field from a single loop of current.

When several loops of current are brought close together, as in Figure 22.4.2, the magnetic field inside the solenoid becomes uniform, and the magnetic field just outside the solenoid approaches zero.

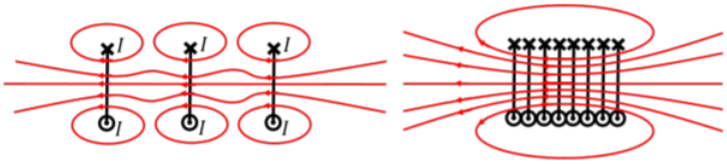

Figure 22.4.2: As multiple loops of current are brought together to form a solenoid, the magnetic field inside the solenoid becomes uniform and the field outside the solenoid approaches zero.

We can use Ampere’s Law to determine the strength of the magnetic field inside of a solenoid, under the assumption that the magnetic field is uniform in the volume of the solenoid and zero just outside. Consider a solenoid with current, I, going through it, that contains n loops *per unit length*. In order to determine the magnetic field, B, inside of the solenoid, consider the rectangular Amperian loop, *abcd*, of length, *l*, illustrated in Figure 22.4.3.

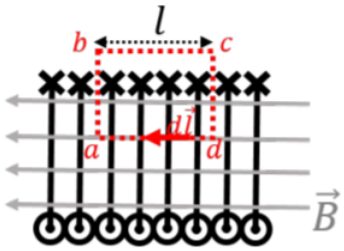

Figure 22.4.3: We use Ampere’s Law with a rectangular loop to evaluate the strength of the magnetic field inside a solenoid.

In order to evaluate the circulation of the magnetic field around the loop, *abcd*, we divide the loop up into segments, and evaluate the path integral ($\int \vec{B} \cdot d\vec{l}$) over each segment, then add those together to obtain the integral over the closed path:

$$\oint_{abcd} \vec{B} \cdot d\vec{l} = \int_a^b \vec{B} \cdot d\vec{l} + \int_b^c \vec{B} \cdot d\vec{l} + \int_c^d \vec{B} \cdot d\vec{l} + \int_d^a \vec{B} \cdot d\vec{l}$$

Over each segment, the vector $d\vec{l}$ will be parallel to that segment. Only the last term is non-zero. The integrals over the segments ab and cd are zero because the magnetic field is perpendicular to $d\vec{l}$ over those segments (so the scalar product is zero). The integral over the segment bc is zero because the magnetic field is zero just outside the solenoid. The integral over the last segment, where $d\vec{l}$ and $\vec{B}$ are parallel, is simply given by:

$$\oint_{abcd} \vec{B} \cdot d\vec{l} = \int_d^a \vec{B} \cdot d\vec{l} = B \int_d^a dl = Bl$$

since the length of the segment is *l*, and the magnetic field is constant in magnitude.

In order to apply Ampere’s Law, we must determine the current that is enclosed by our Amperian loop. Since the rectangular loop has a length, *l*, it will enclose $N = nl$ loops of current, I, since there are n loops per unit length. Thus the enclosed current is $I^{enc} = nlI$. Applying Ampere’s Law, we find the magnetic field inside a solenoid:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc}$$

$$Bl = \mu_0 nIl$$

$$\therefore B = \mu_0 nI \quad \text{(Field inside a solenoid)} \tag{22.4.1}$$

which does not depend on our (arbitrary) choice of making an Amperian loop with an arbitrary length of l. In practice, when solenoids are used as electromagnets, they are typically filled with a ferromagnetic material, which will magnetize when there is a current, resulting in a stronger magnetic field. This is usually done by winding a wire around an iron rod.

Note that if we extend the Amperian loop so that the bottom segment is also outside the solenoid, as in Figure 22.4.4, it is easy to show that the magnetic field immediately outside of the solenoid must be zero. Indeed, in this case, there are an equal number of currents coming out of the page as there are going into the page, so that the net current that is enclosed by the Amperian loop (the net current that crosses the plane of the loop) is identically zero, so that the circulation must be zero, implying that the magnetic field is zero just outside the solenoid.

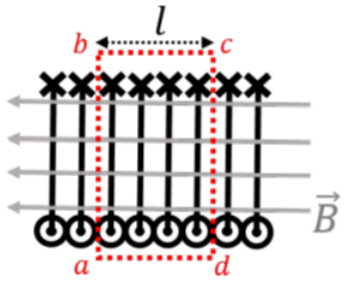

Figure 22.4.4: By extending the Amperian loop to both sides of the solenoid, we conclude that the magnetic field just outside the solenoid must be zero, because the net current enclosed is zero.

A toroid can be thought of as a solenoid that has been bent into the shape of a circle (or rather, a torus), as illustrated in Figure 22.4.5. Inside the toroid, the magnetic field forms concentric circles (not shown).

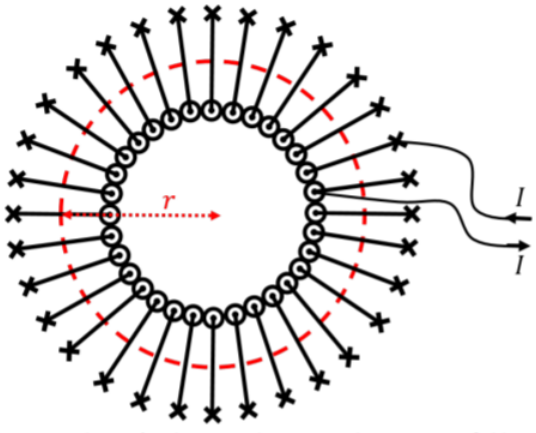

Figure 22.4.5: An Amperian loop of radius r to determine the magnetic field inside of a toroid. Note that the magnetic field everywhere outside the toroid must be zero (think of the current enclosed by Amperian loops).

Again, we can use Ampere's Law to determine the strength of the magnetic field inside the toroid. Consider the circular Amperian loop of radius r that is illustrated in Figure 22.4.5. Since the magnetic field is parallel to the Amperial loop everywhere along the loop, and the magnetic field does not change magnitude (by symmetry), the circulation is given by:

$$\oint \vec{B} \cdot d\vec{l} = B(2\pi r)$$

If the toroid contains N loops of current, then the enclosed current is given by $I^{enc} = NI$, since the Amperian loop include N times the current I coming out of the page. Ampere's Law thus gives the magnitude of the magnetic field as:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc}$$
$$B(2\pi r) = \mu_0 NI$$
$$\therefore B = \frac{\mu_0 NI}{2\pi r}$$

which decreases in magnitude with increasing radius, as long as we are inside the toroid. It is easy to show, by using Amperian loops that are either smaller or bigger than the toroid, that the magnetic field everywhere outside of the toroid is exactly zero (as those Amperian loops will enclose no net current). In a toroid, the magnetic field lines form closed circles. For a solenoid, there must exist a magnetic field somewhere outside the solenoid, in order for the field lines inside the solenoid to close. We can usually ignore these if the solenoid is long, as the field outside will be very weak, and very close to zero very close the solenoid (as we showed with Amp`ere's Law above).

? Exercise 22.4.1

In Figure 22.4.5, the magnetic field makes concentric circles. What direction do the field lines point?:

A. Clockwise.
B. Counter clockwise.
C. Upwards.
D. Not enough information to tell.

Answer

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22.5: Summary

Key Takeaways

Magnetic fields are created by moving charges. The Biot-Savart Law allows us to determine the infinitesimal magnetic field, $d\vec{B}$, that is produced by the current, I, flowing in an infinitesimal section of wire, $d\vec{l}$:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l}\times\hat{r}}{r^2}$$

where μ_0 is a constant called the permeability of free space. The vector $\vec{r}$ points from the wire element, $d\vec{l}$, to the point at which we want to determine the magnetic field. In order to determine the magnetic field from a finite wire, one must sum (integrate) the contributions that come from each section of wire. It is often easier to work with the Biot-Savart law written without the unit vector, $\hat{r}$:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{d\vec{l}\times\vec{r}}{r^3}$$

The magnetic field at a distance, h, from an infinitely long wire carrying current, I, is given by:

$$B = \frac{\mu_0 I}{2\pi h}$$

The magnetic field from a straight current-carrying wire forms concentric circles centered around the wire. The direction of the magnetic field is given by the right-hand rule for axial vectors; with the thumb pointing in the direction of current, the fingers curl in the direction of the magnetic field.

The magnitude of the magnetic field, a distance, h, from the center of a circular loop of wire with radius, R, carrying current, I, along the axis of symmetry of the loop is given by:

$$B = \frac{\mu_0 I}{2}\frac{R^2}{(R^2+h^2)^{\frac{3}{2}}}$$

The direction of the magnetic field can also be found using the right-hand rule for axial currents. In this case, if your fingers curl in the direction of the current loop, your thumb points in the same direction as the magnetic field at the center of the loop.

Two parallel wires carrying currents, I_1 and I_2, separated by a distance, h, will exert equal and opposite forces on each other with a magnitude:

$$F = \frac{\mu_0 I_1 I_2}{2\pi h}$$

The force is attractive if the two currents flow in the same direction and repulsive otherwise.

Ampere's Law is the magnetism analogue to Gauss' Law. Just like Gauss' Law, it requires a high degree of symmetry to be applied analytically, although it is always valid. Ampere's Law relates the circulation of the magnetic field around a closed path to the current enclosed by that path:

$$\oint \vec{B}\cdot d\vec{l} = \mu_0 I^{enc}$$

In order to apply Ampere's Law, we must first choose an Amperian loop over which to compute the closed path integral (instead of choosing a Gaussian surface to calculate the flux of the electric field on a closed surface). The circulation integral will be straightforward to evaluate if:

1. **The angle between $\vec{B}$ and $d\vec{l}$ is constant along the path**, so that:

$$\oint \vec{B}\cdot d\vec{l} = \oint B dl\cos\theta = \cos\theta\oint Bdl$$

where θ is the angle between $\vec{B}$ and $d\vec{l}$.

2. **The magnitude of $\vec{B}$ is constant along the path**, so that:

$$\cos\theta \oint Bdl = B\cos\theta \oint dl$$

The current enclosed, I^{enc}, corresponds to the net current that crosses the surface that is defined by the Amperian loop (a closed path always defines a surface).

Ampere's Law is straightforward to use in situations with a high degree of symmetry, such as infinitely long wires carrying current.

Solenoids are formed by combining many loops of current together, in order to form a strong and uniform magnetic field. The magnetic field inside of a solenoid has a magnitude of:

$$B = \mu_0 nI$$

where, I, is the current in the solenoid, and n, is the number of loops per unit length in the solenoid. The magnetic field just outside of a solenoid is zero, and generally, the magnetic field is negligible outside of a solenoid.

A toroid is formed by bending a solenoid into a circle to form a torus. The magnetic field lines inside of a toroid form concentric circles. The magnetic field decreases with radius inside of a toroid and is identically zero everywhere outside a toroid.

Important Equations

Biot-Savart law:

$$d\vec{B} = \frac{\mu_0 I}{4\pi}\frac{\vec{dl}\times\hat{r}}{r^2} = \frac{\mu_0 I}{4\pi}\frac{\vec{dl}\times\vec{r}}{r^3}$$

Magnetic field from a finite wire:

$$B = \frac{\mu_0 I}{2\pi h}$$

Magnetic field from an infinitely long wire:

$$B = \frac{\mu_0 I}{2\pi h}$$

Magnetic field from a circular loop of current:

$$B = \frac{\mu_0 I}{2}\frac{R^2}{(R^2+h^2)^{\frac{3}{2}}}$$

Force between two wires:

$$F = \frac{\mu_0 I_1 I_2}{2\pi h}$$

Ampere's Law:

$$\oint \vec{B}\cdot\vec{dl} = \mu_0 I^{enc}$$

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22.6: Thinking about the material

Reflect and research

1. Who discovered Ampere's Law? What did Ampere discover?
2. What are three common uses of electromagnets.
3. How does a coil gun work?

To try at home

1. Use a battery and some wire to build an electromagnet. Is it stronger if you use a ferromagnetic core?
2. Research the current that your household electronics have. Are design choices effected by the magnetic fields generated by the current in these electronics?

To try in the lab

1. (Simulation) Calculate the magnetic field from a loop of current at all positions in space (not only on the axis of symmetry).
2. Propose an experiment to characterize the magnetic field produced by Helmholtz coils.
3. Propose an experiment to build and test a coil gun.

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22.7: Sample problems and solutions

Exercise 22.7.1

A square loop of wire with side length, L, carries current, I, as shown in Figure 22.7.1. What is the magnetic field at the center of the loop?

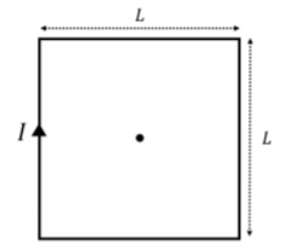

Figure 22.7.1: A square loop of current.

Answer

The square loop is simply made of four straight sections of wire of length, L. The magnetic field from each section of wire is into the page, which you can easily verify with your right-hand (with your thumb in the direction of current, your fingers curl in the direction of the resulting magnetic field).

The magnetic field at the center is just four times the magnetic field produced by a single segment, which we determined in this chapter. The magnetic field at the center of the loop is thus four times the magnetic field at a distance, $h = \frac{L}{2}$, from a wire of length, L:

$$B = 4 \times \frac{\mu_0 I}{2\pi \frac{L}{2}} \frac{L/2}{\sqrt{\frac{L^2}{4} + \frac{L^2}{4}}} = 2\sqrt{2}\frac{\mu_0 I}{\pi L}$$

Exercise 22.7.2

Helmholtz coils are an arrangement of two parallel loops of current which produce a nearly uniform magnetic field. Helmholtz coils are formed by two identical circular loops of radius, R, carrying the same current, I, where the centers of the coils are separated by the distance, R, as illustrated in Figure 22.7.2. Determine the magnetic field as a function of z, along the axis of symmetry of the coils, where the origin is located half way between the two coils. Make a plot of the magnetic field as a function of z from each coil, as well as the total electric field to show that it is close to uniform between the coils.

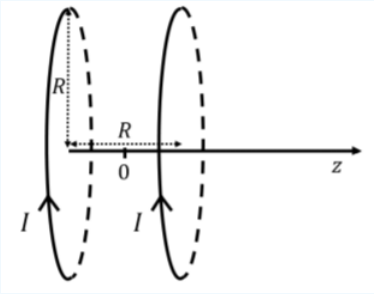

Figure 22.7.2: A Helmholtz coil arrangement.

Answer

We know that the magnetic field at a distance, h, from the center of a loop of current, along its axis of symmetry is given by:

$$B(h) = \frac{\mu_0 I}{2} \frac{R^2}{(R^2 + h^2)^{\frac{3}{2}}}$$

For the two coils in the Helmholtz configuration, the magnetic field from each coil will be in the same direction. The center of the two coils are located at $z = \pm\frac{R}{2}$. Thus, if we are located at position, z, along the z axis, one coil will be at a distance of $z+\frac{R}{2}$, and the other at a distance $z-\frac{R}{2}$. The total magnetic field as a function of z is then given by:

$$\begin{aligned} B^{tot}(z) &= B\left(z+\frac{R}{2}\right)+B\left(z+\frac{R}{2}\right) \\ &= \frac{\mu_0 I}{2}\frac{R^2}{(R^2+(z+\frac{R}{2})^2)^{\frac{3}{2}}}+\frac{\mu_0 I}{2}\frac{R^2}{(R^2+(z-\frac{R}{2})^2)^{\frac{3}{2}}} \end{aligned}$$

We can plot this function, as well as the two individual terms using python. For information, we show the code below. In order to make the plot, we need to choose some reasonable values for the radius of the coils and the current through the coils, for example:

- $R = 0.3\text{m}$
- $I = 0.1\text{A}$

Python Code 22.7.1: Numerical integration of a function

```
#Import the modules that we need:
import numpy as np
import pylab as pl

#Define some constants:
mu0 = 4*np.pi*1e-7 #4 pi
I = 0.5
R = 0.3

#Define the values on the z axis, from -2R to +2r, in 100 increments
z = np.linspace(-2*R,2*R,100)

#Determine the magnetic field from the coils at those values of z
#The coil at z=-R/2:
B1 = (mu0*I)/2 * R**2/((R**2+(z+R/2)**2)**(3/2))
#The coil at z=+R/2:
B2 = (mu0*I)/2 * R**2/((R**2+(z-R/2)**2)**(3/2))
#The sum:
B = B1 + B2

#Make the plot
p1.figure(figsize=(10,6))
p1.plot(z,B1,label='Coil at z=-R/2')
p1.plot(z,B2,label='Coil at z=+R/2')
p1.plot(z,B,label='Total')
p1.legend()
p1.xlabel('z position [m]')
p1.ylabel('Magnetic field [T]')
p1.show()
```

Output 22.7.1:

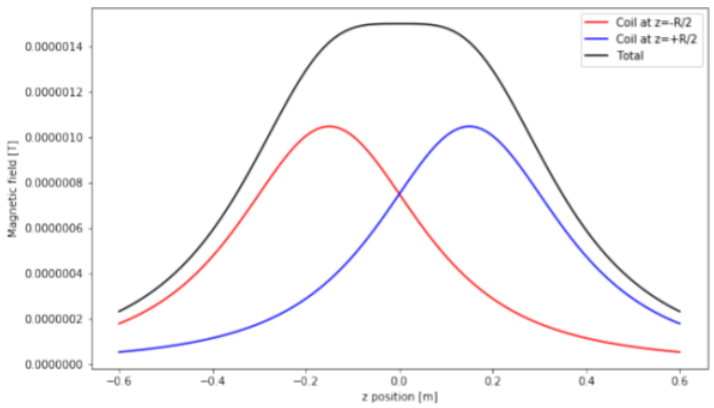

Figure 22.7.3 *Magnetic field from each coil, as well as their sum, for two coils in the Helmholtz configuration.*

As advertised, we see a region between the Helmholtz coils where the magnetic field is nearly uniform.

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CHAPTER OVERVIEW

23: Electromagnetic Induction

Learning Objectives

- Understand how to apply Faraday's Law to determine an induced voltage.
- Understand how to model the induced voltage in a moving conductor.
- Understand how to model an electric generator.
- Understand how electromagnetic induction affects electric motors.
- Understand how to model electric transformers.
- Understand how electromagnetic waves are formed.

In this chapter, we introduce the tools to model the connection between the magnetic and the electric field. In particular, we will see how a changing magnetic field can be used to induce an electric current, which is the basic principle behind the electric generators that power our life. We will also briefly discuss how electromagnetic waves are formed.

prelude

How does one make electricity with a hydroelectric dam?

A. By running water through a coil to induce a current.
B. By using water to rotate a coil inside of a fixed magnetic field.
C. By using water to charge a metallic surface by friction, and then maintaining that potential difference.

23.1: Faraday's Law
23.2: Induction in a Moving Conductor
23.3: Back EMF in an electric motor
23.4: The induced electric field and eddy currents
23.5: Transformers
23.6: Maxwell's equations and electromagnetic waves
23.7: Summary
23.8: Thinking about the material
23.9: Sample problems and solutions

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23.1: Faraday's Law

In the previous chapter, we described how an electric current produces a magnetic field. In this chapter, we describe how an electric current can be produced (or rather, "induced") by a magnetic field. The most important aspect of electromagnetic induction is that it always involves quantities that change with time. In past chapters, we have only dealt with static electric and magnetic fields, static charges (for electric fields), and static currents (for magnetic fields).

Faraday's Law connects the flux of a **time-varying** magnetic field to an induced voltage (rather than a current). For historical reasons, the induced voltage is also called an induced "electromotive force" (emf), even if it is a voltage and not a force. Faraday's Law is as follows:

$$\Delta V = -\frac{d\Phi_B}{dt} \tag{23.1.1}$$

where ΔV is the induced voltage, and Φ_B is the flux of the magnetic field through an open surface, defined in the same way as the flux of the electric field (Section 17.1):

$$\Phi_B = \int_S \vec{B} \cdot d\vec{A}$$

If the magnetic field has a constant magnitude over the surface, S, and always makes the same angle with the surface, then the flux can be written as:

$$\Phi_B = \vec{B} \cdot \vec{A}$$

where the magnitude of the vector $\vec{A}$ is equal to the area of the surface, and the vector $\vec{A}$ is normal to the surface.

The surface, S, is defined by a closed path. The induced voltage can be thought of as an ideal battery placed in the closed path that defines the surface (right-hand panel of Figure 23.1.1). The minus sign indicates the direction of the current associated with the induced voltage. It is important to note that an induced voltage only exists if the flux of the magnetic field changes (since the induced voltage is given by the time-derivative of the flux). Remember, induction is all about time-varying fields! This is better illustrated with an example.

Consider a loop of wire that is immersed in a uniform magnetic field, $\vec{B}$, that is perpendicular to the plane of the loop, as illustrated in Figure 23.1.1. As time goes by, the magnetic field increases in strength, as shown in going from the left panel to the right panel. The flux of the magnetic field through the loop increases in magnitude, and a voltage is thus induced across the wire (illustrated by the ideal battery on the loop in the right panel), leading to an induced current, I.

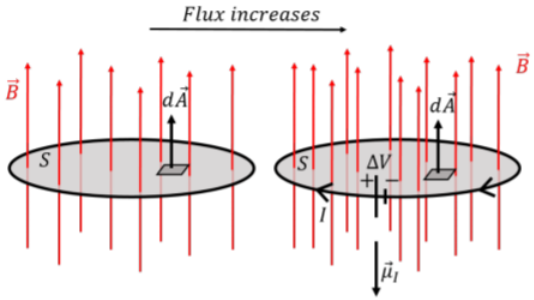

Figure 23.1.1: As the magnetic field increases, so does the flux through the loop that is shown. The changing flux results in an induced voltage, which produces an induced current that has a magnetic moment, μ_I. The induced current produces a magnetic field in a direction to oppose the changing flux.

When calculating the flux of the magnetic field, we have to choose the surface element vector, $d\vec{A}$, to be perpendicular to the surface over which we calculate the flux. There are two choices[1] (upwards or downwards, referring to Figure 23.1.1); we **chose** to define $d\vec{A}$ to point upwards. Thus, the magnetic flux is positive in both panels, and increases with time. The derivative, $d\vec{B}/dt$, is thus positive, and the right-hand side of Faraday's equation is negative because of the negative sign in front. Had we chosen to define $d\vec{A}$ to point downwards, the right-hand side of Faraday's Law would be negative.

We can describe the direction of the induced current, I, in terms of its magnetic dipole moment (Section 21.4), $\vec{\mu}_I$, also shown in Figure 23.1.1. The overall sign on the right-hand side of Faraday's Law is determined by our (arbitrary) choice of the direction $d\vec{A}$. With this choice, we found that the right-hand side of Faraday's Law is negative:

$$\Delta V = -\frac{d\Phi_B}{dt} = \text{a negative number}$$

The overall sign of ΔV indicates whether the magnetic moment of the induced current is parallel (ΔV positive) or anti-parallel (ΔV negative) to $d\vec{A}$. This allows us to determine the direction of the induced current, and thus the direction of the ideal battery that represents the induced voltage. In general, when possible, it is common to choose the direction of $d\vec{A}$ to be parallel to the magnetic field vector, so that the flux is positive (although this does not guarantee that its derivative is positive).

Lenz' Law

The minus sign in Faraday's Law is sometimes called "Lenz's Law", and ultimately comes from the conservation of energy. In Figure 23.1.1 above, we found that as the magnetic flux increases through the loop, a current is induced. That **induced current will also produce a magnetic field** (in the direction of its magnetic dipole moment vector, $\vec{\mu}_I$).

Lenz's Law states that the "induced current will always be such that the magnetic field that it produces counteracts the changing magnetic field that induced the current". In Figure 23.1.1, the magnetic field points in the upwards direction, and increases in magnitude with time. The induced current produces a magnetic field that points downwards to counteract the changing magnetic field, and preserve a constant flux through the loop. If this were not the case, the induced current would be in the opposite direction, contributing to the increasing magnetic flux through the loop, inducing more current, producing more flux, inducing more current, etc. Clearly, this would lead to an infinite current and solve the world's energy crisis. Unfortunately, conservation of energy (expressed here as Lenz's Law) prevents this from happening.

You can use Lenz's Law to determine the direction of induced currents. In general:

- If the magnitude of the magnetic **flux is increasing** in the loop, then the induced current produces a magnetic field that is in the **opposite direction** from the original magnetic field.
- If the magnitude of the magnetic **flux is decreasing** in the loop, then the induced current produces a magnetic field that is in the **same direction** as the original magnetic field.

The negative sign in Faraday's Law is not arbitrary (as we saw above, it gives the correct direction for the magnetic moment of the induced current, given our arbitrary choice of direction for $d\vec{A}$). In practice, one can often use Lenz's Law to determine the direction of the induced current (so that it counteracts the changing flux), and Faraday's Law to determine the magnitude of the induced voltage.

? Exercise 23.1.1

A loop of wire is immersed in a constant and uniform magnetic field out of the page, perpendicular to the plane of the loop, as shown in Figure 23.1.2 If the radius of the loop increases with time, in which direction will be the current induced in the loop?

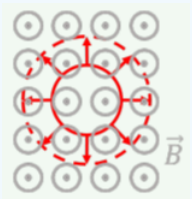

Figure 23.1.2: A loop whose radius increases with time.

A. Since the magnetic field is constant, there is no induced current.
B. Clockwise.
C. Counter-clockwise.

Answer

Exercise 23.1.2

A loop of wire is immersed in a constant and uniform magnetic field out of the page, perpendicular to the plane of the loop, as shown in Figure 23.1.3. If the loop is pulled out of the region of magnetic field, as shown, in which direction is the induced current in the loop?

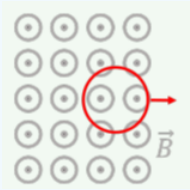

Figure 23.1.3: A loop being pulled out of a region with inform magnetic field.

A. Since the magnetic field is constant, there is no induced current.
B. Clockwise.
C. Counter-clockwise.

Answer

Example 23.1.1

A uniform time-varying magnetic field is given by:

$$\vec{B}(t) = B_0(1+at)\hat{z}$$

where B_0 and a are positive constants. A coil, made of N circular loops of radius, r, lies in the $x-y$ plane. If the coil has a total resistance, R, what is the magnitude and direction of the current induced in the coil?

Solution

The coil is made of N loops of wire. Each loop of wire can be treated independently, and each will have its own induced voltage across it. Since each loop is the same, they will all have the same induced voltage, and the total voltage induced across the coil, ΔV, will be given by:

$$\Delta V = -N\frac{d\Phi_B}{dt}$$

where Φ_B is the flux through any one of the loops. That is, each loop is similar to an ideal battery, and the coil is similar to placing all of these batteries in series, so that the voltages from each battery sum together.

The coil lies the $x-y$ plane, perpendicular to the increasing magnetic field, similar to the situation depicted in Figure 23.1.1. Since the magnetic field is uniform over the surface of the coil, we do not need an integral to determine the flux. We define the area vector, $\vec{A}$, to be in the positive z direction (parallel to the magnetic field):

$$\vec{A} = A\hat{z} = \pi r^2\hat{z}$$

The flux through one circular loop of radius, r, is given by:

$$\Phi_B(t) \ = \vec{B}\cdot\vec{A} = (B_0(1+at)\hat{z})\cdot(\pi r^2\hat{z}) = B_0(1+at)(\pi r^2)$$

We can apply Faraday's Law to determine the induced voltage:

$$\begin{aligned}\Delta V &= -N\frac{d\Phi_B}{dt} = -N\frac{d}{dt}B_0(1+at)(\pi r^2)\\ &= -NB_0a\pi r^2\end{aligned}$$

Since the induced voltage is negative, the magnetic moment of the induced current points in the negative z direction (opposite to our choice of direction for $\vec{A}$). This is consistent with Len'z Law, since the magnetic field increases in the positive z

direction, the induced current will produce a magnetic field in the negative z direction to counteract the changing flux. The magnitude of the induced current is given by Ohm's Law:

$$I = \frac{\Delta V}{R} = \frac{N B_0 a \pi r^2}{R}$$

Discussion

In this example, we determined the induced voltage and current in a coil made of N identical loops. We argued that one can sum the induced voltages from the N loops, as these can be thought of as ideal batteries in series. We found that the direction of the induced current as obtained from Faraday's Law was consistent with the expectation from Lenz's Law.

Footnotes

1. Recall that this ambiguity is resolved when using Gauss' Law by always choosing $d\vec{A}$ to point "outwards", which only makes sense when the surface is closed. With an open surface, there is no inside or outside, and we are left with the ambiguity.

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23.2: Induction in a Moving Conductor

If we define a loop of wire, there are two ways in which the magnetic flux through that loop can change:

1. The magnetic field can change magnitude or direction, as we saw in *Example 23.1.1*.
2. The loop can change size or orientation relative to the magnetic field.

In this section, we examine the latter case, sometimes called “motional emf”, as the induced voltage is the result of motion from the loop in which the voltage is induced.

Motion of a bar on two parallel rails

Consider a U-shaped rail in a uniform magnetic field on top of which a bar can slide with no friction, as illustrated in Figure 23.2.1. The bar of length L moves to the right with a constant speed, v.

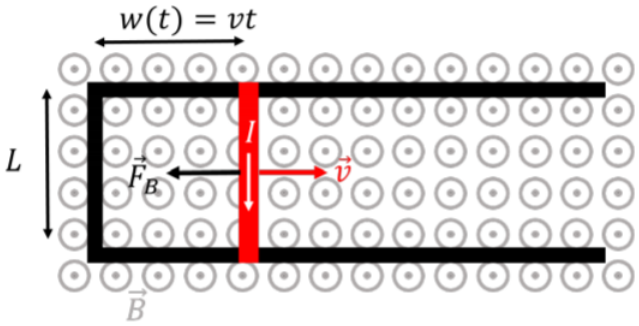

Figure 23.2.1: A U-shaped rail on top of which a bar of length, L, can slide. The system is immersed in a magnetic field that points out of the page. The bar moves to the right with a constant speed v.

The bar and the rails form a closed loop of area:

$$A(t) = Lw(t) = Lvt$$

that increases with time. The magnitude of the flux through the loop will increase with time, resulting in an induced current (clockwise, according to Lenz’s Law). At some time, t, the flux through the loop is given by:

$$\Phi_B(t) = \vec{B} \cdot \vec{A} = BA = BLvt$$

where we chose $\vec{A}$ to be parallel to the magnetic field vector.

Since we already used Lenz’s Law to argue that the current must be in the clockwise direction, we can use Faraday’s Law to determine the magnitude of the induced voltage and ignore the negative sign:

$$\Delta V = \frac{d\Phi_B}{dt} = \frac{d}{dt} BLvt = BLv$$

Suppose that the rails are superconducting (have no resistance), and that the bar has a resistance, R. The current through the loop is then given by Ohm’s Law:

$$I = \frac{\Delta V}{R} = \frac{BLv}{R}$$

As the current moves through the bar, it will heat up the bar by dissipating energy at a rate of:

$$P = I^2 R = \frac{B^2 L^2 v^2}{R}$$

Thus, the bar cannot possibly move at a constant speed by its own, or energy would be produced out of nothing. There must be a force exerted on the bar to keep it moving at constant speed.

Recall that a current-carrying wire in a magnetic field will experience a force from the magnetic field. In this case, the bar of length L, carries current, I, in a magnetic field, $\vec{B}$ (perpendicular to the current), so that the force exerted on the bar is given by:

$$\vec{F}_B = I\vec{L} \times \vec{B}$$

and points to the left (right-hand rule). The magnitude of the force is given by:

$$F_B = ILB = \frac{B^2 L^2 v}{R}$$

Thus, in order for the bar to move at constant velocity towards the right, a force with the same magnitude must be exerted towards the right. In other words, work must be done to pull the bar to the right, by exerting a force with the magnitude, F_B. The rate at which that work must be done is given by:

$$\begin{aligned} P &= \frac{d}{dt}W = \frac{d}{dt}\vec{F}\cdot dx = \vec{F}\cdot\frac{dx}{dt} = \vec{F}\cdot\vec{v} = Fv \\ &= \frac{B^2 L^2 v^2}{R} \end{aligned}$$

where we assumed that the bar moves in the positive x direction. This is exactly the rate at which electric energy is dissipated in the bar! In other words, by doing mechanical work on the bar, we can create an induced current that will dissipate that energy at the same rate at which we do work. We can convert mechanical work into electrical energy!

Finally, also note that this situation is closely related to the Hall effect, which is simply a different way to think about this problem. Consider the electrons that are in the bar, as the bar moves at constant speed to the right through the magnetic field (ignore the existence of the U-shaped rail). The electrons will experience a magnetic force that is upwards (consistent with the direction of the induced current discussed above). Eventually, electrons accumulate at the top of the bar, and start preventing more electrons from accumulating there, by producing an electric field, $\vec{E}$, in the bar. The equilibrium condition is that the magnetic force and the electric force have the same magnitude (and opposite directions):

$$\begin{aligned} qvB &= qE \\ E &= vB \end{aligned}$$

The (Hall) potential difference, across the bar of length, L, with an electric field, E, is given by:

$$\Delta V_{Hall} = EL = vBL$$

where we assumed that the electric field is uniform in the bar. This potential difference is identical to the one that we calculated from Faraday's Law. Viewing this example as a different manifestation of the Hall effect provides some insight into what is actually happening at the microscopic level when a current is induced.

The Generator

An electrical generator is used to create an alternating induced voltage/current, by rotating a coil inside of a constant and uniform magnetic field. In this case, the current is induced because the angle between the magnetic field and the surface element vector $d\vec{A}$ changes with time.

Consider a single loop of wire with area A, that can rotate in a uniform and constant magnetic field, $\vec{B}$, as illustrated in Figure 23.2.2

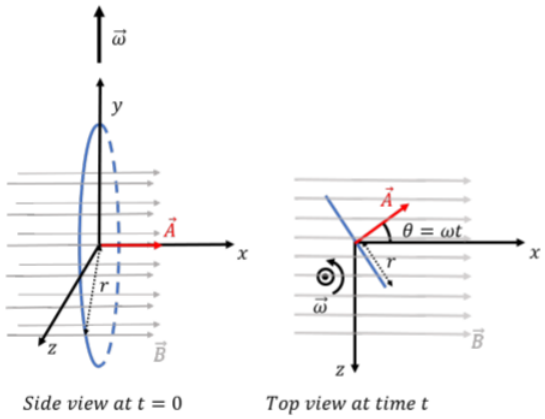

Figure 23.2.2: A loop of wire rotates in a constant and uniform magnetic field. At time $t = 0$ (left panel), the loop lies in the yz plane. The loop rotates about the y axis, with a constant angular velocity, $\vec{\omega}$. At some time t later, the loop has rotated through an angle $\theta = \omega t$ (right panel, as seen from above, looking down on the xz plane).

Referring to the coordinate system that is illustrated in Figure 23.2.2, the loop has a constant angular velocity, $\vec{\omega}$, in the positive y direction and rotates about the y axis (with the origin at the center of the coil). At time $t = 0$ (left panel), the loop lies in the yz plane, and we choose the vector, $\vec{A}$, (used to calculate the flux) to be in the positive x direction at time $t = 0$. As the coil rotates, so will the vector $\vec{A}$, which is easier to visualize than the coil. At some time t, the vector $\vec{A}$ will make an angle $\theta = \omega t$ with the x axis (right panel). The magnetic field is constant and in the positive x direction, $\vec{B} = B\hat{x}$. That is, the angle between the vector $\vec{A}$ and the magnetic field, $\vec{B}$, will be given by $\theta = \omega t$.

At some time, t, the vector, $\vec{A}$, is given by:

$$\vec{A}(t) = A(\cos\theta\hat{x} - \sin\theta\hat{z}) = A(\cos(\omega t)\hat{x} - \sin(\omega t)\hat{z})$$

We can calculate the flux of the magnetic field through the loop at some time t:

$$\Phi_B(t) = \vec{B}\cdot\vec{A} = (B\hat{x})\cdot(\cos(\omega t)\hat{x} - \sin(\omega t)\hat{z}) = AB\cos(\omega t)$$

where we did not use the integral for the flux, since the magnetic field is constant over the area of the loop. The induced voltage is given by Faraday's Law:

$$\Delta V = -\frac{d\Phi_B}{dt} = -\frac{d}{dt}AB\cos(\omega t) = AB\omega\sin(\omega t)$$

If the generator includes N loops in a coil, then the induced voltage is given by:

$$\Delta V = NAB\omega\sin(\omega t)$$

As you can see, the voltage oscillates with time, between $\pm NAB\omega$, corresponding to alternating voltage. Furthermore, since the sign of ΔV changes with time (due to the sine function), the relative orientation between $\vec{A}$, and the magnetic dipole moment of the induced current, also changes with time, indicating that the induced current in the coil changes direction every half-turn (alternating current).

The generators that produce the alternating voltages that we find in our outlets work on the same principle. For example, in a hydro-electric dam, the water pressure from the height of the dam is used to force water through a turbine (essentially a propeller) that rotates a set of coils inside of a strong permanent magnet. Various controls allow the rotational frequency of the turbine to be adjusted in order to produce alternating current of the desired frequency (50Hz in most of the world, 60Hz in North America and a few other countries).

Since the generator produces current that can dissipate electrical energy, one must have to do work in order to keep the coil in the generator rotating. As the coil rotates, a current is induced in the coil. A current in a circular loop that is immersed in a magnetic field will experience a torque, $\vec{\tau}$, given by:

$$\vec{\tau} = \vec{\mu}\times\vec{B}$$

where $\vec{\mu}$ is the magnetic dipole moment of the coil with induced current, I. If the current from the coil dissipates its energy in a system with resistance, R, then the current in the coil is given by Ohm's Law:

$$I = \frac{\Delta V}{R} = \frac{NAB\omega \sin(\omega t)}{R}$$

The magnetic moment, $\vec{\mu}$, for the current in the coil is given by:

$$\begin{aligned} \vec{\mu} &= I\vec{A} = \frac{NAB\omega \sin(\omega t)}{R}(A(\cos(\omega t)\hat{x} - \sin(\omega t)\hat{z})) \\ &= \frac{NA^2B\omega \sin(\omega t)}{R}(\cos(\omega t)\hat{x} - \sin(\omega t)\hat{z}) \end{aligned}$$

The torque exerted by the magnetic field on the coil with the induced current is thus given by:

$$\begin{aligned} \vec{\tau} &= \vec{\mu} \times \vec{B} = \left(\frac{NA^2B\omega \sin(\omega t)}{R}(\cos(\omega t)\hat{x} - \sin(\omega t)\hat{z})\right) \times (B\hat{x}) \\ &= \frac{NA^2B^2\omega \sin(\omega t)}{R}(\cos\omega(t)(\hat{x} \times \hat{x}) - \sin(\omega t)(\hat{z} \times \hat{x})) \\ &= -\frac{NA^2B^2\omega \sin^2(\omega t)}{R}\hat{y} \end{aligned}$$

Note that the torque exerted on the loop, is always in the negative y direction, as every term in the torque is either strictly positive (N, R) or squared ($\sin^2(\omega t)$). The torque exerted by the magnetic field on the coil is thus always in the opposite direction of rotation (recall that the coil has an angular velocity in the positive y direction). This is sometimes called "counter torque". If we want the coil to maintain a constant angular velocity, then we must exert a torque in the positive y direction to counter the torque from the magnetic field. Note that the torque that we must exert to keep the coil rotating with constant angular velocity is not constant in time (but always in the same direction).

You can easily verify that the work that you must do by exerting the torque is the same as the electrical power dissipated by the current in the resistor, R. The generator is thus a device to convert mechanical work into electrical energy (with AC current, in particular).

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23.3: Back EMF in an electric motor

There are many similarities between electric motors and generators, and in fact, they can be thought of as the same device. In an electric motor, current is passed through a coil in a magnetic field, so that a torque is exerted on the coil, and it starts to rotate. In a generator, one exerts a torque to rotate the coil, thus inducing a current.

Consider an electric motor. As we supply current to the motor, the coil starts to rotate. But, a rotating coil in a magnetic field results in an induced current. By Lenz's Law, the induced current in the coil of a motor has to be in the direction opposite to the current that we put in, since otherwise, the motor would start to spin infinitely fast. We call this effect "back emf", as the motor effectively acts like a battery that opposes current, as illustrated in Figure 23.3.1

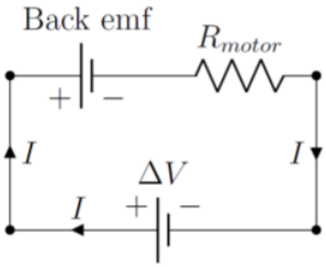

Figure 23.3.1: A simple circuit illustrating how a motor, with resistance, R_{motor}, will generate a "back emf", equivalent to a battery that produces a voltage in the direction to oppose the current from the actual battery that is powering the motor, ΔV.

If you connect an electric motor to a voltage source, initially, the motor is at rest, so there will be no back emf and the current through the circuit will be very large (motors have a small resistance, so that the electrical energy is converted into work rather than heating up the motor). As the motor starts to spin faster, the back emf from the motor grows, reducing the current in the circuit. If there is no load on the motor (i.e. the motor can rotate freely with no friction), then the rotational speed of the motor will increase until the back emf exactly matches the voltage supplied to the motor. The motor will then rotate at constant speed, with (almost) no current in the circuit (if the motor slows down, the emf will decrease, and the current will increase to speed up the motor). If there is a load on the motor (because it's making something turn), then the motor will rotate at a speed that is lower than that which would result in zero current, since some of that current is now used by the motor to exert a torque.

You may notice that the lights in your house dim briefly as your refrigerator turns on. This is because your refrigerator uses an electric motor that initially draws a large current when it turns on, large enough to produce a voltage drop in the circuit of your house to observe a dimming of your lights. You may also notice that if you plug the inlet or outlet of a hair dryer, the hair dryer turns off quickly. In this case, by blocking the flow of air, you prevent the motor in the hair dryer from rotating; this results in a large current through its coil, since there is no back emf. Most hair dryers have a circuit breaker that will detect this large current and open the circuit to prevent the coil in the motor from over heating and melting. In general, one should not prevent an electric motor from rotating, as this will result in a large current through the motor that could melt its internal components.

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23.4: The induced electric field and eddy currents

So far, we have described electromagnetic induction in terms of the voltage that is induced by a changing magnetic field. This voltage is related to an electric field, which we discuss in this section. In Faraday's Law, the voltage is induced across a closed loop (and can be thought of as an ideal battery placed in the loop). This is illustrated in Figure 23.4.1 which shows a loop in the plane of the page, and a magnetic field out of the plane of the page.

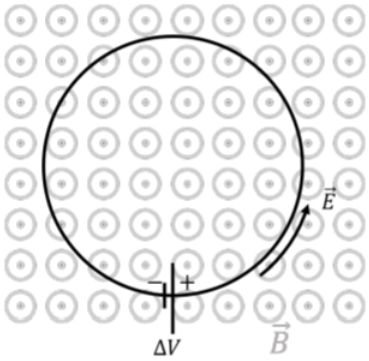

Figure 23.4.1: A varying magnetic field will induce a circular electric field.

? Exercise 23.4.1

In Figure 23.4.1, with the induced voltage as shown, is the magnetic field increasing or decreasing?

A. The magnetic field is increasing.
B. The magnetic field is decreasing.

Answer

As you recall, the electric potential difference between two points, A and B, is obtained from the electric field:

$$\Delta V = \int_A^B \vec{E} \cdot d\vec{l}$$

In the case of an induced voltage across a loop, the points A and B are the same. The integral is thus over a closed path:

$$\Delta V = \oint \vec{E} \cdot d\vec{l}$$

We can include this into Faraday's Law by using the electric field instead of the potential difference:

$$\Delta V = -\frac{d\Phi_B}{dt}$$

$$\therefore \oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt} \tag{23.4.1}$$

where the last line is a more general form of Faraday's Law. Note that in the case of electrostatics, where the electric field is produced by a distribution of charges, the integral $\oint \vec{E} \cdot d\vec{l}$ must be zero, since the electric force is conservative; the work done by the electric field on a charge q over a closed path, which is just a charge q multiplied by that integral, must be zero. The force from an electric field that is induced by a time-varying magnetic field is not conservative!

Faraday's Law as expressed with the electric field is much more general, and implies that a time-varying magnetic field will induce an electric field. This is true, independently of there existing a physical wire to carry the induced current.

Example 23.4.1

A circular region with radius, R, of space contains a magnetic field that is uniform, and decreasing in magnitude with time:

$$\vec{B}(t) = B_0(1 - at)\hat{z}$$

where a and B_0 are positive constants. Determine the electric field at a distance, r, from the center of the region, inside and outside of the region with the magnetic field.

Solution

Figure 23.4.2 shows the circular region of magnetic field, as well as a circular path of radius, r, that defines the region over which we calculate the flux of the magnetic field.

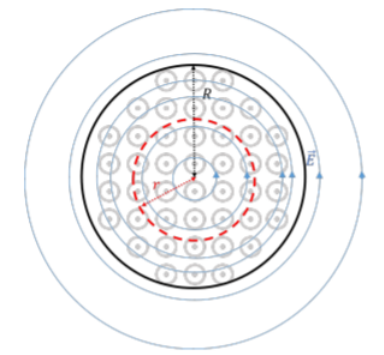

Figure 23.4.2: The induced electric field lines form closed circles when the magnetic field changes.

First, we consider the induced electric field in the region with a magnetic field, where $r < R$. We choose a circle of radius r to calculate the flux of the magnetic field. Since the magnetic field is uniform within that region, the flux is given by:

$$\Phi_B = \vec{B} \cdot \vec{A} = BA = B_0(1 - at)\pi r^2$$

The circulation of the electric field is easily found, since the electric field forms concentric circles (by symmetry):

$$\oint \vec{E} \cdot d\vec{l} = \oint E dl = E \oint dl = E(2\pi r)$$

Applying Faraday's Law, the electric field is found to be:

$$\begin{aligned} \oint \vec{E} \cdot d\vec{l} &= -\frac{d\Phi_B}{dt} \\ E(2\pi r) &= -\frac{d}{dt} B_0(1 - at)\pi r^2 \\ 2E &= B_0 a r \\ \therefore E &= \frac{B_0 a}{2} r \quad \text{(inside the region of magnetic field)} \end{aligned}$$

and we see that, inside the region with the magnetic field, the strength of the induced electric field is proportional to the distance from the center of the region (i.e. it increases linearly with r).

For the region where the magnetic field is zero, we again calculate the circulation of the electric field around a circular loop of radius $r > R$:

$$\oint \vec{E} \cdot d\vec{l} = \oint E dl = E \oint dl = E(2\pi r)$$

The flux of the magnetic field through that loop is however related to the area of the region with the magnetic field (of radius, R):

$$\Phi_B = \vec{B} \cdot \vec{A} = BA = B_0(1-at)\pi R^2$$

Again, applying Faraday's Law:

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt}$$

$$E(2\pi r) = -\frac{d}{dt} B_0(1-at)\pi R^2$$

$$2Er = B_0 a R^2$$

$$\therefore E = \frac{B_0 a R^2}{2r} \quad \text{(outside the region of magnetic field)}$$

Outside the region with a magnetic field, the magnitude of the electric field decreases with the distance from the center of the region.

Discussion

In this example, we determined the electric field that is induced by a varying magnetic field. In this case, the electric field lines form closed circles and result in a non-conservative force. When the electric field is formed by a distribution of electric charges, the field lines begin and end on charges, which is not the case for an induced electric field.

Magnetic braking

When a conducting material moves into a region of magnetic field, an electric field forming closed loops is induced in the material, thus inducing small current loops, called "eddy currents". The magnetic field can then exert a force on those currents, effectively resulting in a force on the material. This is the principle behind magnetic braking, which is used in some trains and in other applications.

Figure 23.4.3 illustrates how a magnetic brake can be used to slow a rotating wheel made of a conducting material (the material must conduct or the induced electric field will not produce any current). A magnetic field is produced (e.g. by a fixed permanent magnet) in a direction perpendicular to the wheel, over a small area (shown at the bottom of the wheel in Figure 23.4.3).

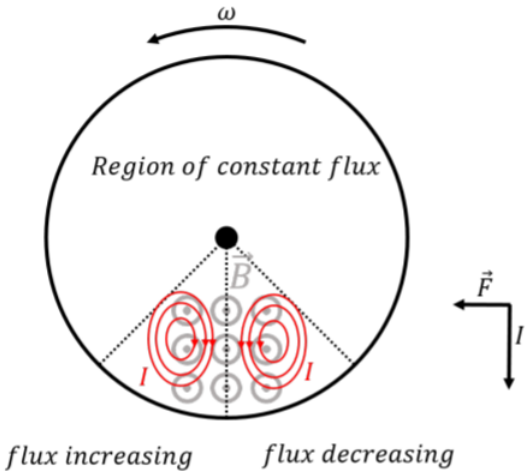

Figure 23.4.3: A rotating wheel made of a conducting material has a small region with a magnetic field. The eddy currents in the region of changing flux result in a net downwards current at the center of the region. The magnetic force that is exerted on that current slows down the wheel.

For material located at the bottom left of the wheel, the magnetic flux is increasing, since the material is moving from a region with no magnetic field into a region with a magnetic field. In that part of the region, clockwise eddy currents will form, as those result in a magnetic field into the page, to counter the increasing magnetic flux (Lenz's Law). The bottom right side of the wheel is leaving the magnetic field, and will thus have eddy currents in the opposite direction. The currents from both sides add up in the center,

resulting in a net downwards current. The magnetic force on that downwards current is to the left, resulting in a torque that slows the wheel. This is magnetic braking.

Again, this is no more than conservation of energy at play. Since we induce currents by making the wheel move into/out of a region of magnetic field, the electrical energy in those currents must come from somewhere (either we do work to keep the wheel rotating, or the wheel loses kinetic energy). Any time that we try to move a conductor through a magnetic field, in a way that current is induced, we will have to exert a force and do work. In the case of magnetic braking, the wheel will convert its rotational kinetic energy into heat (the eddy currents will heat up the wheel). The main issue with magnetic braking is that one needs to be able to dissipate the heat. The main advantage is that there are no parts that wear out, as opposed to braking with friction. In addition, magnetic braking is very smooth, and only acts when there is motion. As soon as the wheel stops rotating, the magnetic flux is constant everywhere and the eddy currents disappear.

? Exercise 23.4.1

Suppose that the magnetic field in Figure 23.4.3pointed into the page. Would the magnetic break still work?

A. Yes.
B. No.

Answer

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23.5: Transformers

The electric power generated in power stations is transmitted using high-voltage transmission lines, typically with voltages above $300000\,\mathrm{V}$ for long distances. However, that voltage is not usable in our households, as our appliances expect a voltage around $120\,\mathrm{V}$ (or $\backslash 220\,\mathrm{V}$ in Europe). Transformers use electromagnetic induction to transform one **alternating voltage** into another. Figure $23.5.1$ illustrates a transformer.

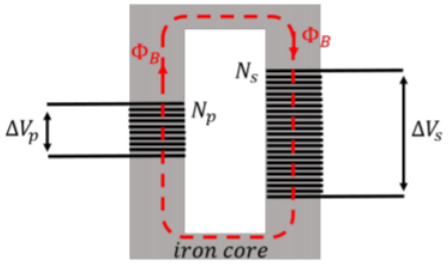

Figure 23.5.1: A transformer converts a primary alternating voltage, ΔV_p, to a secondary alternating voltage, ΔV_s. The magnetic flux produced in one coil is transmitted by an iron core to the secondary coil, where a different voltage is induced, depending on the ratio of the number of windings in each coil.

The transformer has two coils, the "primary" and the "secondary", with different numbers of loops, N_p, and N_s, respectively. The coils are wrapped around an iron core, which can transmit the magnetic flux generated in the primary coil to the secondary coil. In the transformer, an alternating voltage, ΔV_p, is applied to the primary coil, and transformed into the desired voltage, ΔV_s, in the secondary coil.

The current in the primary coil creates a magnetic field. Those field lines are transmitted by the iron core into the second coil. A voltage is only induced in the secondary coil if the magnetic flux through the secondary coil changes with time. Thus, transformers only work with alternating voltages, so that the magnetic field created by the primary coil changes continuously. Both coils will have the same magnetic flux, Φ_B, through them, since they have the same area. The voltage in the primary coil is given by Faraday's Law:

$$\Delta V_p = N_p \frac{d\Phi_B}{dt}$$

as is the voltage in the secondary coil:

$$\Delta V_s = N_s \frac{d\Phi_B}{dt}$$

Since the flux (and thus its time-derivative) are the same in both coils, we can isolate the time-derivative in each equation to obtain the relationship between the voltages in the two coils:

$$\frac{\Delta V_p}{N_p} = \frac{\Delta V_s}{N_s}$$

$$\therefore \Delta V_s = \frac{N_p}{N_s}\Delta V_p$$

Thus, with a transformer, one simply needs to set the ratio of the number of loops in each coil in order to transform one voltage into another.

? Exercise 23.5.1

Which coil in Figure $23.5.1$ has the highest voltage?

A. The one with the most loops.
B. The one with the least loops.

Answer

Exercise 23.5.2

Which coil in Figure 23.5.1 will have the highest current?

A. The one with the most loops.
B. The one with the least loops.
C. Not enough information to tell.

Answer

Example 23.5.1

A power plant produces energy at rate of $P = 150\text{kW}$, and wishes to transmit this power as efficiently as possible to a town. The power lines between the power plant and the town have a resistance of $R = 0.5\Omega$. Compare the amount of power dissipated in the transmission lines depending on whether the power is transmitted through a voltage of 300000V or 300V.

Solution

We model the transmission of power from the power plant to the town using the circuit shown in Figure 23.5.2

We do not know the resistance of the town, but we can still calculate the power that is dissipated in the transmission lines that have a total resistance of $R = 0.5\Omega$. The power plant produces power, P, and transmits it through the lines at a potential difference, ΔV, resulting in a current, I:

$$P = I\Delta V$$
$$\therefore I = \frac{P}{\Delta V}$$

The current, I, will dissipate power in the lines at a rate of:

$$P_{line} = I^2 R = \frac{P^2}{\Delta V^2} R$$

With the two different voltages, this corresponds to:

$$P_{line} = \frac{P^2}{\Delta V^2} R = \frac{(150 \times 10^3 \text{W})^2}{(300000\text{V})^2}(0.5\Omega) = 0.1\text{W}$$
$$P_{line} = \frac{P^2}{\Delta V^2} R = \frac{(150 \times 10^3 \text{W})^2}{(300\text{V})^2}(0.5\Omega) = 125000\text{W}$$

Thus, when the power is transmitted at low voltage, more than 80% is dissipated in the transmission lines, whereas an insignificant fraction is dissipated when the power is transmitted at high voltage. This is why we need transformers.

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23.6: Maxwell's equations and electromagnetic waves

This section is meant to be informative, as the material is beyond the scope of this textbook. Nonetheless, it is worth summarizing what we have learned about electricity and magnetism, as Maxwell did. We can summarize the main laws from electromagnetism as follows:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0} \qquad \text{(Gauss' Law)}$$

$$\oint \vec{B} \cdot d\vec{A} = 0 \qquad \text{(No magnetic monopoles)}$$

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I^{enc} \qquad \text{(Ampere's Law)}$$

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d}{dt} \int \vec{B} \cdot d\vec{A} \qquad \text{(Faraday's Law)}$$

where we wrote the magnetic flux in Faraday's Law using the integral explicitly. As you recall, Gauss' Law is equivalent to Coulomb's Law, relating the electric field to electric charges that produce the electric field. Although we did not explicitly use the second equation, it is the equivalent to Gauss' Law for the magnetic field. The flux of the magnetic field out of a closed surface must always be zero, since there are no magnetic monopoles, so that magnetic field lines never end.

When we covered 's Law, we only considered a static current as the source of the magnetic field. However, if there is an electric field present, that is created by charges that are moving, then those can also contribute a current to 's Law:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0} \quad \text{(Gauss' Law)}$$

$$\therefore Q = \epsilon_0 \oint \vec{E} \cdot d\vec{A}$$

$$\therefore I = \frac{dQ}{dt} = \epsilon_0 \frac{d}{dt} \oint \vec{E} \cdot d\vec{A}$$

so that 's Law, in its most general form, is written:

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 \left(I^{enc} + \epsilon_0 \frac{d}{dt} \oint \vec{E} \cdot d\vec{A} \right) \quad \text{(Ampere's Law)}$$

Writing out the four equations again:

$$\oint \vec{E} \cdot d\vec{A} = \frac{Q}{\epsilon_0} \qquad \text{(Gauss' Law)}$$

$$\oint \vec{B} \cdot d\vec{A} = 0 \qquad \text{(No magnetic monopoles)}$$

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 \left(I^{enc} + \epsilon_0 \frac{d}{dt} \oint \vec{E} \cdot d\vec{A} \right) \qquad \text{(Ampere's Law)}$$

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d}{dt} \int \vec{B} \cdot d\vec{A} \qquad \text{(Faraday's Law)}$$

These four equations are known as Maxwell's equation, and form our most complete theory of classical electromagnetism. It is quite interesting to note the similarities and relations between the electric and magnetic field. Maxwell's equations contain equations for the circulation and the total flux out of a closed surface for both fields. 's Law implies that a changing electric field will produce a magnetic field. Faraday's Law implies that a changing magnetic field produces an electric field. If a point charge oscillates up and down, it will produce a changing electric field, which will produce a changing magnetic field, which will induce a changing magnetic field, etc. This is precisely what an electromagnetic wave is! The light that we see, the wifi signals for our precious phones, the highly penetrating radiation from nuclear reactors are all examples of electromagnetic waves (of different wavelengths).

In fact, as Maxwell did, we can obtain the wave equation (Section 14.2) from Maxwell's equations. We sketch out the derivation here, but it is definitely beyond the scope of this textbook. However, you're so close to seeing one of the most exciting revelations of physics that it would be a shame to skip!

We first write out Maxwell's equations in differential form, as we have already shown for Gauss' Law (Section 17.4) and 's Law (Section 22.3)

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0} \qquad \text{(Gauss' Law)}$$

$$\nabla \cdot \vec{B} = 0 \qquad \text{(No magnetic monopoles)}$$

$$\nabla \times \vec{B} = \mu_0 \left(\vec{j} + \epsilon_0 \frac{\partial \vec{E}}{\partial t} \right) \qquad \text{(Ampere's Law)}$$

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \qquad \text{(Faraday's Law)}$$

If we consider a vacuum region in space, with no charges and no currents, these equations reduce to:

$$\nabla \cdot \vec{E} = 0 \qquad \nabla \cdot \vec{B} = 0$$

$$\nabla \times \vec{B} = \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t} \qquad \nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

We will make use of the following identity from vector calculus:

$$\nabla \times (\nabla \times \vec{E}) = \nabla(\nabla \cdot \vec{E}) - \nabla^2 \vec{E}$$

where:

$$\begin{aligned} \nabla^2 \vec{E} &= \frac{\partial^2 \vec{E}}{\partial x^2} + \frac{\partial^2 \vec{E}}{\partial y^2} + \frac{\partial^2 \vec{E}}{\partial z^2} \\ &= \left(\frac{\partial^2 E_x}{\partial x^2} + \frac{\partial^2 E_x}{\partial y^2} + \frac{\partial^2 E_x}{\partial z^2} \right) \hat{x} + \left(\frac{\partial^2 E_y}{\partial x^2} + \frac{\partial^2 E_y}{\partial y^2} + \frac{\partial^2 E_y}{\partial z^2} \right) \hat{y} \\ &+ \left(\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + \frac{\partial^2 E_z}{\partial z^2} \right) \hat{z} \end{aligned}$$

is called the "vector Laplacian".

Consider taking the curl ($\nabla\times$) of the equation that has the curl of the electric field (Faraday's Law):

$$\nabla \times \left(\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \right)$$

$$\begin{aligned} \nabla(\nabla \cdot \vec{E}) - \nabla^2 \vec{E} &= -\nabla \times \frac{\partial \vec{B}}{\partial t} \\ -\nabla^2 \vec{E} &= -\frac{\partial}{\partial t} \nabla \times \vec{B} \\ -\nabla^2 \vec{E} &= -\frac{\partial}{\partial t} \mu_0 \epsilon_0 \frac{\partial E}{\partial t} \\ -\nabla^2 \vec{E} &= -\mu_0 \epsilon_0 \frac{\partial^2 \vec{E}}{\partial t^2} \end{aligned}$$

where, in the third line, we made use of Gauss' Law ($\nabla \cdot \vec{E} = 0$), and, in the fourth line, Ampere's Law ($\nabla \times \vec{B} = \mu_0 \epsilon_0 \frac{\partial E}{\partial t}$). The last equation that we obtained is a vector equation (the vector Laplacian has three components, as does the time-derivative of $\vec{E}$ on the right-hand side). Consider the x component of this equation:

$$\frac{\partial^2 E_x}{\partial x^2} + \frac{\partial^2 E_x}{\partial y^2} + \frac{\partial^2 E_x}{\partial z^2} = \mu_0 \epsilon_0 \frac{\partial^2 E_x}{\partial t^2}$$

If we define the quantity:

$$c = \frac{1}{\sqrt{\epsilon_0 \mu_0}}$$

then, the x component of the equation can be written as:

$$\frac{\partial^2 E_x}{\partial x^2} + \frac{\partial^2 E_x}{\partial y^2} + \frac{\partial^2 E_x}{\partial z^2} = \frac{1}{c^2} \frac{\partial^2 E_x}{\partial t^2}$$

which is exactly the wave equation for the component, E_x, of the electric field, propagating with a speed, c, the speed of light! Thus, the speed of light is directly related to the constant ϵ_0, and μ_0. You can write out similar equations for the y and z components of the electric field, and find the similar equations for the magnetic field if you start by taking the curl of 's Law instead of Faraday's Law.

We have just shown that electric and magnetic fields can behave as waves, which we now understand to be the waves that are responsible for light, radio waves, gamma rays, infra-red radiation, etc. All of these are types of electromagnetic waves, with different frequencies. Although we did not demonstrate this, the electromagnetic waves that propagate are such that the magnetic and electric field vectors are always perpendicular to each other. Electromagnetic waves also carry energy. Thus, a charge that is oscillating (say on a spring) and creating an electromagnetic wave must necessarily be losing energy (or work must be done to keep the charge oscillating with the same amplitude). Finally, it is worth noting that, according to Quantum Mechanics, light (and the other frequencies of radiation), are really carried by particles called "photons". Those particles are strange, since their propagation is described by a wave equation.

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23.7: Summary

Key Takeaways

Faraday's Law connects a **changing** magnetic flux to an induced voltage:

$$\Delta V = -\frac{d\Phi_B}{dt}$$

The magnetic flux, Φ_B, is calculated as the flux of the magnetic field through an open surface, S:

$$\Phi_B = \int_S \vec{B} \cdot d\vec{A}$$

The induced voltage, ΔV, is the potential difference that is induced along the closed path (a "loop") that bounds the surface, S. If a charge, q, were to move around that closed path, it would gain (or lose) energy, $q\Delta V$. Note that the potential difference that is induced corresponds to a non-conservative electric force, as a charge can gain/lose energy by moving along a closed path. The induced voltage is often called an induced electromotive force (emf), even if it is a voltage.

The minus sign in Faraday's Law is sometime referred to as "Lenz'a Law", since it indicates in which direction the induced voltage will be. It is easiest to think of the closed path as a physical wire (e.g. a loop of wire) through which a current will be induced as a result of the induced voltage. The minus sign is easiest to interpret in terms of the relative direction between the area vector used to define the flux, and the magnetic dipole moment vector, $\vec{\mu}$, associated with the induced current (which points in the same direction as the magnetic field that is produced by the induced current).

When calculating the flux of the magnetic field, the surface element vector $d\vec{A}$, must be perpendicular to the surface through which the flux is calculated, which leads to two possible choices. Once a choice is made, and Faraday's Law has been applied, the sign of ΔV will indicate if the magnetic dipole moment of the induced current points in the same direction as $d\vec{A}$ (positive ΔV) or in the opposite direction (negative ΔV).

If N loops of wire are combined together into a coil, the voltages across each loop sum together, so that the voltage induced across the coil is given by:

$$\Delta V = -N\frac{d\Phi_B}{dt}$$

Lenz's Law is a statement about conservation of energy. Indeed, the induced current must create a magnetic field that **opposes** the change in flux, otherwise, the induced current would grow indefinitely. Lenz's Law can be summarized as follows:

- If the magnitude of the magnetic **flux is increasing** in the loop, then the induced current produces a magnetic field that is in the **opposite direction** from the original magnetic field.
- If the magnitude of the magnetic **flux is decreasing** in the loop, then the induced current produces a magnetic field that is in the **same direction** as the original magnetic field.

A voltage is induced along a closed path any time that the flux of the magnetic field through the corresponding surface changes. The flux can change either because the magnetic field is changing, or because the loop is changing (in size or orientation relative to the magnetic field). In the latter case (changing loop), one speaks of a "motional emf". A generator creates a motional emf by rotating a coil (with N loops, each with area, A), inside a fixed uniform magnetic field, $\vec{B}$. The voltage produced by a generator is given by:

$$\Delta V = NAB\omega\sin(\omega t)$$

where ω is the angular speed of the coil. A generator thus produces alternating voltage/current. The current that is induced in the coil of the generator will dissipate energy as it flows through a resistance, R. Thus, one must do work in order to keep the generator spinning. The current induced in the coil of the generator will also result in a magnetic moment, and a "counter torque" will be exerted on the coil. One must thus exert a torque in order to keep the generator spinning (and the work done by exerting that torque is converted into the electrical energy dissipated in the resistor). The counter torque on the generator is always in the same direction, and has a magnitude:

$$\tau = \frac{NA^2B^2\omega\sin^2(\omega t)}{R}$$

When an electric motor is used, a "back emf" is induced in the coil of the motor. The back emf is such that it resists the direction of current (Lenz's Law), or else the motor would spin infinitely fast. As the motor spins faster, the back emf grows, until it reaches an equilibrium. Motors thus draw a large current when they first start up, since at low speed, they have no back emf.

Since a changing magnetic flux induces a voltage, an electric field is also induced. We can replace the voltage in Faraday's Law with the circulation of the electric field to write a more general version of Faraday's Law:

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt}$$

The induced electric field forms closed field lines, and is different than the electric field that is produced by static charges, since the latter will have field lines that start and end on charges. The force associated with the induced electric field is not conservative.

When a metallic object passes through a region of magnetic field, the induced electric field will induce current loops in the material called eddy currents. The magnetic field will also exert a force on these eddy currents to oppose the motion that is creating the currents (Lenz's Law); as the eddy currents dissipate electrical energy in the material, the metallic object must lose kinetic energy unless a force is acting on it. Magnetic brakes make use of this principle.

Transformers are used to convert an alternating voltage, ΔV_p, into a different alternating voltage, ΔV_s. A "primary" coil, with N_p windings, creates a changing magnetic flux that is guided (e.g. by an iron core) to a "secondary" coil, with N_s windings. The voltage induced in the secondary coil is given by:

$$\Delta V_s = \frac{N_p}{N_s}\Delta V_p$$

Maxwell's four equations form our best classical theory of electromagnetism. Those equations imply that a changing magnetic field produces an electric field (Faraday's Law), while a changing electric field can produce a magnetic field ('s Law). By combining Maxwell's equation (with some heavy vector calculus), one can show that this leads to the formation of electromagnetic waves, that propagate with a speed, c, given by:

$$c = \frac{1}{\sqrt{\epsilon_0\mu_0}}$$

Important Equations

Magnetic flux:

$$\Phi_B = \int_S \vec{B} \cdot d\vec{A}$$

Faraday's Law:

$$\Delta V = -N\frac{d\Phi_B}{dt}$$

Faraday's Law:

$$\oint \vec{E} \cdot d\vec{l} = -\frac{d\Phi_B}{dt}$$

Voltage produced by a generator:

$$\Delta V = NAB\omega\sin(\omega t)$$

Counter torque on a generator:

$$\tau = \frac{NA^2B^2\omega\sin^2(\omega t)}{R}$$

Secondary voltage in a transformer:

$$\Delta V_s = \frac{N_p}{N_s}\Delta V_p$$

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23.8: Thinking about the material

Reflect and research

1. Who first discovered induction? Why is it called Faraday's Law?
2. Give a few examples of applications of magnetic braking.
3. How does a microphone make use of electromagnetic induction?
4. What is magnetic damping?
5. How does an induction stove work?
6. How does a credit card swipe reader make use of induction?
7. What is the origin of Maxwell's equations? When did he publish them?
8. Who was the first to detect electromagnetic waves? How were they produced and detected?

To try at home

1. Demonstrate magnetic braking by moving a conducting piece of material through a magnetic field.

To try in the lab

1. Construct an AC generator.
2. Propose an experiment to measure Earth's magnetic field using induction.
3. Propose an experiment to measure a bar magnet's strength using induction.

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23.9: Sample problems and solutions

Exercise 23.9.1

In the 1950s, the Royal Canadian Air Force developed a jet airplane called the Avro Arrow. This jet reached a speed of mach 1.9 (652ms^{-1}), and was considered one of the most advanced airplanes that existed at the time. Suppose that the Avro Arrow is traveling at a velocity of $v = 652\text{ms}^{-1}$ above the Sout Pole through Earth's vertical magnetic field, $B = 5.2 \times 10^{-5}\text{T}$, as shown in Figure 23.9.1. If the Avro Arrow had a wingspan of $l = 15\text{m}$, determine the induced voltage across its wings.

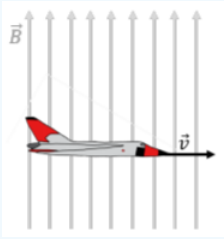

Figure 23.9.1: The Avro Arrow moving through a magnetic field.

Answer

This is identical to the motional emf that is generated by a bar moving in a magnetic field. As the airplane moves as illustrated (towards the left, in an upwards magnetic field), the electrons in the wing of the airplane will be pushed into the page. Eventually, the electric field from the electrons will prevent further electrons from accumulating at that side of the wing, and there will be a constant (Hall) voltage, ΔV, across the wing tips. This will happen when the magnetic and electric force are equal and opposite:

$$qvB = qE = q\frac{\Delta V}{L}$$

where L is the wingspan of the airplane. The induced potential is thus given by:

$$\Delta V = BLv = (5.2 \times 10^{-5}\text{T})(15\text{m})(652\text{ms}^{-1}) = 0.51\text{V}$$

Exercise 23.9.2

A generator is made of N circular loops of radius, $R = 0.3\text{m}$, rotating at a frequency of $f = 60\text{Hz}$, in a uniform magnetic field, $B = 0.1\text{T}$. How many coils must the generator have in order for it to produce an alternating voltage with a maximum amplitude of $\Delta V = 110\text{V}$.

Answer

The voltage produced by a generator is given by:

$$\Delta V = NAB\omega\sin(\omega t)$$

and the angular frequency is given by $\omega = 2\pi f$. The number of required coils is thus:

$$N = \frac{\Delta V}{AB\omega} = \frac{\Delta V}{\pi R^2 B 2\pi f} = \frac{(110\text{V})}{2\pi^2(0.3\text{m})^2(0.1\text{T})(60\text{Hz})} = 10.3$$

Thus, one requires 10 loops in the coil to generate the desired voltage.

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CHAPTER OVERVIEW

24: The Theory of Special Relativity

Learning Objectives

- Understand the motivation for developing the Theory of Special Relativity.
- Understand Einstein's postulates and their consequences.
- Understand how to apply Einstein's postulates to describe simultaneity.
- Understand how to model length contraction and time dilation.
- Understand how to apply Lorentz transformations and make space-time diagrams.
- Understand how to model the energy and momentum of a relativistic object.

In this chapter, we introduce the theory of Special Relativity, originally formulated by Albert Einstein in 1905. Along with the development of Quantum Mechanics, Special Relativity marks the start of "modern physics", and the introduction of theories to describe our world that are decidedly counter-intuitive.

prelude

Is it possible to time-travel into the future, so that you will be younger than people that are currently older than you?

A. Yes, it's possible.
B. No, it is impossible because it would violate causality.
C. No, it is impossible because it's a ridiculous idea.

24.1: Introduction- The issue with Maxwell's equations
24.2: Einstein's postulates
24.3: Time Dilation
24.4: Length Contraction
24.5: Electric and magnetic fields and Special Relativity
24.6: Lorentz transformations and space-time
24.7: Relativistic momentum and energy
24.8: Closing Remarks
24.9: Summary
24.10: Thinking about the material
24.11: Sample problems and solutions

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24.1: Introduction- The issue with Maxwell's equations

In Chapter 23, we summarized our knowledge of electromagnetism using Maxwell's four equations. As far as we can tell, this is the best description that we have of classical electric and magnetic phenomena (classical in the sense that the equations do not describe the behaviour of particles that are described by Quantum Mechanics). One of the consequences of Maxwell's equations is that they describe the existence of electromagnetic waves that propagate with a speed, c, given by:

$$c = \frac{1}{\sqrt{\epsilon_0 \mu_0}}$$

where ϵ_0 and μ_0 are the permittivity and permeability of free-space, respectively. The obvious question to ask about these electromagnetic waves is: "In what medium do these waves propagate?". In the late 1800s, it was thought that the Universe was bathed in a substance called the "luminous ether" (or just "ether"), through which electromagnetic waves propagate. It was then thought that the speed, c, of these waves was, naturally, measured with respect to the ether. This led to the idea that there exists a special inertial frame of reference in the Universe, corresponding to that frame of reference in which light travels at a speed, c. This frame of reference would be at rest relative to the ether.

In the late 1880s, Michelson and Morley developed a clever experiment to measure the speed of the Earth relative to the ether. If the ether exists, and the Earth is moving through it, then a beam of light travelling parallel to the motion of the Earth should travel at a slightly different speed than a beam of light travelling in the perpendicular direction. However, Michelson and Morley conclusively demonstrated that this was not the case. There is no detectable motion of the Earth through a medium in which light (a electromagnetic wave) propagates. There is no ether. This was a very puzzling discovery, with strange implications for Maxwell's equations.

Let us demonstrate, through a simple example, an "issue" with the theory of electromagnetism. Rather, it is not an issue, but a very strange implication. Consider two infinitely-long wires, separated by a distance, r, each carrying a uniform charge per unit length, λ, as illustrated in Figure 24.1.1.

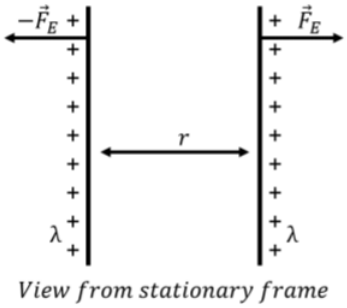


Figure 24.1.1: Two infinitely long charged wires exert a repulsive electric force on each other.

We can easily calculate the magnitude of the repulsive electric force, $\vec{F}_E$, exerted by one charged wire on a section of length, l, of the other wire. The magnitude of the electric field at a distance, r, from an infinitely-long wire with charge per unit length, λ, is given by:

$$E = \frac{\lambda}{2\pi\epsilon_0 r}$$

A section of length, l, of the other wire carries charge, $q = l\lambda$, so that the force on that section of wire has a magnitude:

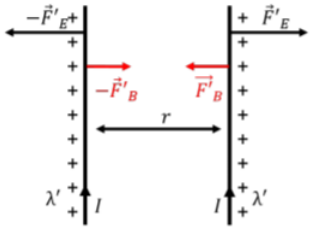
$$F_E = qE = \lambda l \left(\frac{\lambda}{2\pi\epsilon_0 r} \right) = \frac{\lambda^2 l}{2\pi\epsilon_0 r}$$

And the force per unit length, on either one of the wires, has a magnitude:

$$\frac{F_E}{l} = \frac{\lambda^2}{2\pi\epsilon_0 r}$$

This is the only force exerted on one of the wires, and will thus allow us to completely specify the motion of that wire (we know all of the forces exerted on the wire, so we can use Newton's Second Law to determine its acceleration and describe its motion).

Consider the same two wires, each carrying charge per unit length but as viewed from a frame of reference that is moving downwards (parallel to the wires), with a speed, v. In this frame of reference, the infinite wires still have a net charge per unit length, but they also appear to have an upwards moving current, I, since we observe positive charges moving upwards through space.



View from downwards moving frame

Figure 24.1.2: Two infinitely-long charged wires as viewed from a down-going frame of reference will appear to have upwards-going currents that will result in an attractive magnetic force between the wires.

In this new frame of reference, we see two wires with charges on them, moving upwards with speed, v. In a length of time, Δt, we see a length of wire, $\Delta x = v\Delta t$, go by, with total charge, $\Delta Q = \lambda' \Delta x$. For reasons that will be clear below, we use a different charge density, λ', in the moving frame of reference, although we *expect* that $\lambda' = \lambda$. This corresponds to a current, I, given by:

$$I = \frac{\Delta Q}{\Delta t} = \lambda' \frac{\Delta x}{\Delta t} = \lambda' v$$

Thus, in the downward going frame of reference, we see two wires with upwards current in them, and these wires must extract an attractive magnetic force between each other, with magnitude (per unit length):

$$\frac{F'_B}{l} = -\frac{\mu_0 I_1 I_2}{2\pi r} = -\frac{\mu_0 I^2}{2\pi r} = -\frac{\mu_0 \lambda'^2 v^2}{2\pi r}$$

where the prime (') on the force indicates that the force is measured in this different inertial frame of reference, and the minus sign indicates that it is in the opposite direction from the repulsive electric force.

In the downwards going frame of reference, the wires are still charged, and must still exert a repulsive force, with magnitude (per unit length):

$$\frac{F'_E}{l} = \frac{\lambda'^2}{2\pi\epsilon_0 r}$$

where, again, we used primes ('), to denote quantities that are measured in the moving frame of reference.

The description of how the wires will move should not depend on the frame of reference that we choose to model the wires (they will move under the forces exerted on them regardless of whether we are observing them from a fixed or a moving point, and indeed regardless of whether we observe them at all!). Thus, the net force (per unit length) exerted on a wire cannot depend on our frame of reference. The total repulsive electric force, F_E, calculated in the stationary frame of reference must be equal to the sum of the magnetic, F'_B, and electric force, F'_E, calculated in the moving frame of reference[1]:

$$\frac{F_E}{l} = \frac{F'_E}{l} + \frac{F'_B}{l}$$

$$\frac{\lambda^2}{2\pi\epsilon_0 r} = \frac{\lambda'^2}{2\pi\epsilon_0 r} - \frac{\mu_0 \lambda'^2 v^2}{2\pi r}$$

where we recognized that the charge per unit length, λ', must be different in the moving frame of reference, or the above would give an inconsistent equation (the electric forces would cancel and we would find that the magnetic force is equal to zero). Thus, the repulsive electric force must be larger as observed in the moving frame of reference, or the net force on the wire would be different when evaluated in the two frames of reference. This is a truly bizarre conclusion, as we will see.

Before proceeding, let us clearly state our assumptions in modeling the force between the two charged wires:

1. The net force on the wire, allowing us to describe its motion, cannot depend on our frame of reference. We expect the laws of physics to be applicable from any inertial frame of reference.

2. We assume that Maxwell's equations hold in all inertial frames of reference. In particular, we assume that the constants, μ_0 and ϵ_0, are the same in all inertial reference frames.

The first assumption allows us to state that the net force in the two frames of reference must be the same. The second assumption implies that we must change the charge density, λ', in the moving frame of reference, since the constants must remain the same, and this is the only quantity that can lead to a different electric force in the moving frame of reference (which is required if the net force is to be the same, according to our first assumption). Let us determine the new charge density, λ', in terms of the charge density that is measured at rest. Starting with the requirement that the net force on the wire must not depend on the frame of reference, we find:

$$\begin{aligned}\frac{\lambda^2}{2\pi\epsilon_0 r} &= \frac{\lambda'^2}{2\pi\epsilon_0 r} - \frac{\mu_0\lambda'^2 v^2}{2\pi r} \\ \lambda^2 &= \lambda'^2 - \epsilon_0\mu_0\lambda'^2 v^2 \\ \lambda^2 &= \lambda'^2(1-\epsilon_0\mu_0 v^2) \\ \therefore \lambda' &= \lambda\frac{1}{\sqrt{\epsilon_0\mu_0 - v^2}}\end{aligned}$$

Finally, recognizing that we can use the speed of light, c, to replace the combination of constants, $\epsilon_0\mu_0$, we find:

$$\lambda' = \lambda\frac{1}{\sqrt{1-\frac{v^2}{c^2}}}$$

Thus, the charge per unit length on the wire is larger when measured from the moving frame of reference (the factor that multiplies, λ, is larger than one if $v < c$). It should be somewhat bothersome to you that the charge per unit length depends on the frame of reference in which it is measured, but this is the only way for our two assumptions to hold.

So far, this has just been some math to ensure that "things work out", namely that our description of the motion of the wire does not depend on our frame of reference. However, the consequences of what we just derived are profound. We concluded that the charge per unit length on a wire depends on our frame of reference.

Imagine drawing two lines on one of the wires, and imagine that you can actually see the charges on the wire (maybe they fluoresce or something). The charge per unit length on the wire, λ, is found by counting the number of charges between the two lines and dividing that by the distance between the two lines. Now, both an observer at rest with the wire, and one that is moving relative to the wire will agree on the number of charges contained between the two lines. They will both count the same number. Thus, if the observer moving relative to the wire is to measure a larger charge density, then the distance between the lines must be smaller for that observer! To the observer moving relative to the wire, the wire is actually shorter. It does not appear to be shorter, it IS shorter!

To summarize, by requiring that the laws of physics are the same in all inertial frames of reference, and by requiring that Maxwell's equation are the same in all inertial frames of reference, we conclude that the charge per unit length that is measured on a wire must depend on the frame of reference in which it is measured. Since it cannot be the number of charges on the wire that depends on the frame of reference, it must be the length of the wire that depends on the frame of reference. Thus, either we accept that Maxwell's equations are incorrect, or we accept that they are correct but that they imply that objects shrink in length when they are moving (regardless of whether charges are involved). It turns out that the latter choice provides a better description of nature (and one that has not been invalidated!).

As an additional consequence of accepting these implications from Maxwell's equations is that the definition of the electric and magnetic fields must depend on the frame of reference. In the example from this section, we saw that what looks like an electric field in the stationary frame of reference, can appear as the combination of a magnetic and electric fields in a moving frame of reference.

Footnotes

1. This statement is generally true for Special Relativity, because the force is exerted in the direction perpendicular to that of motion.

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24.2: Einstein's postulates

Albert Einstein was the first to provide a complete description of how to deal with the issues that arise from Maxwell's equations when these are examined in different inertial frames of reference. The Theory of Special Relativity, is based on Einstein's two postulates:

1. The laws of physics are the same in all inertial reference frames. There is no experiment that can be performed to determine whether one is at rest or moving with constant velocity.
2. The speed of light propagating in vacuum is the same in all inertial reference frames. Any observer in an inertial frame of reference, regardless of their velocity, will measure that light has a speed of c, when it propagates in vacuum.

These postulates are equivalent to the assumptions that we made above to model the force between the two wires (we stated that the constants, ϵ_0 and μ_0, were independent of reference frame, instead of c). While the first postulate is perhaps "acceptable" to our common sense, the second one grossly defies common intuition. Consider two archers, as illustrated in Figure 24.2.1.

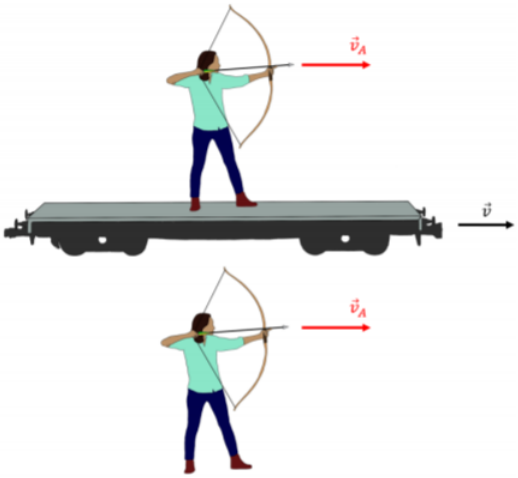

Figure 24.2.1: Two archers can fire an arrow with speed v_A. As measured in the frame of reference of the ground (of the target), the arrow fired from the archer that is on the train will have a higher speed.

Both archers can fire an arrow with a speed, v_A. One archer fires her arrow from the ground, at a target on the ground, and that arrow will hit the target with a speed, v_A. The other archer is located on a train that is moving with speed v, in the same direction that she wishes to shoot her arrow. She measures her arrow to leave her bow with speed, v_A, but, as seen from the ground (and from the target), her arrow has a speed $v_A + v$, and it will hit the target with a higher speed, as expected.

Now, consider two space cops that instead fire a pulse of laser light at a target on the ground, as illustrated in Figure 24.2.2

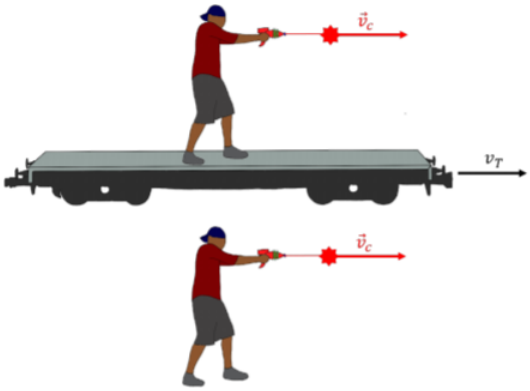

Figure 24.2.2: Two people fire a laser pulse. Regardless of whether the pulse of laser light was fired from a moving train or from the ground, it will have a speed of c in all frames of reference.

In this case, according to Einstein's second postulate, the speed of the pulses as measured on the ground (by the target), will be c, regardless of whether one of the pulses was fired from a moving train. This is truly strange and not compatible with our experience.

Imagine that the train is moving close to the speed of light. The space cop on the train would fire a laser pulse that he would observe to move away from him at the speed of light. When observed from the ground, we will see the pulse of light moves away from him very slowly, since he is on a train going at almost the speed of light.

Simultaneity

As a first consequence of Einstein's postulates, let us consider the notion of simultaneity. Figure 24.2.3 shows Alice on the platform of a train station. Alice is midway between two clocks, A and B. Both identical clocks were configured so that they send a pulse of laser light when the time is 20 minutes past four o'clock. Since Alice is midway between the clocks, if they emit their pulses of light at the same time, then Alice will see two pulses of light arrive at her location at the same time. She signals that the two pulses of light have reached her at the same time by raising her hands.

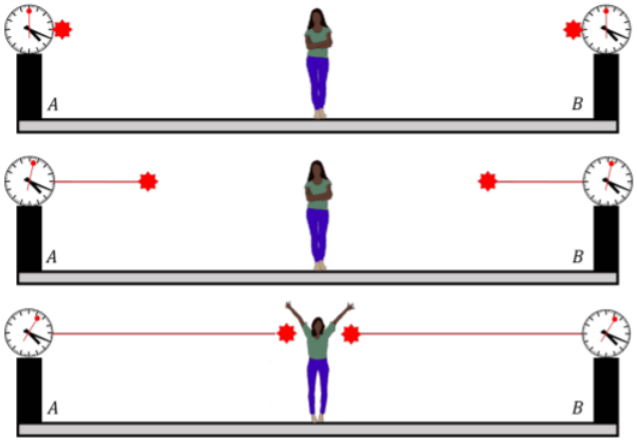

Figure 24.2.3: Alice is equidistant from two clocks. The clocks fire a laser pulse when the time is 20 past four, and Alice observes both pulses arriving at her location at the same time, concluding that the pulses were emitted by the clocks at the same time.

Brice is located on a train that is traveling with speed, v, in the direction from clock A to clock B, as illustrated in Figure 24.2.4 He sees Alice and the platform moving towards him.

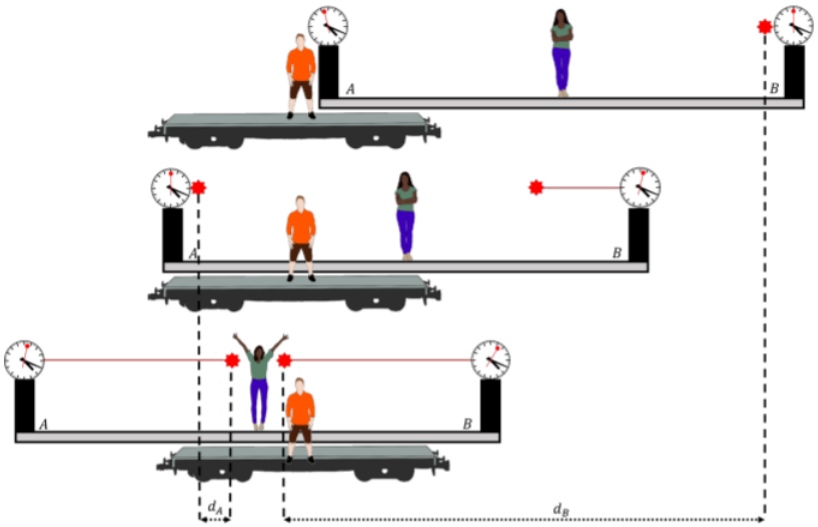

Figure 24.2.4: Brice is on a moving train, and, from his perspective, it is Alice and the platform that are moving towards him. Brice must conclude that the pulse from clock B was emitted earlier, since it must travel further than the pulse emitted from clock A to reach Alice at the same time.

Brice must agree that the two pulses arrived at Alice's location at the same time, since he can also see her raise her hands. In Brice's frame of reference, the two pulses of light must travel with the speed of light (Einstein's second postulate). Once the pulse of light has been emitted from clock B, Brice observes that Alice is moving away from the location of where the pulse was emitted, so that pulse must travel a large distance, d_B. On the other hand, once the pulse from clock A is emitted, Brice observes that Alice moves towards where the pulse was emitted, so it only needs to travel a shorter distance, d_A, in order to reach Alice.

Thus, for both pulses to arrive at Alice at the same time and travel at the speed of light, the pulse from clock B had to be emitted first, according to Brice.

That is, while Alice measures the clocks to be synchronized and emit pulses at the same time, Brice measures that clock B is running ahead of clock A. The two observers, Alice and Brice, in different reference frames, cannot agree on whether two events are simultaneous. Even worse, if a third observer, , is located on a train going in the opposite direction from Brice's train, she will conclude that the pulse from clock A was emitted earlier than the pulse from clock B. A consequence of Einstein's postulates is that observers in different frames of reference will not agree on whether two events happen at the same time, and in some cases, as the one we illustrated, the observers will not agree on which event happened first. Think of the implications for causality!

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24.3: Time Dilation

Einstein was famous for his “thought experiments”, which allow us to understand the consequences of a theory by performing thought experiments that would be impractical to actually carry out (such as the experiment with Alice and Brice described above, which would be impractical to carry out, since the speed of light is so high that Brice would never notice that clock A emitted the pulse slightly earlier).

Imagine that we build a clock using a pulse of light traveling (oscillating) back and forth between two mirrors, separated by a distance, L, as illustrated in Figure 24.3.1.

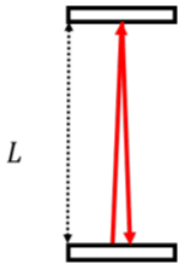

Figure 24.3.1: A clock is made by having a pulse of light bounce back and forth between two parallel mirrors separated by a distance, L.

Since the speed of light is, c, the time that it will take for the pulse of light to travel back and forth between the two mirrors, namely the period of the clock, is given by:

$$\Delta t = \frac{2L}{c}$$

where the speed of light, c, is given by the total distance traveled by the pulse of light divided by the time taken to do so:

$$c = \frac{2L}{\Delta t}$$

Now, imagine placing this clock on a spaceship that travels with speed, v, perpendicular to the direction of the movement of the light. The clock is illustrated in Figure 24.3.2 as seen from the ground.

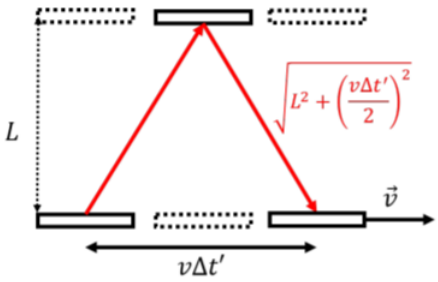

Figure 24.3.2: A clock is made by having a pulse of light bounce back and forth between two parallel mirrors separated by a distance, L. When the clock is placed on a spaceship moving with speed, v, the light travels a longer distance before completing a full cycle, as observed by someone not traveling with the clock.

From the perspective of a person watching the clock go by, the pulse of light travels a larger distance over one clock period, since the mirrors move to the right as the pulse of light moves up and down. However, by Einstein’s second postulate, the pulse of light must still travel with the same speed, c, so it must take the pulse of light longer to bounce between the two mirrors than it did when the clock is at rest. Let us determine the relationship between the period of the clock, Δt, measured when the clock is at rest, and the period of the clock, $\Delta t'$, as measured by an observer that sees the clock go by with speed, v.

To an observer that sees the clock move by with speed, v, the speed of the pulse of light, which must also be equal to c, is given by:

$$c = \frac{2\sqrt{L^2 + \left(\frac{v\Delta t'}{2}\right)^2}}{\Delta t'}$$

where the distance in the numerator was simply found by Pythagoras' theorem, as the spaceship will travel a horizontal distance, $v\Delta t'$, as measured by the observer that is not moving with the spaceship. Squaring this relationship, we can isolate the period of the clock, $\Delta t'$, as measured by the observer that sees the clock move with speed, v:

$$\begin{aligned} c^2 &= \frac{4L^2}{\Delta t'^2} + v^2 \\ \Delta t'^2(c^2 - v^2) &= 4L^2 \\ \therefore \Delta t' &= 2L\frac{1}{\sqrt{c^2 - v^2}} = \frac{2L}{c}\frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \end{aligned}$$

Note that the term, $2L/c$, is simply the period of the clock as measured in a frame of reference where the clock is stationary. Thus, we can relate the two clock periods:

$$\Delta t' = \Delta t\frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \tag{24.3.1}$$

To re-iterate: the period of the clock, $\Delta t'$, as measured in a frame of reference that is moving relative to the clock is longer than the period of the clock, Δt, as measured in the "rest frame" of the clock (the reference frame where the clock is stationary). We call this effect **"time dilation"**, and it is not just some mathematical curiosity. The clock that we imagined with a pulse of light is a real clock that one could actually construct; we could use it to measure time. That clock will appear to tick slower if it is moving. **Time goes by slower in a moving reference frame**. If a person climbs on a ship that is moving, that person will age at a slower rate than a person that remained on Earth. By traveling at high speeds, you effectively travel into the future, as observed on Earth. The equation above allows us to relate the amount of time that went by in one reference frame to the amount of time that went by in a different frame of reference.

We define the time that is measured at rest as the "proper time". In our example, Δt, is the proper time (proper period) for the clock, since it is defined in a frame of reference where the clock is at rest. The "dilated time", $\Delta t'$, is measured in a frame of reference that is moving relative to the clock.

The factor by which time is dilated comes up often in Special Relativity, and is called the gamma factor:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \tag{24.3.2}$$

As a corollary to Einstein's postulates, we will see that nothing can ever exceed the speed of light in vacuum. The gamma factor is always greater than 1, since v (the speed between the two different inertial frames of reference), must always be smaller than c. You may also recognize that the gamma factor appeared in our introductory example with the force between two wires. Here, we derived the gamma factor from kinematical considerations, whereas in the example with the two wires, it came straight out of the equations for electromagnetism.

? Exercise 24.3.1

What is gamma for a speed of $v = 0.75c$?

A. 1.51
B. 0.75
C. 75
D. 1.68

Answer

A.

? Exercise 24.3.2

What speed corresponds, v, to a gamma factor of 2.5?

A. $v = 2.5c$
B. $v = 0.92c$
C. $v = 0.25c$
D. $v = 0.47c$

Answer

B.

Time-dilation is a real effect that has been observed, for example by placing high precision atomic clocks on an airplane to observe their period slow down. Another example of time-dilation is the fact that we observe many particles called muons at the surface of the Earth. Muons are very similar to electrons, except that they have a larger mass, and that they are unstable (they radioactively decay into an electron and neutrinos, after 2.2μs on average). Muons are produced in large amounts when cosmic rays (high energy particles from outside our Solar System) strike the molecules in our upper atmosphere, at altitudes of tens of kilometers. As the muons travel down towards the Earth, they decay.

Suppose that muons are produced traveling at the speed of light; in that case, they would travel a distance $d = (3 \times 10^8 \text{m/s})(2.2 \times 10^{-6} \text{s}) = (660 \text{m})$, on average, before decaying. However, muons are produced tens of kilometers above the surface of the Earth, travel slower than the speed of light, and yet, we are able to detect many muons at the surface of the Earth. We would expect that all muons would have decayed before reaching the surface of the Earth.

We can understand this in terms of time dilation; in the reference frame of the muon, the muon decays after $\Delta t = 2.2\mu$s. In a reference frame from which the muon appears to move with speed, v, the "clock" that measures how long the muon has existed ticks slower. Thus, from the Earth, we observe that the muon takes longer than 2.2μs to decay, giving it time to reach the surface of the Earth.

✔ Example 24.3.1

A muon travels with a speed of $0.9c$ as observed from the surface of the Earth. As measured in the frame of reference of the Earth, how far has the muon traveled after 2.2μs have elapsed **in the muon's frame of reference**?

Solution

The muon is traveling with a speed of $v = 0.9c$ relative to the Earth, thus the gamma factor is given by:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - 0.9^2}} = 2.29$$

The amount of time that goes by in the frame of reference of the Earth, Δt, when $\Delta t' = 2.2\mu$s has gone by in the muon's frame of reference will be dilated by the gamma factor. $\Delta t'$ is the proper time in the muon frame's of reference, which corresponds to a longer time in Earth's frame of reference:

$$\Delta t = \gamma \Delta t' = (2.29)(2.2\mu\text{s}) = 5.0\mu\text{s}$$

In the frame of reference of the Earth, the muon has traveled a distance:

$$d' = v\Delta t' = (0.9c)(5.0\mu\text{s}) = 1350\text{m}$$

Discussion

In this example, we see that an object, such as a muon, that travels with a speed that is 90 percent of the speed of light will have a gamma factor around 2. Thus, from the Earth's frame of reference, it appears that the muons "ages" at about half of the

rate at which one would observe the muon to age if moving along with the muon. This is the mechanism that allows muons to exist much longer than $2.2\,\mu\text{s}$ when they are traveling relative to the Earth.

Also, in Earth's reference frame, the muons travel a distance of 1350m in the period of time between being produced and decaying. In the reference frame of the muon, only $2.2\mu\text{s}$ elapse as the Earth moves closer to the muon, at the same speed. In the reference frame of the muon, the Earth has traveled a distance:

$$d' = v\Delta t = (0.9c)(2.2\mu\text{s}) = 594\text{m}$$

Thus, as viewed from the muon's frame of reference, the distance that it traveled between being produced and decaying is about half the distance as measured in the Earth's reference frame. This is called "length contraction" and is a necessary consequence of time-dilation.

Example 24.3.2

A spaceship carrying your friend Alice speeds away at a speed of $0.99c$ towards the nearest star, Proxima Centauri, a distance of 4.2ly (light-years) away. How much time does the trip take as measured by Alice?

Solution

How far has the spaceship traveled, according to Alice? Alice's trip is illustrated in Figure 24.3.3, showing the trip as viewed from Earth's and from Alice's frame of reference.

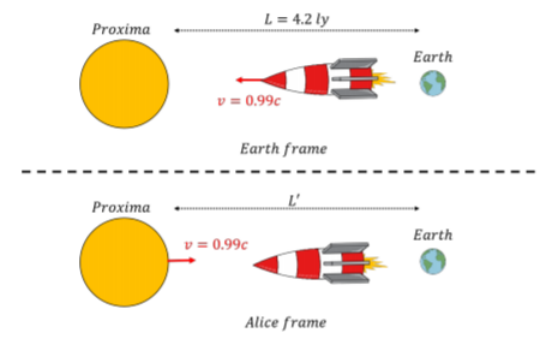

Figure 24.3.3: Alice travels in a spaceship from the Earth to the star Proxima Centauri. In the Earth frame of reference, the star is 4.2ly away.

In Earth's frame of reference, the spaceship travels a distance of 4.2ly at a speed of $0.99c$, which will take a time, $\Delta t'$, given by:

$$\Delta t' = \frac{(4.21\text{ly})}{(0.99c)} = 4.2\text{y}$$

which is not surprising, since Alice is traveling at almost the speed of light. This is the time that goes by on planet Earth. Since Alice's spaceship is moving, less time will go by on the spaceship, as the 4.2y is the dilated time measured at Earth, not the proper time measured by Alice. First, we determine the gamma factor:

$$\gamma = \frac{1}{\sqrt{1-\frac{v^2}{c^2}}} = \frac{1}{\sqrt{1-0.99^2}} = 7.1$$

The proper time measured by Alice is:

$$\Delta t = \frac{\Delta t'}{\gamma} = \frac{(4.2\text{y})}{(7.1)} = 0.6\text{y}$$

That is, Alice only ages by 0.6y (about 7 months), while everyone on Earth ages by 4.2y!

In Alice's frame of reference, she is not moving, and Proxima Centauri moves towards her at a speed of $0.99c$. Since her trip only lasts about 7 months (0.6y), Proxima Centauri moves towards her by a distance, L':

$$L' = (v)(\Delta t) = (0.99c)(0.6\text{y}) = 0.6\text{ly}$$

as illustrated in Figure 24.3.3. Thus, Alice concludes that the distance between Earth and Proxima Centauri is only 0.6ly instead of 4.2ly. The distance that she observes is contracted compared to the "proper distance" between Earth and Proxima (the distance measured when we are at rest relative to Earth and Proxima).

Discussion

In this example we saw, again, how the time that one measures depends on the frame of reference. In particular, if one can build spaceships that goes close to the speed of light, one can cover large distances in the Universe without ageing much. We also saw that length contraction is a necessary corollary to time-dilation. Objects appear contracted when they move, relative to their length when they are measured at rest (their "rest length" or their "proper length").

One interesting issue uncovered by *Example 24.3.2* is the so-called "twin-paradox". Imagine that Alice has a twin brother, Brice, that remains on Earth. Alice travels to Proxima Centauri and back (return trip), and will have aged by about 14 months, whereas Brice, will have aged by about 8.4 years (using the numbers in *Example 24.3.2*). However, Einstein's first postulate implies that there are no special frames of reference that are at rest. We should be able to think about this situation from the perspective where Alice is at rest, and it is the Earth (with Brice on it), that moves away from her and then back. In this case, Alice is at rest, and she will conclude that it takes about 8.4 years for Brice to move away and come back, and that Brice would have aged by about 7 months. When Alice and Brice meet up again, clearly Alice cannot be both younger and older than Brice, so which one is it? (You will have to look this up, see associated question in the "Thinking about the material" section).

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24.4: Length Contraction

As we saw in the examples from the previous section, time dilation implies “length contraction”. When an object is measured in a frame of reference that is at rest relative to the object, the length of the object, L, is called the “rest length” or the “proper length” of the object. If that object is moving relative to an observer, the observer will measure the object to be shorter, and have a “contracted length”, L', given by:

$$L' = L\sqrt{a - \frac{v^2}{c^2}} = \frac{L}{\gamma} \tag{24.4.1}$$

In *Example 24.3.2*, Alice measured a contracted distance between Earth and Proxima Centauri, as she was in a frame of reference that is moving relative to the Earth-Proxima Centauri reference frame. One point that is important to note is that length contraction only occurs along the direction parallel to the direction of motion.

Example 24.4.1

A square painting hanging in a museum has a side with a length of 1m. If you view the stationary painting from a train moving in the horizontal direction at a speed of $0.85c$, what is the surface area of the painting that you measure?

Solution

Since your train is moving horizontally, only the horizontal dimension of the painting will be contracted. The gamma factor is given by:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - 0.85^2}} = 1.9$$

Thus, the horizontal side of the painting will have a contracted length:

$$L' = \frac{L}{\gamma} = \frac{(1\text{m})}{(1.9)} = 0.53\text{m}$$

The area of the painting, as measured in the moving frame of reference, is given by:

$$A = (1\text{m})(0.53\text{m}) = 0.53\text{m}^2$$

Exercise 24.4.1

What speed must an object travel in order for it to appear 1% shorter

A. $0.01c$
B. $0.14c$
C. $0.99c$
D. $0.65c$

Answer

B.

Olivia's Thoughts

In these types of problems, it is important to keep track of which reference frame gives us the proper length and which gives us the proper time. I recommend taking a minute at the beginning of each problem to think about this, and jot it down at the start of your solution. Take the example in which our friend Alice travels from Earth to Proxima Centuri on a spaceship. We are dealing with Earth's frame of reference, which I will call E, and the Alice's frame of reference, which I will call A. We are interested in the time elapsed on the spaceship and the distance to Proxima Centuri. The Earth is *moving* relative to the

spaceship's clock and is *stationary* relative to Proxima Centuri. Thus, frame E gives us the *dilated time*, $\Delta t'$, and the *proper length*, L. Alice is *stationary* relative to the spaceship's clock and is *moving* relative to Proxima Centuri. Thus, frame A gives us the *proper time*, Δt, and the *contracted length*, L'.
At the top of my solution, I would write:

$$\text{Frame } E: L, \Delta t'$$

$$\text{Frame } A: L', \Delta t$$

To check that we got this right, the speed of the spaceship should not change depending on the reference frame. In the Earth's frame of reference, the velocity is given by $v = L/\Delta t'$. In Alice's frame, it is given by $v = L'/\Delta t$, or, using the gamma factor: $v = (L/\gamma)/(\Delta t'/\gamma) = L/\Delta t'$, as expected.

Length contraction also allows us to discuss a famous paradox (the “barn”, or “ladder” or “barn-pole” paradox). Consider a train that has a rest length of 500m, traveling at a speed such that $\gamma = 2.5$. As the train goes by, from Earth, it appears to have a (contracted) length:

$$L'_{train} = \frac{(500\text{m})}{2.5} = 200\text{m}$$

Suppose that there is a tunnel on Earth that is exactly 200m long, so that the train, when contracted, will fit in the tunnel. When the train passes, an operator briefly closes (and re-opens) the doors at the ends of the tunnel, briefly “capturing” the train, and since the train is contracted, it never hits any of the doors, and all is fine.

From the train’s frame of reference, the train has a proper length of 500m, and the tunnel is contracted to a length of:

$$L'_{tunnel} = \frac{(200\text{m})}{(2.5)} = 80\text{m}$$

Thus, from the train’s perspective, if the doors of the tunnel are closed, there is no way that the 500m long train can ever fit in the 80m long tunnel, as illustrated in Figure 24.4.1. So what happens when the operator on Earth closes the doors of the tunnel to briefly “capture” the train?

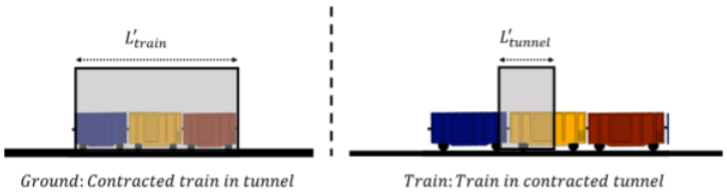

Figure 24.4.1: In the ground’s reference frame, the contracted train appears to fit inside the tunnel. From the train, the (proper length) train will not fit in the contracted tunnel.

Clearly, people on the Earth and people on the train have to agree on whether the train was destroyed by the tunnel doors. The operator on Earth can clearly close both doors of the tunnel when the train is inside and not destroy the train. Hence, people on the train must agree that the train never collided with the doors, and that the doors were closed. The answer to this paradox lies in the fact that simultaneity is relative. The tunnel operator believes that she has closed the two doors of the tunnel at exactly the same time, precisely when the contracted train is lined up with the tunnel. However, to people on the train, in a different frame of reference, the doors did not close at the same time, since events that are simultaneous in one frame of reference are not necessarily simultaneous in a different frame of reference. To people on the train, there was never a time when the train was in the tunnel and both doors were closed at the same time!

? Exercise 24.4.2

Referring to the above paradox, to people on the train, which tunnel door closes first?

A. The door at the entrance of the tunnel closes first.
B. The door at the exit of the tunnel closes first.

Answer

B.

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24.5: Electric and magnetic fields and Special Relativity

In this section, we present one more example to show how Special Relativity is connected to electromagnetism. Consider a wire that carries an electric current towards the left, and a positive charge, $+Q$, located next to the wire, as illustrated in Figure 24.5.1.

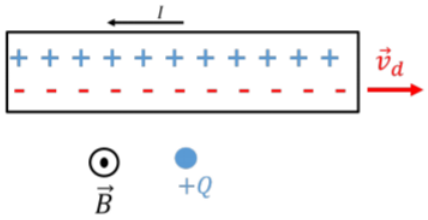

Figure 24.5.1: A stationary positive charge, $+Q$, near a wire carrying current towards the left. This leads to a magnetic field out of the page at the location of $Q+$.

Inside the wire, negative electrons are moving towards the right, with a drift velocity, $\vec{v}_d$, while positive ions remain stationary. Since the charge $+Q$ has a velocity of zero, it experiences no magnetic force. Furthermore, the wire appears to be neutral, with no net electric charge.

If the charge, $+Q$, has a velocity, $\vec{v}_d$, towards the right, it will experience a downwards magnetic force, as illustrated in Figure 24.5.2

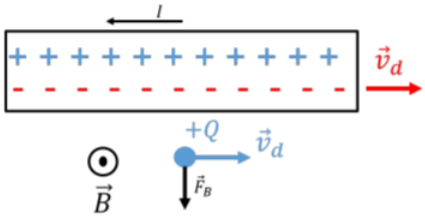

Figure 24.5.2: A positive charge, $+Q$, moving towards the right, near a wire carrying current towards the left, will experience a downwards magnetic force, $\vec{F}_B = Q\vec{v}_d \times \vec{B}$.

Now, consider this from the perspective of the charge, $+Q$, as illustrated in Figure 24.5.3. The charge Q is moving towards the right at the same speed as the electrons in the wire. In the reference frame of the charge, $+Q$, the charge has a velocity of zero, and thus will experience no magnetic force. The wire still appears to have a (different) current, I', as the positive ions move to the left, creating a magnetic field, $\vec{B}'$, out of the page.

In the "lab" frame of reference, where the electrons and the charge $+Q$ move towards the right at the same speed, v_d, the electrons appear closer together (length contracted) than they are in the frame of reference of the electrons (or of the charge $+Q$, since it is moving with the electrons). In the frame of reference of the charge $+Q$, the electrons thus appear to be spaced further apart (less dense). On the other hand, in the frame of reference of $+Q$, the positive ions, which are moving towards the left, appear closer together, as the distance between them is now contracted, as illustrated in Figure 24.5.3.

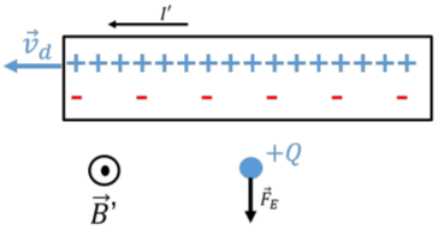

Figure 24.5.3: In the frame of reference of the charge $+Q$, the charge has a velocity of zero and cannot experience a magnetic force. The ions appear to move to the left, and thus appear denser, since the distance between ions is contracted. The distance between electrons, on the other hand, is larger in this frame of reference. It thus appears that the wire is positively charged, and would exert a downwards electric force on the charge, $+Q$.

In the frame of reference of the charge $+Q$, the wire no longer appears neutral, but appears to have a net positive charge. This results in an electric field away from the wire that will exert a downwards force on $+Q$. In both frames of reference, we conclude that the charge will experience a downwards force. Whether that force is magnetic or electric depends on the frame of reference!

Here, we came to the conclusion by using the notion of length contraction, but, remember that it is in fact length contraction that is a consequence of Maxwell's equations holding in different frames of reference, as we illustrated at the beginning of this chapter.

In most real-world applications, we do not see the effects of Special Relativity, as the speeds involved must be very high for the gamma factor to be appreciably different from 1. However, as you recall, the drift speed of electrons in a wire is usually (much) less than $\mathrm{mm/s}$, yet, when dealing with the electric and magnetic forces (fields), even the minuscule length contraction of the electrons/ions at those speeds, leads to relativistic effects. This can be thought of in terms of how strong the electric force really is; even a minute change in charge density (due to length contraction) has an appreciable relativistic effect in how we model the dynamics of a charged particle.

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24.6: Lorentz transformations and space-time

Four-dimensional space-time

So far, we have seen that our notions of time intervals (the time between two events) and space intervals (the distance between two locations) depend on our frame of reference. We also saw how space and time are connected, for example by the fact that time-dilation must go hand-in-hand with length contraction. We also concluded that there is no absolute concept of time, and that time is relative (depends on your frame of reference).

In the context of Special Relativity, we introduce the concept of space-time. To describe the location of an object in space-time, we must specify both the location/position coordinates (x, y, z) **and** the time "coordinate", t. Since time, t, has the dimension of time, we usually specify the time coordinate by multiplying it by speed of light, ct, so that it has dimensions of length. Thus, position in space-time is given by 4 coordinates: (x, y, z, ct).

Space-time diagrams

It is practically impossible to visualize situations in three dimensions, so four dimensions is hopeless! However, we can gain a lot of insight into Special Relativity models by using "space-time diagrams". In a space-time diagram, we use only one of the space coordinates (typically x) along with the time coordinate, ct, to define the two axes of a space-time diagram. Space-time diagrams are analogous to "position as a function of time" graphs that one would draw in kinematics, although they are fundamentally different in that, for a space-time diagram, the coordinates should be thought of as independent (one is not plotting a dependent variable as a function of an independent variable).

Figure 24.6.1 shows a space-time diagram for an object that was located at position $x = x_1$ at time $t = t_1$ (location A), and at position $x = x_2$ at time $t = t_2$ (location B). The path of an object through space-time, indicated by the line that connects A and B, is called the "world line" of the object.

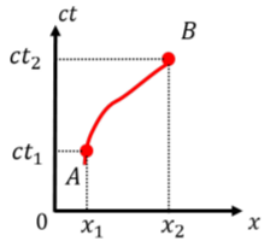

Figure 24.6.1: World line of an object that moved from locations A in space-time to location B in space-time.

? Exercise 24.6.1

What does the world-line of a stationary particle look like?

A. A vertical line.
B. A horizontal line.
C. A point.

Answer

A pulse of light traveling in the x direction will always have a world-line that makes a 45° angle with the horizontal (space) axis (since $x = ct$). The world line of any object that travels with a speed below the speed of light must always make an angle with the horizontal axis that is greater than 45°.

A position in space-time is usually called an "**event**". We can draw a set of lines, at 45° degrees from the horizontal axis, that intersect at an event in space-time. Those lines define two "light cones" corresponding to: (1) locations in space-time in the past that could have had a causal effect on the event (the "past light cone"), and (2), locations in space-time in the future for which the event can have a causal effect (the "future light cone").

Figure 24.6.2 shows the light cones associated with an event, A, in space-time. The past light cone is the only region of space-time in which a different event could have had an impact on the event A. For example, the event A might be that "the object is at

position $x = x_1$ at time $t = t_1$", so that the past light cone corresponds to the only locations in space-time that the object could have been in the past. Similarly, the future light-cone defines the locations in space-time upon which the event A could have an effect. For example, this could define the possible locations of the object in the future. The regions outside the light cones can never have an effect on the event A; they are not causally connected. A signal or object would need to travel faster than the speed of light in order to have an effect on something outside of its light cone. There are locations in space-time, in the future of our Universe, that we cannot influence, no matter what we do.

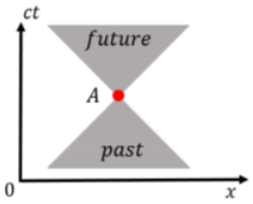

Figure 24.6.2: The past and future light cones associated with the space-time event, A.

When two events in space-time are within each other's light cones, we say that the space-time interval between them (the line that you draw from one event to the other) is "time-like". Time-like events are such that all observers, in any frame of reference, will agree that one event happened before the other. Thus, events that are causally related must have a time-like interval between them (they are connected by a line that makes an angle greater than 45° with the horizontal axis).

Two events that are outside of each other's light cones are said to be "space-like". Events that are connected by space-like intervals cannot be causally related (one cannot cause the other). Observers in different frames of reference will disagree on the time ordering of space-like events. For example, when Alice observed the two clocks on the platform to emit pulses of light at the same time, Brice disagreed; those two events are connected by a space-like interval.

Finally, the space-time interval between events that are on each other's light-cone (connected by a line that makes a 45° angle with the x-axis), is said to be "light-like" or "null".

Lorentz transformations

In this section, we consider how to transform the space-time coordinates, (x, y, z, ct), as measured in a frame of reference, S, to coordinate $(x', y', z', c't)$, as measured in a frame of reference, S', that is moving with a constant speed, v, relative to the frame, S. For simplicity, we assume that frame S' is moving with speed v in the positive x direction, as measured in frame, S, and that the origin of the two coordinate systems coincided at time $t = 0$. Figure 24.6.3 shows an illustration of how the two frames of reference are related (note that these are actual coordinate systems, not space-time diagrams).

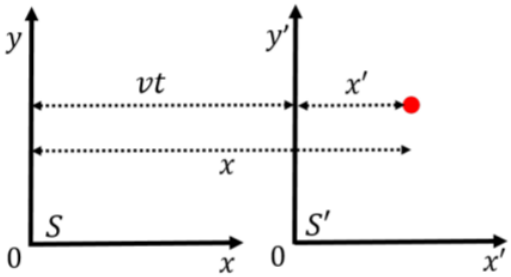

Figure 24.6.3: The reference frame S' is moving relative to reference frame, S, with speed v in the x direction. At time $t = 0$, the origins of the two coordinate systems coincided.

If we ignore any of Special Relativity, then the coordinates in S' are easily related to those in the S frame of reference using the "Galilean transformations":

$$x' = x - vt$$
$$y' = y$$
$$z' = z$$
$$t' = t$$

and this corresponds to transformations that we have implicitly used before considering Special Relativity. These equations also allow us to relate the speeds measured in different frames of reference. Suppose that an object has a velocity, $\vec{u} = (u_x, u_y, u_z)$, as measured in the frame of reference, S. We can obtain the components of the velocity vector, $\vec{u}'$, as measured in the frame of reference, S', by taking the time derivatives of the above equations:

$$\begin{aligned} u'_x &= \frac{dx'}{dt'} = \frac{dx'}{dt} = \frac{d}{dt}(x - vt) = \frac{dx}{dt} - v = u_x - v \\ u'_y &= \frac{dy'}{dt'} = u_y \\ u'_z &= \frac{dz'}{dt'} = u_z \end{aligned}$$

which is trivial, since $t = t'$. The transformation above are equivalent (identical) to the rules for transforming velocity that we derived in Section 3.4 for kinematics. In Galilean relativity, time is an absolute quantity that does not depend on the frame of reference. In Special Relativity, the time coordinate is different between different frames of reference, so we cannot simply convert a time derivative in t' to a derivative in t. Instead, we must use the Lorentz transformations.

We can use the formulas for length contraction and time dilation to derive the Lorentz Transformations. Referring to Figure 24.6.3, x refers to the distance between a point in space-time and the origin of the x axis in the frame, S, as measured in frame, S. Similarly, x', is the distance to the point in space-time as measured in frame S', from the origin of S'. In frame, S, the distance x' will be contracted to the length x'/γ, so that the Galilean transformation for the x coordinate is modified as follows:

$$\begin{aligned} x' &= x - vt \quad \text{(Galilean)} \\ \frac{x'}{\gamma} &= x - vt \\ \therefore x' &= \gamma(x - vt) \quad \text{(Lorentz)} \end{aligned}$$

The y and z coordinates are the same between frames of references, since all of the length contraction will take place in the direction of the relative motion between frames of reference, which we chose to be in the x direction.

We can obtain the equation for the time coordinate by considering that, in the S' frame of reference, it is the x coordinate that is contracted to x/γ. In the S' frame of reference, the distance between the origins of the two systems is vt' (note the prime on t). We can thus write the contracted distance x, in the S' frame of reference:

$$\begin{aligned} \frac{x}{\gamma} &= vt' + x' \\ t' &= \frac{1}{v}\left(\frac{x}{\gamma} - x'\right) \end{aligned}$$

We can eliminate x' from the last equation using the Lorentz transformation for x' that we just found:

$$
\begin{aligned}
t' &= \frac{1}{v}\left(\frac{x}{\gamma} - x'\right) \\
t' &= \frac{1}{v}\left(\frac{x}{\gamma} - \gamma x + \gamma v t\right) \\
\frac{t'}{\gamma} &= \frac{1}{v}\left(\frac{x}{\gamma^2} - x + v t\right) \\
&= \frac{1}{v}\left(x\left(1 - \frac{v^2}{c^2}\right) - x + v t\right) \\
&= \frac{1}{v}\left(-\frac{v^2}{c^2}x + v t\right) \\
&= t - \frac{v x}{c^2} \\
\therefore t' &= \gamma\left(t - \frac{v x}{c^2}\right)
\end{aligned}
$$

where we wrote out the γ factor out explicitly in the fourth line. We can summarize the Lorentz transformations as follows:

$$
\begin{aligned}
x' &= \gamma(x - v t) \\
y' &= y \\
z' &= z \\
t' &= \gamma\left(t - \frac{v x}{c^2}\right)
\end{aligned}
$$

and the inverse relations are easily found:

$$
\begin{aligned}
x &= \gamma(x' + v t') \\
y &= y' \\
z &= z' \\
t &= \gamma\left(t' + \frac{v x'}{c^2}\right)
\end{aligned}
$$

Note that the Lorentz transformations reduce to the Galilean transformations when the speed, v, between frames of reference is small (so that $\gamma \sim 1$).

Example 24.6.1

In a frame, S, a pulse of light is emitted (at the speed of light) in the positive x direction, at $t = 0$, from the origin. The pulse is then absorbed at time t, at position $x = d$. Use the Lorentz transformation to show that, in a frame, S', moving in the positive x direction with speed v, relative to S, the pulse also traveled at the speed of light.

Solution

In order to use the Lorentz transformations, we need to define "events", with coordinates in space-time, that we can then convert from one frame of reference to another. Let A be the event that corresponds to the emission of the pulse of light, and B the event that corresponds to the absorption of the pulse. In frame, S, the coordinates of these events are:

$$
\begin{aligned}
x_A &= 0 \\
t_A &= 0 \\
x_B &= d \\
t_B &= \frac{d}{c}
\end{aligned}
$$

where in the last line, we used the fact that, in frame, S, the pulse travels at the speed of light. Applying the Lorentz transformations, we can find the coordinates of the same events in frame, S':

$$\begin{aligned} x'_A &= \gamma(x_A - vt_A) = 0 \\ t'_A &= \gamma\left(t_A - \frac{vx_A}{c^2}\right) = 0 \\ x'_B &= \gamma(x_B - vt_B) = \gamma\left(d - v\frac{d}{c}\right) \\ t'_B &= \gamma\left(t_B - \frac{vx_B}{c^2}\right) = \gamma\left(\frac{d}{c} - \frac{vd}{c^2}\right) \end{aligned}$$

The speed, v'_p, of the pulse of light in frame, S', is given by:

$$\begin{aligned} v'_p &= \frac{(x'_B - x'_A)}{(t'_B - t'_A)} = \frac{\gamma\left(d - v\frac{d}{c}\right)}{\gamma\left(\frac{d}{c} - \frac{vd}{c^2}\right)} \\ &= \frac{\left(d - v\frac{d}{c}\right)}{\left(\frac{d}{c} - \frac{vd}{c^2}\right)} = c\frac{\left(\frac{d}{c} - v\frac{d}{c^2}\right)}{\left(\frac{d}{c} - \frac{vd}{c^2}\right)} = c \end{aligned}$$

which is the speed of light, as expected.

Discussion

In this example, we showed how to use the Lorentz transformations, by clearly defining "events" and their coordinates in space-time. We saw that the Lorentz transformation are consistent with Einstein's second postulate and that the speed of light is the same all frames of reference. This of course makes sense, as we derived the Lorentz transformations from time dilation and length contraction, which are consequences of the postulate.

Einstein's second postulate states that the speed of light is independent of the frame of reference. Consider two points in space-time corresponding to the emission (A) and the absorption (B) of a pulse of light. In the reference frame, S, the distance squared in space between these two events must be equal to the distance (squared) that light traveled between the time of emission and absorption:

$$\begin{aligned} (x_B - x_A)^2 + (y_B - y_A)^2 + (z_B - z_A)^2 &= c^2(t_B - t_A)^2 \\ \therefore \Delta x^2 + \Delta y^2 + \Delta z^2 &= c^2\Delta t^2 \end{aligned}$$

where (x_A, y_A, z_A, ct_A) and (x_B, y_B, z_B, ct_B) are the space-time coordinates of events A and B. The above equation must hold in all frame of references (e.g. adding a prime to each coordinate), since it is a statement that the speed of light is c.

We can define, s, the "space-time interval", between events, A and B:

$$s^2 = \Delta x^2 + \Delta y^2 + \Delta z^2 \ - c^2\Delta t^2$$

which turns out to be "Lorentz invariant" (meaning that this value is the same in all reference frames). The space-time interval can be thought of as a "distance" in space-time that is the same in all reference frames. If the events A and B corresponds to the emission and absorption of light, then $s = 0$, and we say that the interval between A and B is light-like or null. If $s < 0$, the events are on a time-like interval, and if $s > 0$, the events are separated by a space-like interval. Since s does not depend on the frame of reference, all observers will agree on whether events are separated by time or space-like intervals.

We can visualize the effect of Lorentz transformations on space-time diagrams, as in Figure 24.6.4, which shows the space-time diagrams for a reference frame, S, and a second reference frame, S', moving with speed v in the x direction relative to S.

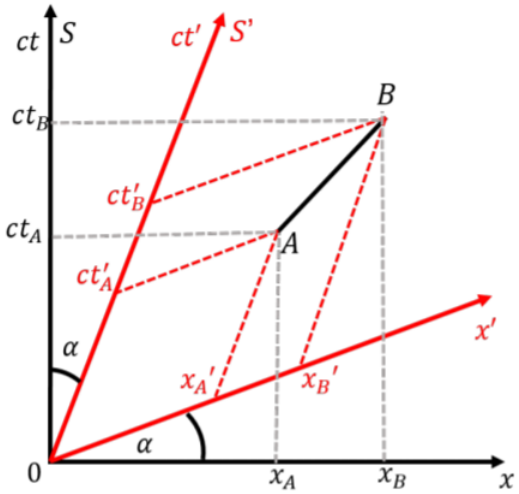

Figure 24.6.4: The reference frame S' is moving relative to reference frame, S, with speed v in the x direction. We can illustrate this on a space-time diagram by tilting the axes of the S' coordinate system by an angle $\tan\alpha = v/c$, as shown.

The effect of the Lorentz transformation on a space-time diagram is to tilt both the space and time axes "inwards"[1], by an angle, α, given by:

$$\tan\alpha = \frac{v}{c}$$

Figure 24.6.4shows a light-like interval between two points, A and B, and how to determine the space-time coordinates in the two reference frames. You can think of space-time as the sheet of paper on which events happen. You can then draw different coordinate systems on that piece of paper to describe the position (in space and time) of different events.

Example 24.6.2

Use a space-time diagram to show how two events at different locations that are simultaneous in one frame of reference are not simultaneous in a reference frame that is moving relative to the one where the events are simultaneous. This is an illustration of the relativity of simultaneity that we uncovered at the beginning of the chapter when examining Alice on a train platform and the two pulses of light.

Solution

Let S be the frame of reference where events, A and B, are simultaneous. These events are connected by a space-like interval, since they are separated in space, but not in time. There is no way for one event to have caused the other. In the frame, S, these events are on a horizontal line in a space-time diagram.

Let us define a second reference frame, S', that is moving with speed v, relative to S. We have illustrated space-time diagrams for the the two reference frames, and the events A and B, in Figure 24.6.5.

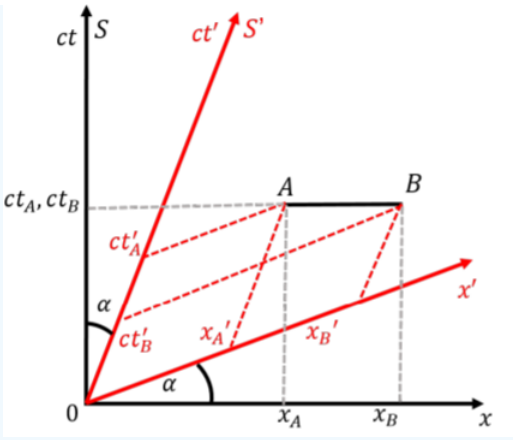

Figure 24.6.5: In the frame of reference, S, the events A and B occur at the same time. In frame, S', event B occurs before event A (since $t_B < t_A$). The two events are space-like, so observers in different frames of reference cannot agree on which happened first.

From the space-time diagram, it is clear that, in the frame of reference, S', the event B happened first. If the frame of reference S' was moving in the opposite (negative x) direction, event A would occur first, and the axes of S' would be tilted in the other direction (so that the opening angle between the axes is greater than 90°).

Discussion

This example illustrates how space-time diagrams can be used to qualitatively model events in space-time between two different reference frames. In particular, we showed how two events that are simultaneous in one frame (S) are not simultaneous in a different frame. For events connected by space-like intervals, there exist frames of reference where the events are simultaneous, or where either one happened first. If two events are separated by a time-like interval, there is no frame of reference in which one will disagree in the ordering of the events (although observers in different frames of reference will still measure different lengths of time between events due to time-dilation). For time-like events, the moving frame of reference would have to go faster than the speed of light for the time ordering to be different. This would violate causality, and is a good argument as to why nothing can go faster than the speed of light!

josh's thoughts

This chapter is where the bubble of intuitive reality is popped, and students (like you and me) are given the oppourtunity to challenge our understanding of how the universe operates. As amazing and exciting as this is, it can also be incredibly frustrating. Many students rely on intuition to guide them as they problem solve, but hit a wall in special relativity. To avoid this issue, I suggest drawing spacetime diagrams and using Lorentz transformations. Practicing with these tools will help make the process of understanding the strange consequences of Einstein's postulates less awkward.

In addition to the practical advice I have given, I reccommend embracing the strangeness of reality. Throughout history, scientists have ventured into the unknown in attemps to discover and decode the universe. In many cases, the answer to a question has posed more questions than answers, and humanity is given the oppourunity to further understand the world we live in. As a student, you are participating in a process of understanding which allows us to continue the adventure that is scientific inquiry. Confusion can be frustrating, but don't let it discourage you, being confused means that you're only a few steps away from understanding!

Lorentz addition of velocities

In the previous section, we reviewed the Galilean velocity transformations, that allow us to convert a velocity, $\vec{u}$, as measured in one frame of reference, to a velocity, $\vec{u}'$, measured in a different frame of reference. We now derive the equivalent relations based on the Lorentz transformation. Again, we assume that frame, S', moves in the positive x direction with speed, v, relative to frame, S.

The x component of the velocity vector, $\vec{u}'$, for some object in the S' frame of reference is given by:

$$u'_x = \frac{d}{dt'}x'$$

In Galilean relativity, we could simply replace the derivative over t' by a derivative over t, since the two are equivalent. This is no longer the case. However, we can use the Chain Rule and the Lorentz transformations to convert a derivative over t' to a derivative over t:

$$\begin{aligned}\frac{d}{dt'} &= \frac{dt}{dt'}\frac{d}{dt} \\ &= \frac{d}{dt'}\gamma\left(t' + \frac{vx'}{c^2}\right)\frac{d}{dt} \\ &= \gamma\left(1 + \frac{v}{c^2}\frac{dx'}{dt'}\right)\frac{d}{dt} \\ &= \gamma\left(1 + \frac{vu'_x}{c^2}\right)\frac{d}{dt}\end{aligned}$$

where we recognized that $\frac{dx'}{dt'} = u'_x$. The x component of the velocity, as measured in the S' frame of reference, is then given by:

$$\begin{aligned}u'_x &= \frac{d}{dt'}x' = \gamma\left(1 + \frac{vu'_x}{c^2}\right)\frac{d}{dt}x' \\ &= \gamma\left(1 + \frac{vu'_x}{c^2}\right)\frac{d}{dt}\gamma(x - vt) \\ &= \gamma^2\left(1 + \frac{vu'_x}{c^2}\right)(u_x - v) \\ \frac{u'_x}{\gamma^2} &= u_x - v + \frac{vu'_x u_x}{c^2} - \frac{v^2 u'_x}{c^2} \\ u'_x\left(1 - \frac{v^2}{c^2}\right) &= u_x - v + \frac{vu'_x u_x}{c^2} - \frac{v^2 u'_x}{c^2} \\ u'_x\left(1 - \frac{vu_x}{c^2}\right) &= u_x - v \\ \therefore \mu'_x &= \frac{\mu_x - v}{1 - \frac{v\mu_x}{c^2}}\end{aligned} \tag{24.6.1}$$

where we made use of the Lorentz transformation: $x' = \gamma(x - vt)$. We can proceed in a similar way to determine the y and z components. Note that, unlike the Galilean case, all of the velocity components must transform, since the time derivative is involved for each component. Intuitively, we expect all components of velocity to be affected, since one needs to guarantee that the total speed is always below c. The velocity transformations for all components are given by the following:

$$\begin{aligned}u'_x &= \frac{u_x - v}{1 - \frac{vu_x}{c^2}} \\ u'_y &= \frac{u_y}{\gamma\left(1 - \frac{vu_x}{c^2}\right)} \\ u'_z &= \frac{u_z}{\gamma\left(1 - \frac{vu_x}{c^2}\right)}\end{aligned}$$

and the reverse transformations are given by:

$$u_x = \frac{u'_x + v}{1 + \frac{vu_x}{c^2}}$$

$$u_y = \frac{u'_y}{\gamma\left(1 + \frac{vu_x}{c^2}\right)}$$

$$u_z = \frac{u'_z}{\gamma\left(1 + \frac{vu_x}{c^2}\right)}$$

Example 24.6.3

An archer can shoot a very fast arrow with a speed of $0.5c$. The archer is on a train moving with speed, $v = 0.7c$, and fires an arrow in the direction of motion. What is the speed of the arrow, as measured in the frame of reference of the ground?

Solution

Let the train be the frame of reference, S', moving in the positive x direction with speed $v = 0.7c$ relative to the frame, S, which corresponds to the ground. The speed of the arrow, as seen from the train (S'), is given by:

$$u'_x = 0.5c$$

The speed of the arrow, as measured from the ground, is thus given by:

$$\begin{aligned} u_x &= \frac{u'_x + v}{1 + \frac{vu_x}{c^2}} \\ &= \frac{(0.5c) + (0.7c)}{1 + \frac{(0.7c)(0.5c)}{c^2}} \\ \text{‘} &= \frac{(1.2c)}{1 + (0.7)(0.5)} = \frac{1.2}{1.35}c = 0.89c \end{aligned}$$

Discussion

By using the Lorentz transformations for velocity, we see that the arrow does not exceed the speed of light. Had we used Galilean relativity, we would have concluded that the arrow has a speed of $1.2c$ when measured from the ground.

Footnotes

1. Outwards if the speed of S' is in the negative x direction relative to S.

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24.7: Relativistic momentum and energy

In this section, we show how to define momentum and energy in a way that is consistent with the postulates of Special Relativity. We expect that, since time and space depend on the frame of reference of the observer, so too will the momentum and the energy of an object. Consider an object of mass m_0, moving in a frame of reference, S, with velocity, $\vec{u}$ (we reserve $\vec{v}$ to represent the speed between two inertial frames of reference), in the x direction. At some time, t, the object will be at position, x, along the x axis. We define the relativistic momentum as:

$$p = m_0 \frac{dx}{dt'}$$

where t' is the time as measured in the rest frame of the object. By defining momentum in terms of the proper time of the object, all observers will agree on the value of t'. In the frame of reference, S, (with time t) this corresponds to:

$$p = m_0 \frac{dx}{dt'} = m_0 \frac{dt}{dt'} \frac{dx}{dt} = m_0 \frac{dt}{dt'} u$$

where u is the speed of the particle in frame, S. We can use time dilation to re-express the derivative:

$$\begin{aligned} \Delta t &= \gamma \Delta t' \\ \frac{\Delta t}{\Delta t'} &= \gamma \\ \therefore \frac{dt}{dt'} &= \gamma \end{aligned}$$

where in the last line, we simply took the limit of an infinitesimally short time interval. Therefore, the relativistic momentum of the particle, in frame, S, can be defined:

$$\vec{p} = m_0 \gamma \vec{u} = \frac{m_0 \vec{u}}{\sqrt{1 - \frac{u^2}{c^2}}} \tag{24.7.1}$$

where γ is calculated with the same speed, u, since that is the speed of the reference frame of the object relative to S. Note that as the speed, u, of the particle approaches the speed of light, the factor of γ approaches infinity. This means that an object with a mass can never reach the speed of light, as it would have an infinite momentum. In order to define momentum in a way that resembles the classic definition, one can think of the mass of the object as depending on the speed of the object. We define the rest-mass, m_0, of the object as the mass that is measured when the object is at rest. We can then model the mass of the object as increasing with its speed:

$$m(u) = \gamma m_0 = \frac{m_0}{\sqrt{1 - \frac{u^2}{c^2}}}$$

so that the relativistic momentum would be defined as:

$$\vec{p} = m(u) \vec{u}$$

In this case, we can think of the mass of the object as increasing with its speed. The object would acquire infinite mass if it were to reach the speed of light.

With the relativistic definition of momentum, Newton's Second Law can be written as:

$$\vec{F} = \frac{d\vec{p}}{dt} = \frac{d}{dt} m_0 \gamma \vec{u}$$

Example 24.7.1

A constant force of $1 \times 10^{-22}\text{N}$ is applied to an electron (with mass $m_e = 9.11 \times 10^{-31}\text{kg}$) in order to accelerate it from rest to a speed of $u = 0.99c$. Compare the length of time over which the force must be applied using classical and relativistic dynamics.

Solution

In both cases, we can start with Newton's Second Law:

$$\vec{F} = \frac{d\vec{p}}{dt}$$

$$\therefore \int \vec{F} dt = \Delta\vec{p} = \vec{p}$$

where $\vec{p}$ is the final momentum of the electron (which is different depending on whether we use the classical or the relativistic definition of momentum). Since the force is constant:

$$\int \vec{F} dt = \vec{F}\Delta t = \vec{p}$$

$$\therefore \Delta t = \frac{p}{F}$$

where Δt is the length of time over which the force is applied. With the classical definition of momentum, the time is given by:

$$\Delta t = \frac{p}{F} = \frac{mu}{F} = \frac{(9.11 \times 10^{-31}\text{kg})(0.99)(3 \times 10^{8}\text{m/s})}{(1 \times 10^{-22}\text{N})} = 2.71\text{s}$$

With the relativistic definition of momentum, we first need the gamma factor:

$$\gamma = \frac{1}{\sqrt{1 - \frac{u^2}{c^2}}} = \frac{1}{\sqrt{1 - (0.99)^2}} = 7.1$$

We can then calculate the time over which the force needs to be applied:

$$\Delta t = \frac{p}{F} = \frac{\gamma m_0 u}{F} = \gamma \frac{m_0 u}{F} = (7.1)(2.71\text{s}) = 19.2\text{s}$$

Discussion

When using the relativistic definition of momentum, we find that the time over which the force must be applied to reach a given speed is longer. This makes sense, since it will take infinitely long to reach the speed of light. Also, note that the time that is required using relativistic dynamics is just the time-dilated time that is required in classical dynamics.

Recall how we defined kinetic energy, in Section 7.2, by defining the change in kinetic energy of an object as the net work done on that object. We use the same formalism here to redefine kinetic energy using relativistic dynamics.

The work done by the net force, $\vec{F}$, on an object that goes from a position A to a position B, is given by

$$W = \int_A^B \vec{F} \cdot d\vec{l} = \int_0^t \left(\frac{d}{dt} m_0 \gamma \vec{u}\right) \cdot (\vec{u} dt)$$

where we recognized that a infinitesimal segment $d\vec{l}$ along the path of the object is given by $d\vec{l} = \vec{u}dt$. The time infinitesimals, dt, cancel, and we are left with:

$$W = \int_0^t \left(\frac{d}{dt} m_0 \gamma \vec{u}\right) \cdot (\vec{u} dt)$$

$$= \int d(m_0 \gamma \vec{u}) \cdot \vec{u}$$

which we can integrate by parts. We can integrate this over the speed, u, and we assume that the object started with a speed of $u = 0$ at the beginning of the path and has a speed, $u = U$, at the end of the path:

$$
\begin{aligned}
W &= \int_0^U d(m_0\gamma\vec{u})\cdot\vec{u} = \left[\gamma m_0\vec{u}\cdot\vec{u}\right]_0^U - \int_0^U m_0\gamma u du \quad \text{(int. by parts)} \\
&= \gamma m_0 U^2 - m_0\int_0^U \frac{udu}{\sqrt{1-\frac{u^2}{c^2}}} \\
&= \gamma m_0 U^2 - m_0\left[c^2\sqrt{1-\frac{u^2}{c^2}}\right]_0^U \\
&= \gamma m_0 U^2 - m_0 c^2 + m_0 c^2\sqrt{1-\frac{U^2}{c^2}} \\
&= \gamma\left(m_0 U^2 + m_0 c^2\left(1-\frac{U^2}{c^2}\right)\right) - m_0 c^2 \\
&= m_0 c^2(\gamma-1)
\end{aligned}
$$

Since the object started at rest (with a speed $u=0$) the above integral corresponds to what we would call the kinetic energy of the object, with a speed, u:

$$K = m_0 c^2(\gamma-1) = m_0 c^2\left(\frac{1}{\sqrt{1-\frac{u^2}{c^2}}}-1\right)$$

This form for the relativistic kinetic energy of the object is not at all similar to the form that we obtained in classical physics. As the speed of the object approaches the speed of light, the γ factor approaches infinity, as does the kinetic energy. Thus, it would take an infinite amount of work to accelerate an object to the speed of light, and again, we see that it is impossible for anything with mass to ever reach the speed of light. The formula above, however, should always be correct, even in the non-relativistic limit, when $v << c$. We can approximate the gamma factor using the binomial expansion for the case where $x << 1$:

$$(1+x)^n \sim 1 + nx + \ldots$$

So that, when $v << c$ (and $v^2/c^2 << 1$), the gamma factor is approximated by:

$$\gamma = \left(1-\frac{u^2}{c^2}\right)^{-\frac{1}{2}} \sim 1 + \frac{1}{2}\frac{u^2}{c^2}$$

In this limit, the relativistic kinetic energy reduces to:

$$\lim_{v<<c} K = \lim_{v<<c} m_0 c^2(\gamma-1) \sim m_0 c^2\left(1+\frac{1}{2}\frac{u^2}{c^2}-1\right) = \frac{1}{2}mu^2$$

which is the classical definition of kinetic energy. The kinetic energy is also zero when the speed is zero.

The kinetic energy has two terms in it:

$$K = m_0 c^2\gamma - m_0 c^2$$

The first term increases with speed and behaves as we would expect. The second term is constant, and depends only on the rest mass of the object (we call this term the rest mass energy). We can think of this in slightly different terms. Let us define the total energy, E, of the object as:

$$
\begin{aligned}
E &= m_0 c^2\gamma \\
\therefore E &= K + m_0 c^2
\end{aligned} \tag{24.7.2}
$$

so that the total energy is just the rest mass energy plus the kinetic energy. This highlights a key aspect of Special Relativity. An object will have energy, E, even when it is at rest. That energy, at rest, is called the rest mass energy, and corresponds to energy that an object has by virtue of having mass. This is, of course, Einstein's famous equation:

$$E = m_0 c^2 \quad \text{(rest mass energy)} \tag{24.7.3}$$

This equation implies that mass can be thought of as a form of energy. Nuclear reactors function by converting a small amount of mass of uranium atoms into energy (in the form of heat), that is then used to produce high pressure steam to rotate a turbine.

Einstein's relation is often used to express the mass of subatomic particles in terms of energy. For example, an electron has a mass of $511 \times 10^3 \mathrm{eV/c^2}$ in these units.

Example 24.7.2

What is the mass of a proton, $m_p = 1.67 \times 10^{-27}\mathrm{kg}$, in units of $\mathrm{MeV/c^2}$ (where the M stands for "Mega", and corresponds to $1\mathrm{MeV} = 1 \times 10^6 \mathrm{eV}$)?

Solution

We can first calculate the rest mass energy of the proton in Joules:

$$E = m_p c^2 = (1.67 \times 10^{-27}\mathrm{kg})(3 \times 10^8 \mathrm{m/s})^2 = 1.503 \times 10^{-10}\mathrm{J}$$

We can then convert from Joules to electron-volts:

$$\frac{(1.503 \times 10^{-10}\mathrm{J})}{(1.6 \times 10^{-19}\mathrm{J/eV})} = 939.4 \times 10^6 \mathrm{eV} = 939.4\mathrm{MeV}$$

The mass of the proton can then be expressed as $m_p = 939.4\mathrm{MeV/c^2}$.

Finally, it is interesting to examine the relationship between the momentum and the energy of a relativistic object. Consider the quantity c^2p^2:

$$\begin{aligned} c^2p^2 &= c^2(\gamma m_0 u)^2 = c^2\gamma^2 m_0^2 u^2 = c^4\gamma^2 m_0^2 \frac{u^2}{c^2} = c^4\gamma^2 m_0^2 \left(1 - \frac{1}{\gamma^2}\right) \\ &= c^4\gamma^2 m_0^2 - c^4 m_0^2 \\ &= E^2 - c^4 m_0^2 \end{aligned}$$

where we recognized that $c^4\gamma^2 m_0^2$ is simply the energy, E, squared. This is generally called the "energy-momentum" relation and written:

$$E^2 = p^2c^2 + m_0^2 c^4 \tag{24.7.4}$$

An interesting consequence of this relationship is that particles with no mass will still have a momentum. For example, the photon, which is a particle of light and must thus have a mass of zero (or it could not move at the speed of light), will have a momentum given by:

$$p = \frac{E}{c}$$

Thus, one can use light to impart momentum to something. This is how a solar sail, a proposed propulsion mechanism for space travel, operates.

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24.8: Closing Remarks

In this chapter, we introduced the first hints of how the laws of physics become counter-intuitive, and quite bizarre. One can wrap one's head around Newton's Second Law, $\vec{F}^{net} = m\vec{a}$, and develop some intuition as to how an object may behave. However, it is difficult to imagine how people age slower if they travel faster, and how cars become shorter when they are moving. However, as far as we can tell, this is the best way to describe the Universe around us.

This all goes back to our original statements about physics. The goal is to come up with rules that allow us to describe Nature. It's nice when those rules make sense, but, unfortunately, that is not a requirement. It does appear that the rules that describe Nature do not make sense, at least not based on our common experience, living in a macroscopic world where speeds are much less than the speed of light. With Special Relativity, we introduced the modern framework for modeling dynamics. We have not introduced Quantum Mechanics, which describes how elementary particles behave.

Quantum Mechanics is even less intuitive than Special Relativity, as it implies that particles act as if they are in multiple places at the same time. Even worse, Quantum Mechanics requires us to abandon the concept of determinism that is critical in Classical Mechanics; in Quantum Mechanics, we can only ever determine probabilities. For example, we can only determine the probability that a particle will be at a particular location at a particular time, but we cannot use kinematics and dynamics to predict where it will be at some time based on the forces acting upon it.

If you decide to pursue further studies in physics, you will get to learn more about these theories, which are quite marvelous. It should not bother you that physics is not intuitive, as that is not the purpose. The exciting part of physics is that, even if Nature behaves in an exquisitely weird way, it does appear that this can all be described with a rather limited set of mathematical equations. One can argue that there is beauty in the fact that succinct mathematics can describe a large number of seemingly unrelated phenomena, as Newton's Universal Theory of Gravity was able to describe both the motion of a falling apple and the orbit of the moon.

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24.9: Summary

Key Takeaways

The Theory of Special Relativity is based on Einstein's two postulates:

1. The laws of physics are the same in all inertial reference frames. There is no experiment that can be performed to determine whether one is at rest or moving with constant velocity.
2. The speed of light propagating in vacuum is the same in all inertial reference frames. Any observer in an inertial frame of reference, regardless of their velocity, will measure that light has a speed of c, when it propagates in vacuum.

These postulates are required in order for the equations from electromagnetism to be valid in all inertial frames of reference. However, they lead to very counter-intuitive results. For example, if two events, A and B, are simultaneous in one frame of reference, an observer in a different frame of reference will observe event A to happen earlier/later than event B (earlier or later will depend on the direction of motion of the moving observer).

The Theory of Special Relativity allows us to relate observations made in one inertial frame of reference, S, to observations made in a different inertial frame of reference, S', that is moving with constant velocity, $\vec{v}$, relative to S. We always choose to define the x axis in the S and S' frames of reference so that they are both co-linear with the velocity of S', $\vec{v}$, which is defined to be in the positive x direction in frame, S. Furthermore, we assume that the origin of both frames of reference coincided at time $t = 0$.

We define the gamma factor, γ, based on the speed, v, of S' relative to S:

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

The gamma factor is always greater or equal to 1.

If a time interval, Δt, is measured in frame, S, then a "dilated" time interval, $\Delta t'$, will be measured in frame S':

$$\Delta t' = \gamma \Delta t$$

since $\gamma \geq 1$. We call the time that is measured in a frame of reference that we consider "at rest" to be the "proper time" in that frame of reference. For example, a muon decays in 2.2μs when at rest. If a muon moves at high speed, in the frame of reference where the muon is moving, it will take *longer* (time dilation), for the muon to decay. The time 2.2μs is the "proper time" for the muon decay (since it is measured when the muon is at rest).

As a consequence of time dilation, observers in different frames of reference will measure different lengths due to "length contraction". If an object has a "proper length", L, in a frame of reference, S, that is at rest relative to the object, the object will have a contracted length, L', in a reference frame, S', moving with speed, v, relative to S:

$$L' = \frac{L}{\gamma}$$

Note that only the dimension of the object that is co-linear with the velocity vector, $\vec{v}$, is contracted.

We also noted that Special Relativity is intimately connected to electromagnetism. In particular, we described how what we model as a magnetic force in one frame of reference might be modeled as an electric force in a different frame of reference.

In order to describe the motion of objects, we found that we need to define a four-dimensional space-time, where positions in space-time are labeled by 4 "coordinates", (x, y, z, ct), instead of the usual 3 (space) position coordinates. This is a result of the fact that time is no longer absolute and depends on the frame of reference (e.g. time dilation).

In space-time, we think in terms of events that occur at specific locations in space and instants in time. We can visualize space-time using "space-time diagrams", where one axis corresponds to space (x), and the other axis corresponds to time (ct). The path of an object through space-time is called its "world line".

For a given event in space-time, we can define past and future "light cones". Only events in the past light-cone could have had a causal effect on the event. Similarly, only events in the future light-cone can ever be influenced by that event. Events that can be causally connected (within each other's light cones) are said to be "time-like". Events that are outside of each other's light cones

are said to be “space-like”. If two events are time-like, all observers will agree on the order in which the events happened, preserving the notion of causality. Different observers can disagree on the order in which space-like events occurred.

The Lorentz transformations allow us to convert the coordinates of events in one frame of reference, S, to those in a frames, S', moving with constant speed, v, relative to S:

$$\begin{aligned} x' &= \gamma(x - vt) \\ y' &= y \\ z' &= z \\ t' &= \gamma\left(t - \frac{vx}{c^2}\right) \end{aligned}$$

and the inverse relations are easily found:

$$\begin{aligned} x &= \gamma(x' + vt') \\ y &= y' \\ z &= z' \\ t &= \gamma\left(t' + \frac{vx'}{c^2}\right) \end{aligned}$$

Certain quantities, which are measured to be the same in all frames of reference, are said to be “Lorentz invariant”. In particular, we can define the space-time interval, s, between two events in space-time as:

$$s^2 = \Delta x^2 + \Delta y^2 + \Delta z^2 \ - c^2 \Delta t^2$$

One can think of this as a sort of “distance” in space-time, that does not depend on the frame of reference.

If an object has a velocity vector, $\vec{u}$, as measured in frame of reference S, then its velocity, $\vec{u}'$, in a frame, S', moving with speed, v, relative to S, is given by:

$$\begin{aligned} u'_x &= \frac{u_x - v}{1 - \frac{vu_x}{c^2}} \\ u'_y &= \frac{u_y}{\gamma\left(1 - \frac{vu_x}{c^2}\right)} \\ u'_z &= \frac{u_z}{\gamma\left(1 - \frac{vu_x}{c^2}\right)} \end{aligned}$$

and the reverse transformations are given by:

$$\begin{aligned} u_x &= \frac{u'_x + v}{1 + \frac{vu_x}{c^2}} \\ u_y &= \frac{u'_y}{\gamma\left(1 + \frac{vu_x}{c^2}\right)} \\ u_z &= \frac{u'_z}{\gamma\left(1 + \frac{vu_x}{c^2}\right)} \end{aligned}$$

In order for momentum and energy to be conserved in Special Relativity, these need to be redefined. If a particles with rest mass, m_0, has a velocity, $\vec{u}$, in an inertial frame of reference, its relativistic momentum, $\vec{p}$, is defined to be:

$$\vec{p} = \gamma m_0 \vec{u}$$

where the gamma factor is evaluated using the speed, u:

$$\gamma = \frac{1}{\sqrt{1-\frac{u^2}{c^2}}}$$

This relativistic definition of momentum is equivalent to the classical definition when $u << c$. We can think of relativistic momentum in the same way as classical momentum, if we model the mass of the object as increasing with its speed:

$$m(u) = \gamma m_0$$
$$\therefore \vec{p} = m(u)\vec{u}$$

where m_0 is the mass of the object measured when the object is at rest (its "rest mass"). An object with a rest mass can never reach the speed of light, as this would correspond to it having infinite momentum (or infinite mass).

With the relativistic definition of momentum, one can still use Newton's Second Law in the form:

$$\vec{F} = \frac{d\vec{p}}{dt}$$

We define the total energy, E, of an object as:

$$E = K + m_0 c^2$$

which has a contribution from its kinetic energy, K, and from its mass (the second term). The energy that an object has by virtue of having a mass is called "rest mass energy", which implies that mass and energy can really be thought of as the same thing; one can convert mass into energy and vice versa (as in a nuclear reactor).

The kinetic energy of an object moving with speed, u, is given by:

$$K = m_0 c^2(\gamma - 1)$$

where the gamma factor is obtained using the speed, u. This relativistic definition of kinetic energy is equivalent to the classical definition when $u << c$. The total energy of a particle can also be written as:

$$E = \gamma m_0 c^2$$

Since energy and mass are simply related by a constant, one can use units of energy to describe the mass of a particle. It is common in particle physics to express the mass of particles in units of $\mathrm{MeV/c^2}$.

Finally, we saw that the relativistic momentum and energy of an object are related:

$$E^2 = p^2c^2 + m_0^2c^4$$

In particular, particles of light, which have no mass but have kinetic energy, have non-zero momentum:

$$p = \frac{E}{c}$$

Important Equations

Lorentz factor:

$$\gamma = \frac{1}{\sqrt{1-\frac{v^2}{c^2}}}$$

Time dilation:

$$\Delta t' = \gamma \Delta t$$

Length contraction:

$$L' = \frac{L}{\gamma}$$

Lorentz transformations:

$$\begin{aligned} x' &= \gamma(x - vt) \\ y' &= y \\ z' &= z \\ t' &= \gamma\left(t - \frac{vx}{c^2}\right) \end{aligned}$$

Velocity addition:

$$\begin{aligned} u'_x &= \frac{u_x - v}{1 - \frac{vu_x}{c^2}} \\ u'_y &= \frac{u_y}{\gamma\left(1 - \frac{vu_x}{c^2}\right)} \\ u'_z &= \frac{u_z}{\gamma\left(1 - \frac{vu_x}{c^2}\right)} \end{aligned}$$

The spacetime interval:

$$s^2 = \Delta x^2 + \Delta y^2 + \Delta z^2 - c^2 \Delta t^t$$

Relativistic momentum:

$$\vec{p} = \gamma m_0 \vec{u}$$

Relativistic energy:

$$E = \gamma m_0 c^2 = K + m_0 c^2$$

Relativistic kinetic energy:

$$K = (\gamma - 1) m_0 c^2$$

Newton's Second Law:

$$\vec{F} = \frac{d\vec{p}}{dt}$$

Energy-momentum relation:

$$E^2 = p^2 c^2 + m_0^2 c^4$$

Important Definitions

Definition

Proper time: The time measured in a frame of reference considered at rest. SI units: $[\mathrm{s}]$. Common variable(s): Δt.

Definition

Proper length: The length of an object as measured at rest relative to the object. SI units: $[\mathrm{m}]$. Common variable(s): L.

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24.10: Thinking about the material

Reflect and research

1. How did Michelson and Morley demonstrate that the ether does not exist?
2. Why is 1905 the “year of physics”?
3. Give an example of a device that you use that is affected by relativistic effects.
4. How do you resolve the twin paradox? Can you show it on a space-time diagram?
5. What did Lorentz do and when?
6. Apart from the space-time interval, s, what else is Lorentz invariant?
7. What is Cherenkov radiation?

To try at home

1. Build a particle accelerator.
2. Look up a video illustrating the barn paradox, and other relativistic effects.

To try in the lab

1. Propose an experiment to measure the speed of light.
2. Propose an experiment to test relativistic effects with electro magnetism.

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24.11: Sample problems and solutions

Exercise 24.11.1

The Sun is powered by nuclear fusion reactions in which, predominantly, hydrogen atoms are fused together into helium atoms. Inside the Sun, the material, mostly hydrogen, is in a form of a plasma, where the electrons are not attached to the nuclei of their atoms. Effectively, one can model the solar fusion reactions[1] as:

$$4p + 2e^- \rightarrow \alpha + 2\nu$$

where the four protons correspond to the nuclei of four hydrogen atoms, α is the nucleus of a helium atom, with two neutrons and two protons, and the two ν are neutrinos, particles with virtually zero mass. The reaction above is exothermic, and releases energy, because the total mass of particles on the right is less than the total mass on the left. Given that the mass of a proton is $m_p = 938.3\text{MeV/c}^2$, the mass of an electron is $m_e = 0.511\text{MeV/c}^2$, and the mass of the alpha particle is $m_\alpha = 3727.4\text{MeV/c}^2$, how much energy (in and in) is released in each fusion reaction?

Answer

In order to determine the amount of energy released in each reaction, we need to determine the difference in mass between the two sides of the equation:

$$4p + 2e^- \rightarrow \alpha + 2\nu$$

On the left-hand side, the total mass is:

$$M_{LHS} = 4m_p + 2m_e = 4(938.3\text{MeV/c}^2) + 2(0.511\text{MeV/c}^2) = 3754.22\text{MeV/c}^2$$

whereas on the right-hand side, the total mass is:

$$M_{RHS} = m_\alpha = 3727.4\text{MeV/c}^2$$

Thus, the total energy released in each reaction is given by:

$$\begin{aligned} E &= c^2\Delta M = c^2(M_{LHS} - M_{RHS}) = c^2((3754.22\text{MeV/c}^2) - (3727.4\text{MeV/c}^2)) \\ &= 26.8\text{MeV} = 4.29 \times 10^{-12}\text{J} \end{aligned}$$

where we showed the answer in both and . Although it may not seem like that much energy per reaction, keep in mind that there are of order reactions per second in the Sun, corresponding to a power output of order $4 \times 10^{26}\text{W}$, enough to keep us warm in the summer.

Exercise 24.11.2

A proton is measured by a scientist to have a total energy of $2.5 \times 10^3\text{MeV}$.

a. What is the speed of the proton?
b. How far does the proton travel (in the lab) when 1s goes by in the scientist's frame of reference?
c. How far does the proton travel (in the lab) when 1s goes by in the proton's frame of reference?

Answer

a. From the total energy, we can calculate the gamma factor, which will give us the velocity of the proton (in the reference frame of the scientist):

$$
\begin{aligned}
E &= \gamma m_0 c^2 \\
\frac{1}{\gamma} &= \frac{m_0 c^2}{E} \\
\sqrt{1-\frac{v^2}{c^2}} &= \frac{m_0 c^2}{E} \\
\frac{v^2}{c^2} &= 1-\frac{m_0^2 c^4}{E^2} \\
\therefore v &= \left(\sqrt{1-\frac{m_0 c^4}{E^2}}\right) c \\
&= 0.92c \\
&= \left(\sqrt{1-\frac{(938.3\text{Mev}/\text{c}^2)^2 c^4}{(2.5\times 10^3 \text{MeV})^2}}\right) c \\
&= \left(\sqrt{1-\frac{(938.3\text{Mev})^2}{(2.5\times 10^3 \text{MeV})^2}}\right) c \\
&= 2.76\times 10^8 \text{m/s}
\end{aligned}
$$

b. In the frame of the lab, when one second goes by, the proton will travel a distance:

$$d = vt = (2.76\times 10^8 \text{m/s})(1\text{s}) = 2.76\times 10^8 \text{m}$$

c. In order to find out how far the proton travels in the lab when one second of proper time goes by in the proton's frame of reference, we need to determine how much time went by in the lab's frame of reference.

The gamma factor for the proton can be obtained from the speed that we determined in part a), or from the total energy directly:

$$\gamma = \frac{E}{m_0 c^2} = \frac{(2.5\times 10^3 \text{MeV})}{(938.3\text{MeV}/\text{c}^2)c^2} = \frac{(2.5\times 10^3 \text{MeV})}{(938.3\text{MeV})} = 2.66$$

Thus, when $\Delta t = 1\text{s}$ elapses in the proton's frame of reference, a time dilated time, $\Delta t'$, elapses in the lab frame of reference:

$$\Delta t' = \gamma \Delta t = 2.66\text{s}$$

In the lab frame, the proton will travel a distance:

$$d = vt = (2.76\times 10^8 \text{m/s})(2.66\text{s}) = 7.34\times 10^8 \text{m}$$

Footnotes

1. In reality, there are many more reactions involved in getting from hydrogen to helium.

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CHAPTER OVERVIEW

25: Vectors

This appendix gives a very brief introduction to coordinate systems and vectors.

Learning Objectives

- Understand the definition of a coordinate system
- Understand the definition of a vector and of a scalar
- Be able to perform algebra with vectors (addition, scalar products, vector products)

25.1: Coordinate Systems
25.2: Vectors
25.3: Vector algebra
25.4: Example uses of vectors in physics
25.5: Summary
25.6: Thinking about the Material
25.7: Sample problems and solutions

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25.1: Coordinate Systems

Coordinate systems are used to describe the position of an object in space. A coordinate system is an artificial mathematical tool that we construct in order to describe the position of a real object.

1D Coordinate systems

The easiest coordinate system to construct is one that we can use to describe the location of objects in one dimensional space. For example, we may wish to describe the location of a train along a straight section of track that runs in the East-West direction. In order to do so, we must first define an "origin", which is the reference point of our coordinate system. For example, the origin for our train track may be the Kingston train station (Figure A1.1.1).

We can describe the position of the train by specifying how far it is from the train station (the origin), using a single real number, say x. If the train is at position $x = 0$, then we know that it is at the Kingston station. If the object is not at the origin, then we need to be able to specify on which side (East or West in our train example) of the origin the object is located. We do this by choosing a direction for our one dimensional coordinate x. For example, we may choose that the East side of the track corresponds to positive values of x and that the West side of the track correspond to the negative values of x. Thus, in order to fully specify a one-dimensional coordinate system we need to choose:

- the location of the origin.
- the direction in which the coordinate, x, increases.
- the units in which we wish to express x.

In one dimension, it is common to use the variable x to define the position along the "x-axis". The x-axis *is* our coordinate system in one dimension, and we represent it by drawing a line with an arrow in the direction of increasing x and indicate where the origin is located (as in Figure A1.1.1).

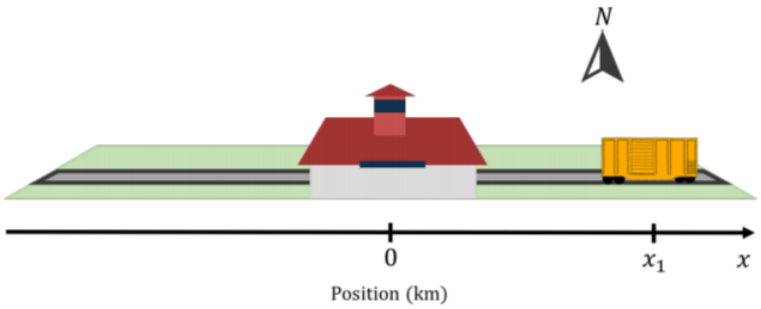

Figure A1.1.1*: A 1d coordinate system describing the position of a train. The Kingston train station is the origin and the East side of the track corresponds to positive values of x. The train is located at position x_1.*

2D Coordinate systems

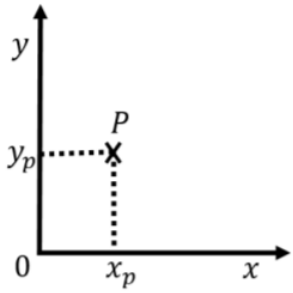

Figure A1.1.2*: Example of Cartesian coordinate system and a point P with coordinates (x_p, y_p).*

To describe the position of an object in two dimensions (e.g. a marble rolling on a table), we need to specify two numbers. The easiest way to do this is to define two axes, x and y, whose origin and direction we must define. Figure A1.1.2 shows an example of such a coordinate system. Although it is not necessary to do so, we chose x and y axes that are perpendicular to each other. The origin of the coordinate system is where the two axes intersect. One is free to choose any two directions for the axes (as long as

they are not parallel). However, choosing axes that are perpendicular (a “Cartesian” coordinate system) is usually the most convenient.

To fully describe the position of an object, we must specify both its position along the x and y axes. For example, point P in Figure A1.1.2 has two **coordinates**, x_p and y_p, that define its position. The x coordinate is found by drawing a line through P that is parallel to the y axis and is given by the intersection of that line with the x axis. The y coordinate is found by drawing a line through point P that is parallel to the x axis and is given by the intersection of that line with the y axis.

? Exercise 25.1.1

Figure A1.1.3 shows a coordinate system that is not orthogonal (where the x and y axes are not perpendicular). Which value on the figure correctly indicates the y coordinate of point P?

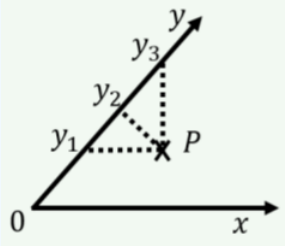

Figure A1.1.3: *A non-orthogonal coordinate system (the x and y axes are not perpendicular).*

A. y_1
B. y_2
C. y_3

Answer

Add texts here. Do not delete this text first.

The most common choice of coordinate system in two dimensions is the Cartesian coordinate system that we just described, where the x and y axes are perpendicular and share a common origin, as shown in Figure A1.1.2. When applicable, by convention, we usually choose the y axis to correspond to the vertical direction.

Another common choice is a “polar” coordinate system, where the position of an object is specified by a distance to the origin, r, and an angle, θ, relative to a specified direction, as shown in Figure A1.1.4. Often, a polar coordinate system is defined alongside a Cartesian system, so that r is the distance to the origin of the Cartesian system and θ is the angle with respect to the x axis.

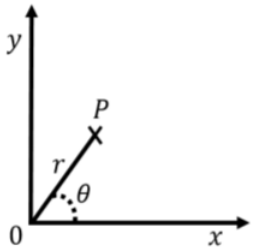

Figure A1.1.4: *Example of a polar coordinate system and a point P with coordinates (r, θ).*

One can easily convert between the two Cartesian coordinates, x and y, and the two corresponding polar coordinates, r and θ:

$$x = r\cos(\theta)$$
$$y = r\sin(\theta)$$
$$r = \sqrt{x^2 + y^2}$$
$$\tan(\theta) = \frac{y}{x}$$

Polar coordinates are often used to describe the motion of an object moving around a circle, as this means that only one of the coordinates (θ) changes with time (if the origin of the coordinate system is chosen to coincide with the center of the circle).

3D Coordinate systems

In three dimensions, we need to specify three numbers to describe the position of an object (e.g. a bird flying in the air). In a three dimensional Cartesian coordinate system, we simply add a third axis, z, that is mutually perpendicular to both x and y. The position of an object can then be specified by using the three coordinates, x, y, and z. By convention, we use the z axis to be the vertical direction in three dimensions.

Two additional coordinate systems are common in three dimensions: "cylindrical" and "spherical" coordinates. All three systems are illustrated in Figure A1.1.5 superimposed onto the Cartesian system.

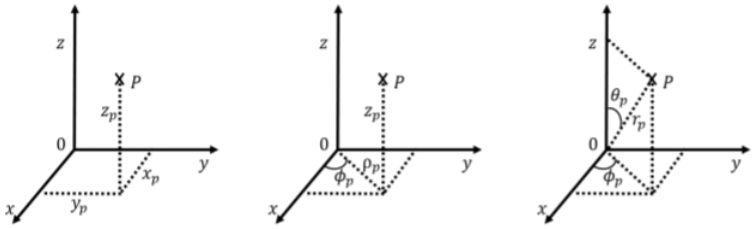

Figure A1.1.5*: Cartesian (left), cylindrical (center) and spherical (right) coordinate systems used in three dimensions. The y and z axes are in the plane of the page, whereas the x axis comes out of the page.*

Cylindrical coordinates can be thought of as an extension of the polar coordinates. We keep the same Cartesian coordinate z to indicate the height above the xy plane, however, we use the *azimuthal angle*, ϕ, and the radius, ρ, to describe the position of the projection of a point onto the xy plane. ϕ is the angle between the x axis and the line from the origin to the projection of the point in the xy plane and ρ is the distance between the point and the z axis. Thus, cylindrical coordinates are very similar to the polar coordinate system introduced in two dimensions, except with the addition of the z coordinate. Cylindrical coordinates are useful for describing situations with azimuthal symmetry, such as the motion along the surface of a cylinder. For example, consider point P in Figure A1.1.6. Point P is located a distance ρ from the z axis, as it is located on the surface of the cylinder (the circular end of the cylinder has a radius ρ). Point P is a height z above the xy plane, and a line from the z axis to point P makes an angle ϕ with the x axis.

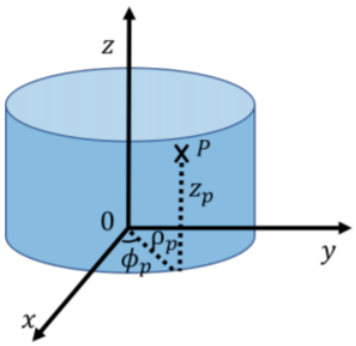

Figure A1.1.6*: Describing the position of P, located on the surface of a cylinder, in cylindrical coordinates.*

The cylindrical coordinates are related to the Cartesian coordinates by:

$$\rho = \sqrt{x^2 + y^2}$$

$$\tan(\phi) = \frac{y}{x}$$

$$z = z$$

In spherical coordinates, a point P is described by the radius, r, the *polar angle* θ, and the *azimuthal angle*, ϕ. The radius is the distance between the point and the origin. The polar angle is the angle with the z axis that is made by the line from the origin to the point. The azimuthal angle is defined in the same way as in polar coordinates. Note that the value of ϕ must be between 0 and 2π, whereas the value of θ must be between 0 and π.

Spherical coordinates are useful for describing situations that have spherical symmetry, such as a person walking on the surface of the Earth, since the radial coordinate will not change. For example, this is shown with Point P in Figure A1.1.7, located on the surface of a sphere of radius r.

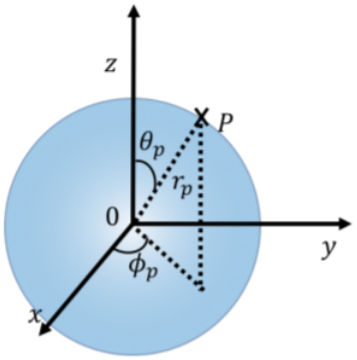

Figure A1.1.7*: Describing the position of P, located on the surface of a sphere, in spherical coordinates.*

The spherical coordinates are related to the Cartesian coordinates by:

$$r = \sqrt{x^2 + y^2 + z^2}$$

$$\cos(\theta) = \frac{z}{r} = \frac{z}{\sqrt{x^2 + y^2 + z^2}}$$

$$\tan(\phi) = \frac{y}{x}$$

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25.2: Vectors

So far, we have seen how to use a coordinate system to describe the position of a single point in space relative to an origin. In this section, we introduce the notion of a "vector", which allows us to describe quantities that have a **magnitude** and a **direction**. For example, you can use a vector to describe the fact that you walked 5 km in the North direction. A vector can be visualized by an arrow. The length of the arrow is the magnitude that we wish to describe, and the direction of the arrow corresponds to the direction that we would like to describe.

Unlike a point in space, vectors **have no location**. That is, vectors are simply an arrow, and you can choose to draw that arrow anywhere you like. In two dimensional space, one requires two numbers to completely define a vector. In three dimensional space, one requires three numbers to completely define a vector. Figure A1.2.1 shows a two dimensional vector, $\vec{d}$, twice. Because both arrows in the figure have the same magnitude and direction, they represent the *same* vector. When we refer to quantities that are vectors, we usually draw an arrow on top of the quantity ($\vec{d}$) to indicate that they are vectors. We use the word "scalar" to refer to numbers that are not vectors (a regular number is thus also called a scalar to distinguish it from a quantity that is a vector).

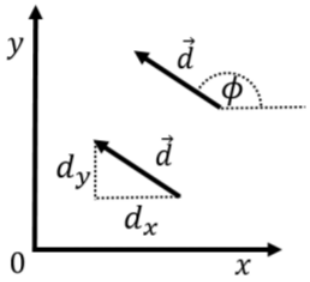

Figure A1.2.1*: A vector $\vec{d}$ shown twice, once with its Cartesian components (dx, dy) and once with its magnitude and direction (d, φ).*

In analogy with coordinate systems, we have multiple ways to choose the numbers that we use to describe the vector. The most convenient choice is usually to use the "Cartesian components" of the vector which correspond to the length of the vector when projected onto a Cartesian coordinate system. For example, in Figure A1.2.1, the Cartesian components of the vector $\vec{d}$ are labeled as (d_x, d_y) indicating that the vector has a length of d_x in the x direction and d_y in the y direction. Furthermore, the number d_x is negative, since the vector points in the negative x direction. Another common choice is to use the length of the vector, which we label d (the name of the vector without the arrow on top), and the angle, ϕ that the vector makes with the x-axis, as illustrated in Figure A1.2.1. In terms of the two dimensional Cartesian components, the magnitude of the vector is given by:

$$d = ||\vec{d}|| = \sqrt{d_x^2 + d_y^2}$$

where we also introduced the notation that placing two vertical bars around a vector ($||\vec{d}||$) is used to indicated its magnitude. Note that in three dimensions, it is usually not convenient to specify the direction unless the vector lies in one of the planes defined by the coordinate system (e.g the xy plane). In three dimensions, it is usually most convenient to specify the three Cartesian components.

Unit vectors

A special category of vectors is "unit vectors", which are simply vectors that have a length (magnitude) of 1 (in whichever units the coordinate system is defined). Unit vectors are particularly useful for indicating direction. For example, in Figure A1.2.1, we may be interested in indicating the direction of the vector $\vec{d}$. Unit vectors are denoted by using a "hat" instead of an arrow. Thus, the vector $\hat{d}$, is the vector of length 1 that points in the same direction as $\vec{d}$. The (Cartesian) components of $\hat{d}$ are easily found by dividing the corresponding components of $\vec{d}$ by d (the magnitude):

$$(\hat{d})_x = \frac{d_x}{d} = \frac{d_x}{\sqrt{d_x^2 + d_y^2}}$$

$$(\hat{d})_y = \frac{d_y}{d} = \frac{d_y}{\sqrt{d_x^2 + d_y^2}}$$

$$\therefore d = ||\hat{d}|| = \sqrt{(\hat{d})_x^2 + (\hat{d})_y^2} = \sqrt{\frac{d_x^2}{d_x^2 + d_y^2} + \frac{d_y^2}{d_x^2 + d_y^2}} = 1$$

A specific type of unit vector is the units vectors that are parallel to the axes of the coordinate system. Those vectors are denoted $\hat{x}$, $\hat{y}$, $\hat{z}$ (and sometimes $\hat{i}$, $\hat{j}$, $\hat{k}$ or $\hat{e}_x$, $\hat{e}_y$, $\hat{e}_z$) for the x, y, and z axes, respectively. Thus, the vector $d\hat{x}$, is the vector of length d that points in the positive x direction.

Notations and representation of vectors

There are multiple notations for describing a vector using its components. The following are all equivalent ways to write down the vector $\vec{d}$ in terms of its components d_x and d_y:

$$\begin{aligned} \vec{d} &= (d_x, d_y) && \text{row vector} \\ &= \begin{pmatrix} d_x \\ d_y \end{pmatrix} && \text{column vector} \\ &= d_x\hat{x} + d_y\hat{y} && \text{using } \hat{x},\ \hat{y} \\ &= d_x\hat{i} + d_y\hat{j} && \text{using } \hat{i},\ \hat{j} \end{aligned}$$

The vectors $\hat{x}$ ($\hat{i}$) and $\hat{y}$ ($\hat{j}$) are unit vectors in x and y directions respectively. For example, the unit vector $\hat{y}$ can be written down as (0,1) in two dimensions or (0,1,0) in three dimensions, using the row notation.

? Exercise 25.2.1

What is the magnitude (the length) of the vector $5\hat{x} - 2\hat{y}$?

A. 3.0
B. 5.4
C. 7.0
D. 10.0

Answer

Illustrating a vector graphically in two dimensions is straightforward, but difficult in three dimensions. To help remedy this, a notation is introduced in order to draw vectors that point in or out of the page (perpendicular to the plane of the page). The notation comes from imagining that the vector is an archery arrow. If the vector is coming out of the page (at you!), then you would see the head of the arrow, which we represent as a circle with a dot (the dot is the point of the arrow, the circle is the base of the conically shaped arrowhead). If instead, the vector points into the page, then you would see the back of the arrow, which we represent as a cross (the cross being the feathers in the tail of the arrow). This is illustrated in Figure A1.2.2.

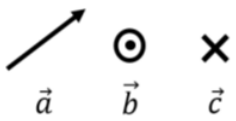

Figure A1.2.2: *Geometric representation of three vectors. The vector $\vec{a}$ lies in the plane of the page, the vector $\vec{b}$ is pointing out of the page, and the vector $\vec{c}$ is pointing into the page.*

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25.3: Vector algebra

In this section, we describe the various algebraic operations that can be performed using vectors.

Multiplication/division of a vector by a scalar

One can multiply (or divide) a vector by a scalar (a number). Suppose that we are given a vector $\vec{v} = (v_x, v_y, v_z)$ and a scalar a. The multiplication $a\vec{v}$ is defined to be a new vector, say $\vec{w}$, whose components are the components of $\vec{v}$ multiplied by a:

$$\vec{w} = a\vec{v} = (av_x, av_y, av_z)$$

Similarly, the division of a vector by a scalar is defined analogously by dividing each Cartesian component by the scalar::

$$\vec{w} = \frac{\vec{v}}{a} = \left(\frac{v_x}{a}, \frac{v_y}{a}, \frac{v_z}{a}\right)$$

? Exercise 25.3.1

What happens to the length of a vector if the vector is multiplied by 2 (a scalar)?

A. The length doubles
B. The length is halved
C. The length is quadrupled
D. It depends on the direction of the vector

Answer

In particular, this makes it easy to determine the unit vector, $\hat{v}$, that points in the same direction as $\vec{v}$:

$$\hat{v} = \frac{\vec{v}}{v}$$

where v is the (scalar) magnitude of $\vec{v}$.

Addition/subtraction of two vectors

The sum of two vectors, $\vec{a}$ and $\vec{b}$, is found by adding the components of the two vectors. Similarly, the difference between two vectors is found by subtracting the components. For example, if $\vec{c} = \vec{a} + \vec{b}$, the components of $\vec{c}$ are given by:

$$\vec{c} = \vec{a} + \vec{b} = \begin{pmatrix} a_x \\ a_y \end{pmatrix} + \begin{pmatrix} b_x \\ b_y \end{pmatrix}$$

$$\therefore \begin{pmatrix} c_x \\ c_y \end{pmatrix} = \begin{pmatrix} a_x + b_x \\ a_y + b_y \end{pmatrix}$$

where we chose to use the "column vector" notation. The column vector notation highlights the fact that the algebra (addition, subtraction) is performed independently on the x and y components. We can thus use write this sum equivalently as two scalar equations, one for each coordinate:

$$c_x = a_x + b_x$$
$$c_y = a_y + b_y$$

Vectors can thus be used as a short-hand notation for representing multiple equations (one equation per component). When we use vectors to write an equation such as:

$$\vec{F} = m\vec{a}$$

we really mean that there is one scalar equation per component of the vectors:

$$F_x = ma_x$$
$$F_y = ma_y$$
$$F_z = ma_z$$

Example 25.3.1

Given two vectors, $\vec{a} = 2\hat{x} + 3\hat{y}$, and $\vec{b} = 5\hat{x} - 2\hat{y}$, calculate the vector $\vec{c} = 2\vec{a} - 3\vec{b}$.

Solution

This can easily be solved algebraically by collecting terms for each component, $\hat{x}$ and $\hat{y}$:

$$\begin{aligned}\vec{c} &= 2\vec{a} - 3\vec{b} \\ &= 2(2\hat{x} + 3\hat{y}) - 3(5\hat{x} - 2\hat{y}) \\ &= (4\hat{x} + 6\hat{y}) - (15\hat{x} - 6\hat{y}) \\ &= (4 - 15)\hat{x} + (6 + 6)\hat{y} \\ &= -11\hat{x} + 12\hat{y}\end{aligned}$$

We can think of these operations as being performed independently on the components:

$$\begin{aligned}c_x &= 2a_x - 3b_x = -11 \\ c_y &= 2a_y - 3b_y = 12\end{aligned}$$

Geometrically, one can easily visualize the addition and subtraction of vectors. This is illustrated in Figure A1.3.1 for the case of adding vectors $\vec{a}$ and $\vec{b}$ to get the vector $\vec{c}$. Geometrically, the sum of the vectors $\vec{a}$ and $\vec{b}$ (sometimes also called the "resultant") can be found by:

1. Placing the "tail" of vector $\vec{b}$ at the "head" of $\vec{a}$ (think of an arrow, the pointy part is the head and the feathery part is the tail)
2. Drawing the vector that goes from the tail of vector $\vec{a}$ to the head of vector $\vec{b}$.

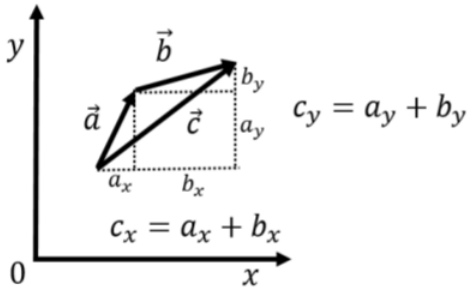

Figure A1.3.1*: Geometric addition of the vectors $\vec{a}$ and $\vec{b}$ by placing them "head to tail".*

Subtracting two vectors geometrically is done in the same way as addition. For example, the vector $\vec{c}$, given by $\vec{c} = \vec{a} - \vec{b}$ can also be expressed as $\vec{c} = \vec{a} + (-1)\vec{b}$. That is, first multiply the vector $\vec{b}$ by minus 1 (which just reverses its direction), then add that vector, "head to tail", to the vector $\vec{a}$.

Now that we know how to add vectors, we can better understand the notation $\vec{a} = a_x\hat{x} + a_y\hat{y}$. This is not simply a notation, but is in fact algebraically correct. It means: "multiply the vector $\hat{x}$ by a_x (thus giving it a length of a_x) and then add a_y times the vector $\hat{y}$". This is illustrated in Figure A1.3.2, which shows the unit vectors, $\hat{x}$ and $\hat{y}$, which are then multiplied by a_x and a_y, respectively, and then added together "head to tail".

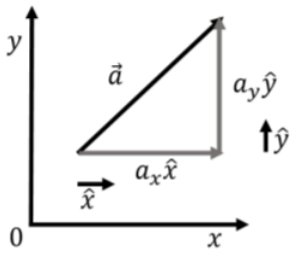

Figure A1.3.2: Illustration that the notation $\vec{a} = a_x\hat{x} + a_y\hat{y}$ is in fact the vector addition of $a_x\hat{x}$ and $a_y\hat{y}$.

The scalar product

There are two ways to "multiply" vectors: the "scalar product" and the "vector product". The scalar product (or "dot product") takes two vectors and results in a scalar (a number). The vector product (or "cross product") takes two vectors and results in a third vector.

The scalar product, $\vec{a} \cdot \vec{b}$, of two vectors $\vec{a}$ and $\vec{b}$, is defined as the following:

$$\vec{a} \cdot \vec{b} = a_x b_x + a_y b_y$$

That is, one multiplies the individual components of the two vectors and then adds those products for each component. This is easily extended to the three dimensional case by adding a term $a_z b_z$ to the sum. The scalar product is also related to the angle between the two vectors when the vectors are placed "tail to tail", as in Figure A1.3.3

$$\vec{a} \cdot \vec{b} = ab\cos\theta$$

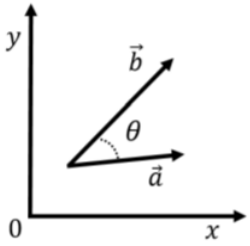

Figure A1.3.3: *Illustration of the angle between vectors $\vec{a}$ and $\vec{b}$ when these are placed tail to tail.*

The scalar product between two vectors of a fixed length will be maximal when the two vectors are parallel ($\cos\theta = 1$) and zero when the vectors are perpendicular ($\cos\theta = 0$). The scalar product is thus useful when we want to calculate quantities that are maximal when two vectors are parallel.

? Exercise 25.3.2

The vectors $\vec{a}$ and $\vec{b}$ in the three diagrams below have the same magnitude. Order the diagrams from the one that gives the smallest scalar product $\vec{a} \cdot \vec{b}$ to the largest scalar product.

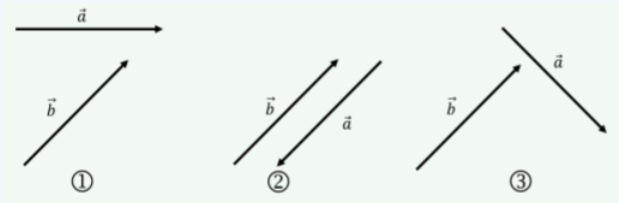

Figure A1.3.4: *Put these in order of the magnitude of their scalar product.*

Answer

$2 < 3 < 1$

The vector product

The vector (or cross) product takes two vectors to produce a third vector that is **mutually perpendicular** to both vectors. The vector product only has meaning in three dimensions. Two vectors that are not co-linear, meaning they can not be arranged so that they lie along the same line, can always be used to define a plane in three dimensions. The cross product of those two vectors will give a third vector that is perpendicular to the plane (making it perpendicular to both vectors).

Algebraically, the three components of the vector product, $\vec{a} \times \vec{b}$, of vectors $\vec{a}$ and $\vec{b}$ are found as follows:

$$\vec{a} \times \vec{b} = \begin{pmatrix} a_y b_z - a_z b_y \\ a_z b_x - a_x b_z \\ a_x b_y - a_y b_x \end{pmatrix} \tag{25.3.1}$$

One important property to note is that $\vec{a} \times \vec{b} = -\vec{b} \times \vec{a}$; that is, the cross product is not commutative (the order matters). The magnitude of the vector obtained by a cross product is given by:

$$||\vec{a} \times \vec{b}|| = ab \sin\theta \tag{25.3.2}$$

where θ is the angle between the vectors $\vec{a}$ and $\vec{b}$ when these are placed tail to tail (Figure A1.3.3). The vector resulting from a cross product will be null (have a zero length) if the vectors $\vec{a}$ and $\vec{b}$ are parallel, and will have a maximal length when these are perpendicular. The cross product is useful to determine quantities that are maximal when two vectors are perpendicular.

Geometrically, one can determine the direction of the cross product of two vectors by using a “right hand rule”. To distinguish it from another right hand rule (see Section A1.4), we will call it “the right hand rule for the cross product”). This is done by using your right hand, aligning your thumb with the first vector and your index with the second vector. The cross product will point in the direction of your middle finger (when you hold your middle finger perpendicular to the other two fingers). This is illustrated in Figure A1.3.5. Thus, you can often avoid using *Equation A1.3.1* and instead use the right hand rule to determine the direction of the cross product and *Equation A1.3.2* to find its magnitude.

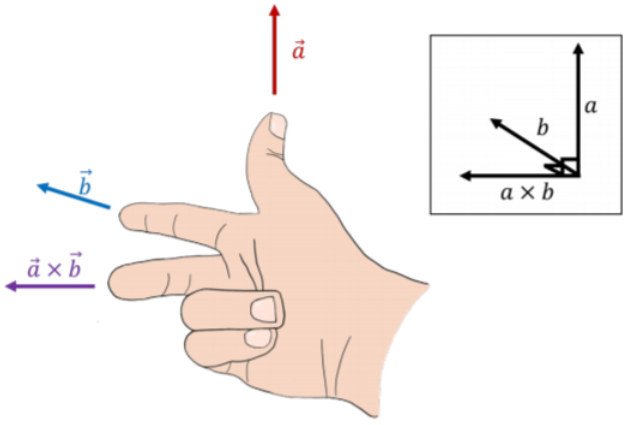

Figure A1.3.5*: Using the right hand rule for cross products to find the direction of the cross product of vectors $\vec{a}$ (upwards) and $\vec{b}$ (into the page).*

The unit vectors that define a coordinate system have the following properties relative to the cross product:

$$\vec{x} \times \vec{y} = \vec{z}$$
$$\vec{y} \times \vec{z} = \vec{x}$$
$$\vec{z} \times \vec{x} = \vec{y}$$

For these properties to be correct, it should be noted that the direction of the z axis in three dimensions is specified by the choice of x and y axes. That is, one can freely choose the direction of the x and y axes, which then define a plane to which the z axis will be perpendicular. The direction of the z axis must be chosen so that $\vec{x} \times \vec{y} = \vec{z}$ (this guarantees that the coordinate system is “right handed”), as in Figure A1.3.6.

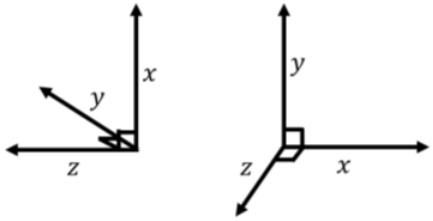

Figure A1.3.6: *Two possible orientations for a three dimensional coordinate system. You can confirm using the right hand rule that the z axis is the cross product $\vec{x} \times \vec{y}$.*

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25.4: Example uses of vectors in physics

This section gives a quick overview of some applications of vectors in physics.

Kinematics and vector equations

Kinematics is the description of the position and motion of an object (Chapters 3 and 4). The laws of physics are the principles that ultimately allow us to determine how the position of an object changes with time. For example, Newton's Laws are a mathematical framework that introduce the concepts of force and mass in order to model and determine how an object will move through space.

We often use a **position vector**, $\vec{r}(t)$, to describe the position of an object as a function of time. Because the object can move, the position vector is a function of time. A position vector is a special vector in the sense that it should be considered to be fixed in space; the position vector for an object points from the origin of a coordinate system to the location of the object.

The three components of the position vector in Cartesian coordinates, are the x, y, and z coordinates of the object:

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix}$$

where the three coordinates of the object are functions of time if the object can move. Suppose that the object was initially at position $\vec{r}_1 = (x_1, y_1, z_1)$ at some time $t = t_1$, and that later, at time $t = t_2$, the object was at as second position, $\vec{r}_2 = (x_1, y_1, z_1)$. We can define the **displacement vector**, $\vec{d}$, as the vector from position $\vec{r}_1$ to position $\vec{r}_2$:

$$\vec{d} = \vec{r}_2 - \vec{r}_1 = \begin{pmatrix} x_2 - x_1 \\ y_2 - y_1 \\ z_2 - z_1 \end{pmatrix} = \begin{pmatrix} \Delta x \\ \Delta y \\ \Delta z \end{pmatrix}$$

The displacement vector is such that one can add the vector $\vec{d}$ to the vector $\vec{r}_1$ to describe the new position of the object at time t_2:

$$\vec{d} = \vec{r}_2 - \vec{r}_1$$
$$\therefore \vec{r}_2 = \vec{r}_1 + \vec{d}$$

The components of the displacement vector, Δx, Δy, and Δz correspond to the displacements (the distance traveled) along the x, y, and z axes, respectively. This is illustrated for the two dimensional case in Figure A1.4.1.

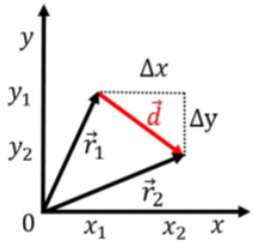

Figure A1.4.1: *Illustration of a displacement vector, $\vec{d} = \vec{r}_2 - \vec{r}_1$, for an object that was located at position $\vec{r}_1$ at time t_1 and at position $\vec{r}_2$ at time t_2.*

The velocity vector of the object, $\vec{v} = (v_x, v_y, v_z)$, is defined to be the displacement vector, $\vec{d}$, divided by the amount of time (a scalar) that elapsed, $\Delta t = t_2 - t_1$, while the object moved by the corresponding displacement:

$$\vec{v} = \frac{\vec{d}}{\Delta t} = \begin{pmatrix} \frac{\Delta x}{\Delta t} \\ \frac{\Delta y}{\Delta t} \\ \frac{\Delta z}{\Delta t} \end{pmatrix}$$

We used the property that dividing a vector by a scalar (Δt) is defined as dividing each component by the scalar. If we write the components of the velocity vector out explicitly, we have:

$$\begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \begin{pmatrix} \frac{\Delta x}{\Delta t} \\ \frac{\Delta y}{\Delta t} \\ \frac{\Delta z}{\Delta t} \end{pmatrix}$$

That is, we can think of each row in this "vector equation" as an independent equation. That is, when we write the vector equation:

$$\vec{v} = \frac{\vec{d}}{\Delta t}$$

we are really just using a shorthand notation for writing the three **independent** equations that are true for each individual component of the vectors:

$$v_x = \frac{\Delta x}{\Delta t}$$
$$v_y = \frac{\Delta y}{\Delta t}$$
$$v_z = \frac{\Delta z}{\Delta t}$$

Whenever we write an equation using vectors, we are really writing out multiple equations all at once, one for each component. Newton's Second Law:

$$\vec{F} = m\vec{a}$$

thus corresponds to the three (scalar) equations:

$$F_x = ma_x$$
$$F_y = ma_y$$
$$F_z = ma_z$$

Work and scalar products

As we will see, "work" is a scalar quantity that allows us to determine the change in the speed (squared) of an object that results from a force exerted over a particular displacement (Chapter 7). Both force and the displacement are vector quantities (a force has a magnitude and is exerted in a particular direction). The work, W, done by a force, $\vec{F}$, over a displacements, $\vec{d}$, is defined as:

$$W = \vec{F} \cdot \vec{d}$$

The work energy theorem tells us that this work is related to the change in speed squared of the object as it moves along the displacement vector d. If the work is zero, the object has the same speed at the beginning and end of the displacement. If the work is positive, the object is moving faster at the end of the displacement (and slower if the work is negative). A one dimensional example is shown in Figure A1.4.2, which shows a force $\vec{F}$ being applied to a block as it slides along the ground over a distance d (represented by the displacement vector $\vec{d}$).

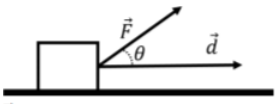

Figure A1.4.2: *Example of a force $\vec{F}$ being applied on an object as it moves along the displacement vector $\vec{d}$.*

Intuitively, it makes sense that only the horizontal component of the force would contribute to changing the speed of the object as it moves along the horizontal trajectory defined by the vector $\vec{d}$. The vertical component of the force does not contribute to changing the speed of the object. Thus, the work (the change in speed), should only depend on the component of the force that is parallel to the displacement vector. The scalar product allows us to formalize this in an equation. The scalar product is given by:

$$\vec{F} \cdot \vec{d} = Fd\cos\theta = F_{\parallel} d$$

where we introduced $F_{\parallel} = F\cos\theta$ as the component of $\vec{F}$ that is parallel to $\vec{d}$ (see Figure A1.4.2). The scalar product thus "picks out" the component of $\vec{F}$ that is parallel to $\vec{d}$, which is exactly what we need to in order for work to make sense.

Using vectors to describe rotational motion

Often, we need to describe rotational motion in physics. If an object is rotating, one must specify:

1. The axis about which the object is rotating
2. The direction about that axis in which the object is rotating (e.g. clockwise or counter-clockwise)
3. How fast the object is rotating

We introduce a new type of vector, an "axial vector", to describe this kind of rotational motion. We choose the direction of the vector to be co-linear with the axis of rotation and the magnitude of the vector to represent the speed with which the object is rotating. We are thus left with two choices for the direction of the vector. For example, consider the wheels on a car that is moving away from you (Figure A1.4.3, the car is moving into the page). The axis of rotation is the axis of the wheel, so we know that the vector describing the wheel's rotation (the angular velocity vector) must point either to the left or to the right.

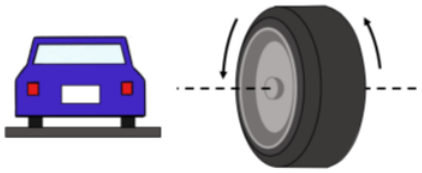

Figure A1.4.3*: The wheels on a car that is driving away from you.*

We choose the direction of the vector by using another right hand rule. We will refer to this as "the right hand rule for axial vectors" to distinguish it from the right hand rule for the cross product. When using the right hand rule for axial vectors, the vector points in the direction of your thumb when you curl your fingers in the direction of rotation, as in Figure A1.4.4. For the car moving away from you, the wheels will be turning such that the closest point to you is moving up and the furthest point is moving down. Using the right hand rule, we find that the rotation vector points to the left.

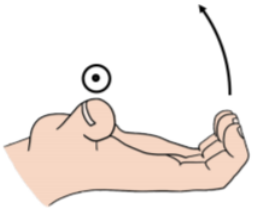

Figure A1.4.4*: Using the right hand rule for axial quantities. In this case, the direction of rotation is counter clockwise when looking at the page (the direction that the fingers curl), so the rotation vector points out of the page (the direction of the thumb).*

We have to distinguish axial vectors from "true" vectors because they do not behave like true vectors in all cases. For instance, imagine that there is a giant mirror that runs parallel to the road (Figure A1.4.5). When the car is reflected in the mirror, the reflected car will also be moving away from you. This means that the wheels will be turning in the same direction as before, so the rotation vector still points to the left. Now consider a true vector, like a velocity vector. If the velocity vector initially pointed to the left (i.e. if the car was moving to the left), the reflected car would be moving to the *right*. So, we expect a true vector to change directions when it is reflected in this way. Since the rotation vector does not always behave like a true vector, we call it an axial vector or a "pseudovector."

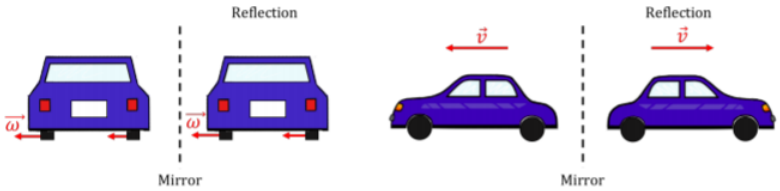

Figure A1.4.5: *Left: The angular velocity vector for the rotation of the wheels, $\vec{\omega}$, which points to the left, also points left in the reflection. Right: The velocity vector, pointing to the left, points to the right in the reflection of the car. The angular velocity vector is called an "axial" or "pseudo" vector because it does not change direction under a reflection.*

Torque and vector products

We will introduce the concept of a torque in order to describe how a force can cause an object to rotate. Consider the disk illustrated in Figure A1.4.6 that is free to rotate about an axis that goes through its center and that is perpendicular to the plane of the page. A force $\vec{F}$ is applied at the edge of the disk (imagine pulling on a string attached to the edge of the disk), at a position that is displaced from the axis of rotation by the vector $\vec{r}$. The torque, $\vec{\tau}$, of the force about the center of the disk is defined to be:

$$\vec{\tau} = \vec{r} \times \vec{F}$$

and represents how much the force $\vec{F}$ will contribute to making the disk rotate about its axis. If the force vector were parallel to the vector $\vec{r}$, the disk would not rotate; if you pull outwards on a disk, it will not rotate about its center. However, if the force is perpendicular to the vector $\vec{r}$ (i.e. tangent to the circumference of the disk), then it will maximally cause the disk to rotate. The magnitude of the torque (cross-product) is given by:

$$\tau = rF\sin\theta = F_{\perp}r = Fr_{\perp}$$

where θ is the angle between the vectors when placed tail to tail, as in the right side of Figure A1.4.6. In the last two equalities, we have defined $F_{\perp} = F\sin\theta$ or $r_{\perp} = r\sin\theta$ to refer to the part of the vector $\vec{F}$ that is perpendicular to the vector $\vec{r}$ or the part of the vector $\vec{r}$ that is perpendicular to the vector $\vec{F}$. That is, the vector product "picks out" the part of a vector that is perpendicular to the other, which is exactly the property that we need for the physical quantity of torque.

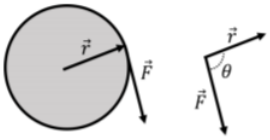

Figure A1.4.6: *A force, $\vec{F}$, is exerted in the plane of a disk at a position given by the vector $\vec{r}$ relative to the center of the disk.*

? Exercise 25.4.1

Referring to Figure A1.4.6, in which direction does the torque vector point?

A. to the right
B. to the left
C. out of the page
D. into the page

Answer

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25.5: Summary

Key Takeaways

Cartesian coordinate systems can be defined using an origin, and mutually perpendicular axes that specify a direction in which each corresponding coordinate increases. The position of a point is described by the coordinates of the point (one coordinate per axis). Polar, cylindrical and spherical coordinate systems can be defined relative to a Cartesian coordinate system and sometimes facilitate the description of situations with cylindrical (azimuthal) or spherical symmetry.

Vectors can be represented by arrows and are quantities that have both a magnitude and a direction, as opposed to “scalars”, which are simply numbers. Vectors are not fixed in space, so two vectors are equal if they have the same magnitude and direction, regardless of where they are drawn. We place a little arrow above a variable, $\vec{d}$, to indicate that it is a vector. There are several, equivalent, notations to indicate the components of a vector:

$$\begin{aligned} \vec{d} &= (d_x, d_y, d_z) && \text{row vector} \\ &= \begin{pmatrix} d_x \\ d_y \\ d_z \end{pmatrix} && \text{column vector} \\ &= d_x \hat{x} + d_y \hat{y} + d_z \hat{z} && \text{using } \hat{x},\ \hat{y},\ \hat{z} \\ &= d_x \hat{\imath} + d_y \hat{\jmath} + d_z \hat{\jmath} && \text{using } \hat{\imath},\ \hat{\jmath},\ \hat{k} \end{aligned}$$

If we multiply (divide) a vector by a scalar, we multiply (divide) each component of the vector individually by that quantity. As a result, the magnitude of the vector will also be multiplied (divided) by that quantity:

$$a\vec{d} = \begin{pmatrix} ad_x \\ ad_y \\ ad_z \end{pmatrix}$$

In particular, we can define a unit vector, $\hat{d}$, to be a vector of length 1 in the same direction as $\vec{d}$, by simply dividing $\vec{d}$ by its magnitude, d:

$$\hat{d} = \frac{\vec{d}}{d}$$

where the magnitude of the vector, $||\vec{d}|| = d$, expressed in Cartesian coordinates, is given by:

$$||\vec{d}|| = d = \sqrt{d_x^2 + d_y^2 + d_z^2}$$

We can add two vectors by independently adding the individual components of the vectors:

$$\begin{aligned} \vec{c} &= \vec{a} + \vec{b} \\ \therefore c_x &= a_x + b_x \\ \therefore c_y &= a_y + b_y \\ \therefore c_z &= a_z + b_z \end{aligned}$$

Graphically, this corresponds to adding vectors “head to tail”. This also highlights that an equation written using vectors (as the first line above) really represents three independent equations, one for each coordinate of the vectors (or two in two dimensions). Subtraction of vectors is treated in the same way as addition (but using minus signs where appropriate).

One can define the scalar (or dot) product between two vectors, as a scalar quantity that is obtained from the two vectors:

$$\vec{a} \cdot \vec{b} = a_x b_x + a_y b_y + a_z b_z$$

The scalar product is also related to the angle, θ, between the two vectors when these are placed “tail to tail”:

$$\vec{a} \cdot \vec{b} = ab \cos\theta$$

In particular, the scalar product between two vectors is zero if the two vectors are perpendicular to each other ($\cos\theta = 0$), and maximal when these are parallel to each other.

The vector (or cross) product between two vectors is a vector that is mutually perpendicular to both vectors and is defined as the following:

$$\vec{a} \times \vec{b} = \begin{pmatrix} a_y b_z - a_z b_y \\ a_z b_x - a_x b_z \\ a_x b_y - a_y b_x \end{pmatrix}$$

The vector product can only be defined in three dimensions, since it must be mutually perpendicular to the vectors. The magnitude of the vector product is given by:

$$||\vec{a} \times \vec{b}|| = ab \sin\theta$$

where θ is the angle between the two vectors when these are placed tail to tail. In particular, the vector product between two vectors is zero if the two vectors are parallel to each other (and maximal when these are perpendicular). The direction of the vector product is given by the right-hand rule for the cross product.

An axial vector can be used to describe a quantity that is related to rotation. The direction of the axial vector is co-linear with the axis of rotation, its magnitude is given by the magnitude of the rotational quantity (e.g. angular speed), and its direction is defined using the right-hand rule for axial vectors.

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25.6: Thinking about the Material

Reflect and research

1. What are some quantities that need to be represented by a vector?
2. Can a vector in three dimensions be represented using spherical coordinates? How would you calculate the scalar product between two vectors represented in spherical coordinates?

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25.7: Sample problems and solutions

Exercise 25.7.1

a. What is the displacement vector from position $(1, 2, 3)$ to position $(4, 5, 6)$?
b. What angle does that displacement vector make with the x axis?

Answer

a. The displacement vector is given by:

$$\vec{d} = \begin{pmatrix} 4 \\ 5 \\ 6 \end{pmatrix} - \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} 3 \\ 3 \\ 3 \end{pmatrix}$$

b. We can find the angle that this vector makes with the x axis by taking the scalar product of the displacement vector and the unit vector in the x direction (1,0,0):

$$\hat{x} \cdot \vec{d} = (1)(3) + (0)(3) + (0)(3) = 3$$

This is equal to the product of the magnitude of $\hat{x}$ and $\vec{d}$ multiplied by the cosine of the angle between them:

$$\begin{aligned} \hat{x} \cdot \vec{d} &= ||\hat{x}||||\vec{d}|| \cos\theta = (1)(\sqrt{3^2 + 3^2 + 3^2}) \cos\theta = \sqrt{27} \cos\theta \\ 3 &= \sqrt{27} \cos\theta \\ \therefore \cos\theta &= \frac{3}{\sqrt{27}} = \frac{1}{\sqrt{3}} \\ \theta &= 54.7^\circ \end{aligned}$$

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CHAPTER OVERVIEW

26: Calculus

This appendix gives a very brief introduction to calculus with a focus on the tools needed in physics.

Learning Objectives

- Understand how to determine a derivative and that it measures a rate of change.
- Understand how to determine partial derivatives and gradients.
- Understand how to determine anti-derivatives and that integrals are sums.

26.1: Functions of Real Numbers
26.2: Derivatives
26.3: Anti-derivatives and integrals
26.4: Summary
26.5: Thinking about the Material
26.6: Sample problems and solutions

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26.1: Functions of Real Numbers

In calculus, we work with functions and their properties, rather than with variables as we do in algebra. We are usually concerned with describing functions in terms of their slope, the area (or volumes) that they enclose, their curvature, their roots (when they have a value of zero) and their continuity. The functions that we will examine are a mapping from one or more *independent* real numbers to one real number. By convention, we will use x, y, z to indicate independent variables, and $f()$ and $g()$, to denote functions. For example, if we say:

$$f(x) = x^2$$
$$\therefore f(2) = 4$$

we mean that $f(x)$ is a function that can be evaluated for any real number, x, and the result of evaluating the function is to square the number x. In the second line, we evaluated the function with $x = 2$. Similarly, we can have a function, $g(x, y)$ of multiple variables:

$$g(x, y) = x^2 + 2y^2$$
$$\therefore g(2, 3) = 22$$

We can easily visualize a function of 1 variable by plotting it, as in Figure A2.1.1.

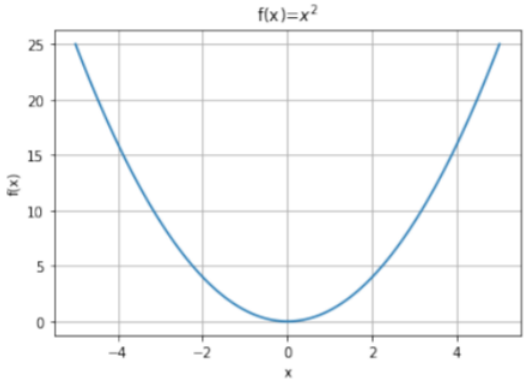

Figure A2.1.1: $f(x) = x^2$ *plotted between* $x = -5$ *and* $x = +5$.

Plotting a function of 2 variables is a little trickier, since we need to do it in three dimensions (one axis for x, one axis for y, and one axis for $g(x, y)$). Figure A2.1.2 shows an example of plotting a function of 2 variables.

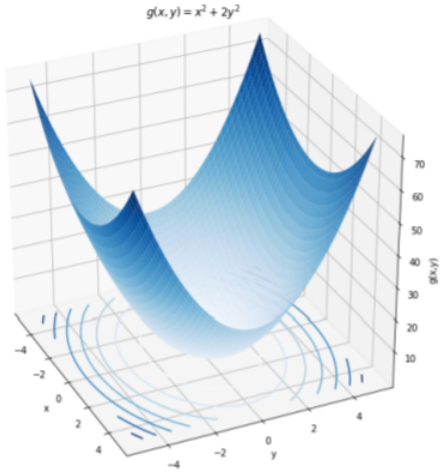

Figure A2.1.2: $g(x, y) = x^2 + 2y^2$ *plotted for* x *between* -5 *and* $+5$ *and for* y *between* -5 *and* $+5$. *A function of two variables can be visualized as a surface in three dimensions. One can also visualize the function by look at its "contours" (the lines drawn in the* xy *plane).*

Unfortunately, it becomes difficult to visualize functions of more than 2 variables, although one can usually look at projections of those functions to try and visualize some of the features (for example, contour maps are 2D projections of 3D surfaces, as shown in the xy plane of Figure A1.1.2). When you encounter a function, it is good practice to try and visualize it if you can. For example, ask yourself the following questions:

- Does the function have one or more maxima and/or minima?
- Does the function cross zero?
- Is the function continuous everywhere?
- Is the function always defined for any value of the independent variables?

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26.2: Derivatives

Consider the function $f(x) = x^2$ that is plotted in Figure A2.1.1. For any value of x, we can define the slope of the function as the "steepness of the curve". For values of $x > 0$ the function increases as x increases, so we say that the slope is positive. For values of $x < 0$, the function decreases as x increases, so we say that the slope is negative. A synonym for the word slope is "derivative", which is the word that we prefer to use in calculus. The derivative of a function $f(x)$ is given the symbol $\frac{df}{dx}$ to indicate that we are referring to the slope of $f(x)$ when plotted as a function of x.

We need to specify which variable we are taking the derivative with respect to when the function has more than one variable but only one of them should be considered *independent*. For example, the function $f(x) = ax^2 + b$ will have different values if a and b are changed, so we have to be precise in specifying that we are taking the derivative with respect to x. The following notations are equivalent ways to say that we are taking the derivative of $f(x)$ with respect to x:

$$\frac{df}{dx} = \frac{d}{dx} f(x) = f'(x) = f'$$

The notation with the prime ($f'(x)$, f') can be useful to indicate that the derivative itself is *also* a function of x.

The slope (derivative) of a function tells us how rapidly the value of the function is changing when the independent variable is changing. For $f(x) = x^2$, as x gets more and more positive, the function gets steeper and steeper; the derivative is thus increasing with x. The sign of the derivative tells us if the function is increasing or decreasing, whereas its absolute value tells how quickly the function is changing (how steep it is).

We can approximate the derivative by evaluating how much $f(x)$ changes when x changes by a small amount, say, Δx. In the limit of $\Delta x \to 0$, we get the derivative. In fact, this is the formal definition of the derivative:

$$\frac{df}{dx} = \lim_{\Delta x \to 0} \frac{\Delta f}{\Delta x} = \lim_{\Delta x \to 0} \frac{f(x + \Delta x) - f(x)}{\Delta x} \tag{26.2.1}$$

where Δf is the small change in $f(x)$ that corresponds to the small change, Δx, in x. This makes the notation for the derivative more clear, dx is Δx in the limit where $\Delta x \to 0$, and df is Δf, in the same limit of $\Delta x \to 0$.

As an example, let us determine the function $f'(x)$ that is the derivative of $f(x) = x^2$. We start by calculating Δf:

$$\begin{aligned} \Delta f &= f(x + \Delta x) - f(x) \\ &= (x + \Delta x)^2 - x^2 \\ &= x^2 + 2x\Delta x + \Delta x^2 - x^2 \\ &= 2x\Delta x + \Delta x^2 \end{aligned}$$

We now calculate $\frac{\Delta f}{\Delta x}$:

$$\begin{aligned} \frac{\Delta f}{\Delta x} &= \frac{2x\Delta x + \Delta x^2}{\Delta x} \\ &= 2x + \Delta x \end{aligned}$$

and take the limit $\Delta x \to 0$:

$$\begin{aligned} \frac{df}{dx} &= \lim_{\Delta x \to 0} \frac{\Delta f}{\Delta x} \\ &= \lim_{\Delta x \to 0} (2x + \Delta x) \\ &= 2x \end{aligned}$$

We have thus found that the function, $f'(x) = 2x$, is the derivative of the function $f(x) = x^2$. This is illustrated in Figure A2.2.1. Note that:

- For $x > 0$, $f'(x)$ is positive and increasing with increasing x, just as we described earlier (the function $f(x)$ is increasing and getting steeper).

- For $x < 0$, $f'(x)$ is negative and decreasing in magnitude as x increases. Thus $f(x)$ decreases and gets less steep as x increases.
- At $x = 0$, $f'(x) = 0$ indicating that, at the origin, the function $f(x)$ is (momentarily) flat.

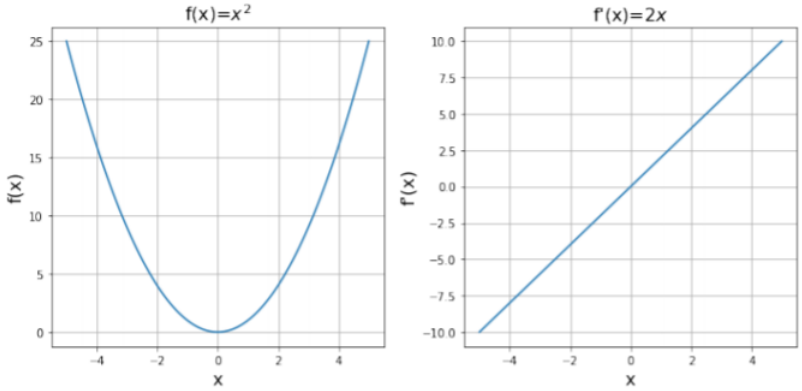

Figure A2.2.1: $f(x) = x^2$ *and its derivative,* $d'(x) = 2x$ *plotted for* x *between* -5 *and* $+5$.

? Exercise 26.2.1

When a function has a maximum, its derivative at that point

A. also has a maximum
B. is zero
C. has a minimum
D. is infinite

Answer

Common derivatives and properties

It is beyond the scope of this document to derive the functional form of the derivative for any function using *Equation A2.2.1*. *Table A2.2.1* below gives the derivatives for common functions. In all cases, x is the independent variable, and all other variables should be thought of as constants:

Function, $f(x)$	**Derivative, $f'(x)$**
$f(x) = a$	$f'(x) = 0$
$f(x) = x^n$	$f'(x) = nx^{n-1}$
$f(x) = \sin(x)$	$f'(x) = \cos(x)$
$f(x) = \cos(x)$	$f'(x) = -\sin(x)$
$f(x) = \tan(x)$	$f'(x) = \frac{1}{\cos^2(x)}$
$f(x) = e^x$	$f'(x) = e^x$
$f(x) = \ln(x)$	$f'(x) = \frac{1}{x}$

Table A2.2.1: *Common derivatives of functions.*

If two functions of 1 variable, $f(x)$ and $g(x)$, are combined into a third function, $h(x)$, then there are simple rules for finding the derivative, $h'(x)$, based on the derivatives $f'(x)$ and $g'(x)$. These are summarized in *Table A2.2.2* below.

Function, $h(x)$	**Derivative, $h'(x)$**
$h(x) = f(x) + g(x)$	$h'(x) = f'(x) + g'(x)$
$h(x) = f(x) - g(x)$	$h'(x) = f'(x) - g'(x)$
$h(x) = f(x)g(x)$	$h'(x) = f'(x)g(x) + f(x)g'(x)$ (The product rule)

Function, $h(x)$	Derivative, $h'(x)$
$h(x)=\frac{f(x)}{g(x)}$	$h'(x)=\frac{f'(x)g(x)-f(x)g'(x)}{g^2(x)}$ (The quotient rule)
$h(x)=f(g(x))$	$h'(x)=f'(g(x))g'(x)$ (The Chain Rule)

Table A2.2.2: *Derivatives of combined functions.*

Example 26.2.1

Use the properties from *Table A2.2.2* to show that the derivative of $\tan(x)$ is $\frac{1}{\cos^2(x)}$.

Solution

Since $\tan(x)=\frac{\sin(x)}{\cos(x)}$, we can write:

$$\begin{aligned} h(x)&=\frac{f(x)}{g(x)}\\ f(x)&=\sin(x)\\ g(x)&=\cos(x)\end{aligned}$$

Using the fourth row in *Table A2.2.2*, and the common derivatives from *Table A2.2.1*, we have:

$$\begin{aligned} f'(x)&=\cos(x)\\ g'(x)&=-\sin(x)\\ g^2(x)&=\cos^2(x)\\ h'(x)&=\frac{f'(x)g(x)-f(x)g'(x)}{g^2(x)}\\ &=\frac{\cos(x)\cos(x)-\sin(x)(-\sin(x))}{\cos^2}\\ &=\frac{\cos^2(x)+\sin^2(x)}{\cos^2}\\ &=\frac{1}{\cos^2(x)}\end{aligned}$$

as required.

Example 26.2.2

Use the properties from *Table A2.2.2* to calculate the derivative of $h(x)=\sin^2(x)$.

Solution

To calculate the derivative of $h(x)$, we need to use the Chain Rule. $h(x)$ is found by first taking $\sin(x)$ and then taking that result squared. We can thus identify:

$$\begin{aligned} h(x)&=\sin^2(x)=f(g(x))\\ f(x)&=x^2\\ g(x)&=\sin(x)\end{aligned}$$

Using the common derivatives from *Table A2.2.1*, we have:

$$f'(x) = 2x$$
$$g'(x) = \cos(x)$$

Applying the Chain Rule, we have:

$$\begin{aligned} h'(x) &= f'(g(x))g'(x) \\ &= 2\sin(x)g'(x) \\ &= 2\sin(x)\cos(x) \end{aligned}$$

where $f'(g(x))$ means apply the derivative of $f(x)$ to the function $g(x)$. Since the derivative of $f(x)$ is $f'(x) = 2x$, when we apply it to $g(x)$ instead of $2x$, we get $2g(x) = 2\cos(x)$.

Partial derivatives and gradients

So far, we have only looked at the derivative of a function of a single independent variable and used it to quantify how much the function changes when the independent variable changes. We can proceed analogously for a function of multiple variables, $f(x, y)$, by quantifying how much the function changes along the direction associated with a particular variable. This is illustrated in Figure A2.2.2 for the function $f(x, y) = x^2 - 2y^2$, which looks somewhat like a saddle.

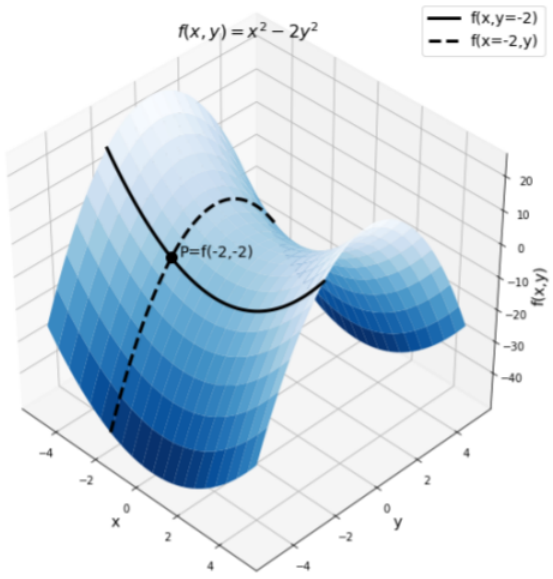

Figure A2.2.2: $f(x, y) = x^2 - 2y^2$ *plotted for* x *between* -5 *and* $+5$ *and for* y *between* -5 *and* $+5$. *The point* P *labeled on the figure shows the value of the function at* $f(-2, -2)$. *The two lines show the function evaluated when one of* x *or* y *is held constant.*

Suppose that we wish to determine the derivative of the function $f(x)$ at $x = -2$ and $y = -2$. In this case, it does not make sense to simply determine the "derivative", but rather, we must specify *in which direction* we want the derivative. That is, we need to specify in which direction we are interested in quantifying the rate of change of the function.

One possibility is to quantify the rate of change in the x direction. The solid line in Figure A2.2.2 shows the part of the function surface where y is fixed at -2, that is, the function evaluated as $f(x, y = -2)$. The point P on the figure shows the value of the function when $x = -2$ and $y = -2$. By looking at the solid line at point P, we can see that as x increases, the value of the function is gently decreasing. The derivative of $f(x, y)$ with respect to x when y is held constant and evaluated at $x = -2$ and $y = -2$ is thus negative. Rather than saying "The derivative of $f(x, y)$ with respect to x when y is held constant" we say "The **partial derivative** of $f(x, y)$ with respect to x".

Since the partial derivative is different than the ordinary derivative (as it implies that we are holding independent variables fixed), we give it a different symbol, namely, we use ∂ instead of d:

$$\frac{\partial f}{\partial x} = \frac{\partial}{\partial x} f(x, y) \quad \text{(Partial derivative of f with respect to x)}$$

Calculating the partial derivative is very easy, as we just treat all variables as constants except for the variable with respect to which we are differentiating[1]. For the function $f(x,y) = x^2 - 2y^2$, we have:

$$\frac{\partial f}{\partial x} = \frac{\partial}{\partial x}(x^2 - 2y^2) = 2x$$
$$\frac{\partial f}{\partial y} = \frac{\partial}{\partial y}(x^2 - 2y^2) = -4y$$

At $x = -2$, the partial derivative of $f(x,y)$ is indeed negative, consistent with our observation that, along the solid line, at point P, the function is decreasing.

A function will have as many partial derivatives as it has independent variables. Also note that, just like a normal derivative, a partial derivative is still a function. The partial derivative with respect to a variable tells us how steep the function is in the direction in which that variable increases and whether it is increasing or decreasing.

Example 26.2.3

Determine the partial derivatives of $f(x,y,z) = ax^2 + byz - \sin(z)$.

Solution

In this case, we have three partial derivatives to evaluate. Note that a are b constants and can be thought of as numbers that we do not know.

$$\frac{\partial f}{\partial x} = \frac{\partial}{\partial x}(ax^2 + byz - \sin(z)) = 2ax$$
$$\frac{\partial f}{\partial y} = \frac{\partial}{\partial y}(ax^2 + byz - \sin(z)) = bz$$
$$\frac{\partial f}{\partial z} = \frac{\partial}{\partial z}(ax^2 + byz - \sin(z)) = by - \cos(z)$$

Since the partial derivatives tell us how the function changes in a particular direction, we can use them to find the direction in which the function changes *the most rapidly*. For example, suppose that the surface from Figure A2.2.2 corresponds to a real physical surface and that we place a ball at point P. We wish to know in which direction the ball will roll. The direction that it will roll in is the opposite of the direction where $f(x,y)$ increases the most rapidly (i.e. it will roll in the direction where $f(x,y)$ decreases the most rapidly). The direction in which the function increases the most rapidly is called the "gradient" and denoted by $\nabla f(x,y)$.

Since the gradient is a direction, it cannot be represented by a single number. Rather, we use a "vector" to indicate this direction. Since $f(x,y)$ has two independent variables, the gradient will be a vector with two components. The components of the gradient are given by the partial derivatives:

$$\nabla f(x,y) = \frac{\partial f}{\partial x}\hat{x} + \frac{\partial f}{\partial y}\hat{y}$$

where $\hat{x}$ and $\hat{y}$ are the unit vectors in the x and y directions, respectively (sometimes, the unit vectors are denoted $\hat{i}$ and $\hat{j}$). The direction of the gradient tells us in which direction the function increases the fastest, and the magnitude of the gradient tells us how much the function increases in that direction.

Example 26.2.4

Determine the gradient of the function $f(x,y) = x^2 - 2y^2$ at the point $x = -2$ and $y = -2$.

Solution

We have already found the partial derivatives that we need to evaluate at $x = -2$ and $y = -2$:

$$\frac{\partial f}{\partial x} = 2x$$
$$\frac{\partial f}{\partial y} = -4y$$
$$\therefore \nabla f(x,y) = \frac{\partial f}{\partial x}\hat{x} + \frac{\partial f}{\partial y}\hat{y}$$
$$= 2x\hat{x} - 4y\hat{y}$$

Evaluating the gradient at $x = -2$ and $y = -2$:

$$\nabla f(x,y) = 2x\hat{x} - 4y\hat{y}$$
$$= -4\hat{x} + 8\hat{y}$$
$$= 4(-\hat{x} + 2\hat{y})$$

The gradient vector points in the direction $(-1, 2)$. That is, the function increases the most in the direction where you would take 1 pace in the negative x direction and 2 paces in the positive y direction. You can confirm this by looking at point P in Figure A2.2.2 and imagining in which direction you would have to go to climb the surface to get the steepest climb.

The gradient is itself a function, but it is not a real function (in the sense of a real number), since it evaluates to a vector. It is a mapping from real numbers x, y to a vector. As you take more advanced calculus courses, you will eventually encounter "vector calculus", which is just the calculus for functions of multiple variables to which you were just introduced. The key point to remember here is that the gradient can be used to find the vector that points in the direction of maximal increase of the corresponding multi-variate function. This is precisely the quantity that we need in physics to determine in which direction a ball will roll when placed on a surface (it will roll in the direction opposite to the gradient vector).

? Exercise 26.2.2

The gradient of a function of one variable, $f(x)$, is

A. undefined
B. zero
C. equal to its derivative
D. infinite

Answer

Common uses of derivatives in physics

The simplest case of using a derivative is to describe the speed of an object. If an object covers a distance Δx in a period of time Δt, it's "average speed", v_{avg}, is defined as the distance covered by the object divided by the amount of time it took to cover that distance:

$$v_{avg} = \frac{\Delta x}{\Delta t}$$

If the object changes speed (for example it is slowing down) over the distance Δx, we can still define its "instantaneous speed", v, by measuring the amount of time, Δt, that it takes the object to cover a *very small distance*, Δx. The instantaneous speed is defined in the limit where $\Delta x \to 0$:

$$v = \lim_{\Delta x \to 0} \frac{\Delta x}{\Delta t} = \frac{dx}{dt}$$

which is precisely the derivative of $x(t)$ with respect to t. $x(t)$ is a function that gives the position, x, of the object along some x axis as a function of time. The speed of the object is thus the rate of change of its position.

Similarly, if the speed is changing with time, then we can define the "acceleration", a, of an object as the rate of change of its speed:

$$a = \frac{dv}{dt}$$

Footnotes

1. To take the derivative is to “differentiate”!

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26.3: Anti-derivatives and integrals

In the previous section, we were concerned with determining the derivative of a function $f(x)$. The derivative is useful because it tells us how the function $f(x)$ varies as a function of x. In physics, we often know how a function varies, but we do not know the actual function. In other words, we often have the opposite problem: we are given the derivative of a function, and wish to determine the actual function. For this case, we will limit our discussion to functions of a single independent variable.

Suppose that we are given a function $f(x)$ and we know that this is the derivative of some other function, $F(x)$, which we do not know. We call $F(x)$ the **anti-derivative** of $f(x)$. The anti-derivative of a function $f(x)$, written $F(x)$, thus satisfies the property:

$$\frac{dF}{dx} = f(x)$$

Since we have a symbol for indicating that we take the derivative with respect to x ($\frac{d}{dx}$), we also have a symbol, $\int dx$, for indicating that we take the anti-derivative with respect to x:

$$\int f(x)dx = F(x)$$

$$\therefore \frac{d}{dx}\left(\int f(x)dx\right) = \frac{dF}{dx} = f(x)$$

Earlier, we justified the symbol for the derivative by pointing out that it is like $\frac{\Delta f}{\Delta x}$ but for the case when $\Delta x \to 0$. Similarly, we will justify the anti-derivative sign, $\int f(x)dx$, by showing that it is related to a sum of $f(x)\Delta x$, in the limit $\Delta x \to 0$. The $\int$ sign looks like an "S" for sum.

While it is possible to exactly determine the derivative of a function $f(x)$, the anti-derivative can only be determined up to a constant. Consider for example a different function, $\tilde{F}(x) = F(x) + C$, where C is a constant. The derivative of $\tilde{F}(x)$ with respect to x is given by:

$$\begin{aligned}\frac{d\tilde{F}}{dx} &= \frac{d}{dx}(F(x)+C) \\ &= \frac{dF}{dx} + \frac{dC}{dx} \\ &= \frac{dF}{dx} + 0 \\ &= f(x)\end{aligned}$$

Hence, the function $\tilde{F}(x) = F(x) + C$ is also an anti-derivative of $f(x)$. The constant C can often be determined using additional information (sometimes called "initial conditions"). Recall the function, $f(x) = x^2$, shown in Figure A2.2.1 (left panel). If you imagine shifting the whole function up or down, the derivative would not change. In other words, if the origin of the axes were not drawn on the left panel, you would still be able to determine the derivative of the function (how steep it is). Adding a constant, C, to a function is exactly the same as shifting the function up or down, which does not change its derivative. Thus, when you know the derivative, you cannot know the value of C, unless you are also told that the function must go through a specific point (a so-called initial condition).

In order to determine the derivative of a function, we used *Equation A2.2.1*. We now need to derive an equivalent prescription for determining the anti-derivative. Suppose that we have the two pieces of information required to determine $F(x)$ completely, namely:

1. the function $f(x) = \frac{dF}{dx}$ (its derivative).
2. the condition that $F(x)$ must pass through a specific point, $F(x_0) = F_0$.

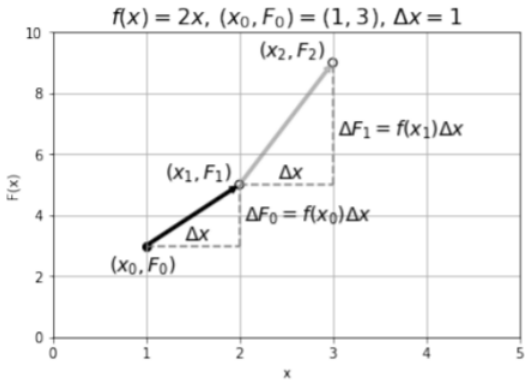

Figure A2.3.1: *Determining the anti-derivative, $F(x)$, given the function $f(x) = 2x$ and the initial condition that $F(x)$ passes through the point $(x_0, F_0) = (1, 3)$.*

The procedure for determining the anti-derivative $F(x)$ is illustrated above in Figure A2.3.1. We start by drawing the point that we know the function $F(x)$ must go through, (x_0, F_0). We then choose a value of Δx and use the derivative, $f(x)$, to calculate ΔF_0, the amount by which $F(x)$ changes when x changes by Δx. Using the derivative $f(x)$ evaluated at x_0, we have:

$$\frac{\Delta F_0}{\Delta x} \approx f(x_0) \quad (\text{in the limit} \Delta x \to 0)$$
$$\therefore \Delta F_0 = f(x_0)\Delta x$$

We can then estimate the value of the function $F_1 = F(x_1)$ at the next point, $x_1 = x_0 + \Delta x$, as illustrated by the black arrow in Figure A2.3.1

$$\begin{aligned} F_1 &= F(x_1) \\ &= F(x + \Delta x) \\ &\approx F_0 + \Delta F_0 \\ &\approx F_0 + f(x_0)\Delta x \end{aligned}$$

Now that we have determined the value of the function $F(x)$ at $x = x_1$, we can repeat the procedure to determine the value of the function $F(x)$ at the next point, $x_2 = x_1 + \Delta x$. Again, we use the derivative evaluated at x_1, $f(x_1)$, to determine ΔF_1, and add that to F_1 to get $F_2 = F(x_2)$, as illustrated by the grey arrow in Figure A2.3.1:

$$\begin{aligned} F_2 &= F(x_1 + \Delta x) \\ &\approx F_1 + \Delta F_1 \\ &\approx F_1 + f(x_1)\Delta x \\ &\approx F_0 + f(x_0)\Delta x + f(x_1)\Delta x \end{aligned}$$

Using the summation notation, we can generalize the result and write the function $F(x)$ evaluated at any point, $x_N = x_0 + N\Delta x$:

$$F(x_N) \approx F_0 + \sum_{i=1}^{i=N} f(x_{i-1})\Delta x$$

The result above will become exactly correct in the limit $\Delta x \to 0$:

$$F(x_N) = F(x_0) + \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1})\Delta x \tag{26.3.1}$$

Let us take a closer look at the sum. Each term in the sum is of the form $f(x_{i-1})\Delta x$, and is illustrated in *Figure A2.3.2* for the same case as in Figure A2.3.1 (that is, Figure A2.3.2 shows $f(x)$ that we know, and Figure A2.3.1 shows $F(x)$ that we are trying to find).

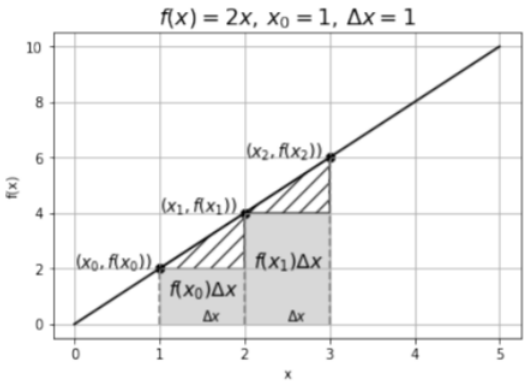

Figure A2.3.2: *The function $f(x) = 2x$ and illustration of the terms $f(x_0)\,\Delta\,x$ and $f(x_1)\,\Delta\,x$ as the area between the curve $f(x)$ and the x axis when $\Delta x \to 0$.*

As you can see, each term in the sum corresponds to the area of a rectangle between the function $f(x)$ and the x axis (with a piece missing). In the limit where $\Delta x \to 0$, the missing pieces (shown by the hashed areas in Figure A2.3.2) will vanish and $f(x_i)\Delta x$ will become exactly the area between $f(x)$ and the x axis over a length Δx. The sum of the rectangular areas will thus approach the area between $f(x)$ and the x axis between x_0 and x_N:

$$\lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1})\Delta x = \text{Area between f(x) and x axis from } x_0 \text{ to } x_N$$

Re-arranging *Equation A2.3.1* gives us a prescription for determining the anti-derivative:

$$F(x_N) - F(x_0) = \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1})\Delta x$$

We see that if we determine the area between $f(x)$ and the x axis from x_0 to x_N, we can obtain the difference between the anti-derivative at two points, $F(x_N) - F(x_0)$

The difference between the anti-derivative, $F(x)$, evaluated at two different values of x is called the **integral** of $f(x)$ and has the following notation:

$$\int_{x_0}^{x_N} f(x)dx = F(x_N) - F(x_0) = \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1})\Delta x \tag{26.3.2}$$

As you can see, the integral has labels that specify the range over which we calculate the area between $f(x)$ and the x axis. A common notation to express the difference $F(x_N) - F(x_0)$ is to use brackets:

$$\int_{x_0}^{x_N} f(x)dx = F(x_N) - F(x_0) = \left[F(x)\right]_{x_0}^{x_N}$$

Recall that we wrote the anti-derivative with the same $\int$ symbol earlier:

$$\int f(x)dx = F(x)$$

The symbol $\int f(x)dx$ without the limits is called the **indefinite integral**. You can also see that when you take the (definite) integral (i.e. the difference between $F(x)$ evaluated at two points), any constant that is added to $F(x)$ will cancel. Physical quantities are always based on definite integrals, so when we write the constant C it is primarily for completeness and to emphasize that we have an indefinite integral.

As an example, let us determine the integral of $f(x) = 2x$ between $x = 1$ and $x = 4$, as well as the indefinite integral of $f(x)$, which is the case that we illustrated in Figures A2.3.1 and *A2.3.2*. Using *Equation A2.3.2*, we have:

$$\int_{x_0}^{x_N} f(x)dx = \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1})\Delta x$$
$$= \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} 2x_{i-1}\Delta x$$

where we have:

$$x_0 = 1$$
$$x_N = 4$$
$$\Delta x = \frac{x_N - x_0}{N}$$

Note that N is the number of times we have Δx in the interval between x_0 and x_N. Thus, taking the limit of $\Delta x \to 0$ is the same as taking the limit $N \to \infty$. Let us illustrate the sum for the case where $N = 3$, and thus when $\Delta x = 1$, corresponding to the illustration in Figure A2.3.2:

$$\begin{aligned}\sum_{i=1}^{i=N=3} 2x_{i-1}\Delta x &= 2x_0\Delta x + 2x_1\Delta x + 2x_2\Delta x \\ &= 2\Delta x(x_0 + x_1 + x_2) \\ &= 2\frac{x_3 - x_0}{N}(x_0 + x_1 + x_2) \\ &= 2\frac{(4)-(1)}{(3)}(1+2+3) \\ &= 12\end{aligned}$$

where in the second line, we noticed that we could factor out the $2\Delta x$ because it appears in each term. Since we only used 4 points, this is a pretty coarse approximation of the integral, and we expect it to be an underestimate (as the missing area represented by the hashed lines in Figure A2.3.2 is quite large).

If we repeat this for a larger value of N, $N = 6$ ($\Delta x = 0.5$), we should obtain a more accurate answer:

$$\begin{aligned}\sum_{i=1}^{i=6} 2x_{i-1}\Delta x &= 2\frac{x_6 - x_0}{N}(x_0 + x_1 + x_2 + x_3 + x_4 + x_5) \\ &= 2\frac{4-1}{6}(1 + 1.5 + 2 + 2.5 + 3 + 3.5) \\ &= 13.5\end{aligned}$$

Writing this out again for the general case so that we can take the limit $N \to \infty$, and factoring out the $2\Delta x$:

$$\sum_{i=1}^{i=N} 2x_{i-1}\Delta x = 2\Delta x \sum_{i=1}^{i=N} x_{i-1}$$
$$= 2\frac{x_N - x_0}{N} \sum_{i=1}^{i=N} x_{i-1}$$

Now, consider the combination:

$$\frac{1}{N} \sum_{i=1}^{i=N} x_{i-1}$$

that appears above. This corresponds to the arithmetic average of the values from x_0 to x_{N-1} (sum the values and divide by the number of values). In the limit where $N \to \infty$, then the value $x_{N-1} \approx x_N$. The average value of x in the interval between x_0 and x_N is simply given by the value of x at the midpoint of the interval:

$$\lim_{N\to\infty}\frac{1}{N}\sum_{i=1}^{i=N}x_{i-1}=\frac{1}{2}(x_N+x_0)$$

Putting everything together:

$$\begin{aligned}\lim_{N\to\infty}\sum_{i=1}^{i=N}2x_{i-1}\Delta x &= 2(x_N+x_0)\lim_{N\to\infty}\frac{1}{N}\sum_{i=1}^{i=N}x_{i-1}\\ &= 2(x_N-x_0)\frac{1}{2}(x_N+x_0)\\ &= x_N^2-x_0^2\\ &= (4)^2-(1)^2=15\end{aligned}$$

where in the last line, we substituted in the values of $x_0=1$ and $x_N=4$. Writing this as the integral:

$$\int_{x_0}^{x_N}2xdx=F(x_N)-F(x_0)=x_N^2-x_0^2$$

we can immediately identify the anti-derivative and the indefinite integral:

$$\begin{aligned}F(x) &= x^2+C\\ \int 2xdx &= x^2+C\end{aligned}$$

This is of course the result that we expected, and we can check our answer by taking the derivative of $F(x)$:

$$\frac{dF}{dx}=\frac{d}{dx}(x^2+C)=2x$$

We have thus confirmed that $F(x)=x^2+C$ is the anti-derivative of $f(x)=2x$.

? Exercise 26.3.1

The quantity $\int_a^b f(t)dt$ is equal to

A. the area between the function $f(t)$ and the f axis between $t=a$ and $t=b$
B. the sum of $f(t)\Delta t$ in the limit $\Delta t\to 0$ between $t=a$ and $t=b$
C. the difference $f(b)-f(a)$.

Answer

Common anti-derivative and properties

Table A2.3.1 below gives the anti-derivatives (indefinite integrals) for common functions. In all cases, x, is the independent variable, and all other variables should be thought of as constants:

Function, $f(x)$	Anti-derivative, $F(x)$
$f(x)=a$	$F(x)=ax+C$
$f(x)=x^n$	$F(x)=\frac{1}{n+1}x^{n+1}+C$
$f(x)=\frac{1}{x}$	$F(x)=\ln(\lvert x\rvert)+C$
$f(x)=\sin(x)$	$F(x)=-\cos(x)+C$
$f(x)=\cos(x)$	$F(x)=\sin(x)+C$
$f(x)=\tan(x)$	$F(x)=-\ln(\lvert\cos(x)\rvert)+C$
$f(x)=e^x$	$F(x)=e^x+C$
$f(x)=\ln(x)$	$F(x)=x\ln(x)-x+C$

Table A2.3.1*: Common indefinite integrals of functions.*

Note that, in general, it is much more difficult to obtain the anti-derivative of a function than it is to take its derivative. A few common properties to help evaluate indefinite integrals are shown in *Table A2.3.2* below.

Anti-derivative	Equivalent anti-derivative
$\int (f(x)+g(x))dx$	$\int f(x)dx + \int g(x)dx$ (sum)
$\int (f(x)-g(x))dx$	$\int f(x)dx - \int g(x)dx$ (subtraction)
$\int af(x)dx$	$a\int f(x)dx$ (multiplication by constant)
$\int f'(x)g(x)dx$	$f(x)g(x) - \int f(x)g'(x)dx$ (integration by parts)

Table A2.3.2*: Some properties of indefinite integrals.*

Common uses of integrals in Physics - from a sum to an integral

Integrals are extremely useful in physics because they are related to sums. If we assume that our mathematician friends (or computers) can determine anti-derivatives for us, using integrals is not that complicated.

The key idea in physics is that **integrals are a tool to easily performing sums**. As we saw above, integrals correspond to the area underneath a curve, which is found by *summing* the (different) areas of an infinite number of infinitely small rectangles. In physics, it is often the case that we need to take the sum of an infinite number of small things that keep varying, just as the areas of the rectangles.

Consider, for example, a rod of length, L, and total mass M, as shown in Figure A2.3.3. If the rod is uniform in density, then if we cut it into, say, two equal pieces, those two pieces will weigh the same. We can define a "linear mass density", μ, for the rod, as the mass per unit length of the rod:

$$\mu = \frac{M}{L}$$

The linear mass density has dimensions of mass over length and can be used to find the mass of any length of rod. For example, if the rod has a mass of $M = 5\text{kg}$ and a length of $L = 2\text{m}$, then the mass density is:

$$\mu = \frac{M}{L} = \frac{(5\text{kg})}{(2\text{m})} = 2.5\text{kg/m}$$

Knowing the mass density, we can now easily find the mass, m, of a piece of rod that has a length of, say, $l = 10\text{cm}$. Using the mass density, the mass of the 10cm rod is given by:

$$m = \mu l = (2.5\text{kg/m})(0.1\text{m}) = 0.25\text{kg}$$

Now suppose that we have a rod of length L that is not uniform, as in Figure A2.3.3, and that does not have a constant linear mass density. Perhaps the rod gets wider and wider, or it has a holes in it that make it not uniform. Imagine that the mass density of the rod is instead given by a function, $\mu(x)$, that depends on the position along the rod, where x is the distance measured from one side of the rod.

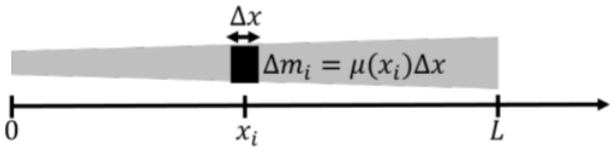

Figure A2.3.3*: A rod with a varying linear density. To calculate the mass of the rod, we consider a small mass element Δm_i of length Δx at position x_i. The total mass of the rod is found by summing the mass of the small mass elements.*

Now, we cannot simply determine the mass of the rod by multiplying $\mu(x)$ and L, since we do not know which value of x to use. In fact, we have to use all of the values of x, between $x = 0$ and $x = L$.

The strategy is to divide the rod up into N pieces of length Δx. If we label our pieces of rod with an index i, we can say that the piece that is at position x_i has a tiny mass, Δm_i. We assume that Δx is small enough so that $\mu(x)$ can be taken as constant over the length of that tiny piece of rod. Then, the tiny piece of rod at $x = x_i$, has a mass, Δm_i, given by:

$$\Delta m_i = \mu(x_i)\Delta x$$

where $\mu(x_i)$ is evaluated at the position, x_i, of our tiny piece of rod. The total mass, M, of the rod is then the sum of the masses of the tiny rods, in the limit where $\Delta x \to 0$:

$$\begin{aligned} M &= \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} \Delta m_i \\ &= \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} \mu(x_i)\Delta x \end{aligned}$$

But this is precisely the definition of the integral (*Equation A2.3.1*), which we can easily evaluate with an anti-derivative:

$$\begin{aligned} M &= \lim_{\Delta x \to 0} \sum_{i=1}^{i=N} \mu(x_i)\Delta x \\ &= \int_0^L \mu(x)dx \\ &= G(L) - G(0) \end{aligned}$$

where $G(x)$ is the anti-derivative of $\mu(x)$.

Suppose that the mass density is given by the function:

$$\mu(x) = ax^3$$

with anti-derivative (*Table A2.3.1*):

$$G(x) = a\frac{1}{4}x^4 + C$$

Let $a = 5\text{kg}/\text{m}^4$ and let's say that the length of the rod is $L = 0.5\text{m}$. The total mass of the rod is then:

$$\begin{aligned} M &= \int_0^L \mu(x)dx \\ &= \int_0^L ax^3 dx \\ &= G(L) - G(0) \\ &= \left[a\frac{1}{4}L^4\right] - \left[a\frac{1}{4}0^4\right] \\ &= 5\text{kg}/\text{m}^4 \frac{1}{4}(0.5\text{m})^4 \\ &= 78\text{g} \end{aligned}$$

With a little practice, you can solve this type of problem without writing out the sum explicitly. Picture an *infinitesimal* piece of the rod of length dx at position x. It will have an *infinitesimal* mass, dm, given by:

$$dm = \mu(x)dx$$

The total mass of the rod is the then the sum (i.e. the integral) of the mass *elements*

$$M = \int dm$$

and we really can think of the $\int$ sign as a sum, when the things being summed are *infinitesimally* small. In the above equation, we still have not specified the range in x over which we want to take the sum; that is, we need some sort of index for the mass elements to make this a meaningful definite integral. Since we already know how to express dm in terms of dx, we can substitute our expression for dm using one with dx:

$$M = \int dm = \int_0^L \mu(x) dx$$

where we have made the integral definite by specifying the range over which to sum, since we can use x to "label" the mass elements.

One should note that coming up with the above integral is physics. Solving it is math. We will worry much more about writing out the integral than evaluating its value. Evaluating the integral can always be done by a mathematician friend or a computer, but determining which integral to write down is the physicist's job!

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26.4: Summary

Key Takeaways

The derivative of a function, $f(x)$, with respect to x can be written as:

$$\frac{d}{dx}f(x) = \frac{df}{dx} = f'(x)$$

and measures the rate of change of the function with respect to x. The derivative of a function is generally itself a function. The derivative is defined as:

$$f'(x) = \lim_{\Delta x \to 0} \frac{f(x+\Delta x) - f(x)}{\Delta x}$$

Graphically, the derivative of a function represents the slope of the function, and it is positive if the function is increasing, negative if the function is decreasing and zero if the function is flat. Derivatives can always be determined analytically for any continuous function.

A partial derivative measures the rate of change of a multi-variate function, $f(x,y)$, with respect to one of its independent variables. The partial derivative with respect to one of the variables is evaluated by taking the derivative of the function with respect to that variable while treating all other independent variables as if they were constant. The partial derivative of a function (with respect to x) is written as:

$$\frac{\partial f}{\partial x}$$

The gradient of a function, $\nabla f(x,y)$, is a vector in the direction in which that function is increasing most rapidly. It is given by:

$$\nabla f(x,y) = \frac{\partial f}{\partial x}\hat{x} + \frac{\partial f}{\partial y}\hat{y}$$

Given a function, $f(x)$, its anti-derivative with respect to x, $F(x)$, is written:

$$F(x) = \int f(x)dx$$

$F(x)$ is such that its derivative with respect to x is $f(x)$:

$$\frac{dF}{dx} = f(x)$$

The anti-derivative of a function is only ever defined up to a constant, C. We usually write this as:

$$\int f(x)dx = F(x) + C$$

since the derivative of $F(x)+C$ will also be equal to $f(x)$. The anti-derivative is also called the “indefinite integral” of $f(x)$.

The definite integral of a function $f(x)$, between $x = a$ and $x = b$, is written:

$$\int_a^b f(x)dx$$

and is equal to the difference in the anti-derivative evaluated at $x = a$ and $x = b$:

$$\int_a^b f(x)dx = F(b) - F(a)$$

where the constant C no longer matters, since it cancels out. Physical quantities only ever depend on definite integrals, since they must be determined without an arbitrary constant.

Definite integrals are very useful in physics because they are related to a sum. Given a function $f(x)$, one can relate the sum of terms of the form $f(x_i)\Delta x$ over a range of values from $x = a$ to $x = b$ to the integral of $f(x)$ over that range:

$$\lim_{\Delta x \to 0} \sum_{i=1}^{i=N} f(x_{i-1}) \Delta x = \int_{x_0}^{x_N} f(x) dx = F(x_N) - F(x_0) =$$

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26.5: Thinking about the Material

Reflect and research

1. When was calculus first discovered, and by whom?
2. What is an example of a physical quantity that is given by a derivative (other than speed or acceleration)?
3. What is a case when you would need to perform an integral to evaluate a physical quantity?

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26.6: Sample problems and solutions

Exercise 26.6.1

You find that the number of customers in your store as a function of time is given by:

$$N(t) = a + bt - ct^2$$

where a, b and c are constants. At what time does your store have the most customers, and what will the number of customers be? (Give the answer in terms of a, b and c).

Answer

We need to find the value of t for which the function $N(t)$ is maximal. This will occur when its derivative with respect to t is zero:

$$\frac{dN}{dt} = b - 2ct = 0$$

$$\therefore t = \frac{b}{2c}$$

At that time, the number of customers will be:

$$N\left(t = \frac{b}{2c}\right) = a + bt - ct^2$$

$$= a + \frac{b^2}{2c} - \frac{b^2}{4c} = a + \frac{3b^2}{4c}$$

Exercise 26.6.2

You measure the speed, $v(t)$, of an accelerating train as function of time, t, to be given by:

$$v(t) = at + bt^2$$

where a and b are constants. How far does the train move between $t = t_0$ and $t = t_1$?

Answer

We are given the speed of the train as a function of time, which is the rate of change of its position:

$$v(t) = \frac{dx}{dt}$$

We need to find how its position, $x(t)$, changes with time, given the speed. In other words, we need to find the anti-derivative of $v(t)$ to get the function for the position as a function of time, $x(t)$:

$$x(t) = \int v(t)dt = \int (at + bt^2)dt$$

$$= \frac{1}{2}at^2 + \frac{1}{3}bt^3 + C$$

where C is an arbitrary constant. The distance covered, Δx, between time t_0 and time t_1 is simply the difference in position at those two times:

$$\Delta x = x(t_1) - x(t_0)$$

$$= \frac{1}{2}at_1^2 + \frac{1}{3}bt_1^3 + C - \frac{1}{2}at_0^2 + \frac{1}{3}bt_0^3 - C$$

$$= \frac{1}{2}a(t_1^2 - t_0^2) + \frac{1}{3}b(t_1^3 - t_0^3)$$

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CHAPTER OVERVIEW

27: Guidelines for lab related activities

This chapter introduces the skills that are necessary for thinking about how to design an experiment and to report on its results.

Learning Objectives

- Develop skills in general scientific writing.
- Learn to write scientific proposals and experimental reports.
- Learn to review others' scientific proposals and experimental reports.

27.1: The process of science and the need for scientific writing
27.2: Scientific writing
27.3: Guide for writing a proposal
27.4: Guide for reviewing a proposal
27.5: Guide for writing a lab report
27.6: Sample proposal (Measuring g using a pendulum)
27.7: Sample proposal review (Measuring g using a pendulum)
27.8: Sample lab report (Measuring g using a pendulum)
27.9: Sample lab report review (Measuring g using a pendulum)

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27.1: The process of science and the need for scientific writing

Conducting experiments that test a scientific theory is integral to the advancement of science and to the refining of scientific theories. In practice, scientists do not have a lab full of equipment ready to go and to be used for testing whichever theory suits their fancy. Instead, they need to write a “proposal” for conducting a particular experiment to a funding source (e.g. a funding agency). That funding source will then select a panel of experts in the field to review whether the proposal is feasible and useful in advancing science, to decide whether it should be funded. If the scientist is awarded with funds, they are then expected to carry out their experiment and report on the results in a peer-reviewed scientific journal. Again, before the results are published, the scientific journal will ask a panel of experts to review the results to ensure that they are scientifically valid and interesting.

In order for a proposal to be funded, it must thus propose an experiment that is well-thought out and feasible. For example, the reviewers will want to make sure that the proposed experiment is designed in the best possible way to test a theory. Often, this means that thought has been put into designing an experiment that minimizes the uncertainty on the result, so that the test of the theory is as stringent as possible.

A proposal needs to be well-written and precise. We generally call this type of writing “scientific writing”, and it is a style of writing that takes some practice. Similarly, when reporting on the results of an experiment, the report will need to be clear and precise as well. For example, in scientific writing, one avoids giving opinions or using sentences that do not add necessary information or that are not factual.

This chapter provides some guidelines for scientific writing, writing proposals, and writing reports. In addition to this, guidelines for reviewing others’ proposals and reports are also presented. Not only is it important to develop the ability to critically evaluate others’ work, but it is also helpful in learning to reflect and improve on one’s own work.

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27.2: Scientific writing

Scientific writing is important in communicating with other scientists. Think of scientific writing as a style of writing where **every word counts**. It makes for rather "dry" reading, but it is important for clearly and precisely communicating factual information. The main guidelines for scientific writing are **be concise, precise, factual, and clear**. Below are some tips to help with scientific writing:

- Avoid subjective/imprecise terms: avoid using subjective and imprecise terms, stick to factual statements and avoid opinions. Instead of saying "our calculated value of g was much greater than the expected value", say "our calculated value of g was greater than the expected value". Your opinion that it was "much greater" does not communicate anything and is imprecise (much greater in relation to what?).
- Definitive statements: avoid attributing definitive causes to your experimental outcomes. You can never prove a theory to be correct, so at most, your results will be consistent with a theory. For example, instead of saying "as the data exhibit, we have detected the Purple Particle", you should state that "the data are consistent with the detection of the Purple Particle".
- Data is the plural of datum. "This data shows" is incorrect, rather, "these data show", or "this set of data shows".
- Active vs. passive voice: when writing scientific papers, it is recommended to use the third person, passive voice. For example, this would mean saying "the drop time for balls at various heights was measured" rather than "we measured the drop time for balls at various heights". However, both passive and active voices are acceptable in scientific writing, as long as it is consistent throughout the text.
- Tense. Generally, for a proposal, you would use the future tense, and you would use the past tense for reporting on your results.

emma's thoughts

Writing and editing - how can I be more concise? We've all felt that our writing was lacking at some point or another. Here are some general tips to avoid overall "wordiness" and to increase ease of reading when writing scientifically:

- What would you want to read? Let's say that you wanted to know the strength of Earth's magnetic field, and how it was found, so you decide to do a literature search. Would you choose a brief, succinct article, or a wordy Magnetic Field Manifesto?
- The kindergarten test: If you had to explain your concept to a six year old cousin, how would you break it down in a way that they could understand it? If you can't break it down enough to explain to a six year old, perhaps you need to revisit your own understanding of the concept before writing about it scientifically.
- Avoid unnecessary adjectives: while this might be ok in a creative writing class, in scientific writing, the goal is to get your point across as succinctly as possible. Using "big" words might be ok (as long as they properly describe what you are trying to say), but it is important to communicate your message in the simplest manner.
- Think about it: every time you use a comma, dash or even an "and", you should reconsider the brevity of your statement. In scientific writing, commas are carefully placed, and semicolons are rare.
- Cut it in half: For every word you read, think of another that you can cut. For every sentence that you read, think of three sentences that communicate the same idea. Pick the sentence that is the shortest and most concise.
- Proofread - the more, the better.

The following sections provide basic outlines for writing a proposal and a lab report, as well as rubrics for evaluating/reviewing proposals and reports. Additionally, samples of a proposal, proposal review, report, and report review for the experiment "Measuring g using a pendulum" are provided. In the sample proposal and lab report, errors are purposefully included and addressed in the reviews. It is important to entirely read the rest of this section to capture the common proposal/lab mistakes and their corresponding corrections. That is, do not take the sample proposal as a "perfect proposal", but rather, consider it in the light of the corresponding review.

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27.3: Guide for writing a proposal

Summary and Goal

Write a few short sentences briefly summarizing the aim of your experiment, how it will be conducted, and how precise of a result you expect to obtain.

Method and equipment

Clearly describe, in as much detail as required, the method/procedure that you will use to carry out your experiment, and how you will analyze the results. Justify the choices that you made (no need to say you chose to use a ruler because you will need to measure a distance, but perhaps say why you need to measure a given distance, or that you chose to measure something in a particular way as it would reduce the corresponding uncertainty). Provide a list of the equipment that you will need. Also, propose a method of assessing whether or not your project was successful.

Consider the following questions:

- What theory are you testing and through what model?
- How precisely do you estimate that you will be able to make your measurement? Estimate the uncertainty that you will obtain with the proposed experiment. Use this in guiding the design of your experiment.
- What materials, equipment and/or tools are necessary in making your measurements?
- What are the cost of these materials? Can they be easily obtained?
- Where should this experiment be conducted?
- Are there any safety concerns?
- How will you make your measurements? How many times will you make them?
- How will you record your measurements?
- How will you maximize the precision of your experiments?
- How will you determine uncertainties?
- How will you analyze the data?
- What issues could arise in your experiment? How do you plan to resolve these issues?

Timeline and Team

Provide the names of team members, and assign relevant duties to each member. Give a rough outline of the timeline to conduct the experiment, to analyze the data, and to report on the results.

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27.4: Guide for reviewing a proposal

Summary

Summarize your overall evaluation of the proposal in 2-3 sentences. Focus on the experiment's methods and goals. For example, "The authors wish to drop balls from different heights to determine the value of g". You don't need to go into the specific details, just give a high level summary of the proposal and your opinion on whether this is a strong proposal. If the proposal is unclear, specify this.

Review

This is where you give your detailed review of the proposal. Consider the following questions:

- Is the proposed experiment well thought-out and feasible?
- Is the experimental procedure clear and concise? Could you could carry out the experiment without asking the authors for additional information? Do the authors specify what instruments to use to measure different quantities and how to determine the associated uncertainties?
- Does the experimental design minimize uncertainties?
- Is it possible to complete the experiment in a reasonable period of time?
- Is it possible to obtain the equipment/materials to conduct the experiment?
- Do the authors describe how to analyze the data (correctly)?
- Does the plan incorporate a mechanism to assess success?
- Is a troubleshooting plan in place, in case of unexpected difficulties?

Overall Rating of the Experiment

Give the proposal an overall score, based on the criteria described above. Use one of the following to rate the proposal and include a sentence to justify your choice.

- Excellent
- Good
- Satisfactory
- Needs work
- Incomplete

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27.5: Guide for writing a lab report

Abstract

Write a few short sentences briefly summarizing what you did, how you did it, what you found and whether anything went wrong in your experiment.

Procedure

Describe relevant theories that relate to your experiment here, and the steps to carry out your procedure.

Consider the following questions:

- What are the relevant theories/principles that you used?
- What equations did you use? Show how you modeled your experiment.
- What materials, equipment and/or tools were necessary in making your measurements?
- Where was this experiment conducted?
- How did you make your measurements? How many times did you make them?
- How did you record your measurements?
- How did you determine and minimize the uncertainties in your measurements? Why did you choose to measure a specific quantity in a certain way?

Prediction

It can be useful to predict the value (and uncertainty) that you expect to measure before conducting the measurement. You should report on this initial prediction in order to help you better understand the data from your experiment.

Consider the following questions:

- Predict your measured values and uncertainties. How precise do you expect your measurements to be?
- What assumptions did you have to make to predict your results?
- Have these predictions influenced how you should approach your procedure? Make relevant adjustments to the procedure based on your predictions.

Data and Analysis

Present your data. Include relevant tables/graphs. Describe in detail how you analysed the data, including how you propagated uncertainties. If the data do not agree with your model prediction (or the prediction from your proposal), examine whether you can improve your model.

Consider the following questions:

- How did you obtain the “final” measurement/value from your collected data?
- How did you propagate uncertainties? Why did you do it that way?
- What is the relative uncertainty on your value(s)?

Discussion and Conclusion

Summarize your findings, and address whether or not your model described the data. Discuss possible reasons why your measured value is not consisted with your model expectation (is it the model? is it the data?).

Consider the following questions:

- Were there any systematic errors that you didn’t consider?
- Did you learn anything that you didn’t previously know? (eg. about the subject of your experiment, about the scientific method in general)
- If you could redo this experiment, what would you change (if anything)?

Guide for reviewing a lab report

Summary

Summarize your overall evaluation of the report in 2-3 sentences. Focus on the experiment’s method and its result. For example, “The authors dropped balls from different heights to determine the value of g”. You don’t need to go into the specific details, just

give a high level summary of the report. If the report is unclear, specify this.

Review

Consider the following questions:

- Is the the procedure well thought-out, clearly and concisely described?
- Do you have sufficient information that you could repeat this experiment?
- Does the report clearly describe how different quantities were measured and how the uncertainties were determined?
- Does the report motivate why the specific procedure was chosen? (e.g. to minimize uncertainties).
- Does the experiment clearly state how uncertainties were propagated and how the data were analyzed?
- Do you believe their result to be scientifically valid?

Overall Rating of the Experiment

Give the report an overall score, based on the criteria described above. Use one of the following to rate the proposal and include a sentence to justify your choice.

- Excellent
- Good
- Satisfactory
- Needs work
- Incomplete

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27.6: Sample proposal (Measuring g using a pendulum)

Summary and Goal

One can measure the gravitational constant, g, by measuring the period of a pendulum of a known length, requiring only a string, mass, ruler and timer. Because the experimental design can be easily adjusted and the experiment is simple, the experiment has a high chance of success.

Method and equipment

The period of a pendulum of length L is easily shown to be given by:

$$T = 2\pi\sqrt{\frac{L}{g}}$$

Thus, by measuring the period, T, of a pendulum as well as its length, one can determine the value of g:

$$g = \frac{4\pi^2 L}{T^2}$$

One can carry out the experiment using the following materials:

- a mass
- inextensible string
- a meter stick
- stand to attach string
- cell-phone with timer and slow-motion camera

The materials listed above are all inexpensive and can be easily obtained. It is recommended that the experiment be completed indoors at room temperature, in order to minimize any environmental effects.

One should tie the string to the mass at one end and the stand at the other, and measure the length, L, of the string from the point on the stand to the center of mass of the mass.

The period of the pendulum is measured by timing how long it takes the pendulum to complete 20 oscillations and dividing that time by 20. This will be more precise than trying to time the period of a single oscillation.

The pendulum should be released from 90°. When releasing the pendulum, the string should be pulled taught, and the team member's eye that is measuring the angle should be situated parallel to the measuring device.

A slow-motion video will be taken of the pendulum to track the time of the oscillation in order to minimize error due to reaction time. The team member in charge of taking the video will start the video shortly before the pendulum is released. After releasing the pendulum, the team should record 20 oscillations before stopping the pendulum and the video. Data from the video should be entered into a Jupyter Notebook. It is recommended that this measurement be repeated at least 5 times.

The uncertainty in the time should be taken as half of the smallest division of the cell-phone timer, and the uncertainty in the length of the pendulum as half the smallest division of the meter stick used to measure the length of the pendulum.

Foreseeable issues in this experiment may arise when trying to find a string that is optimally inextensible, as any extensibility will cause error in the results. Additionally, being able to measure exactly 90 as the drop-angle for the pendulum could be difficult. In order to correct for this, the team member who is dropping the pendulum must stand directly parallel to the measuring device, minimizing parallax error.

The measure of success will be determined by the uncertainty and precision of the measured value of g. If the measured value of g has a relative uncertainty that is less than 10 %, and is consistent with the accepted value, then one can consider the experiment to have been carried out successfully.

Team and timeline

One should be able to complete the experiment and analysis in approximately 1 hour and 30 minutes with the data being collected in the first 30 minutes. The remainder of the time should be spent processing the data and writing the experimental report.

Following the strengths of the members of the team, the following people should be responsible for leading the following tasks, while everyone participates:

- Alice: building the pendulum
- Brice: taking the measurements
- Chloë: analyzing the data
- Dennis: writing and formatting

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27.7: Sample proposal review (Measuring g using a pendulum)

Summary and Goal

The authors propose to measure the value of g to within 10% by measuring the period of a simple pendulum, using the SHM equations and theory. The proposal is reasonably clear, but lacks some details in how to measure the initial angle of the pendulum. The authors propose to use a an amplitude of 90° for the pendulum, but at such a large angle, the motion is not expected to be SHM, since it is only so at small angles. By using a smaller angle, the experiment has a good chance of being successful in the proposed timeline.

Review

The experimental methods are described clearly and succinctly, with most information clearly stated. For the materials list, it is stated that "a mass" must be used. Here, it should be stated that a small, solid, non-deformable mass should be used to minimize drag and to act as a point mass. The authors refer to a "measuring device" when determining the amplitude of the pendulum, but this is not described. Anyhow, the amplitude of the oscillations in irrelevant for a pendulum in SHM, as long as the amplitude is small.

Most equations are described in the theory section, but it is incorrectly assumed that the period of a pendulum is independent of the drop angle for all angles. The small angle approximation is not expected to apply with an oscillation amplitude of 90.

No justification is provided for the use of 20 oscillations prior to measuring the period - it may be necessary to iterate on the reason why 20 oscillations was chosen.

The equipment can be easily obtained and is fairly inexpensive. Adequate resources are available to the group to perform this experiment. A clear troubleshooting plan is described and a method for evaluating success is included.

Timeline and team

This experiment is fairly simple and the equipment/setup is not difficult to handle. The proposed team should be qualified to perform this experiment in the proposed amount of time, although I worry a little bit about Dennis, as he seems to be a bit of a menace.

Overall Rating of the Proposal

Good - this proposal was clearly explained and is scientifically sound, apart from the use of a large angle for the oscillations. It was succinctly written, and most components of the experiment were clearly described. A little more detail in the justification for using 20 oscillations is necessary.

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27.8: Sample lab report (Measuring g using a pendulum)

Abstract

In this experiment, we measured g by measuring the period of a pendulum of a known length. We measured $g = 7.65 \pm 0.378 \mathrm{m/s^2}$. This correspond to a relative difference of 22% with the accepted value ($9.8 \mathrm{m/s^2}$), and our result is not consistent with the accepted value.

Theory

A pendulum exhibits simple harmonic motion (SHM), which allowed us to measure the gravitational constant by measuring the period of the pendulum. The period, T, of a pendulum of length L undergoing simple harmonic motion is given by:

$$T = 2\pi\sqrt{\frac{L}{g}}$$

Thus, by measuring the period of a pendulum as well as its length, we can determine the value of g:

$$g = \frac{4\pi^2 L}{T^2}$$

We assumed that the frequency and period of the pendulum depend on the length of the pendulum string, rather than the angle from which it was dropped.

Predictions

We built the pendulum with a length $L = 1.0000 \pm 0.0005 \mathrm{m}$ that was measured with a ruler with $1 \mathrm{mm}$ graduations (thus a negligible uncertainty in L). We plan to measure the period of one oscillation by measuring the time to it takes the pendulum to go through 20 oscillations and dividing that by 20. The period for one oscillation, based on our value of L and the accepted value for g, is expected to be $T = 2.0 \mathrm{s}$. We expect that we can measure the time for 20 oscillations with an uncertainty of $0.5 \mathrm{s}$. We thus expect to measure one oscillation with an uncertainty of $0.025 \mathrm{s}$ (about 1% relative uncertainty on the period). We thus expect that we should be able to measure g with a relative uncertainty of the order of 1%

Procedure

The experiment was conducted in a laboratory indoors.

1. Construction of the pendulum

We constructed the pendulum by attaching a inextensible string to a stand on one end and to a mass on the other end. The mass, string and stand were attached together with knots. We adjusted the knots so that the length of the pendulum was $1.0000 \pm 0.0005 \mathrm{m}$ The uncertainty is given by half of the smallest division of the ruler that we used.

2. Measurement of the period

The pendulum was released from 90 and its period was measured by filming the pendulum with a cell-phone camera and using the phone's built-in time. In order to minimize the uncertainty in the period, we measured the time for the pendulum to make 20 oscillations, and divided that time by 20. We repeated this measurement five times. We transcribed the measurements from the cell-phone into a Jupyter Notebook.

Data and Analysis

Using a $100 \mathrm{g}$ mass and $1.0 \mathrm{m}$ ruler stick, the period of 20 oscillations was measured over 5 trials. The corresponding value of g for each of these trials was calculated. The following data for each trial and corresponding value of g are shown in the table below.

Trial	Angle (Degrees)	Measured Period (s)	Value of g m/s^2
1	90	2.24	7.87
2	90	2.37	7.03
3	90	2.28	7.59

Trial	Angle (Degrees)	Measured Period (s)	Value of g m/s^2
4	90	2.26	7.73
5	90	2.22	8.01

Table A3.8.1

Our final measured value of g is $(7.65 \pm 0.378) \mathrm{m/s^2}$. This was calculated using the mean of the values of g from the last column and the corresponding standard deviation. The relative uncertainty on our measured value of g is 4.9% and the relative difference with the accepted value of $9.8 \mathrm{m/s^2}$ is 22%, well above our relative uncertainty.

Discussion and Conclusion

In this experiment, we measured $g = (7.65 \pm 0.378) \mathrm{m/s^2}$. This has a relative difference of 22% with the accepted value and our measured value is not consistent with the accepted value. All of our measured values were systematically lower than expected, as our measured periods were all systematically higher than the $\S 2.0 \mathrm{s}$ that we expected from our prediction. We also found that our measurement of g had a much larger uncertainty (as determined from the spread in values that we obtained), compared to the 1% relative uncertainty that we predicted.

We suspect that by using 20 oscillations, the pendulum slowed down due to friction, and this resulted in a deviation from simple harmonic motion. This is consistent with the fact that our measured periods are systematically higher. We also worry that we were not able to accurately measure the angle from which the pendulum was released, as we did not use a protractor.

If this experiment could be redone, measuring 10 oscillations of the pendulum, rather than 20 oscillations, could provide a more precise value of g. Additionally, a protractor could be taped to the top of the pendulum stand, with the ruler taped to the protractor. This way, the pendulum could be dropped from a near-perfect 90° rather than a rough estimate.

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27.9: Sample lab report review (Measuring g using a pendulum)

Summary

The authors measured the period of a pendulum to determine g. They measured g to be $(7.65 \pm 0.378)\mathrm{m/s^2}$ which is inconsistent with the accepted value. The authors were incorrect in assuming that the pendulum would undergo simple harmonic motion in the conditions that they used.

Review

The experimental procedure was clearly written and one could mostly reproduce this experiment with the given description.

The authors thought about minimizing uncertainties by measuring the period over several oscillations, although it appears that 20 was perhaps too large, as friction was likely to have an effect. The authors should have taken more care in determining the number of oscillations to use so that the uncertainty in the time is minimized while also keeping the effects of friction negligible. Ultimately, the authors did not specify the uncertainty in the time that they measured.

The authors also claim to have measured the length of the pendulum with a precision of $0.5\mathrm{mm}$, but did not specify the length of the ruler that they used. I would not expect the measurement to be that precise unless they used a very precise ruler that is longer than $1\mathrm{m}$. However, the authors made the length of the pendulum as long as possible so as to minimize the uncertainty in the length.

The authors did not describe the mass that was attached at the end of the pendulum, and whether its size would be expected to cause significant air drag.

The authors made a mistake in assuming that a pendulum would undergo simple harmonic motion with an amplitude of 90°, as the small angle approximation used to determine the period does not apply in this case.

The experimental procedure was scientifically sound, other than the choices for the number of oscillations and their amplitude.

Overall rating of the Experiment

Satisfactory - The experiment was well described, but the authors should have paid more attention to their choice of 20 oscillations, and they made a mistake in assuming that their pendulum would exhibit simple harmonic oscillation with a large amplitude.

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CHAPTER OVERVIEW

28: The Python Programming Language

This appendix gives a very brief introduction to programming in python and is primarily aimed at introducing tools that are useful for the experimental side of physics.

Learning Objectives

- Be able to perform simple algebra using python.
- Be able to plot a function in python.
- Be able to propagate uncertainties in python.
- Be able to plot and fit data to a straight line.
- Understand how to use Python to numerically calculate *any* integral.

In this textbook, we will encourage you to use computers to facilitate making calculations and displaying data. We will make use of a popular programming language called Python, as well as several "modules" from Python that facilitate working with numbers and data. Do not worry if you do not have any programming experience; we assume that you have none and hope that by the end of this book, you will have some capability to decrease your workload by using computer programming.

The only way to become proficient at programming is through practice. If you want to effectively learn from this chapter, it is important that you take the time to actually type the commands into a Python environment rather than simply reading through the chapter. Reading through the chapter will at least give you a sense of what is possible and some terminology, but it will not teach you programming!

28.1: A quick intro to programming
28.2: Arrays
28.3: Plotting
28.4: The QExpy python package for experimental physics
28.5: Advanced topics

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28.1: A quick intro to programming

In Python, as in other programming languages, the equal sign is called the **assignment operator**. Its role is to *assign* the value on its right to the variable on its left. The following code does the following:

- *assigns* the value of `2` to the variable `a`
- *assigns* the values of `2*a` to the variable `b`
- prints out the value of the variable `b`

python code 28.1.1

Declaring variables in Python

```
1 #This is a comment, and is ignored by Python
2 a = 2
3 b = 2*a
4 print(b)
```

Output

```
1 4
```

Note that any text that follows a pound sign (`#`) is intended as a comment and will be ignored by Python. Inserting comments in your code is very important for being able to understand your computer program in the future or if you are sharing your code with someone who would like to understand it. In the above example, we called the `print()` **function** and passed to it the variable `b` as an **argument**; this allowed us to print (display) the value of the variable `b` and verify that it was indeed equal to the number 4.

In Python, if you want to have access to "functions", which are a more complex series of operations, then you typically need to load the *module* that defines those operations.

A large number of functions are provided in Python. Most of these functions need to be "imported" from "modules". For example, if you want to be able to take the square root of a number, then you need to load (import) the "math module" which contains the square root function, as in the following example:

python code 28.1.1

Using functions from modules

```
1 #First, we load (import) the math module
2 import math as m
3 a = 9
4 b = m.sqrt(a)
5 print (b)
```

Output

```
1 3
```

In the above code, we loaded the math module (and renamed it `m`); this then allows us to use the functions that are part of that module, including the square root function (`m.sqrt()`).

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28.2: Arrays

It is often the case that we need to represent a series of numbers. For example, imagine that you have measured the position of an object as a function of time. **Arrays** are a convenient way to hold a series of numbers that are all alike, for example, all of the values of the position and corresponding time values for the trajectory of the object. In Python, we can define variables that hold arrays instead of a single value (arrays are called “lists” in Python):

python code 28.2.1

Arrays in python

```
#define an array of values for the position of the object
position = [0 ,1 ,4 ,9 ,16 ,25]
#define an array of values for the corresponding times
time = [0 ,1 ,2 ,3 ,4 ,5]
```

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28.3: Plotting

Several modules are available in python for plotting. We will show here how to use the `pylab` module (which is equivalent to the `matplotlib` module). For example, we can easily plot the data in the two arrays from the previous section in order to plot the position versus time for the object:

python code 28.3.1

Plotting two arrays

```
#import the pylab module
import pylab as pl

#define an array of values for the position of the object
position = [0 ,1 ,4 ,9 ,16 ,25]
#define an array of values for the corresponding times
time = [0 ,1 ,2 ,3 ,4 ,5]

#make the plot showing points and the line (.-)
pl.plot(time, position, '.-')
#add some labels:
pl.xlabel("time") #label for x-axis
pl.ylabel("position") #label for y-axis
#show the plot
pl.show()
```

Output

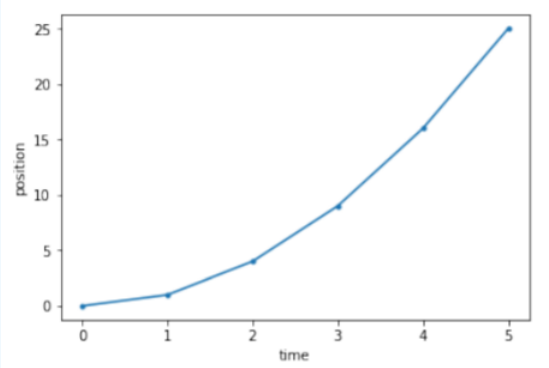

Figure A4.3.1*: Using two arrays and plotting them.*

Exercise 28.3.1

How would you modify the Python code above to show only the points, and not the line?

Answer

We can use Python to plot any mathematical function that we like. It is important to realize that computers do not have a representation of a continuous function. Thus, if we would like to plot a continuous function, we first need to evaluate that function at many points, and then plot those points. The `numpy` module provides many useful features for working with arrays of numbers and applying functions directly to those arrays.

Suppose that we would like to plot the function $f(x) = cos(x^2)$ between $x = -3$ and $x = 5$. In order to do this in Python, we will first generate an array of many values of x between -3 and 5 using the `numpy` package and the function `linspace(min,max,N)` which generates N linearly spaced points between *min* and *max*. We will then evaluate the function at all of those points to create a second array. Finally, we will plot the two arrays against each other:

python code 28.3.2

Plotting a function of 1 variable

```
01 #import the pylab and numpy modules
02 import pylab as pl
03 import numpy as np
04
05 #Use numpy to generate 1000 values of x between -3 and 5.
06 #xvals is an array with 1000 values in it:
07 xvals = np.linspace(-3,5,1000)
08
09 #Now, evaluate the function for all of those values of x.
10 #We use the numpy version of cos, since it allows us to take the cos
11 #of all values in the array.
12 #fvals will be an array with the 1000 corresponding cosines of the xvals
   squared
13 fvals = np.cos(xvals**2)
14
15 #make the plot showing only a line, and color it
16 pl.plot(xvals, fvals, color='red')
17 #show the plot
18 pl.show()
```

Output

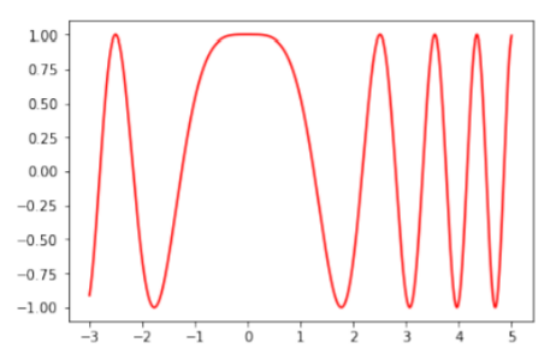

Figure A4.3.2*: Plotting a function using arrays.*

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28.4: The QExpy python package for experimental physics

QExpy is a Python module that was developed with students from Queen's University to handle all aspects of undergraduate physics laboratories. In this section, we look at how to use QExpy to propagate uncertainties and to plot experimental data.

Propagating uncertainties

In Chapter 2, we saw how to use the "derivative method" to propagate the uncertainty from measurements into the uncertainty in a value that depended on those measurements. In *Example 2.3.2*, we propagated the uncertainties $x = (3.00 \pm 0.01)\text{m}$ and $t = (0.76 \pm 0.15)\text{s}$ to the quantity $k = \frac{t}{\sqrt{x}}$. We show below how easily this can be done with QExpy:

python code 28.4.1

QExpy to propagate uncertainties

```
#First, we load the QExpy module
import qexpy as q
#Now define our measurements with uncertainties:
t = q.Measurement(0.76, 0.15) # 0.76 +/- 0.15
x = q.Measurement(3, 0.1) # 3 +/- 0.1
#Now define k, which depends on t and x:
k = t/q.sqrt(x) #use the QExpy version of sqrt() since x is of type Measurement
#Print the result:
print(k)
```

Output

```
0.44 +/- 0.09
```

which is the result that we obtained when manually applying the derivative method. Note that we used the square root function from the QExpy module, as it "knows" how to take the square root of a value with uncertainty (a "Measurement" in the language of QExpy).

We also saw that when we had repeated measurements of the same quantity (Section 2.3), one could define a central value and uncertainty for that quantity by using the mean and standard deviations of the measurements. QExpy can easily take a set of measurements (an array of values) and convert them into a single quantity (a "Measurement") with a central value and uncertainty that correspond to the mean and standard deviation of the set of measurements:

python code 28.4.2

QExpy to calculate mean and standard deviation

```
#First, we load the QExpy module
import qexpy as q
#We define $t$ as an array of values (note the square brackets):
t = q.Measurement([1.01, 0.76, 0.64, 0.73, 0.66])
#Choose the number of significant figures to print:
q.set_figs(2)
print("t=",t)
```

Output

```
t = 0.76 +/- 0.15
```

By using QExpy, we do not need to tediously calculate the mean and standard deviation, as we had in *Example 2.3.1*.

Plotting experimental data with uncertainties

In Chapter 2 we had presented the data in *Table A4.4.1* which corresponded to our measurements of how long it took (t) for an object to drop a certain distance, x. We had also introduced Chloe's Theory of gravity that predicted that the data should be described by the following model:

$$t = k\sqrt{x}$$

where k was an undetermined constant of proportionality.

x[m]	t[s]	$\sqrt{x}[\mathrm{m}^{\frac{1}{2}}]$	$k[\mathrm{sm}^{-\frac{1}{2}}]$
1.00	0.33	1.00	0.33
2.00	0.74	1.41	0.52
3.00	0.67	1.73	0.39
4.00	1.07	2.00	0.54
5.00	1.10	2.24	0.49

Table A4.4.1: *Measurements of the drop times, t, for a bowling ball to fall different distances, x. We have also computed $\sqrt{x}$ and the corresponding value of k.*

The easiest way to visualize and analyze those data is to plot them. In particular, if we plot (graph) t versus $\sqrt{x}$, we expect that the points will fall on a straight line that goes through zero, with a slope of k (if the data are described by Chloe's Theory). We can use QExpy to graph the data as well as determine ("fit") for the slope of the line that best describes the data, since we expect that the slope will correspond to the value of k. When plotting data and fitting them to a line (or other function), it is important to make sure that the values have at least an uncertainty in the quantity that is being plotted on the y axis. In this case, we have assumed that all of the measurements of time have an uncertainty of 0.15s and that the measurements of the distance have no (or negligible) uncertainties. The python code below shows how to use QExpy to plot and fit the data to a straight line.

python code 28.4.3

Using QExPy to plot and fit linear data

```
#First, we load the QExpy module:
import qexpy as q

#Use matplotlib as the plot engine (try using 'bokeh' instead of 'mpl')
q.plot_engine = 'mpl'

#Set the number of significant figures to 2:
q.set_sigfigs(2)

#Then we enter the data:
#start with the values for the square root of height:
sqx = [1., 1.41, 1.73, 2., 2.24]

#and then, the corresponding times:
t = [0.33, 0.74, 0.67, 1.07, 1.1]

#Let us attribute an uncertainty of 0.15 to each measured values of t:
terr = 0.15

#We now make the plot. First, we create the plot object with the data
#Note that x and y refer to the x and y axes
fig = q.MakePlot(xdata = sqx, xname = "sqrt(distance)[m^0.5]", ydata = t,
yerr = terr, yname = "time [s]", data_name = "My data")

```

```
#Ask QExpy to also determine the line of best fit.
fig.lit("linear")

#Then, we show it:
fig.show()
```

Output

```
----------Fit results----------
Fit of My data to linear
Fit parameters:
My data_linear_fit0_fitpars_intercept = -0.24 +/- 0.22,
My data_linear_fit0_fitpars_slope = 0.61 +/- 0.13

Correlation matrix:
[[ 1.     -0.968]
 [-0.968   1.   ]]
 chi/2ndof = 2.04/2
 ----------End fit results----------
```

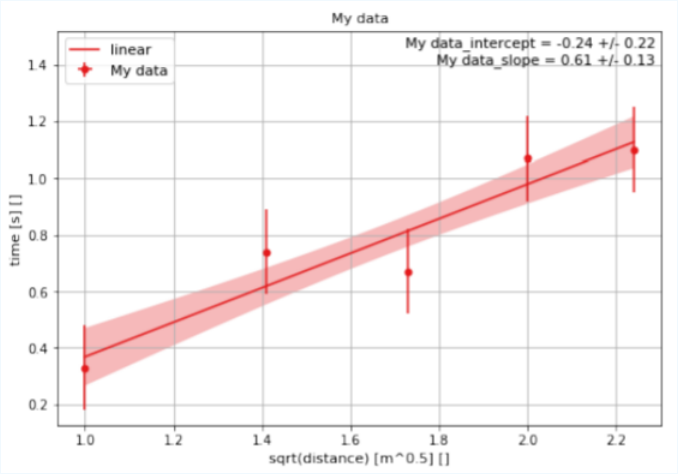

Figure A4.4.1*: QExpy plot of t versus $\sqrt{x}$ and line of best fit.*

The plot in Figure A4.4.1 shows that the data points are consistent with falling on a straight line, when their error bars are taken into account. We've also asked QExpy to show us the line of best fit to the data, represented by the line with the shaded area. When we asked for the line of best fit, QExpy not only drew the line, but also gave us the values and uncertainties for the slope and the intercept of the line. The shaded area around the line corresponds to other possible lines that one would obtain using different values of the slope and intercept within their corresponding uncertainties. The output also provides a line that tells us that `chi2/ndof = 2.04/2` ; although you do not need to understand the details, this is a measure of how well the data are described by the line of best fit. Generally, the fit is assumed to be "good" if this ratio is close to 1 (the ratio is called "the reduced chi-squared"). The "correlation matrix" tells us how the best fit value of the slope is linked to the best fit value of the intercept, which you do not need to worry about here.

Since we expect the slope of the data to be k, this provides us a method to determine k from the data as $(0.61 \pm 0.13)\text{sm}^{-\frac{1}{2}}$. **Performing a linear fit of the data is the best way to determine a constant of proportionality between the measurements**. Finally, we expect the intercept to be equal to zero according to our model. The best fit line from QExpy has an intercept of (-0.24 ± 0.22)s, which is slightly below, but consistent, with zero. From these data, we would conclude that the measurements are consistent with Chloe's Theory.

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28.5: Advanced topics

This section introduces a few more advanced topics that allow you to use computer programming to simplifying many tasks. In this section, we will show you how you can write your own program to numerically estimate the value of an integral of any function.

Defining your own functions

Although Python provides many modules and functions, it is often useful to be able to define your own functions. For example, suppose that you would like to define a function that calculates $\frac{1}{2}x^2 + \frac{1}{4}x^3 + \cos(2x)$, for a given value of x. This is done easily using the `def` keyword in Python:

python code 28.5.1

Defining a function

```
#import the math module in order to use cos
import math as m

#define our function and call it myfunction:
def myfunction(x):
    return x**2 / 3 + x**3 / 4 + m.cos(2*x)

#Test our function by printing out the result of evaluating it as x = 3
print ( myfunction(3) )
```

Output

```
10.710170286650365
```

A few things to note about the code above:

- Functions are defined using the `def` keyword followed by the name that we choose for the function (in our case, `myfunction`)
- If functions take arguments, those are specified in parenthesis after the name of the function (in our case, we have one argument that we chose to call `x`)
- After the name of the function and the arguments, we place a colon
- The code that belongs to the function, after the colon, must be indented (this allows Python to know where the code for the function ends)
- The function can "return" a value; this is done by using the `return` keyword.
- We used the "operator" `**` to take the power of a number (`x**2`), and the operator `*`, to multiply numbers. Python would not understand something like `2x`; you need to use the multiplication operator, i.e. `2*x`.

In the example above, we wrote a Python function to represent a mathematical function. However, one can write a function to execute any set of tasks, not just to apply a mathematical function. Python functions are very useful in order to avoid having to repeatedly type the same code.

Recall that the `numpy` module allows us to apply functions to arrays of numbers, instead of a single number. We can modify the code above slightly so that, if the argument to the function, `x`, is an array, the function will gracefully return an array of numbers to which the function has been applied. This is done by simply replacing the call to the `math` version of the `cos` function by using the `numpy` version:

python code 28.5.2

Defining a function that works on an array

```
#import the numpy module in order to use cos to an array
```

```
02 import numpy as np
03
04 #define our function and call it myfunction:
05 def myfunction(x):
06     return x**2 / 3 +x**3 / 4 + np.cos(2*x)
07
08  #Test our function by printing out the result and evaluating it at x = 3
   (same as before)
09  print( myfunction(3) )
10
11  #Test it with an array
12  xvals = np.array([1, 2, 3])
13  print ( myfunction(xvals) )
```

Output

```
1 10.710170286650365
2
3 [ 0.1671865   2.67968971   10.71017029]
```

where we created the array `xvals` using the `numpy` module.

Using a loop to calculate an integral

The ability to define our own functions in Python allows us to easily simplify complex tasks. Using "loops" is another way that computer programming can greatly simplify calculations that would otherwise be very tedious. In a loop, one is able to repeat the same task many times. The example below simply prints out a statement five times:

Python code 28.5.3

A simple loop

```
1 #A loop to print out a statement 5 times:
2
3 for i in range(5):
4     print("The value of i is ", i)
```

Output

```
1 The value of i is 0
2 The value of i is 1
3 The value of i is 2
4 The value of i is 3
5 The value of i is 4
```

A few notes on the code above:

- The loop is defined by using the keywords `for ... in`
- The value after the keyword `for` is the "iterator" variable and will have a different value each time that the code inside of the loop is run (in our case, we called the variable `i`)
- The value after the keyword `in` is an array of values that the iterator will take
- The `range(N)` function returns an array of `N` integer values between `0` and `N-1` (in our case, this returns the five values $0, 1, 2, 3, 4$)
- The code to be executed at each "iteration" of the loop is preceded by a colon and indented (in the same way as the code for a function also follows a colon and is indented)

We now have all of the tools to evaluate an integral numerically. Recall that the integral of the function $f(x)$ between x_a and x_b is simply a sum:

$$\int_{x_a}^{x_b} f(x)dx = \lim_{\Delta x \to 0} \sum_{i=0}^{i=N-1} f(x_i)\Delta x$$

$$\Delta x = \frac{x_b - x_a}{N}$$

$$x_i = x_a + i\Delta x$$

The limit of $\Delta x \to 0$ is equivalent to the limit $N \to \infty$. Our strategy for evaluating the integral is:

1. Define a Python function for $f(x)$.
2. Create an array, `xvals`, of N values of x between x_a and x_b.
3. Evaluate the function for all those values and store those into an array, `fvals`.
4. Loop over all of the values in the array `fvals`, multiply them by Δx, and sum them together.

Let's use Python to evaluate the integral of the function $f(x) = 4x^3 + 3x^2 + 5$ between $x = 1$ and $x = 5$:

Python Code 28.5.4

Numerical integration of a function

```
#import numpy to work with arrays:
import numpy as np

#define our function
def f(x):
    return 4*x**3 + 3*x**2 + 5

#Make N and the range of integration variables:
N - 1000
xmin = 1
xmax = 5

#create the array of values of x between xmin and xmax
xvals = np.linspace(xmin, xmax, N)

#evaluate the function at all those values of x
fvals = f(xvals)

#calculate delta x
deltax = (xmax - xmin) / N

#initialize the sum to be zero:
sum = 0

#loop over the values fvals and add them to the sum
for fi in fvals:
    sum = sum + fi*deltax

#print the result:
print("The integral between {} and {} using {} steps is {:.2f}".format(xmin,
xmax, N, sum))
```

Output

```
The integral between 1 and 5 using 1000 steps is 768.42
```

One can easily integrate the above function analytically and obtain the exact result of 768. The numerical answer will approach the exact answer as we make N bigger. Of course, the power of numerical integration is to use it when the function cannot be integrated analytically.

? Exercise 28.5.1

What value of N should you use above in order to get within 0.01 of the exact analytic answer?

Answer

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